

GUIDE 98-3

Uncertainty of measurement ·

Lenen Contraction of the expression of uncertainty in measurement (GUM:1995) Incertitude de mesure — Partie 3: Guide pour l'expression de l'incertitude de mesure (GUM:1995)

First edition 2008

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This Guide establishes general rules for evaluating and expressing uncertainty in measurement that are intended to be applicable to a broad spectrum of measurements. The basis of the Guide is Recommendation 1 (CI-1981) of the Comité International des Poids et Mesures (CIPM) and Recommendation INC-1 (1980) of the Working Group on the Statement of Uncertainties. The Working Group was convened by the Bureau International des Poids et Mesures (BIPM) in response to a request of the CIPM. The CIPM Recommendation is the only recommendation concerning the expression of uncertainty in measurement adopted by an intergovernmental organization.

This Guide was prepared by a joint working group consisting of experts nominated by the BIPM, the International Electrotechnical Commission (IEC), the International Organization for Standardization (ISO), and the International Organization of Legal Metrology (OIML).

The following seven organizations* supported the development of this *Guide*, which is published in their name:

- BIPM: Bureau International des Poids et Mesures
- IEC: International Electrotechnical Commission
- IFCC: International Federation of Clinical Chemistry**
- ISO: International Organization for Standardization
- IUPAC: International Union of Pure and Applied Chemistry**
- lec-guide.98 International Union of Pure and Applied Physics IUPAP:
- International Organization of Legal Metrology OIML:

Users of this Guide are invited to send their comments and requests for clarification to any of the seven the solution of the solution o supporting organizations, the mailing addresses of which are given on the inside front cover***.

Footnote to the 2008 version:

** Footnote to the 2008 version:

The names of these three organizations have changed since 1995. They are now: IFCC: International Federation for Clinical Chemistry and Laboratory Medicine IUPAC: International Organization for Pure and Applied Chemistry IUPAP: International Organization for Pure and Applied Physics.

*** Footnote to the 2008 version:

Links to the addresses of the eight organizations presently involved in the JCGM (Joint Committee for Guides in Metrology) are given on http://www.bipm.org/en/committees/jc/jcgm.

In 2005, the International Laboratory Accreditation Cooperation (ILAC) officially joined the seven founding international organizations.

Foreword

In 1977, recognizing the lack of international consensus on the expression of uncertainty in measurement, the world's highest authority in metrology, the Comité International des Poids et Mesures (CIPM), requested the Bureau International des Poids et Mesures (BIPM) to address the problem in conjunction with the national standards laboratories and to make a recommendation.

The BIPM prepared a detailed questionnaire covering the issues involved and distributed it to 32 national metrology laboratories known to have an interest in the subject (and, for information, to five international organizations). By early 1979 responses were received from 21 laboratories [1].¹⁾ Almost all believed that it was important to arrive at an internationally accepted procedure for expressing measurement uncertainty and for combining individual uncertainty components into a single total uncertainty. However, a consensus was not apparent on the method to be used. The BIPM then convened a meeting for the purpose of arriving at a uniform and generally acceptable procedure for the specification of uncertainty; it was attended by experts from 11 national standards laboratories. This Working Group on the Statement of Uncertainties developed Recommendation INC-1 (1980), Expression of Experimental Uncertainties [2]. The CIPM approved the Recommendation in 1981 [3] and reaffirmed it in 1986 [4].

The task of developing a detailed guide based on the Working Group Recommendation (which is a brief outline rather than a detailed prescription) was referred by the CIPM to the International Organization for Standardization (ISO), since ISO could better reflect the needs arising from the broad interests of industry and commerce.

Responsibility was assigned to the ISO Technical Advisory Group on Metrology (TAG 4) because one of its tasks is to coordinate the development of guidelines on measurement topics that are of common interest to ISO and the six organizations that participate with ISO in the work of TAG 4: the International Electrotechnical Commission (IEC), the partner of ISO in worldwide standardization; the CIPM and the International Organization of Legal Metrology (OIML), the two worldwide metrology organizations; the International Union of Pure and Applied Chemistry (IUPAC) and the International Union of Pure and Applied Physics (IUPAP), the two international unions that represent chemistry and physics; and the International Federation of Clinical Chemistry (IFCC).

