

# Technical Specification

### ISO/TS 22360

Security and resilience —
Crisis management —
Concepts, principles and
framework

Teh Standards

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Sécurité et résilience — Gestion de crise — Concepts, principes et cadre — Document Preview

ISO/TS 22360:2024

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ISO/TS 22360:2024

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Contents			Page
Fore	word		v
Intro	duction		vi
1	Scope		1
2	•	references	
3	Terms and definitions		
4	Principles		
	4.1 Guid	ling principles relating to crises	3
		ciple 1: Crisis is contextualciple 2: Crisis control is dependent upon a systems approach	
	4.4 Prin	ciple 3: The all-hazards approach informs crisis preparedness	4
	4.5 Prin	ciple 4: Crisis management is a core capability	4
	4.6 Prin	ciple 5: Crises can present threat and opportunity	4
5	Crisis framework		
Ü		role of crisis analysis	
		ctural and functional elements of a crisis	
	5.3 Fran	nework factors and elements	5
6	Classification of crises		
		rises are the same	
		rce of crisis	
		is participants	
	6.3.2		
	6.3.3		8
	6.3.4	Third-party adversary	9
	6.3.5		
	6.4 Crise	es by extent of impact and contagion effectssifying sources of crises from natural hazards	9
7	Characteristics of crises ISO/TS 22360:2024		
		racteristics as a source of knowledge 5-0161-410e-ada2-88078ac7a244/150	
		mon features and qualitiesracteristics of a crises in a vulnerable systems statestate	
		racteristics of a crises in a defective systems state	
		racteristics of crises in a failed systems state	
		racteristics of an effective crisis response	
	7.6.1		
	7.6.2		
	7.6.3 7.6.4		
	7.6.4		
	7.6.6	1	
8		<u> </u>	
O	The development of crisis conditions  8.1 Transition from a state of normal functioning		
		14	
		ective and failed state	
		progression of crises	
	8.4.1	U	
	8.4.2	1	
	8.4.3 8.4.4		
	8.4.5	6	
	846		16

9 Cri	ses - An integrated social-ecological system	16
9.1	Changes in context – the source of initiating events	16
9.2	Systems affected by change	17
9.3	Positive and negative influences of change	
9.4	Abnormal or extraordinary events	18
9.5	Issue management	18
9.6	Initiating events	18
9.7	Issue, incident, emergency (non-linear relationships)	19
9.8	Resolution or escalation	19
9.9	Decisive (tipping) point	
Annex A (i	informative) Crisis taxonomy: Family of crisis related standards	21
Annex B (	informative) Crisis concepts	23
Ribliograi	nhv	26

## iTeh Standards (https://standards.iteh.ai) Document Preview

ISO/TS 22360:2024

#### Foreword

ISO (the International Organization for Standardization) is a worldwide federation of national standards bodies (ISO member bodies). The work of preparing International Standards is normally carried out through ISO technical committees. Each member body interested in a subject for which a technical committee has been established has the right to be represented on that committee. International organizations, governmental and non-governmental, in liaison with ISO, also take part in the work. ISO collaborates closely with the International Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular, the different approval criteria needed for the different types of ISO document should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see <a href="https://www.iso.org/directives">www.iso.org/directives</a>).

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For an explanation of the voluntary nature of standards, the meaning of ISO specific terms and expressions related to conformity assessment, as well as information about ISO's adherence to the World Trade Organization (WTO) principles in the Technical Barriers to Trade (TBT), see <a href="https://www.iso.org/iso/foreword.html">www.iso.org/iso/foreword.html</a>.

This document was prepared by Technical Committee ISO/TC 292 Security and resilience.

Any feedback or questions on this document should be directed to the user's national standards body. A complete listing of these bodies can be found at <a href="https://www.iso.org/members.html">www.iso.org/members.html</a>.

ISO/TS 22360:2024

#### Introduction

#### 0.1 Context

The ability to respond to and recover from a crisis has become a primary concern of governments, public and private sector organizations, and regional, urban, and local communities. This concern has led to an increased emphasis on crisis response and governance by boards of management and business owners.

