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ISO 17366

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Supply chain applications of RFID — Product packaging

Applications de chaîne d'approvisionnements de RFID — Empaquetage de produit

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Foreword

ISO (the International Organization for Standardization) is a worldwide federation of national standards bodies (ISO member bodies). The work of preparing International Standards is normally carried out through ISO technical committees. Each member body interested in a subject for which a technical committee has been established has the right to be represented on that committee. International organizations, governmental and non-governmental, in liaison with ISO, also take part in the work. ISO collaborates closely with the International Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

International Standards are drafted in accordance with the rules given in the ISO/IEC Directives, Part 2.

The main task of technical committees is to prepare International Standards. Draft International Standards adopted by the technical committees are circulated to the member bodies for voting. Publication as an International Standard requires approval by at least 75 % of the member bodies casting a vote.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO shall not be held responsible for identifying any or all such patent rights.

ISO 17366 was prepared by Technical Committee ISO/TC 122, *Packaging*.

This second edition cancels and replaces the first edition (ISO 17366:2009), which has been technically revised.

This International Standard has two annexes; Annex A provides informative information, and Annex B provides normative information.

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Introduction

The 'Supply chain' is a multi-level concept that covers all aspects of taking a product from raw materials to a final product including shipping to a final place of sale, use and maintenance, and potentially disposal. Each of these levels covers many aspects of dealing with products and the business process for each level is both unique and overlapping with other levels.

This International Standard has been created in order to ensure compatibility at the physical, command and data levels with the four other International Standards under the general title *Supply chain applications of RFID*. Where possible, this compatibility takes the form of interchangeability. Where interchangeability is not feasible, the International Standards within this suite are interoperable and non-interfering. The International Standards within the complete series of *Supply chain applications of RFID* include

— ISO 17363, *Supply chain applications of RFID — Freight containers;*

— ISO 17364, *Supply chain applications of RFID — Returnable transport items (RTIs) and returnable packaging items (RPIs);*

— ISO 17365, *Supply chain applications of RFID — Transport units;*

— ISO 17366, *Supply chain applications of RFID — Product packaging;*

— ISO 17367, *Supply chain applications of RFID — Product tagging.*

These International Standards define the technical aspects and data hierarchy of information required in each layer of the supply chain. The air-interface and communications protocol standards supported within the *Supply chain applications of RFID* International Standards are ISO/IEC 18000; commands and messages are specified by ISO/IEC 15961 and ISO/IEC 15962; semantics are defined in ISO/IEC 15418; syntax is defined in ISO/IEC 15434.

Although not pertinent to this International Standard, the following work is considered valuable:

— ISO/IEC JTC 1, *Information technology,* SC 31, *Automatic identification and data capture techniques*, in the areas of air interface, data semantic and syntax construction and conformance standards, and

— ISO/TC 104, *Freight containers*, in the area of freight container security, including electronic seals (e-seals) (i.e. ISO 18185) and container identification

Supply chain applications of RFID — Product packaging

1 Scope

This International Standard defines the basic features of RFID for use in the supply chain when applied to product packaging. In particular it

— provides specifications for the identification of the product packaging,

— makes recommendations about additional information on the RF tag,

— specifies the semantics and data syntax to be used,

— specifies the data protocol to be used to interface with business applications and the RFID system,

— specifies the minimum performance requirements,

— specifies the air interface standards between the RF interrogator and RF tag, and

— specifies the reuse and recyclability of the RF tag.

2 Conformance and performance specifications

All of the devices and equipment that claim conformance with this International Standard shall also conform to the appropriate sections and parameters specified in ISO/IEC 18046 for performance, and ISO/IEC 18047-6 (for ISO/IEC 18000-63, Type C) and ISO/IEC/TR 18047-3 (for the ASK interface of ISO/IEC 18000-3, Mode 3) for conformance.