TAG 4 in turn established Working Group 3 (ISO/TAG 4/WG 3) composed of experts nominated by the BIPM, IEC, ISO, and OIML and appointed by the Chairman of TAG 4. It was assigned the following terms of reference:

To develop a guidance document based upon the recommendation of the BIPM Working Group on the Statement of Uncertainties which provides rules on the expression of measurement uncertainty for use within standardization, calibration, laboratory accreditation, and metrology services;

The purpose of such guidance is

- to promote full information on how uncertainty statements are arrived at;
- to provide a basis for the international comparison of measurement results.

This first edition of ISO/IEC Guide 98-3 cancels and replaces the *Guide to the Expression of Uncertainty in Measurement (GUM)*, BIPM, IEC, IFCC, ISO, IUPAC, IUPAP, OIML, 1993, corrected and reprinted in 1995.

¹⁾ See the <u>Bibliography</u>.

^{*} Footnote to the 2008 version:

In producing this 2008 version of the GUM, necessary corrections only to the printed 1995 version have been introduced by JCGM/WG 1. These corrections occur in Subclauses 4.2.2, 4.2.4, 5.1.2, B.2.17, C.3.2, C.3.4, E.4.3, H.4.3, H.5.2.5 and H.6.2.

0 Introduction

0.1 When reporting the result of a measurement of a physical quantity, it is obligatory that some quantitative indication of the quality of the result be given so that those who use it can assess its reliability. Without such an indication, measurement results cannot be compared, either among themselves or with reference values given in a specification or standard. It is therefore necessary that there be a readily implemented, easily understood, and generally accepted procedure for characterizing the quality of a result of a measurement, that is, for evaluating and expressing its *uncertainty*.

0.2 The concept of *uncertainty* as a quantifiable attribute is relatively new in the history of measurement, although *error* and *error* analysis have long been a part of the practice of measurement science or metrology. It is now widely recognized that, when all of the known or suspected components of error have been evaluated and the appropriate corrections have been applied, there still remains an uncertainty about the correctness of the stated result, that is, a doubt about how well the result of the measurement represents the value of the quantity being measured.

0.3 Just as the nearly universal use of the International System of Units (SI) has brought coherence to all scientific and technological measurements, a worldwide consensus on the evaluation and expression of uncertainty in measurement would permit the significance of a vast spectrum of measurement results in science, engineering, commerce, industry, and regulation to be readily understood and properly interpreted. In this era of the global marketplace, it is imperative that the method for evaluating and expressing uncertainty be uniform throughout the world so that measurements performed in different countries can be easily compared.

0.4 The ideal method for evaluating and expressing the uncertainty of the result of a measurement should be:

. *universal*: the method should be applicable to all kinds of measurements and to all types of input data used in measurements.

The actual quantity used to express uncertainty should be:

- . *internally consistent*: it should be directly derivable from the components that contribute to it, as well as independent of how these components are grouped and of the decomposition of the components into subcomponents;
- . *transferable*: it should be possible to use directly the uncertainty evaluated for one result as a component in evaluating the uncertainty of another measurement in which the first result is used.

Further, in many industrial and commercial applications, as well as in the areas of health and safety, it is often necessary to provide an interval about the measurement result that may be expected to encompass a large fraction of the distribution of values that could reasonably be attributed to the quantity subject to measurement. Thus the ideal method for evaluating and expressing uncertainty in measurement should be capable of readily providing such an interval, in particular, one with a coverage probability or level of confidence that corresponds in a realistic way with that required.

0.5 The approach upon which this guidance document is based is that outlined in Recommendation INC-1 (1980) [2] of the Working Group on the Statement of Uncertainties, which was convened by the BIPM in response to a request of the CIPM (see Foreword). This approach, the justification of which is discussed in Annex E, meets all of the requirements outlined above. This is not the case for most other methods in current use. Recommendation INC-1 (1980) [4]; the English translations of these CIPM Recommendations are reproduced in Annex A (see A.2 and A.3, respectively). Because Recommendation INC-1 (1980) is the foundation upon which this document rests, the English translation is reproduced in 0.7 and the French text, which is authoritative, is reproduced in A.1.

