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## Foreword

This Group Specification (GS) has been produced by ETSI Industry Specification Group (ISG) Network Functions Virtualisation (NFV).

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## Modal verbs terminology

In the present document "**shall**", "**shall not**", "**should**", "**should not**", "**may**", "**need not**", "**will**", "**will not**", "**can**" and "**cannot**" are to be interpreted as described in clause 3.2 of the [ETSI Drafting Rules](#) (Verbal forms for the expression of provisions).

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## Introduction

Although many metrics for the performance and utilization of the Network Function Virtualisation Infrastructure (NFVI) components have been in wide use for many years, there were no independent specifications to support consistent metric development and interpretation. The present document provides the needed specifications for key NFVI metrics.

# 1 Scope

The present document specifies detailed and vendor-agnostic key operational performance metrics at different layers of the NFVI, especially processor usage and network interface usage metrics. These metrics are expected to serve as references for processed and time-aggregated measurement values for performance management information that traverses the Or-Vi and/or Vi-Vnfm reference points of the NFV architectural framework. The present document contains normative provisions.

# 2 References

## 2.1 Normative references

References are either specific (identified by date of publication and/or edition number or version number) or non-specific. For specific references, only the cited version applies. For non-specific references, the latest version of the referenced document (including any amendments) applies.

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NOTE: While any hyperlinks included in this clause were valid at the time of publication, ETSI cannot guarantee their long term validity.

The following referenced documents are not necessary for the application of the present document but they assist the user with regard to a particular subject area.

[i.1] ETSI GS NFV-INF 003 (V.1.1.1) (12-2014): "Network Functions Virtualisation (NFV); Infrastructure; Compute Domain".

[i.2] Linux/UNIX system programming training, Linux man-pages: "TOP(1)".

NOTE: Available at [http://man7.org/linux/man-pages/man1/top.1.html#2.\\_SUMMARY%C2%A0Display](http://man7.org/linux/man-pages/man1/top.1.html#2._SUMMARY%C2%A0Display).

[i.3] O'Reilly Linux Dev Center: "Exploring the /proc/net/ Directory".

NOTE: Available at <http://www.onlamp.com/pub/a/linux/2000/11/16/LinuxAdmin.html>.

[i.4] RHEL™ 6.8 Deployment Guide: "E.2.18. /proc/meminfo".

NOTE: Available at [https://access.redhat.com/documentation/en-US/Red\\_Hat\\_Enterprise\\_Linux/6/html/Deployment\\_Guide/s2-proc-meminfo.html](https://access.redhat.com/documentation/en-US/Red_Hat_Enterprise_Linux/6/html/Deployment_Guide/s2-proc-meminfo.html).

[i.5] ETSI GS NFV 003: "Network Functions Virtualisation (NFV); Terminology for Main Concepts in NFV".

[i.6] IETF RFC 7348: "Virtual eXtensible Local Area Network (VXLAN): A Framework for Overlaying Virtualized Layer 2 Networks over Layer 3 Networks".

## 3 Definitions and abbreviations

### 3.1 Definitions

For the purposes of the present document, the terms and definitions given in ETSI GS NFV 003 [i.5] apply.

### 3.2 Abbreviations

For the purposes of the present document, the abbreviations given in ETSI GS NFV 003 [i.5] and the following apply:

CPU	Central Processing Unit
CRC	Cyclic Redundancy Check
HZ	Hertz of the system clock, an operating system parameter
KiB	Kibibytes
NFVI	Network Function Virtualisation Infrastructure
OS	Operating System
RAM	Random Access Memory
VIM	Virtual Infrastructure Manager
VXLAN	Virtual eXtensible Local Area Network (IETF RFC 7348 [i.6]).

## 4 Time and Time Intervals for Metrics

Coherent compute domains [i.1] usually need access to a clock with accurate time-of-day (or simply time) and sources of periodic interrupts. Time sources are accessed to provide timestamps for events and log entries that document the recent history of the compute environment. Periodic interrupts provide a trigger to increment counters and read current conditions in the compute and networking environments.

With the foundation of time, date, and periodic interrupts, a measurement system can determine the beginning and end of time intervals, which is a fundamental aspect of metrics that involve counting and collecting events.

Table 4-1 specifies requirements applicable to time, date, and periodic interrupts.

**Table 4-1: Requirements applicable to time, date and periodic interrupts**

General-Time-01	The compute domain shall be able to take readings from (or access) a clock with accurate time-of-day and calendar date.
General-Time-02	The compute domain shall have a source of periodic interrupts available which are derived from the time-of-day clock, with configurable period (a parameter of metrics that use this feature).

When the results from measurement systems are collected and reported by management systems, the management systems may provide an additional time and date reading associated with the operation to collect the results, using their own time source.

## 5 Framework for Metric Definitions

The metric definitions in the present document are primarily based on the fact that the resources of the NFVI have utilization and performance characteristics that can be assessed by measurement processes. The resources may be implemented in hardware, software (such as virtual resources), or a combination of both. The measurement processes are primarily implemented in software (such as in the kernel or user space), but may be assisted by features of the hardware.

The measured NFVI resources and the measurement processes shall be completely specified in the dimensions of model numbers, firmware versions, software versions, and any other aspects that influence the results (such as physical location of the components within a datacentre's racks and shelves). For example, the fixed frequency of the physical CPU clock in Hz, which governs the rate that the CPU executes instructions, is one important descriptor of the NFVI.

Clock Speed may depend on other CPU settings, such as energy-saving power control. For one list of NFVI platform descriptors, see clause 5.1 of ETSI GS NFV-INF 003 [i.1].