3 Normative references

The following referenced documents are indispensable for the application of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO 445, *Pallets for materials handling — Vocabulary*

ISO 830, *Freight containers — Vocabulary*

ISO 8601, *Data elements and interchange formats — Information interchange — Representation of dates and times*

ISO/IEC IEEE 8802-15-4, *Information technology — Telecommunications and information exchange between systems — Local and metropolitan area networks — Specific requirements Part 15.4: Wireless Medium Access Control (MAC) and Physical Layer (PHY) Specifications for Low-Rate Wireless Personal Area Networks (WPANs)*

ISO/IEC 15418, *Information technology — Automatic identification and data capture techniques — GS1 Application Identifiers and ASC MH10 Data Identifiers and maintenance*

ISO/IEC 15434, *Information technology — Automatic identification and data capture techniques — Syntax for high-capacity ADC media*

ISO/IEC 15459-4, *Information technology — Automatic identification and data capture techniques — Unique identification — Part 4: Individual products and product packages*

ISO/IEC 15961, *Information technology — Radio frequency identification (RFID) for item management — Data protocol: application interface*

ISO/IEC 15962, *Information technology — Radio frequency identification (RFID) for item management — Data protocol: data encoding rules and logical memory functions*

ISO/IEC 15963, *Information technology — Radio frequency identification for item management — Unique identification for RF tags*

ISO/IEC 16022, *Information technology — Automatic identification and data capture techniques — Data Matrix bar code symbology specification*

ISO 17364:2013, *Supply chain applications of RFID — Returnable transport items (RTIs) and Returnable packaging items (RPIs)*

ISO/IEC 18000-3, *Information technology — Radio frequency identification for item management — Part 3: Parameters for air interface communications at 13,56 MHz*

ISO/IEC 18000-63, *Information technology — Radio frequency identification for item management — Part 63: Parameters for air interface communications at 860 MHz to 960 MHz Type C*

ISO/IEC 18004, *Information technology — Automatic identification and data capture techniques — QR Code 2005 bar code symbology specification*

ISO/IEC 18046 (all parts), *Information technology — Radio frequency identification device performance test methods*

ISO/IEC/TR 18047-3, *Information technology — Radio frequency identification device conformance test methods — Part 3: Test methods for air interface communications at 13,56 MHz*

ISO/IEC 18047-6, *Information technology — Radio frequency identification device conformance test methods — Part 6: Test methods for air interface communications at 860 MHz to 960 MHz*

ISO/IEC 19762 (all parts), *Information technology — Automatic identification and data capture (AIDC) techniques — Harmonized vocabulary*

ISO 21067, *Packaging — Vocabulary*

ISO/IEC/IEEE 21451-7, *Information technology — Smart transducer interface for sensors and actuators — Part 7: Transducer to radio frequency identification (RFID) systems communication protocols and Transducer Electronic Data Sheet (TEDS) formats*

ISO/IEC/TR 24729-1, *Information technology — Radio frequency identification for item management — Implementation guidelines — Part 1: RFID-enabled labels and packaging supporting ISO/IEC 18000-6C*

ANS MH10.8.2, *Data Identifiers and Application Identifiers*

GS1 EPC Tag Data Standard, Version 1.6

GS1 *General Specifications*

ICNIRP Guidelines, *Guidelines for limiting exposure to time-varying electric, magnetic, and electromagnetic fields (up to 300 GHz)*

IEEE C95-1, *IEEE Standard for Safety Levels with Respect to Human Exposure to Radio Frequency Electromagnetic Fields, 3 kHz to 300 GHz*

4 Terms and definitions

For the purposes of this document, the terms and definitions given in ISO 445, ISO 830, ISO 17364, ISO/IEC 19762 (all parts), and ISO 21067 apply.

For the purposes of this document, hexadecimal characters are represented as 0xnn, where "nn" is the hexadecimal value.