0.6 A succinct summary of the procedure specified in this guidance document for evaluating and expressing uncertainty in measurement is given in Clause <u>8</u> and a number of examples are presented in detail in Annex <u>H</u>. Other annexes deal with general terms in metrology (Annex <u>B</u>); basic statistical terms and concepts (Annex <u>C</u>); "true" value, error, and uncertainty (Annex <u>D</u>); practical suggestions for evaluating uncertainty components (Annex <u>F</u>); degrees of freedom and levels of confidence (Annex <u>G</u>); the principal mathematical symbols used throughout the document (Annex <u>J</u>); and bibliographical references (<u>Bibliography</u>). An <u>alphabetical index</u> concludes the document.

0.7 Recommendation INC-1 (1980) Expression of experimental uncertainties

- 1) The uncertainty in the result of a measurement generally consists of several components which may be grouped into two categories according to the way in which their numerical value is estimated:
 - A. those which are evaluated by statistical methods,
 - B. those which are evaluated by other means.

There is not always a simple correspondence between the classification into categories A or B and the previously used classification into "random" and "systematic" uncertainties. The term "systematic uncertainty" can be misleading and should be avoided.

Any detailed report of the uncertainty should consist of a complete list of the components, specifying for each the method used to obtain its numerical value.

- 2) The components in category A are characterized by the estimated variances s_i^2 , (or the estimated "standard deviations" s_i) and the number of degrees of freedom v_i . Where appropriate, the covariances should be given.
- 3) The components in category B should be characterized by quantities u_j^2 , which may be considered as approximations to the corresponding variances, the existence of which is assumed. The quantities u_j^2 may be treated like variances and the quantities u_j like standard deviations. Where appropriate, the covariances should be treated in a similar way.
- 4) The combined uncertainty should be characterized by the numerical value obtained by applying the usual method for the combination of variances. The combined uncertainty and its components should be expressed in the form of "standard deviations".
- 5) If, for particular applications, it is necessary to multiply the combined uncertainty by a factor to obtain an overall uncertainty, the multiplying factor used must always be stated.

Uncertainty of measurement —

Part 3: Guide to the expression of uncertainty in measurement (GUM:1995)

Scope 1

This Guide establishes general rules for evaluating and expressing uncertainty in measurement that 1.1 can be followed at various levels of accuracy and in many fields - from the shop floor to fundamental research. Therefore, the principles of this Guide are intended to be applicable to a broad spectrum of measurements, including those required for:

- maintaining quality control and quality assurance in production;
- complying with and enforcing laws and regulations;
- 1008 conducting basic research, and applied research and development, in science and engineering;
- calibrating standards and instruments and performing tests throughout a national measurement system in order to achieve traceability to national standards;
- developing, maintaining, and comparing international and national physical reference standards, including reference materials.

This Guide is primarily concerned with the expression of uncertainty in the measurement of a 1.2 well-defined physical quantity the measurand that can be characterized by an essentially unique value. If the phenomenon of interest can be represented only as a distribution of values or is dependent on one or more parameters, such as time, then the measurands required for its description are the set of quantities describing that distribution or that dependence.

This Guide is also applicable to evaluating and expressing the uncertainty associated with the 1.3 conceptual design and theoretical analysis of experiments, methods of measurement, and complex components and systems. Because a measurement result and its uncertainty may be conceptual and based entirely on hypothetical data, the term "result of a measurement" as used in this Guide should be interpreted in this broader context.

1.4 This Guide provides general rules for evaluating and expressing uncertainty in measurement rather than detailed, technology-specific instructions. Further, it does not discuss how the uncertainty of a particular measurement result, once evaluated, may be used for different purposes, for example, to draw conclusions about the compatibility of that result with other similar results, to establish tolerance limits in a manufacturing process, or to decide if a certain course of action may be safely undertaken. It may therefore be necessary to develop particular standards based on this Guide that deal with the problems peculiar to specific fields of measurement or with the various uses of quantitative expressions of uncertainty.* These standards may be simplified versions of this Guide but should include the detail that is appropriate to the level of accuracy and complexity of the measurements and uses addressed.

NOTE There may be situations in which the concept of uncertainty of measurement is believed not to be fully applicable, such as when the precision of a test method is determined (see Reference [5], for example).

Footnote to the 2008 version:

Several derivative general and specific applications documents have been published. Non-exhaustive compilations listing these documents can be found on http://www.bipm.org/en/committees/jc/jcgm/wg1 bibliography.html. In addition, up-todate listings of documents that cite the Guide to the expression of uncertainty in measurement can be found by using the full-text search options on http://www.iso.org/ and http://www.iec.ch/.

