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**Soil quality — Guidance on the selection  
and application of screening methods**

*Qualité du sol — Lignes directrices pour la sélection et l'application des  
méthodes de diagnostic rapide*

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## Foreword

ISO (the International Organization for Standardization) is a worldwide federation of national standards bodies (ISO member bodies). The work of preparing International Standards is normally carried out through ISO technical committees. Each member body interested in a subject for which a technical committee has been established has the right to be represented on that committee. International organizations, governmental and non-governmental, in liaison with ISO, also take part in the work. ISO collaborates closely with the International Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

International Standards are drafted in accordance with the rules given in the ISO/IEC Directives, Part 2.

The main task of technical committees is to prepare International Standards. Draft International Standards adopted by the technical committees are circulated to the member bodies for voting. Publication as an International Standard requires approval by at least 75 % of the member bodies casting a vote.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO shall not be held responsible for identifying any or all such patent rights.

ISO 12404 was prepared by Technical Committee ISO/TC 190, *Soil quality*, Subcommittee SC 3, *Chemical methods and soil characteristics*.

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## Introduction

Screening methods, which can be chemical, physical or biochemical in nature, can often be applied in a quick and simple manner. Performance of quick and simple tests can be used in the field (i.e. on-site) and, in some cases, are also applicable for laboratory use. They can indicate the presence or absence of an analyte, or provide a qualitative estimate of a concentration or value, or generate a quantitative result. They can also be used to produce a spatial distribution of concentrations or values within a site, which can be supported by subsequent reference (laboratory-base) analysis. When used in this way, the purpose is generally to obtain information on target parameters or groups of parameters and the location of unusual concentrations, possibly prior to undertaking a more detailed study or investigation. For these purposes, the bias and precision of these methods need not be to the same level as conventional laboratory reference methods, for example as demonstrated by International Standards, as the initial objective of their use is to obtain as much information as possible in a relatively short period of time on the presence or absence, or range of concentrations likely to be determined for a particular site. It may be more important to obtain a result quickly or with an improved spatial resolution as an indication of the magnitude and likely concentration, rather than precise and unbiased values.

Typically, for measurement techniques, a result may be obtained in one of three ways. Firstly, as a qualitative presence or absence result. Secondly, as a semi-quantitative result expressed within a relatively wide range of values, and thirdly, as a result with an accompanying uncertainty of measurement with a significantly smaller range of values that might be expected. (The third option is usually a result generated using a laboratory reference method, with the uncertainty of measurement of laboratory reference methods generally being smaller than that of screening methods.) Whichever result is generated depends on the nature and type of the screening method used, as well as the technology on which the screening method is based.

The use of screening methods usually increases the efficiency of a site investigation, while providing as much information as that obtained in situations where only laboratory reference methods are used. Whilst the use of these rapid measurement techniques at a particular site should not replace conventional analysis, their use greatly facilitates the investigation in a complementary role. Generally, many more samples can be analysed and results generated faster than determined by more conventional testing of laboratory reference methods. This enables areas, for example, those with very high levels of concentrations, or where very low concentrations exist, to be identified much more quickly and efficiently. If too few samples are taken and analysed by more costly laboratory reference methods, there is a risk that these areas might not be identified and could easily be missed. This process then allows more effort to be directed on those areas where high or unusual levels are likely to be present, for example, by employing conventional laboratory reference method analysis. This can save time, money and resources, especially when cost-effective screening methods are applied to a large number of samples and supportive conventional reference method analysis is also undertaken, where relevant.

The use of screening methods, particularly if carried out on-site, can offer an immediate decision-making opportunity which enables staff to direct their efforts more effectively to those areas where a more thorough investigation might need to be undertaken. The guidance in this International Standard describes the application of screening methods, and how they might be used for assessing soil quality. Notwithstanding some of the issues raised, screening methods can generate robust and reliable results which can be used with confidence.

**NOTE** Although soil screening methods are most commonly used to determine contaminants (pollutants) in soils, for example in site investigations, they can also be used to determine parameters in uncontaminated soils (e.g. agricultural soils.) The use of the word “contaminant” in this International Standard can equally apply to any relevant soil parameter.

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# Soil quality — Guidance on the selection and application of screening methods

## 1 Scope

This International Standard provides guidance on the selection and application of screening methods for assessing soil quality. Guidance is given to choose an appropriate screening method for a specific parameter and defines the conditions under which they can be used.

This International Standard does not recommend any particular screening method, but confirms the principles of their selection and application.

