ETSI TS 102 588 V15.0.0 (2019-02)



Smart Cards; Application Application invocation Application Programming Interface (API) by a UICC webserver for Java Card™ platform (Release 15) Reference RTS/SCP-T102588v1500

Keywords

API, smart card

ETSI

650 Route des Lucioles F-06921 Sophia Antipolis Cedex - FRANCE

Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16 Siret N° 348 623 562 00017 - NAF 742 C Association à but non lucratif enregistrée à la Sous-Préfecture de Grasse (06) N° 7803/88

Important notice

The present document can be downloaded from: http://www.etsi.org/standards-search

The present document may be made available in electronic versions and/or in print. The content of any electronic and/or print versions of the present document shall not be modified without the prior written authorization of ETSI. In case of any existing or perceived difference in contents between such versions and/or in print, the prevailing version of an ETSI deliverable is the one made publicly available in PDF format at www.etsi.org/deliver.

Users of the present document should be aware that the document may be subject to revision or change of status. Information on the current status of this and other ETSI documents is available at <u>https://portal.etsi.org/TB/ETSIDeliverableStatus.aspx</u>

If you find errors in the present document, please send your comment to one of the following services: https://portal.etsi.org/People/CommiteeSupportStaff.aspx

Copyright Notification

No part may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying and microfilm except as authorized by written permission of ETSI. The content of the PDF version shall not be modified without the written authorization of ETSI. The copyright and the foregoing restriction extend to reproduction in all media.

> © ETSI 2019. All rights reserved.

DECT[™], PLUGTESTS[™], UMTS[™] and the ETSI logo are trademarks of ETSI registered for the benefit of its Members.
 3GPP[™] and LTE[™] are trademarks of ETSI registered for the benefit of its Members and of the 3GPP Organizational Partners.
 oneM2M[™] logo is a trademark of ETSI registered for the benefit of its Members and of the oneM2M Partners.
 GSM[®] and the GSM logo are trademarks registered and owned by the GSM Association.

Contents

Intellectual Property Rights		4
Foreword		4
Modal verbs terminology		4
1 Scope	Scope	
References 1 Normative references .2 Informative references		5 5 6
 3 Definition of terms, syn 3.1 Terms 3.2 Symbols 3.3 Abbreviations 	mbols and abbreviations	6 6 6 6
 4 Description 4.1 Architecture 4.2 Registration and dereg 4.3 Invocation and Retrie 4.4 Transfer of response of 4.5 Response header man 4.6 ProactiveHandler and 	gistration val of Data lata agement ProactiveResponseHandler	6 7 8 8 9 9
Annex A (normative): Annex B (normative):	Application invocation API by a UICC Webserver for the Java Card [™] platform Application invocation API by a UICC Webserver for the Java Card [™] platform	10
Annex C (normative):	Application invocation API by a UICC Webserver for the Java Card [™] platform package version management	12
Annex D (informative): History	Change history	13 14

Intellectual Property Rights

Essential patents

IPRs essential or potentially essential to normative deliverables may have been declared to ETSI. The information pertaining to these essential IPRs, if any, is publicly available for **ETSI members and non-members**, and can be found in ETSI SR 000 314: "Intellectual Property Rights (IPRs); Essential, or potentially Essential, IPRs notified to ETSI in respect of ETSI standards", which is available from the ETSI Secretariat. Latest updates are available on the ETSI Web server (https://ipr.etsi.org/).

Pursuant to the ETSI IPR Policy, no investigation, including IPR searches, has been carried out by ETSI. No guarantee can be given as to the existence of other IPRs not referenced in ETSI SR 000 314 (or the updates on the ETSI Web server) which are, or may be, or may become, essential to the present document.

Trademarks

The present document may include trademarks and/or tradenames which are asserted and/or registered by their owners. ETSI claims no ownership of these except for any which are indicated as being the property of ETSI, and conveys no right to use or reproduce any trademark and/or tradename. Mention of those trademarks in the present document does not constitute an endorsement by ETSI of products, services or organizations associated with those trademarks.

Foreword

This Technical Specification (TS) has been produced by ETSI Technical Committee Smart Card Platform (SCP).

The contents of the present document are subject to continuing work within TC SCP and may change following formal TC SCP approval. If TC SCP modifies the contents of the present document, it will then be republished by ETSI with an identifying change of release date and an increase in version number as follows: ailcatalog

A3bTlolets

Version x.y.z

where:

- the first digit: х
 - 0 early working draft;
 - presented to TC SCP for information; 1
 - 2 presented to TC SCP for approval;
 - 3 or greater indicates TC SCP approved document under change control.

; Ilstandar

- the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, у updates, etc.
- the third digit is incremented when editorial only changes have been incorporated in the document. Z

Modal verbs terminology

In the present document "shall", "shall not", "should", "should not", "may", "need not", "will", "will not", "can" and "cannot" are to be interpreted as described in clause 3.2 of the ETSI Drafting Rules (Verbal forms for the expression of provisions).