2 Definitions

2.1 General metrological terms

The definition of a number of general metrological terms relevant to this *Guide*, such as "measurable quantity", "measurand", and "error of measurement", are given in Annex <u>B</u>. These definitions are taken from the *International vocabulary of basic and general terms in metrology* (abbreviated VIM)<u>* [6]</u>. In addition, Annex <u>C</u> gives the definitions of a number of basic statistical terms taken mainly from International Standard ISO 3534-1 [7]. When one of these metrological or statistical terms (or a closely related term) is first used in the text, starting with Clause <u>3</u>, it is printed in boldface and the number of the subclause in which it is defined is given in parentheses.

Because of its importance to this *Guide*, the definition of the general metrological term "uncertainty of measurement" is given both in Annex <u>B</u> and <u>2.2.3</u>. The definitions of the most important terms specific to this *Guide* are given in <u>2.3.1</u> to <u>2.3.6</u>. In all of these subclauses and in Annexes <u>B</u> and <u>C</u>, the use of parentheses around certain words of some terms means that these words may be omitted if this is unlikely to cause confusion.

2.2 The term "uncertainty"

The concept of uncertainty is discussed further in Clause 3 and Annex D.

2.2.1 The word "uncertainty" means doubt, and thus in its broadest sense "uncertainty of measurement" means doubt about the validity of the result of a measurement. Because of the lack of different words for this *general concept* of uncertainty and the specific quantities that provide *quantitative measures* of the concept, for example, the standard deviation, it is necessary to use the word "uncertainty" in these two different senses.

2.2.2 In this *Guide*, the word "uncertainty" without adjectives refers both to the general concept of uncertainty and to any or all quantitative measures of that concept. When a specific measure is intended, appropriate adjectives are used.

2.2.3 The formal definition of the term "uncertainty of measurement" developed for use in this *Guide* and in the VIM [6] (VIM:1993, definition 3.9) is as follows:

uncertainty (of measurement)

parameter, associated with the result of a measurement, that characterizes the dispersion of the values that could reasonably be attributed to the measurand

NOTE 1 The parameter may be, for example, a standard deviation (or a given multiple of it), or the half-width of an interval having a stated level of confidence.

NOTE 2 Uncertainty of measurement comprises, in general, many components. Some of these components may be evaluated from the statistical distribution of the results of series of measurements and can be characterized by experimental standard deviations. The other components, which also can be characterized by standard deviations, are evaluated from assumed probability distributions based on experience or other information.

NOTE 3 It is understood that the result of the measurement is the best estimate of the value of the measurand, and that all components of uncertainty, including those arising from systematic effects, such as components associated with corrections and reference standards, contribute to the dispersion.

2.2.4 The definition of uncertainty of measurement given in 2.2.3 is an operational one that focuses on the measurement result and its evaluated uncertainty. However, it is not inconsistent with other concepts of uncertainty of measurement, such as

^{*} Footnote to the 2008 version:

The third edition of the vocabulary was published in 2007, under the title ISO/IEC Guide 99, International vocabulary of metrology — Basic and general concepts and associated terms (VIM).

- a measure of the possible error in the estimated value of the measurand as provided by the result of a measurement;
- an estimate characterizing the range of values within which the true value of a measurand lies (VIM:1984, definition 3.09).

Although these two traditional concepts are valid as ideals, they focus on unknowable quantities: the "error" of the result of a measurement and the "true value" of the measurand (in contrast to its estimated value), respectively. Nevertheless, whichever concept of uncertainty is adopted, an uncertainty component is always evaluated using the same data and related information. (See also E.5.)

2.3 Terms specific to this Guide

In general, terms that are specific to this Guide are defined in the text when first introduced. However, the definitions of the most important of these terms are given here for easy reference.

NOTE Further discussion related to these terms may be found as follows: for 2.3.2, see 3.3.3 and 4.2; for 2.3.3, see 3.3.3 and 4.3; for 2.3.4, see Clause 5 and Equations (10) and (13); and for 2.3.5 and 2.3.6, see Clause 6.

