

ETSI TS 137 144 V13.0.0 (2016-08)



**Universal Mobile Telecommunications System (UMTS);
LTE;
User Equipment (UE) and Mobile Station (MS) GSM, UTRA
and E-UTRA over the air performance requirements
(3GPP TS 37.144 version 13.0.0 Release 13)**

https://standards.etsi.org/1st_edition/1st_edition_TS_137_144_v13.0.0/b27b-419b-b2cc-662a-2052-16e1-000000000000



A GLOBAL INITIATIVE



Reference

DTS/TSGR-0437144vd00

Keywords

LTE,UMTS

ETSI

650 Route des Lucioles
F-06921 Sophia Antipolis Cedex - FRANCE

Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

Siret N° 348 623 562 00017 - NAF 742 C
Association à but non lucratif enregistrée à la
Sous-Préfecture de Grasse (06) N° 7803/88

Important notice

The present document can be downloaded from:

<http://www.etsi.org/standards-search>

The present document may be made available in electronic versions and/or in print. The content of any electronic and/or print versions of the present document shall not be modified without the prior written authorization of ETSI. In case of any existing or perceived difference in contents between such versions and/or in print, the only prevailing document is the print of the Portable Document Format (PDF) version kept on a specific network drive within ETSI Secretariat.

Users of the present document should be aware that the document may be subject to revision or change of status.

Information on the current status of this and other ETSI documents is available at

<https://portal.etsi.org/TB/ETSIDeliverableStatus.aspx>

If you find errors in the present document, please send your comment to one of the following services:

<https://portal.etsi.org/People/CommitteeSupportStaff.aspx>

Copyright Notification

No part may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying and microfilm except as authorized by written permission of ETSI.

The content of the PDF version shall not be modified without the written authorization of ETSI.

The copyright and the foregoing restriction extend to reproduction in all media.

© European Telecommunications Standards Institute 2016.
All rights reserved.

DECT™, PLUGTESTS™, UMTS™ and the ETSI logo are Trade Marks of ETSI registered for the benefit of its Members.
3GPP™ and **LTE™** are Trade Marks of ETSI registered for the benefit of its Members and
of the 3GPP Organizational Partners.

GSM® and the GSM logo are Trade Marks registered and owned by the GSM Association.

Intellectual Property Rights

IPRs essential or potentially essential to the present document may have been declared to ETSI. The information pertaining to these essential IPRs, if any, is publicly available for **ETSI members and non-members**, and can be found in ETSI SR 000 314: *"Intellectual Property Rights (IPRs); Essential, or potentially Essential, IPRs notified to ETSI in respect of ETSI standards"*, which is available from the ETSI Secretariat. Latest updates are available on the ETSI Web server (<https://ipr.etsi.org/>).

Pursuant to the ETSI IPR Policy, no investigation, including IPR searches, has been carried out by ETSI. No guarantee can be given as to the existence of other IPRs not referenced in ETSI SR 000 314 (or the updates on the ETSI Web server) which are, or may be, or may become, essential to the present document.

Foreword

This Technical Specification (TS) has been produced by ETSI 3rd Generation Partnership Project (3GPP).

The present document may refer to technical specifications or reports using their 3GPP identities, UMTS identities or GSM identities. These should be interpreted as being references to the corresponding ETSI deliverables.

The cross reference between GSM, UMTS, 3GPP and ETSI identities can be found under
<http://webapp.etsi.org/key/queryform.asp>

Modal verbs terminology

In the present document "shall", "shall not", "should", "should not", "may", "need not", "will", "will not", "can" and "cannot" are to be interpreted as described in clause 3.2 of the [ETSI Drafting Rules](#) (Verbal forms for the expression of provisions).

"must" and "must not" are NOT allowed in ETSI deliverables except when used in direct citation.

