# FINAL DRAFT

# INTERNATIONAL STANDARD

# ISO/IEC FDIS 15459-4

ISO/IEC JTC 1/SC 31

Secretariat: ANSI

Voting begins on: **2014-06-03** 

Voting terminates on: 2014-08-03

# Information technology — Automatic identification and data capture techniques — Unique identification —

Part 4: Individual products and product packages

Technologies de l'information — Identification automatique et techniques de capture de données — Identification unique — Partie 4: Articles individuels et paquetages d'article

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Reference number ISO/IEC FDIS 15459-4:2014(E)

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Published in Switzerland

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#### ISO/IEC FDIS 15459-4:2014(E)

### Foreword

ISO (the International Organization for Standardization) and IEC (the International Electrotechnical Commission) form the specialized system for worldwide standardization. National bodies that are members of ISO or IEC participate in the development of International Standards through technical committees established by the respective organization to deal with particular fields of technical activity. ISO and IEC technical committees collaborate in fields of mutual interest. Other international organizations, governmental and non-governmental, in liaison with ISO and IEC, also take part in the work. In the field of information technology, ISO and IEC have established a joint technical committee, ISO/IEC JTC 1.

The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular the different approval criteria needed for the different types of document should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see www.iso.org/directives).

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO and IEC shall not be held responsible for identifying any or all such patent rights. Details of any patent rights identified during the development of the document will be in the Introduction and/or on the ISO list of patent declarations received (see www.iso.org/patents).

Any trade name used in this document is information given for the convenience of users and does not constitute an endorsement.

For an explanation on the meaning of ISO specific terms and expressions related to conformity assessment, as well as information about ISO's adherence to the WTO principles in the Technical Barriers to Trade (TBT) see the following URL: Foreword - Supplementary information

The committee responsible for this document is ISO/IEC ITC #, Information technology, Subcommittee SC 31, Automatic identification and data capture techniques.

This third edition cancels and replaces the second edition (ISO/IEC 15459-4:2008), which has been

technically revised. ISO/IEC 15459 consists of the following parts (as a result of the reconstruction of previously published parts 1 to 8), under the general title Information technology —Automatic identification and data capture *techniques— Unique identification:* 11 P 19

- Part 1: Individual transport units
- Part 2: Registration procedures
- Part 3: Common rules
- Part 4: Individual products and product packages
- Part 5: Individual returnable transport items (RTIs)
- Part 6: Groupings

## Introduction

Unique identities can occur at many different levels, at item level, on the transport unit, on the returnable transport item, at grouping levels, and elsewhere. Such entities are often handled by several parties, both public and private, throughout their lifecycle. Each of these parties must be able to identify and trace such distinct entities so that reference can be made to associated information such as quality inspection data, the chemical substance contained, the batch or lot number of parts, components or raw materials, etc.

The associated information is typically held in some kind of database. The information can be accessed using EDI exchange or another appropriate access protocol, e.g. a directory access protocol.

There are considerable benefits if the identity of the entity is represented as a bar code or other AIDC (Automatic Identification and Data Capture) media and attached to or made a constituent part of that which is being uniquely identified so that

- it can be read electronically, thus minimizing errors;
- one identity can be used by all parties;
- each party can use the identity to look up its computer files to find the data associated with the entity.

All AIDC technologies have the potential to encode an identity. It is expected that application standards, using various automatic identification technologies, will be developed based upon the identity as a prime key. These application standards, which can include additional rules for which level of identification should be used, are often made available from the Issuing Agency.

The identity for products and product packages defined in this part of ISO/IEC 15459, and represented in AIDC media attached to the product or product package, meets the needs defined in ISO/IEC 15459-3, Common Rules.

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# Information technology — Automatic identification and data capture techniques — Unique identification —

# Part 4: Individual products and product packages

#### 1 Scope

This part of ISO/IEC 15459 specifies a unique string of characters for the identification of individual products and product packages. The character string is intended to be represented in a linear bar code symbol or two-dimensional symbol or other AIDC media attached to the entity to meet management needs. To address management needs different classes of identities are recognized in the various parts of ISO/IEC 15459, which allows different requirements to be met by the identities associated with each class.

The rules for the identification of an individual occurrence of a product or product package, understood to mean the layers zero and one defined in the international Standards ISO 17367 and ISO 17366, respectively, are defined and supported by examples

#### 2 Normative references

The following documents, in whole or in part, are normatively referenced in this document and are indispensable for its application. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO/IEC 646, Information technology — ISO 7-bit coded character set for information interchange

ISO 3779, Road vehicles — Vehicle identification number (VIN) — Content and structure

ISO/IEC 15459-2, Information technology — Automatic identification and data capture techniques — Unique identification — Part 2: Registration procedures

ISO/IEC 15459-3, Information technology — Automatic identification and data capture techniques — Unique identification — Part 3: Common rules

ISO/IEC 19762-1, Information technology — Automatic identification and data capture (AIDC) techniques — Harmonized vocabulary — Part 1: General terms relating to AIDC

ANS MH.10.8.2, Data Identifier and Application Identifier Standard

GS1 General Specifications

#### 3 Terms and definitions

For the purposes of this document, the terms and definitions given in ISO/IEC 19762-1 and ISO/IEC 15459-3 apply.