Crises conditions can manifest throughout all elements of society and significantly impact upon the goals and objectives of nations, regional and urban areas, communities, organizations (both public sector and private enterprise) and individual people.

Crises may arise from failures to manage security of assets, information, reputations or sovereign risks to governments or organizations or to provide safety and protection of members of society from personal injury, loss, or harm.

Guidance on crisis management and decision making under uncertainty is a much sought-after global commodity.

This document provides guidance on the origins of crises and their relationship to the global social, economic, and technological systems and their interaction with the natural environment. A social-ecological system (SES) model is used to define the social and environmental dependencies and how the condition of these systems can change unexpectedly. It provides the knowledge to build a framework for crisis prevention, preparedness, response and recovery.

Integral to the framework is recognition of an organizations internal and external context in which crises conditions can develop. The SES model explains the complex relationship between elements of the total systems environment in which the organization operates and achieves its objectives. The framework also establishes concepts, principles, and a structure under which all standards relating to crises can be defined and classified, creating a logical reference for the development of an ISO family of standards for crisis management.

#### 0.2 Focus

This document provides an informative guide to the factors contributing to crises which have the potential to pose an existential threat to people, organizations, communities, government, and societies.

In relation to societal security and resilience, a state of crisis comprises an increased level of complexity with interconnecting elements and a range of changing circumstances, often under conditions of high uncertainty. Significant adverse consequences can arise that create challenges for individuals and organizations if early warning indicators of crises are not recognised and acted upon.

Understanding the nature of crises, their key elements, characteristics and traits and the way crises evolve, is an essential prerequisite for implementation of policies, strategies and plans for anticipating crises and their management during and in post crisis situations.

Crises can be viewed from a range of different perspectives. They arise out of varying circumstances and with different effect in almost any personal, community, organizational or natural environment. Often the human element is a common thread contributing to systemic failures and crises.

With a high degree of interconnectivity, a state of crisis can also create a contagion effect, transmitting the consequences of crises among people and to organizations.

Frequently, the emergence of a state of personal crises for an individual may have a direct effect on other people, creating social crisis contagion, simply by association. The inability of people to cope with difficult or intolerable personal circumstances can result in poor judgement or irrational decision making in the workplace, thereby exposing the organization to failures that may ultimately affect the operational integrity of the business and its brand and reputation.

Systemic failures such as the global financial crisis and the world-wide COVID-19 pandemic, demonstrate that crises can transition to, and transcend all boundaries of modern society.

In today's complex and interconnected society, organizations need to better understand the multiple sources and vectors of risk and how knowledge of these critical interdependencies and their influences on people, organizations and communities can contribute to more effective crisis management policies and strategies and plans.

The social-ecological system (SES) model and framework for establishing the contexts in which crises originate and how they determine a crisis, is shown in <u>Figure 1</u>.

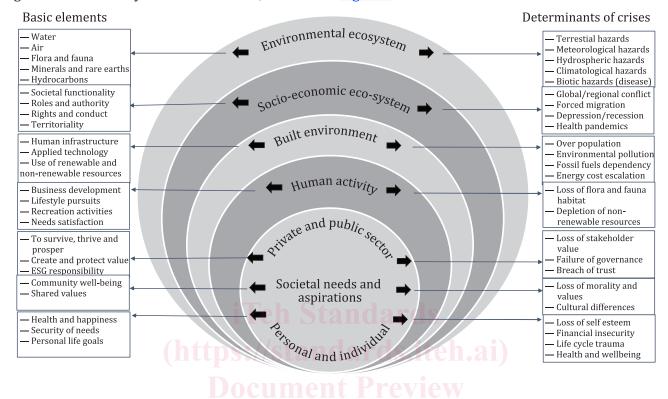


Figure 1 — Crises framework - the social-ecological system (SES) model

ISO/TS 22260-2024

<u>Figure 2</u> provides the basis for understanding of sources of crises. It illustrates the fundamental elements of a social-ecological system and the interactions between multiple system components. Any changes in context (structure or composition) in any of the sub-systems, or changes in interconnected and overlapping relationships, can be the source of abnormal or extraordinary events, and unstable conditions leading to issues, incidents, emergencies, and potential crisis situations.