*Intellectual PROPERTY RIGHTS
Full Standard:
https://standards.etsi.org/standards/sist/db3a27d-
b27b-419b-b2cc-0e20516e20516
2016-08-06/etsi-ts-137-144-v13.0.0*

Contents

Intellectual Property Rights	2
Foreword.....	2
Modal verbs terminology.....	2
Foreword.....	6
1 Scope	7
2 References	7
3 Definitions, symbols and abbreviations	8
3.1 Definitions.....	8
3.2 Symbols.....	8
3.3 Abbreviations	8
4 General	8
4.1 Minimum requirements for roaming bands	8
4.2 Relationship between minimum requirements for roaming bands and test requirements	8
4.3 Terminal classes	9
4.3.1 Mechanical modes	9
4.4 UTRA chip rates.....	9
5 Frequency bands.....	9
5.1 GSM frequency bands	9
5.2 UTRA FDD frequency bands.....	9
5.3 UTRA TDD frequency bands.....	10
5.4 E-UTRA FDD frequency bands	10
5.5 E-UTRA TDD frequency bands	10
6 Transmitter total radiated power	11
6.1 Minimum requirement for roaming bands for handheld UE	11
6.1.1 Beside the head phantom position	11
6.1.1.1 GSM.....	12
6.1.1.2 UTRA FDD	12
6.1.1.3 UTRA LCR TDD.....	13
6.1.2 Beside the head and hand phantom position	13
6.1.2.1 UTRA FDD.....	13
6.1.2.2 UTRA LCR TDD.....	14
6.1.2.3 E-UTRA FDD	14
6.1.2.4 E-UTRA TDD	14
6.1.3 Hand phantom browsing mode position	14
6.1.3.1 UTRA FDD	14
6.1.3.2 UTRA LCR TDD	15
6.1.3.3 E-UTRA FDD	15
6.1.3.4 E-UTRA TDD	15
6.2 Minimum requirement for roaming bands for LME.....	15
6.2.1 GSM.....	15
6.2.2 UTRA FDD	16
6.2.3 UTRA LCR TDD	16
6.2.4 E-UTRA FDD	17
6.2.5 E-UTRA TDD	17
6.3 Minimum requirement for roaming bands for LEE.....	17
6.3.1 GSM.....	17
6.3.2 UTRA FDD	17
6.3.3 UTRA LCR TDD	18
6.3.4 E-UTRA FDD	19
6.3.5 E-UTRA TDD	19
7 Receiver total radiated sensitivity	19

7.1	Minimum requirement for roaming bands for handheld UE	19
7.1.1	Beside the head phantom position	20
7.1.1.1	GSM.....	20
7.1.1.2	UTRA FDD.....	20
7.1.1.3	UTRA LCR TDD.....	21
7.1.2	Beside the head and hand phantoms position	21
7.1.2.1	UTRA FDD.....	21
7.1.2.2	UTRA LCR TDD.....	22
7.1.2.3	E-UTRA FDD	22
7.1.2.4	E-UTRA TDD	22
7.1.3	Hand phantom browsing mode position	22
7.1.3.1	UTRA FDD.....	22
7.1.3.2	UTRA LCR TDD.....	23
7.1.3.3	E-UTRA FDD	23
7.1.3.4	E-UTRA TDD	23
7.2	Minimum requirement for roaming bands for LME.....	23
7.2.1	GSM.....	24
7.2.2	UTRA FDD	24
7.2.3	UTRA LCR TDD	24
7.2.4	E-UTRA FDD.....	25
7.2.5	E-UTRA TDD	25
7.3	Minimum requirement for roaming bands for LEE.....	25
7.3.1	GSM.....	25
7.3.2	UTRA FDD	26
7.3.3	UTRA LCR TDD	27
7.3.4	E-UTRA FDD.....	27
7.3.5	E-UTRA TDD	27
8	Receiver total radiated multi-antenna sensitivity	27
8.1	Minimum requirement for roaming bands for handheld UE.....	27
8.1.1	Free Space.....	27
8.1.1.1	E-UTRA FDD	28
8.1.1.2	E-UTRA TDD	29
Annex A (normative):	Environmental conditions	30
A.1	General	30
A.2	Environmental requirements	30
A.2.2	Temperature	30
A.2.3	Voltage	30
Annex B (informative):	Recommended performance	31
B.1	General	31
B.2	Transmitter total radiated power	31
B.2.1	Recommended performance for handheld UE	31
B.2.1.1	Beside the head phantom position	31
B.2.1.1.1	GSM.....	31
B.2.1.1.2	UTRA FDD.....	32
B.2.1.1.3	UTRA LCR TDD.....	32
B.2.1.2	Beside the head and hand phantoms position	33
B.2.1.2.1	UTRA FDD.....	33
B.2.1.2.2	UTRA LCR TDD.....	33
B.2.1.2.3	E-UTRA FDD	34
B.2.1.2.4	E-UTRA TDD	34
B.2.1.3	Hand phantom browsing mode position	34
B.2.1.3.1	UTRA FDD.....	34
B.2.1.3.2	UTRA LCR TDD.....	34
B.2.1.3.3	E-UTRA FDD	35
B.2.1.3.4	E-UTRA TDD	35
B.2.2	Recommended performance for LME.....	35
B.2.2.1	GSM.....	35