5   Concepts

## 5.1   Differentiation between this layer and the preceding layers

Figures 1 and 2 give a graphical representation of supply chain layers. They show a conceptual model of possible supply chain relationships, not a one-for-one representation of physical things. Although several layers in Figure 2 have clear physical counterparts, some common supply chain physical items fit in several layers depending on the use case. For example, as shown in Figure 2, a repetitively used pallet under constant ownership would be covered by ISO 17364 as an RTI; a pallet that is part of a consolidated unit load would be covered by ISO 17365 as a transport unit, and a pallet that is integral to a single item would be covered by this International Standard as product packaging.

The term "supply chain layers" is a multi-level concept that covers all aspects of taking a product from raw materials to a final product to shipping to a final place of sale, use, maintenance and potentially disposal and returned goods. Each of these levels covers many aspects of dealing with products and the business process for each level is both unique and overlapping with other levels.

The Item Level through Freight Container Level layers are addressed within the suite of standards for "supply chain applications of RFID" and are intended to enhance supply chain visibility. The Movement Vehicle Level is the purview of ISO/TC 204/WG 7.

The Product Packaging Level in Figure 2, and specifically product packaging, (as defined in ISO 17364:2013, 4.2) is the subject of this International Standard.

Product packaging layer tags can be distinguished from following or preceding layer tags by use of a *group select* methodology contained in the RFID interrogator/reader. This group select function allows the interrogator and supporting automated information systems (AIS) to quickly identify Product Packaging Level tags.
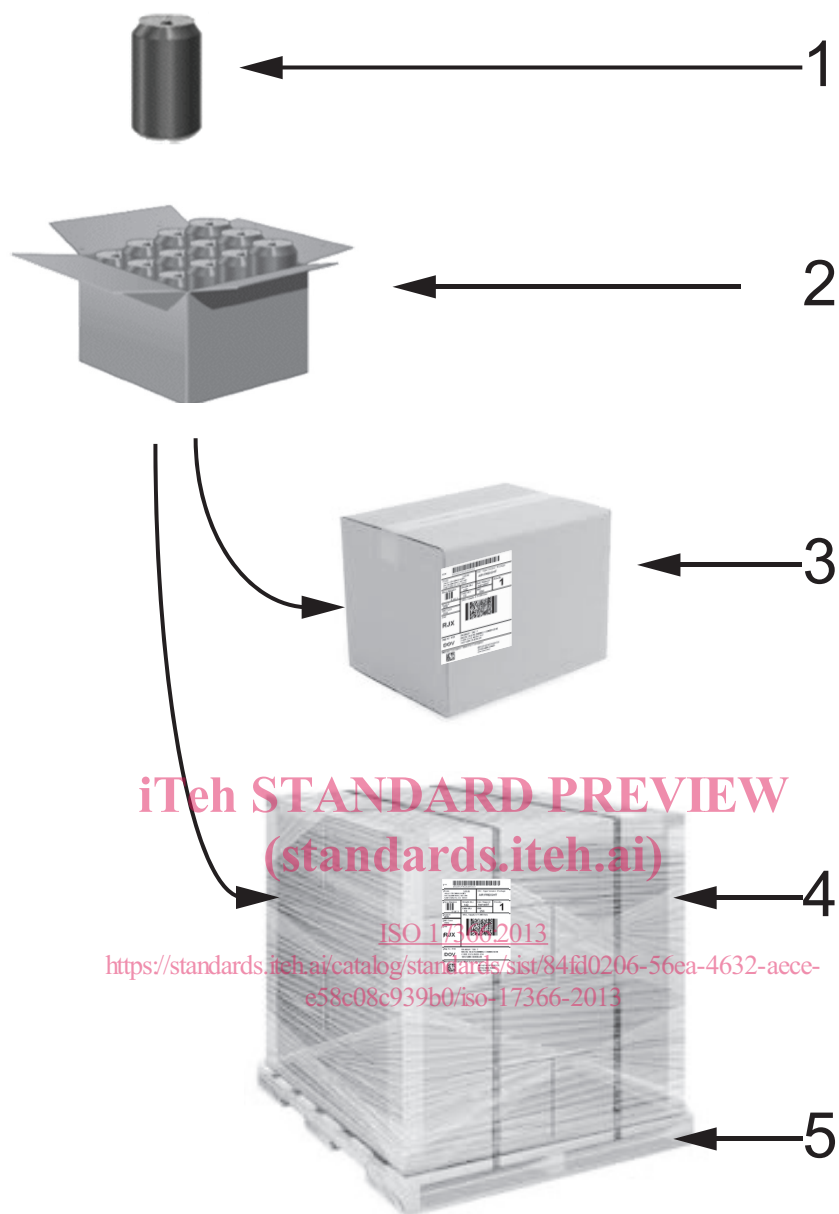
Key