Early detection of changes in conditions within systems that are often complex, creates awareness of potential factors that can influence or contribute to crises affecting an individual, organization, community or society and the way in which they interface with the natural environment.

Issues, incidents, emergencies, and crises can emerge directly from changes in a single system component. However, the volatility, uncertainty, complexity, and ambiguity associated with unstable conditions in the connecting nodes and their links within a social-ecological system can significantly influence the rate at which these changes in the environment can transition to emergency or crisis conditions.

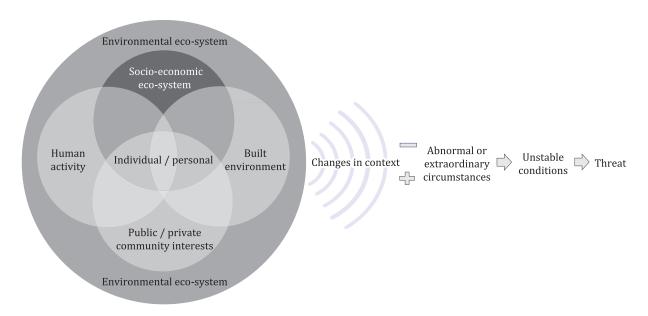


Figure 2 — Systems changes and emergence of initiating events

#### 0.3 Purpose

This document provides information to help users understand crisis concepts and principles. It explains the inherent characteristics of crises, and identifies areas for analysis where improved policies, strategies and standards can be developed to enhance the resilience of people, organizations, communities, governments, and societies, to anticipate, prepare, respond to, recover from, or adapt to crises. It establishes a conceptual framework, applying an integrated social-ecological systems approach to contextualizing crises as they relate to the human factor, and their impact upon, communities, organizations, governments, and society generally.

#### ISO/TS 22360:2024

# Security and resilience — Crisis management — Concepts, principles and framework

#### 1 Scope

This document provides an outline of crisis concepts and the principles that inform and support contemporary thinking on the circumstances and conditions under which crises can develop.

#### It specifies:

- concepts and principles, governing crises;
- the social-ecological system (SES) framework in which crises develop;
- factors that contribute to crises;
- the progression and evolution of a crisis;
- a structure for classifying crises;
- the relationship between issues, incidents, emergencies, disasters, and crises;
- a crisis taxonomy for the systematic development of policies, strategies, and standards, relevant to crisis management (see <u>Annex A</u>).

This document does not provide guidance on how organizations can:

- manage physiological or psychological aspects of human reactions to personal crises;
- manage personal health or public health crisis affecting individuals, communities, or having broader impacts on society;
- design, develop or implement crisis management programs or plans;
- develop a strategic capability for crisis management;
- apply crisis management techniques to specific crisis situations.

This document is applicable to all organizations. It can also be applied by standards users and standards writers and educators. It encourages a better understanding of crisis concepts and the interconnected characteristics of factors that contribute to crises through referencing the crisis controls and effects social-ecological system model. The application of the principles described in this document can encourage consistency in the use of crises related terms and definitions and complements other ISO standards for crisis management.

#### 2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO 22300, Security and resilience — Vocabulary

#### 3 Terms and definitions

For the purposes of this document, the terms and definitions given in ISO 22300 and the following apply.

ISO and IEC maintain terminology databases for use in standardization at the following addresses:

- ISO Online browsing platform: available at <a href="https://www.iso.org/obp">https://www.iso.org/obp</a>
- IEC Electropedia: available at <a href="https://www.electropedia.org/">https://www.electropedia.org/</a>

#### 3.1

#### all-hazards approach

comprehensive approach to preparedness that ensures that organizational capabilities, and controls are designed and applied in such a way as to be able to respond to all types of disruptive events, irrespective of their nature or cause

Note 1 to entry: In relation to crises, the all-hazards approach recommends the use of a single set of crisis management response systems that are appropriate to any source or type of hazard and crises.