B.2.2.2	UTRA FDD	35
B.2.2.3	UTRA LCR TDD	35
B.2.2.4	E-UTRA FDD.....	36
B.2.2.5	E-UTRA TDD	36
B.2.3	Recommended performance for LEE	36
B.2.3.1	GSM.....	36
B.2.3.2	UTRA FDD	36
B.2.3.3	UTRA LCR TDD	37
B.2.3.4	E-UTRA FDD.....	38
B.2.3.5	E-UTRA TDD	38
B.3	Receiver total radiated sensitivity	38
B.3.1	Recommended performance for handheld UE	38
B.3.1.1	Beside the head phantom position	38
B.3.1.1.1	GSM	38
B.3.1.1.2	UTRA FDD.....	38
B.3.1.1.3	UTRA LCR TDD.....	39
B.3.1.2	Beside the head and hand phantoms position	39
B.3.1.2.1	UTRA FDD.....	39
B.3.1.2.2	UTRA LCR TDD.....	39
B.3.1.2.3	E-UTRA FDD	40
B.3.1.2.4	E-UTRA TDD.....	40
B.3.1.3	Hand phantom browsing mode position	40
B.3.1.3.1	UTRA FDD.....	40
B.3.1.3.2	UTRA LCR TDD.....	40
B.3.1.3.3	E-UTRA FDD	41
B.3.1.3.4	E-UTRA TDD	41
B.3.2	Recommended performance for LME	41
B.3.2.1	GSM.....	41
B.3.2.2	UTRA FDD	41
B.3.2.3	UTRA LCR TDD	41
B.3.2.4	E-UTRA FDD.....	42
B.3.2.5	E-UTRA TDD	42
B.3.3	Recommended performance for LEE	42
B.3.3.1	GSM.....	42
B.3.3.2	UTRA FDD	42
B.3.3.3	UTRA LCR TDD	43
B.3.3.4	E-UTRA FDD	43
B.3.3.5	E-UTRA TDD	43
Annex C (informative):	Change history	44
History		45

Foreword

This Technical Specification has been produced by the 3rd Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

where:

- x the first digit:
 - 1 presented to TSG for information;
 - 2 presented to TSG for approval;
 - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

iteh STANDARD PREVIEW
(standards.iteh.ai)
Full standard:
<https://standards.iteh.ai/catalog/standards/sist/dm2577d-b27b-419b-b2cc-66c6e2051dc2/etsi-ts-137-144-v13.0.0>

1 Scope

The present document establishes over the air antenna minimum requirements for user equipment (UE) and mobile station (MS).