## 2 Normative references

The following referenced documents are indispensable for the application of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO 11074, *Soil quality — Vocabulary*

## 3 Terms and definitions

For the purposes of this document, the terms and definitions given in ISO 11074 and the following apply.

### 3.1

#### screening method

method which is used (often on-site) to quickly explore a given area or test a set of samples and obtain data on soil quality

### 3.2

#### laboratory reference method

laboratory-based method which is performed in accordance with National or International Standards and is not necessarily comparable with screening methods

NOTE A laboratory reference method may be recognized nationally or internationally and is performed within a qualified laboratory. Its results are not necessarily comparable with those of screening methods.

## 4 General

### 4.1 Introduction

This International Standard describes a framework for selection and application of screening methods.

It defines the whole process, from the selection of the screening method, the applicability and fit-for-purpose testing, the fulfilling of the acceptance criteria, the quality control of the applied method, to the documentation of measurement results.

The suitability of any particular screening method depends on the parameter or group of parameters requiring determination and on the technical nature of the method.

Screening methods can be classified in various categories as outlined below.

## 4.2 Typical areas for application of screening methods

Screening methods may complement a laboratory reference method, but may also be used alone where a definitive decision can be made using the screening method. Screening methods can be used to set priorities for a site investigation or facilitate the design of a sampling plan. Even allowing for the higher uncertainties of measurements generally associated with such methods, they may be used to quickly obtain an indication of, for example, the quality of soil or the concentration of parameters of interest present on a site, including those areas showing very high levels, and those areas showing low levels. Knowledge of these results can be used quickly and decisions taken directly after the measurements are made to identify specific site locations where further sampling and analysis may be required, or to prioritize those samples that may need to be analysed using laboratory reference methods.

Screening methods are often used to aid selection of those samples that are to be determined in a laboratory and those that are not. In addition, they can be used as an indicator that may suggest those locations where further investigation or follow-up action needs to be taken. Screening method analysis can be carried out in one of three ways:

- a) at or very close to the sampling location on-site in the field;
- b) at or very close to the sampling location inside a dedicated test room or an area equipped with basic services such as electricity and water, for example, where non-portable equipment needs to be used;
- c) in a conventional laboratory.

Screening methods carried out in a dedicated test room, or an area equipped with basic services, usually result in better quality than those carried out on-site. In addition, any unusual or unexpected result can quickly be repeated, if necessary.

Screening methods constitute a useful addition to standard procedures in the following areas.

### 4.2.1 Support of sampling/sample preparation processes

Screening methods may be used for the following:

- preselection of samples for analysis in the laboratory;
- selection of the most suitable analytical method (working range, specificity, robustness);
- provision of information relevant for sample preparation.

### 4.2.2 Monitoring of processes

Screening methods may be used:

- to monitor and control processes (e.g. remediation);
- to perform quality control on the operation of a soil remediation treatment plant.

### 4.2.3 Identification of homogeneity/heterogeneity of bulk material

Screening methods may be applied to measure “target compounds” in large amounts of soil and soil-like material to check the degree of homogeneity.

### 4.2.4 Survey of contaminated sites (hot-spot identification)

Screening methods are useful to identify contaminated areas in contamination-suspected sites. An example for contaminated sites is given in Annex A, Figure A.1.



#### 4.2.5 Monitoring of large areas

Screening methods may be used for determination of the distribution of key parameters, e.g. nutrients in agricultural land.

#### 4.2.6 Safety issue

Screening methods can be used to detect potentially hazardous compounds (e.g. gases, explosives) which may be harmful to the individuals taking and processing the samples.

### 5 Selection of a screening method

#### 5.1 General objectives

Before the screening of a site (see 4.2) can be conducted, a thorough planning phase is necessary.

First, all information available about the site has to be evaluated. This may be historical records or data available from previous investigations. Essential prerequisites for the suitable preparation of a screening investigation is information about the hydrogeologic situation, the kind of contaminants and parameters of interest and the concentrations or values likely to be expected, as well as any information about the locality, including the former use of the site.

Furthermore, the infrastructure of the site and the accessibility may need to be taken into consideration.

With this background information, data quality objectives have to be defined, that determine the applicability of the screening method. Only with these preliminary steps is the selection of screening methods possible.