1   primary packaging — consumer packaging — *(product)*
2   secondary packaging — outer packaging — *(product package)*
3   tertiary packaging — transport packaging — *(transport unit)*
4   tertiary packaging — unitized transport packaging — *(transport unit)*
5   pallet — *(returnable transport item — RTI)*

Figure 1 — Packaging
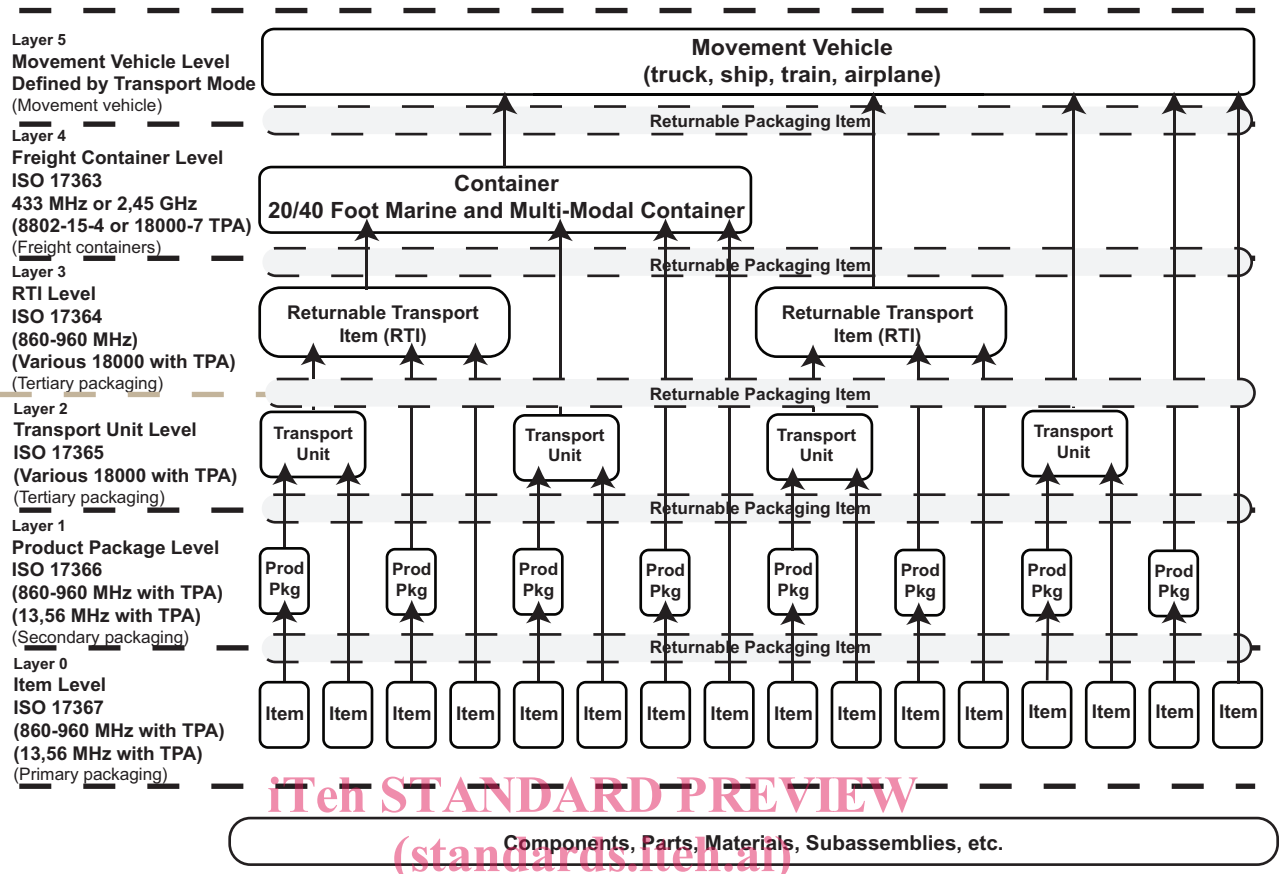
Figure 2 — Supply chain layers