Handheld UE requirements are defined for roaming bands for the speech position (beside the head and beside the head and hand) and hand phantom browsing mode position. Laptop mounted equipment requirements are defined for roaming bands for the data transfer position (laptop ground plane phantom). Laptop embedded equipment requirements are defined for roaming bands for the data transfer position (free space).

All bands are potential roaming bands, and the requirements for roaming bands shall therefore be fulfilled for all bands supported by a UE/MS.

Requirements for operating bands are dependent on how the network has been built and are thus operator specific and cannot be specified here. Recommended performance values for operating bands (Annex B) are however included in this specification for information. It should be recognised that the ability to meet the recommended performance values depends on the number of frequency bands supported by the UE/MS.

2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

- [1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
- [2] 3GPP TS 25.101: "User Equipment (UE) radio transmission and reception (FDD)".
- [3] 3GPP TS 45.005: "Radio transmission and reception".
- [4] 3GPP TS 34.114: "User Equipment (UE) / Mobile Station (MS) Over The Air (OTA) antenna performance; Conformance testing".
- [5] ETSI ETR 273: "Electromagnetic compatibility and Radio spectrum Matters (ERM); Improvement of radiated methods of measurement (using test sites) and evaluation of the corresponding measurement uncertainties; Part 1: Uncertainties in the measurement of mobile radio equipment characteristics; Sub-part 2: Examples and annexes".
- [6] 3GPP TR 25.914: 'Measurements of radio performances for UMTS terminals in speech mode'
- [7] 3GPP TR 37.977: 'Verification of radiated multi-antenna reception performance of User Equipment (UE)'

3 Definitions, symbols and abbreviations

3.1 Definitions

For the purposes of the present document, the terms and definitions given in TR 21.905 [1], TR 37.977 [7] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905 [1] or TR 37.977 [7].

primary mechanical mode: the mode that is most often used during a call beside the head. Other mechanical modes are secondary. Every terminal has at least one primary mechanical mode.

speech position: UE used close to head phantom (specific anthropomorphic mannequin).

data transfer position: UE used away from the user's head, applicable for LME and LEE devices.

FS: UE used in a free space configuration.

LME: Laptop mounted equipment (such as plug-in devices like USB dongles).

LEE: Laptop embedded equipment (such as embedded module card embedded in notebooks).

3.2 Symbols

$TRP_{average}$	the average measured total radiated power of low, mid and high channel
TRP_{min}	the lowest measured total radiated power of each channel within an operating band
$TRS_{average}$	the average measured total radiated sensitivity of low, mid and high channel
TRS_{max}	the highest measured total radiated sensitivity of each channel within an operating band

3.3 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1], TR 37.977 [7] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1] or TR 37.977 [7].

DUT	Device Under Test
OTA	Over The Air
TRMS	Total Radiated Multi-antenna Sensitivity
TRP	Total Radiated Power
TRS	Total Radiated Sensitivity

4 General

4.1 Minimum requirements for roaming bands

The minimum requirements for roaming bands apply only to the primary mechanical mode in the environmental conditions specified in Annex A. All bands are potential roaming bands, and a UE/MS shall fulfil the minimum requirements for roaming bands for all bands supported by the UE/MS.

4.2 Relationship between minimum requirements for roaming bands and test requirements

The minimum requirements for roaming bands given in this specification make no allowance for measurement uncertainty. The test specification 34.114 [4] Annex F defines test tolerances. These test tolerances are individually calculated for each test. The test tolerances are used to relax the minimum requirements in this specification to create test requirements.

The measurement results returned by the test system are compared - without any modification - against the test requirements as defined by the shared risk principle.

The shared risk principle is defined in ETR 273 [5] Part 1 sub-part 2 section 6.5.

4.3 Terminal classes

4.3.1 Mechanical modes

The mechanical modes of a device under test (DUT) are declared by the manufacturer. A DUT shall have at least one mechanical mode. If only one mode is supported, then this is defined as the primary.