S

2.3.1

standard uncertainty

uncertainty of the result of a measurement expressed as a standard deviation

2.3.2

Type A evaluation (of uncertainty)

Je-98' method of evaluation of uncertainty by the statistical analysis of series of observations ndar

2.3.3

1210 Type B evaluation (of uncertainty)

method of evaluation of uncertainty by means other than the statistical analysis of series of observations idsiteh.a reatent

2.3.4

combined standard uncertainty

standard uncertainty of the result of a measurement when that result is obtained from the values of a number of other quantities, equal to the positive square root of a sum of terms, the terms being the variances or covariances of these other quantities weighted according to how the measurement result varies with changes in these quantities

2.3.5

expanded uncertainty

quantity defining an interval about the result of a measurement that may be expected to encompass a large fraction of the distribution of values that could reasonably be attributed to the measurand

NOTE 1 The fraction may be viewed as the coverage probability or level of confidence of the interval.

NOTE 2 To associate a specific level of confidence with the interval defined by the expanded uncertainty requires explicit or implicit assumptions regarding the probability distribution characterized by the measurement result and its combined standard uncertainty. The level of confidence that may be attributed to this interval can be known only to the extent to which such assumptions may be justified.

Expanded uncertainty is termed overall uncertainty in paragraph 5 of Recommendation INC-1 (1980). NOTE 3

2.3.6

coverage factor

numerical factor used as a multiplier of the combined standard uncertainty in order to obtain an expanded uncertainty

NOTE A coverage factor, *k*, is typically in the range 2 to 3.

3 Basic concepts

Additional discussion of basic concepts may be found in Annex \underline{D} , which focuses on the ideas of "true" value, error and uncertainty and includes graphical illustrations of these concepts; and in Annex \underline{E} , which explores the motivation and statistical basis for Recommendation INC-1 (1980) upon which this *Guide* rests. Annex \underline{J} is a glossary of the principal mathematical symbols used throughout the *Guide*.

3.1 Measurement

3.1.1 The objective of a **measurement** (B.2.5) is to determine the **value** (B.2.2) of the **measurand** (B.2.9), that is, the value of the **particular quantity** (B.2.1, Note 1) to be measured. A measurement therefore begins with an appropriate specification of the measurand, the **method of measurement** (B.2.7), and the **measurement procedure** (B.2.8).

NOTE The term "true value" (see Annex \underline{D}) is not used in this *Guide* for the reasons given in $\underline{D.3.5}$; the terms "value of a measurand" (or of a quantity) and "true value of a measurand" (or of a quantity) are viewed as equivalent.

3.1.2 In general, the **result of a measurement** ($\underline{B.2.11}$) is only an approximation or **estimate** ($\underline{C.2.26}$) of the value of the measurand and thus is complete only when accompanied by a statement of the **uncertainty** ($\underline{B.2.18}$) of that estimate.

3.1.3 In practice, the required specification or definition of the measurand is dictated by the required **accuracy of measurement** (B.2.14). The measurand should be defined with sufficient completeness with respect to the required accuracy so that for all practical purposes associated with the measurement its value is unique. It is in this sense that the expression "value of the measurand" is used in this *Guide*.

EXAMPLE If the length of a nominally one-metre long steel bar is to be determined to micrometre accuracy, its specification should include the temperature and pressure at which the length is defined. Thus the measurand should be specified as, for example, the length of the bar at 25,00 °C^{*} and 101 325 Pa (plus any other defining parameters deemed necessary, such as the way the bar is to be supported). However, if the length is to be determined to only millimetre accuracy, its specification would not require a defining temperature or pressure or a value for any other defining parameter.

NOTE Incomplete definition of the measurand can give rise to a component of uncertainty sufficiently large that it must be included in the evaluation of the uncertainty of the measurement result (see <u>D.1.1</u>, <u>D.3.4</u>, and <u>D.6.2</u>).

3.1.4 In many cases, the result of a measurement is determined on the basis of series of observations obtained under **repeatability conditions** (B2.15, Note <u>1</u>).

3.1.5 Variations in repeated observations are assumed to arise because **influence quantities** (B.2.10) that can affect the measurement result are not held completely constant.

3.1.6 The mathematical model of the measurement that transforms the set of repeated observations into the measurement result is of critical importance because, in addition to the observations, it generally includes various influence quantities that are inexactly known. This lack of knowledge contributes to the uncertainty of the measurement result, as do the variations of the repeated observations and any uncertainty associated with the mathematical model itself.