For each metric it specifies, the present document provides the following elements:

- Background
- Name
- Parameters (input factors)
- Scope of coverage
- Unit(s) of measure
- Definition
- Method of Measurement
- Sources of Error
- Discussion

NOTE: The present document specifies well-known metrics, and assumes that Virtual Infrastructure Managers (VIM) will control and expose the metrics as specified here, or will be enhanced to collect and convey the metrics with the required framework elements, which are Name, Parameters, Scope, Units of measure, and the source of the measurement (where the metric was measured, which may be synonymous with the Scope).

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## 6 Compute Metrics

### 6.1 Background

The Central Processing Unit (CPU) is an essential component of every coherent compute domain. Each CPU is a limited resource in terms of the instructions per second it can execute. It is valuable to monitor the utilization of the CPU resource to fulfil the goals of maintaining continued and efficient operations, and for troubleshooting abnormal behaviour to find root causes. For many uses, it is helpful to categorize the CPU's execution time into multiple execution contexts, such as system and user contexts. A compute node may include additional processors beyond the main CPU; the metrics specified in this clause can also be used to measure and report the usage of such processors.

VNFs also have a view of CPU resources in terms of execution time they have used during a measurement interval. However, the configured instantiation of the VNF determines how to map its view of virtual processor resource usage to actual hardware CPU resources available and used. For example, a VNF's processes may be pinned to one or more CPU cores, or the VNF may be sharing the resources of many CPU cores with other VNFs.

### 6.2 Name

There are two variants of this metric:

- Processor Usage
- Processor Utilization

The two variants allow reporting this metric as a percentage. The metric is a function of the scope, set of reported contexts, measurement interval and other factors.

## 6.3 Parameters

The following parameters shall be supported for this metric:

- **Tick interval:** the period of timed interrupts when the processor's execution context can be recorded. Note that this parameter is an integral part of the method of measurement. The tick interval is sometimes called a "jiffy". The tick interval is controlled by a system parameter, "HZ", whose default value shall be 100 for measurements complying with the present document.
- **Set of execution contexts:** the desired set of processor states with reported utilization. For example, the simplest set includes two states: active and idle. Time in the active context can be calculated as a sum of states with more specific definitions, such as the active states user and system. A commonly chosen set of four states is user, system, wait, and idle. See [i.2] for a list of seven states available in Linux OS.
- **End time:** the termination of the measurement interval (time and date).
- **Measurement interval:** the duration of the observation by the measurement system to assess the metric.

## 6.4 Scope

The list of one or more compute resources which shall be included in the values reported, and whether the resource is physical or virtual. Annex A gives examples of the scope usage for physical and virtual processor metrics.

## 6.5 Units of Measure

Processor usage results shall be reported as time in seconds, and utilization shall be reported as the ratio of total time in one or more execution contexts to the total time in the measurement interval, expressed as a percentage.

## 6.6 Definition

The compute resource usage at time T (for a given scope, context, and measurement interval) shall be assessed as indicated in table 6.6-1.

**Table 6.6-1: Requirements for Processor Metric variants**

Requirement number	Metric Variant Name	Requirement
Compute-01	Processor Usage	Reported values of this metric variant shall quantify the total time that one or more compute resources (according to the defined scope) execute instructions in the specified execution context during the measurement interval
Compute-02	Processor Utilization	Reported values of this metric variant shall quantify the ratio of time of Processor Usage to the time in the measurement interval, expressed as a percentage

## 6.7 Method of Measurement

The measurement process shall interrupt the processor periodically, determine the execution context which was present when the timed interrupt occurred, and allocate the entire time interval since the previous interrupt to the usage total of that execution context. The total times for each desired context shall be accumulated throughout the specified measurement interval. On the completion of a measurement interval, the measured times shall be summed and the usage and utilization shall be reported.

## 6.8 Sources of Error

The sources of error for this metric are listed below:

- 1) The method only reads the execution context when the timed interrupt occurs. Therefore, a context which is present only briefly between successive timed interrupts will not be observed and accumulate no measured usage.
- 2) The interval between successive time interrupts (between ticks, or the length of a jiffy) is a configurable system control setting in most operation systems. Use of a long interval with respect to processor context swapping will result in usage assessment with large quantization and to frequently miss contexts that have short duration.
- 3) The measurement process itself uses processor resources, and the resources consumed increase with the number of timed interrupts, or ticks per measurement interval. In Linux systems, the system parameter that controls the tick interval or duration of a jiffy is called HZ.
- 4) The accurate generation of timed interrupts on the intended interval boundaries is a function of many factors, including the activity of other interrupts and virtualisation of the process that generates the tick interval. There can be slightly less of slightly more than the configured number of ticks in each second, and this causes error in both the processor usage and utilization measurements.
- 5) Synchronization of the time-of-day clock with an external reference usually ensures sufficiently accurate timestamps and measurement intervals, but loss of synchronization for an extended period will cause time accuracy to suffer.

## 6.9 Discussion

The processor usage measurement for virtual processors is a topic of ongoing study and advanced development. Methods which produce more accurate usage measurements are expected in the future.

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# 7 Network Metrics

## 7.1 Background

Network Interfaces exist at many points on the communication paths through the NFVI. It is valuable to monitor the traffic load of arbitrary interfaces, both the physical interfaces with hardware resources and their virtual counterparts. Additional information can assist troubleshooting and resolution, so interfaces also indicate problems with attempted transmission or reception of packets.

## 7.2 Name

There are four fundamental metrics of traffic load and communication issues:

- 1) Packet count.
- 2) Octet count.
- 3) Dropped Packet count.
- 4) Errored Packet count.

These four metrics apply to a single direction of transmission. Therefore, transmit and receive versions of the four metrics make a total of eight for each interface.