#### 4 Identities for individual products and product packages

Each individual product or product package (See <u>Annex A</u>) shall be unambiguously identified by a qualifier and a string as defined in <u>Clause 5</u> so that individual products or product packages of a given

type can be distinguished from other types. The permitted combinations of qualifier and string are determined by the Issuing Agency.

If there is only one individual product in a product package, or one individual product is seen as dominant in the product package, the product package may use the identity as the included sole or dominant individual product (see <u>Clause 4.1</u>). In other cases a product package shall have its own identity, making it possible to differentiate the product package from the included individual products.

Notwithstanding the above, where regulatory requirements or industry practice dictate it may be necessary to assign an identity to the only or all the individual products in a package.

#### 4.1 Qualifiers for individual products and product packages

The qualifier component of an identity for a product or product package may use any data format supported by ISO/IEC 15434 or ISO/IEC 9834-1. What formats may be used are to be specified by the Issuing Agency rules. For the purpose of this standard examples are not meant to be exhaustive, but rather representative of the full suite of data qualifiers possible to use and relevant to this standard;

#### 4.1.1 Identity using a serial component

— GS1 Application Identifiers 8004

If this method is used to create the identity each unique identity issuer would select the appropriate GS1 Application Identifier, conforming to the requirements of the GS1 General Specification, to act as the qualifier of the identity.

— ASC MH 10 Data Identifiers 25S or 3I

If this method is used to create the identity each unique identity issuer would select the appropriate ASC MH10 Data Identifier as required by the appropriate Issuing Agency to act as the qualifier of the identity.

NOTE The structure of an identity following "31" is defined in ISO 3779, *Road vehicles — Vehicle identification number (VIN) — Content and structure.* "31" is mentioned here to avoid multiple individual unique identities being marked on or attached to a product.

- When employing an ISO/IEC compliant high capacity AIDC data carrier an additional option is the object identifiers:
  - 1 0 15459 4 7: for a product package identifier for item management defined by the IAC. This is independent of, and unlike the structures below, does not support mapping to GS1 Application Identifiers and ASC MH 10 Data Identifiers.
  - 1 0 15459 4: for a product identifier for item management defined by the IAC. This is independent of, and unlike the structures below, does not support mapping to GS1 Application Identifiers and ASC MH 10 Data Identifiers.
  - 1 0 15459 4 2: for a product identifier for item management equivalent to GS1 Application Identifier **8004**
  - 1 0 15459 4 4: for a product identifier for item management equivalent to ASC MH10 Data Identifier **25S** or **3I**

#### 4.1.2 Identity using a separate serial component

— GS1 Application Identifiers combination 01 'plus' 21

If this method is used to create the identity each unique identity issuer would select the appropriate GS1 Application Identifier, conforming to the requirements of the GS1 General Specification, to act as the qualifier of the identity.

ASC MH 10 Data Identifiers combination 25P 'plus' S

If this method is used to create the identity each unique identity issuer would select the appropriate ASC MH10 Data Identifier as required by the appropriate Issuing Agency to act as the qualifier of the identity.

- When employing an ISO/IEC compliant AIDC data carrier an additional option is the object identifiers:
  - 1 0 15459 4 3:for a product identifier for item management equivalent to a Serialised GTIN<br/>(GS1 Application Identifiers 01 'plus' 21)

1 0 15459 4 6: for a product identifier for item management equivalent to a serialised product (ASC MH 10 Data Identifiers 25P'plus' S)

#### 5 Identity for individual products and product packages

#### 5.1 General

An identity is assigned to an individual product or product package by an identity issuer. This shall be done in accordance with the rules established by an authorized Issuing Agency as identified in ISO/IEC 15459-3 and ISO/IEC 15459-2.

#### 5.2 Maximum number of characters permissible in an identity

The identity for individual products and product packages shall not contain more than 50 characters.

For efficient use within various AIDC data carrier systems, it is recommended that the number of characters to be coded by one line linear bar code should not exceed 20 characters, and number of characters should be kept as short as possible regardless of the permissible maximum of 50 characters.

#### 5.3 Permissible character sets in an identity

The identity shall only contain upper-case alphabetic characters and numeric digits of the invariant character set of ISO/IEC 646, see Annex A in ISO/IEC 15459-3.

NOTE An Issuing Agency is permitted to make additional restrictions on the repertoire for identities using its IAC.

Any data processing system shall be capable of processing identities using the full repertoire of characters permitted for identities for products and product packages.

#### 6 Implementation of coding using AIDC media

All AIDC technologies have the potential to encode an identity. It is expected that application standards for entities, using various automatic identification technologies, will be developed based upon the ISO/IEC 15459 identity as a prime key. These application standards may be made available from the Issuing Agency.