3.1.7 This *Guide* treats the measurand as a scalar (a single quantity). Extension to a set of related measurands determined simultaneously in the same measurement requires replacing the scalar measurand and its **variance** (C.2.11, C.2.20, C.3.2) by a vector measurand and **covariance matrix** (C.3.5). Such a replacement is considered in this *Guide* only in the examples (see H.2, H.3, and H.4).

* Footnote to the 2008 version:

According to Resolution 10 of the 22nd CGPM (2003) "... the symbol for the decimal marker shall be either the point on the line or the comma on the line...". The JCGM has decided to adopt, in its documents in English, the point on the line. However, in this document, the decimal comma has been retained for consistency with the 1995 printed version.

3.2 Errors, effects, and corrections

3.2.1 In general, a measurement has imperfections that give rise to an **error** ($\underline{B.2.19}$) in the measurement result. Traditionally, an error is viewed as having two components, namely, a **random** ($\underline{B.2.21}$) component and a **systematic** ($\underline{B.2.22}$) component.

NOTE Error is an idealized concept and errors cannot be known exactly.

3.2.2 Random error presumably arises from unpredictable or stochastic temporal and spatial variations of influence quantities. The effects of such variations, hereafter termed *random effects*, give rise to variations in repeated observations of the measurand. Although it is not possible to compensate for the random error of a measurement result, it can usually be reduced by increasing the number of observations; its **expectation** or **expected value** (C.2.9, C.3.1) is zero.

NOTE 1 The experimental standard deviation of the arithmetic mean or average of a series of observations (see <u>4.2.3</u>) is *not* the random error of the mean, although it is so designated in some publications. It is instead a measure of the *uncertainty* of the mean due to random effects. The exact value of the error in the mean arising from these effects cannot be known.

NOTE 2 In this *Guide*, great care is taken to distinguish between the terms "error" and "uncertainty". They are not synonyms, but represent completely different concepts; they should not be confused with one another or misused.

3.2.3 Systematic error, like random error, cannot be eliminated but it too can often be reduced. If a systematic error arises from a recognized effect of an influence quantity on a measurement result, hereafter termed a *systematic effect*, the effect can be quantified and, if it is significant in size relative to the required accuracy of the measurement, a **correction** (B.2.23) or **correction factor** (B.2.24) can be applied to compensate for the effect. It is assumed that, after correction the expectation or expected value of the error arising from a systematic effect is zero.

NOTE The uncertainty of a correction applied to a measurement result to compensate for a systematic effect is *not* the systematic error, often termed bias, in the measurement result due to the effect as it is sometimes called. It is instead a measure of the *uncertainty* of the result due to incomplete knowledge of the required value of the correction. The error arising from imperfect compensation of a systematic effect cannot be exactly known. The terms "error" and "uncertainty" should be used properly and care taken to distinguish between them.

3.2.4 It is assumed that the result of a measurement has been corrected for all recognized significant systematic effects and that every effort has been made to identify such effects.

EXAMPLE A correction due to the finite impedance of a voltmeter used to determine the potential difference (the measurand) across a high-impedance resistor is applied to reduce the systematic effect on the result of the measurement arising from the loading effect of the voltmeter. However, the values of the impedances of the voltmeter and resistor, which are used to estimate the value of the correction and which are obtained from other measurements, are themselves uncertain. These uncertainties are used to evaluate the component of the uncertainty of the potential difference determination arising from the correction and thus from the systematic effect due to the finite impedance of the voltmeter.

NOTE 1 Often, measuring instruments and systems are adjusted or calibrated using measurement standards and reference materials to eliminate systematic effects; however, the uncertainties associated with these standards and materials must still be taken into account.

NOTE 2 The case where a correction for a known significant systematic effect is not applied is discussed in the <u>Note</u> to 6.3.1 and in <u>F.2.4.5</u>.

3.3 Uncertainty

3.3.1 The uncertainty of the result of a measurement reflects the lack of exact knowledge of the value of the measurand (see <u>2.2</u>). The result of a measurement after correction for recognized systematic effects is still only an *estimate* of the value of the measurand because of the uncertainty arising from random effects and from imperfect correction of the result for systematic effects.