#### 3.2

#### context

external and internal factors that form the setting for an event, activity, statement, idea, or concept

#### 3.3

#### crisis

unstable condition involving an impending abrupt or significant change that requires urgent attention and action to protect life, assets, property or the environment

Note 1 to entry: In the broader context of society, a crisis can pose an existential threat to an individual, organization or community or have a significant impact on the viability and integrity of the party exposed to the threat.

Note 2 to entry: Crises can include a high degree of volatility, uncertainty, complexity and ambiguity which can change the context in which relevant parties achieve their objectives.

Note 3 to entry: Crises require an appropriate response at a decisive or critical control point in their development,

[SOURCE: ISO 22300:2021, 3.1.60, modified — Notes 1, 2, 3 to entry have been added.]

#### crisis condition

set of circumstances or measurable factors that determine the state of a crisis

Note 1 to entry: Conditions that determine the state of a crisis can change from its original inception, through stages of intervention or escalation or resolution.

#### 3.5

#### crisis intervention

provision of specialist support and coping strategies to immediately stabilize individuals experiencing a personal crisis and to restore affected individuals to pre-crisis levels of functioning and cognitive ability

Note 1 to entry: Crisis intervention is an immediate short-term action with a focus on situation management rather than resolution.

Note 2 to entry: Unstable conditions are the most common cause of a situational crisis, where crisis intervention techniques are applied.

#### 3.6

#### disaster

situation where widespread human, material, economic or environmental losses have occurred that exceeded the ability of the affected organization, community or society to respond and recover using its own resources

Note 1 to entry: in the context of an individual, a disaster may exceed the ability of a person to respond and recover without external support from crisis intervention specialists.

[SOURCE: ISO 22300:2021, 3.1.73, modified — Note 1 to entry has been added.]

#### 3.7

#### issue

event or situation that does not currently present but can develop into a long-term or significant negative impact on the strategic objectives, reputation, or viability of an organization

Note 1 to entry: Effectively responding to emerging or current issues can result in the successful aversion of a crisis.

Note 2 to entry: In the context of an individual an issue can contribute to personal loss of reputation or self-esteem.

[SOURCE: ISO 22361:2022, 3.12, modified — Note 2 to entry has been added.]

#### 3.8

#### issue management

process by which internal and external issues that have the potential to significantly impact performance of an entity or individual are identified, prioritized, analyzed, and resolved with the goal of preventing crises and taking advantage of opportunities

#### 3.9

#### personal crisis

unstable state or physical condition that threatens an individual and is beyond the individuals' ability to cope with transitions or changes necessary to eliminate a threat or return to normal functioning

Note 1 to entry: Personal crisis can relate to transitions or changes in normal life events.

Note 2 to entry: Personal situational crises can be sudden experiences related to personal loss.

#### 3.10

#### situation

combination of events, circumstances and conditions that characterises the state of an individual, community, or organization or its context at a particular time and place

#### 4 Principles

## $\textbf{4.1} \quad \textbf{Guiding principles relating to crises} \\ \texttt{8.1} \\ \texttt{4.65} \\ \texttt{5.016} \\ \texttt{1.465} \\ \texttt{5.016} \\ \texttt{1.460} \\ \texttt{6.460} \\ \texttt{6.$

Principles provide the foundation for understanding the beliefs and behaviours governing crises in the context of societal safety, security, and resilience. They provide the essential guidance that sets and establishes organizational policy, strategy, and framework for managing crises. Crisis principles govern the design, development, and implementation of an organizational capability to respond to all types of crises. The success of responses to crises is dependent upon application of the following guiding principles:

- crisis is contextual;
- crises require a systematic approach;
- crisis situation-analysis is informed by the all-hazard concept;
- crisis management is a core capability;
- crises can present both threats and opportunities.

These principles establish a foundation upon which organizations can establish and evaluate a framework for crises concepts, models or standards. For an organization, community, or individual to achieve a level of resilience to crises, organizations, communities, or governments should embrace and support the following principles.