4.4 UTRA chip rates

The requirements defined in this specification for UTRA are based on a chip rate of 3.84 Mcps (FDD) and 1.28 Mcps (TDD).

NOTE: Other chip rates may be considered in future releases.

5 Frequency bands

5.1 GSM frequency bands

The requirements defined in this specification for GSM apply to the frequency bands defined in Table 5.1-1.

Table 5.1-1: GSM frequency bands

Operating band	UL frequencies MS transmit, BTS receive	DL frequencies MS receive, BTS transmit
GSM 850	824 - 849 MHz	869 - 894 MHz
P-GSM 900	890 - 915 MHz	935 - 960 MHz
E-GSM 900	880 - 915 MHz	925 - 960 MHz
DCS 1800	1710 - 1785 MHz	1805 - 1880 MHz
PCS 1900	1850 - 1910 MHz	1930 - 1990 MHz

5.2 UTRA FDD frequency bands

The requirements defined in this specification for UTRA FDD apply to the frequency bands defined in Table 5.2-1.

Table 5.2-1: UTRA FDD frequency bands

Operating Band	UL frequencies UE transmit, Node B receive	DL frequencies UE receive, Node B transmit
I	1920 - 1980 MHz	2110 - 2170 MHz
II	1850 - 1910 MHz	1930 - 1990 MHz
III	1710 - 1785 MHz	1805 - 1880 MHz
IV	1710 - 1755 MHz	2110 - 2155 MHz
V	824 - 849 MHz	869 - 894 MHz
VI	830 - 840 MHz	875 - 885 MHz
VII	2500 - 2570 MHz	2620 - 2690 MHz
VIII	880 - 915 MHz	925 - 960 MHz
IX	1749,9 - 1784,9 MHz	1844,9 - 1879,9 MHz
XIX	830 - 845MHz	875 - 890 MHz

Deployment in other frequency bands is not precluded

5.3 UTRA TDD frequency bands

The requirements defined in this specification for UTRA TDD apply to the frequency bands defined in Table 5.3-1.

Table 5.3-1: UTRA LCR TDD frequency bands

Operating Band	Frequencies
a	1900 - 1920 MHz 2010 - 2025 MHz
b*	1850 - 1910 MHz 1930 - 1990 MHz
c*	1910 - 1930 MHz
d**	2570 - 2620 MHz
e	2300 - 2400 MHz
f	1880 - 1920 MHz

NOTE: Deployment in other frequency bands is not precluded.

* Used in ITU Region 2

** Used in ITU Region 1

5.4 E-UTRA FDD frequency bands

The requirements defined in this specification for E-UTRA FDD receiver total radiated multi-antenna sensitivity apply to the frequency bands defined in Table 5.4-1.

Table 5.4-1 E-UTRA FDD operating bands for receiver total radiated multi-antenna sensitivity requirements

E-UTRA Operating Band	Uplink (UL) operating band BS receive UE transmit	Downlink (DL) operating band BS transmit UE receive	Duplex Mode
	F_{UL_low} – F_{UL_high}	F_{DL_low} – F_{DL_high}	
1	1920 MHz – 1980 MHz	2110 MHz – 2170 MHz	FDD
2	1850 MHz – 1910 MHz	1930 MHz – 1990 MHz	FDD
3	1710 MHz – 1785 MHz	1805 MHz – 1880 MHz	FDD
4	1710 MHz – 1755 MHz	2110 MHz – 2155 MHz	FDD
5	824 MHz – 849 MHz	869 MHz – 894MHz	FDD
7	2500 MHz – 2570 MHz	2620 MHz – 2690 MHz	FDD
8	880 MHz – 915 MHz	925 MHz – 960 MHz	FDD
12	699 MHz – 716 MHz	729 MHz – 746 MHz	FDD
13	777 MHz – 787 MHz	746 MHz – 756 MHz	FDD
19	830 MHz – 845 MHz	875 MHz – 890 MHz	FDD
20	832 MHz – 862 MHz	791 MHz – 821 MHz	FDD
28	703 MHz – 748 MHz	758 MHz – 803 MHz	FDD
32	N/A	1452 MHz – 1496 MHz	FDD ¹

NOTE 1: Restricted to E-UTRA operation when carrier aggregation is configured. The downlink operating band is paired with the uplink operating band (external) of the carrier aggregation configuration that is supporting the configured Pcell.