Some examples of detailed questions are listed below. This list is not exhaustive and not all might be relevant for a specific site:

- parameters and analytes of interest;
- matrices of interest and condition and variability of matrix;
- data quality objectives;
- parameter values known, expected or already found on-site;
- general spatial extent of relevant parameters;
- ease of sampling;
- site facilities;
- site area;
- number of results per time unit;
- health and safety considerations.

#### 5.2 Selection criteria

The following criteria should be taken into consideration when selecting the appropriate analytical method. The decision-making process and the results have to be documented by the user.

##### 5.2.1 Sampling/sample pretreatment/preparation

Sampling/sample pretreatment/preparation:

- direct measurement [e.g. handheld (X-ray-fluorescence systems) allow direct measurement with limited sampling/sample pretreatment/preparation];

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- pretreatment/preparation (e.g. extraction, separation);
- particle size and homogeneity.

### 5.2.2 Parameter definition

Parameter definitions are as follows:

- total content (e.g. chromium, benzene);
- individual species [e.g. Cr<sup>3+</sup>, Cr<sup>6+</sup>, Fe<sup>2+</sup>, Fe<sup>3+</sup>, volatile organic carbon (VOC)];
- sum parameters [e.g. total organic carbon (TOC), adsorbable organically bound halogens (AOX)].

### 5.2.3 Method characteristics

Method characteristics are as follows:

- sensitivity, selectivity, accuracy value (e.g. limit value, target value);
- working range;
- limit of detection;
- matrix interferences;
- method limitations/interferences.

### 5.2.4 Boundary conditions

Boundary conditions are as follows:

- rapidity (in relation to aim of determination);
- mobility;
- costs;
- quality target of analysis;
- frequency of use (continuous, once only);
- competence of staff;
- legal requirements;
- availability and/or ease of acquisition of the necessary equipment;
- infrastructural conditions.

The criteria shall be weighted differently depending on the intended application.

## 5.3 Fit-for-purpose test

In a second step, after passing the selection steps in 5.2, the selected method has to pass a fit-for-purpose test as described in Clause 7.

## 5.4 Quality targets

A documented procedure for the application of a screening method and for all associated quality-control measurements shall be available to the person undertaking the test. Only after such tests have been carried out and which demonstrate the intended sensitivity and stability, should field measurements be undertaken.

Applying control charts to the results of such tests, over a long period of time, should demonstrate the performance of the screening method and indicate whether it is acceptable or not.

## 6 Application and applicability of a selected screening method

### 6.1 General

This clause deals firstly with the requirements of applying a screening method, secondly, with the quality assurance aspects during application, and finally with the applicability of a screening method.

After a screening method has been selected and validated, it has to be decided if the method is fit for the purpose and can be applied for a certain project.

**NOTE** Selection, validation and assessing the applicability might be separate processes. However, these processes might also be carried out in parallel, i.e. for some applications, it might be necessary that further validation be required and that the selection depends on the results of the validation tests for a certain application.

Where there is little or no useful information on the application of a particular screening method for a particular on-site investigation, it is necessary to demonstrate that the screening method is suitable for use on the site.

### 6.2 Screening method requirements

Before use, a decision needs to be made regarding why and for what purpose the results need to be generated. The following factors should be considered and taken into account. As far as the requirements are related to chemical screening methods, many of these factors also apply to laboratory reference methods.

- a) A clear and unambiguous definition of the parameter group of parameters or property being determined by the screening method needs to be available.
- b) A clear description of the response measured, and, if necessary, why and when this result can be used to give an estimate of a particular parameter concentration.
- c) The matrices or field situations which can be tested using the screening method shall be documented, and procedures on the handling and reporting of extraneous material found during the sampling process shall also be documented.
- d) The required limit of detection, if appropriate, and whether the screening method can achieve this requirement shall be defined. For contaminated areas where high levels of contaminants are detected, this may not be an issue.
- e) The critical level of interest for each parameter or group of parameters needs to be known before any analysis is undertaken, irrespective of whether this is a concentration value or a presence or absence requirement.
- f) Any required performance criteria prescribed for the parameter or group of parameters needs to be known; this will include an estimate of the result uncertainty.
- g) The major sources of potential interferences that affect the use of the screening method. Therefore, selectivity should be addressed during the validation process, see Clause 5.
- h) A clear concept should be worked out on how the screening data acquired are integrated into the overall assessment process.

### 6.3 Screening method applicability

#### 6.3.1 General

Usually, the performance of a screening method is established under typically ideal conditions. However, during routine operation, performance may be affected by the test conditions under which the method is used. This includes for example, the environmental site conditions, such as temperature, humidity, and other