5.2 Returnable packaging item

At all layers within the supply chain are materials that are shipped to a customer with full expectation that such devices will be returned to the supplier. These returnable packaging items (RPIs) are assets of value as well as potentially the physical product packaging. RPIs and their identification are well addressed in Annex A of ISO/IEC 17364:2013, and Annex A of ISO/IEC 17365:2013.

5.3 Unique item identifier

5.3.1 General

Unique product packaging identification is a process that assigns a unique data string to an individual package, or in this case to an RFID tag that is associated to the product package. The unique data string is called the unique product package identifier. Unique item identification of product packaging allows data collection and management at a granular level. The benefits of granular level data are evident in such areas as maintenance, warranties and enabling electronic transactions of record. This granularity is possible only if each tagged item has a unique item identifier.

Product package layer tagging can uniquely identify products, thus providing differentiation between like and unlike product packages. Product package layer tagging can also be used to identify product packages by differentiating unlike product packages but not differentiating between like product packages. This is used for commodities where individualization is impractical or undesirable.

The unique product packaging identifier described above shall be the unique identifier as described in ISO/IEC 15459-4. The unique item identifier (UII) provides granular discrimination between like items that are identified with RFID tags. The unique tag ID (as defined by ISO/IEC 15963) is a mechanism

to uniquely identify RFID tags and is not the unique product packaging identifier defined in this International Standard.

The minimum data elements required for unique identification are an enterprise identifier and a serial number that is unique within that enterprise identifier. Commonly, a part or model number is also required to achieve unique identification.

This International Standard uses the following identification mechanisms for unique product package identification:

— unique identifiers for supply chain items (ISO/IEC 15459-4);

— GS1 Serialized Global Trade Item Number (SGTIN).

5.3.2 International unique identification for items

The unique identifier of ISO/IEC 15459 provides identification schemes for various layers of the supply chain, from the Item Level (products) up to the RTI Level (returnable transport items). The unique identification of product packages shall use ISO/IEC 15459-4. Unique identification is provided contextually by three components:

a) issuing agency code (IAC),

b) company identification number (CIN),

c) serial number (SN),

preceded by an AFI and Data Identifier (DI). The AFI code assignments table in ISO/IEC 15961-3, Data Constructs Register and shown below in Table 1 permits identification of the supply chain layer, i.e. product = 0xA1, transport unit = 0xA2, returnable transport item = 0xA3, and product package = 0xA5.

The Data Identifier shall be "25S". The ISO/IEC 15459 registration authority assigns the IAC. The CIN is assigned by the issuing agency. The company registered with the issuing agency assigns the serial number. The serial number shall be no longer than 20 alphanumeric characters.