Deployment in other frequency bands is not precluded.

5.5 E-UTRA TDD frequency bands

The requirements defined in this specification for E-UTRA TDD receiver total radiated multi-antenna sensitivity apply to the frequency bands defined in Table 5.5-1.

Table 5.5-1 E-UTRA TDD operating bands for receiver total radiated multi-antenna sensitivity requirements

E-UTRA Operating Band	Uplink (UL) operating band BS receive UE transmit		Downlink (DL) operating band BS transmit UE receive		Duplex Mode
	F _{UL_low} – F _{UL_high}	F _{DL_low} – F _{DL_high}	F _{DL_low} – F _{DL_high}	F _{UL_low} – F _{UL_high}	
38	2570 MHz – 2620 MHz	2570 MHz – 2620 MHz	2570 MHz – 2620 MHz	2570 MHz – 2620 MHz	TDD
39	1880 MHz – 1920 MHz	1880 MHz – 1920 MHz	1880 MHz – 1920 MHz	1880 MHz – 1920 MHz	TDD
40	2300 MHz – 2400 MHz	2300 MHz – 2400 MHz	2300 MHz – 2400 MHz	2300 MHz – 2400 MHz	TDD
41	2496 MHz – 2690 MHz	2496 MHz – 2690 MHz	2496 MHz – 2690 MHz	2496 MHz – 2690 MHz	TDD
42	3400 MHz – 3600 MHz	3400 MHz – 3600 MHz	3400 MHz – 3600 MHz	3400 MHz – 3600 MHz	TDD
46	5150 MHz – 5925 MHz	5150 MHz – 5925 MHz	5150 MHz – 5925 MHz	5150 MHz – 5925 MHz	TDD ^{1,2}

NOTE 1: This band is an unlicensed band restricted to licensed-assisted operation using Frame Structure Type 3

NOTE 2: In this version of the specification, restricted to E-UTRA DL operation when carrier aggregation is configured.

Deployment in other frequency bands is not precluded.

6 Transmitter total radiated power

6.1 Minimum requirement for roaming bands for handheld UE

The average measured total radiated power (TRP) of low, mid and high channel for handheld UE shall be higher than the average TRP requirement specified in subclauses 6.1.1, 6.1.2 and 6.1.3. The averaging shall be done in linear scale for the TRP results of both right and left side of the phantom head in case of beside the head phantom and beside the head and hand phantom positions. For the hand phantom browsing mode position the averaging shall be done in linear scale for the TRP results of both right and left hand phantom measurements. Average TRP requirement is shown in the column 'Average' on the requirement tables.

$$TRP_{average} = 10 \log \left[\frac{10^{P_{left_low}/10} + 10^{P_{left_mid}/10} + 10^{P_{left_high}/10} + 10^{P_{right_low}/10} + 10^{P_{right_mid}/10} + 10^{P_{right_high}/10}}{6} \right]$$

In addition the lowest TRP of each measured channel shall be higher than minimum TRP requirement specified in subclauses 6.1.1, 6.1.2 and 6.1.3. Minimum TRP requirement is shown in the column 'Min' on the requirement tables.

$$TRP_{min} = 10 \log \left[\min \left(10^{P_{left_low}/10}, 10^{P_{left_mid}/10}, 10^{P_{left_high}/10}, 10^{P_{right_low}/10}, 10^{P_{right_mid}/10}, 10^{P_{right_high}/10} \right) \right]$$

6.1.1 Beside the head phantom position

Beside the head phantom test method is defined in TR 25.914 [6] subclauses 5.1.1 and 5.1.2.