Table 1 — 1736x AFI Assignments

AFI	Assigned organization or function
0xA1	ISO 17367 product tagging
0xA2	ISO 17365 transport unit
0xA3	ISO 17364 returnable transport item or returnable packaging items
0xA4	ISO 17367 product tagging, containing hazardous materials
0xA5	ISO 17366 product packaging
0xA6	ISO 17366 product packaging, containing hazardous materials
0xA7	ISO 17365 transport unit, containing hazardous materials
0xA8	ISO 17364 returnable transport item or returnable packaging item, containing hazardous materials
0xA9	ISO 17363 freight containers
0xAA	ISO 17363 freight containers, containing hazardous materials

EPC does not use AFIs; consequently, there are no AFIs used for RTIs employed in retail applications using EPC. AFI 0xA5 may be used for product packages intended solely for commodities other than consumer goods. Annex B provides an in-depth discussion of the ISO approach to encoding.

To define its class (in the ISO/IEC 15459 sense), the unique identifier shall have an associated class identifier, which is the Data Identifier "25S". For the purposes of this International Standard, a unique identifier of product packages should be no longer than 35 alphanumeric characters, excluding the Data

Identifier (an3+an..35). See Table 2. With the mutual agreement of the trading partners this length can be extended to 50 characters (an3+an..50).

Table 2 — ISO UII element string

Format of the license plate	
Data Identifier	IAC, company identification number (CIN), serial number
25S	N_1 N_2 N_3 N_4 N_5 N_6 N_7 N_8 N_9 N_{10} N_{11} N_{12} N_{13} N_{14} N_{15} N_{16} N_{17} . . . N_{35}

5.3.3 Serialized global trade identification number (SGTIN)

The GS1 EPC serialized global trade identification number (SGTIN-96) is a unique item identifier (UII) capable of providing unique item identification of product packages.

Table 3 — SGTIN-96 element string

	Header	Filter Value	Partition	Company Prefix	Item Reference	Serial Number
Number of bits	8	3	3	20 to 40	24 to 4	38
Reference	0011 0000[a]	—[b]	—[b]	999 999 to 999 999 999 999[c]	9 999 999 to 9[c]	274 877 906 943[d]
NOTE Maximum decimal value range of Company Prefix and Item Reference fields vary according to the contents of the partition field.						
[a] Binary value.						
[b] Refer to GS1 EPC, Tag Data Standard, Version 1.6 for values.						
[c] Maximum decimal range.						
[d] Maximum decimal value.						

The SGTIN consists of the following information elements:

a) The *Header*, which is defined in the *GS1 EPC Tag Data Standard,* Version 1.6. It is eight (8) bits long and for an SGTIN-96 is the value 0x30. *While the remainder of the document describes an SGTIN-96 the GS1 EPC Tag Data Standard also describes a longer version.*

b) The *Filter Value*, which is defined in the *GS1 EPC Tag Data Standard Version 1.6.* It is three (3) bits long and identifies whether an EPC is for a retail trade item, a standard trade item grouping, or a single shipping/consumer trade item.

c) The *Partition*, defined in the *GS1 EPC Tag Data Standard Version 1.6.* It is three (3) bits long, carries one of seven (7) values, and identifies where the subsequent *Company Prefix* and *Item Reference* numbers are divided.

d) The *Company Prefix*, assigned by GS1 to an organization. The *Company Prefix* is the same as the *Company Prefix* digits within a GS1 GTIN decimal code. The combined *Company Prefix* and *Item Reference* are 44 bits long (13 decimal digits).

e) The *Item Reference*, assigned by the "Company" entity to a particular product package. The combined *Company Prefix* and *Item Reference* are 44 bits long (13 decimal digits).

f) The *Serial Number* assigned by the managing entity to an individual object. The EPC representation is only capable of representing a subset of *Serial Numbers* allowed in the *GS1 General Specifications*. Specifically, only those *Serial Numbers* consisting of one or more digits, with no leading zeros, are permitted. The length of the *Serial Number* is 38 bits.