"must" and "must not" are NOT allowed in ETSI deliverables except when used in direct citation.

1 Scope

The present document defines an API that allows a UICC based SCWS defined by OMA to forward HTTP requests to an Applet and to receive the response from the Applet. It also defines an API for the Applet to register and unregister to the SCWS.

5

2 References

2.1 Normative references

References are either specific (identified by date of publication and/or edition number or version number) or non-specific. For specific references, only the cited version applies. For non-specific references, the latest version of the referenced document (including any amendments) applies.

Referenced documents which are not found to be publicly available in the expected location might be found at https://docbox.etsi.org/Reference/.

- In the case of a reference to a TC SCP document, a non-specific reference implicitly refers to the latest version of that document in the same Release as the present document.
- NOTE: While any hyperlinks included in this clause were valid at the time of publication, ETSI cannot guarantee their long term validity.

The following referenced documents are necessary for the application of the present document.

- [1] IETF RFC 2616: "Hypertext Transfer Protocol -- HTTP/1.1".
- NOTE 1: Available at http://www.ietf.org/ffc/rfc2616.txt
- NOTE 2: IETF RFC 2616 has been obsoleted by IETF RFC 7230, IETF RFC 7231, IETF RFC 7232, IETF RFC 7233, IETF RFC 7234, IETF RFC 7235
- [2] ETSI TS 102 241: "Smart Cards; UICC Application Programming Interface (UICC API) for Java CardTM".
- [3] OMA-AD-Smartcard-Web-Server-V1-0-20070209-C: "Smartcard -Web Server Enabler Architecture".
- [4] OMA-TS-Smartcard-Web-Server-V1-0-20070209-C: "Smartcard-Web-Server".
- [5] ORACLE: "Application Programming Interface, Java CardTM Platform, 3.0.1 Classic Edition".
- [6] ORACLE: "Runtime Environment Specification, Java CardTM Platform, 3.0.1 Classic Edition".
- [7] ORACLE: "Virtual Machine Specification Java Card™ Platform, 3.0.1 Classic Edition".
- NOTE: SUN Java Card Specifications can be downloaded at http://java.sun.com/products/javacard.
- [8] ETSI TS 101 220: "Smart Cards; ETSI numbering system for telecommunication application providers".
- [9] ETSI TS 102 225: "Smart Cards; Secured packet structure for UICC based applications".
- [10] ETSI TS 102 221: "Smart Cards; UICC-Terminal interface; Physical and logical characteristics".

2.2 Informative references

References are either specific (identified by date of publication and/or edition number or version number) or non-specific. For specific references, only the cited version applies. For non-specific references, the latest version of the referenced document (including any amendments) applies.

- In the case of a reference to a TC SCP document, a non-specific reference implicitly refers to the latest version of that document in the same Release as the present document.
- NOTE: While any hyperlinks included in this clause were valid at the time of publication, ETSI cannot guarantee their long term validity.

The following referenced documents are not necessary for the application of the present document but they assist the user with regard to a particular subject area.

Not applicable.

3 Definition of terms, symbols and abbreviations

Terms 3.1

Void.

3.2 **Symbols**

Void.

Abbreviations 3.3

the a For the purposes of the present document, the following abbreviations apply:

	N
AID	Application IDentifier
API	Application Program Interface
CAT	Card Application Toolkit
CAT_TP	Card Application Toolkit Transport Protocol
FFS	For Further Study
HTTP	HyperText Transfer Protocol
JCRE	Java Card [™] Run-time Environment
OMA	Open Mobile Alliance
SCWS	Smart Card based Web Server
NOTE:	According to OMA specifications [3] and [4].
UDI	Uniform Pasource Identifier
UNI	

4 Description

4.1 Architecture

The present document describes an API and a SCWS Runtime Environment that enables Java Card[™] platform based applets, defined in [5], [6], [7], to register to and unregister from an SCWS implemented in the UICC, defined by OMA in [3] and [4].

The API enables a registered Applet to receive an incoming HTTP request that is forwarded by the SCWS. The API provides the necessary methods to allow registered Applets to respond with a correctly formatted HTTP response to the SCWS. The API provides means to the Applet to access the HTTP header data and the content of the HTTP request, to send specific HTTP status values, and to set the content of the HTTP response.

The HTTP request and response are defined in the Hypertext Transfer Protocol - HTTP/1.1 [1].

This API allows application programmers to extend the functionality of the SCWS defined by OMA in [3] and [4].



Smart Card based Web Server (SCWS): handles HTTP request as defined by OMA in [3] and [4] and provides a mechanism to the Applet for the registration

SCWS Runtime Environment: extensions to the Java Card[™] platform described in [5], [6], [7] and the CAT Runtime Environment described in ETSI TS 102 241 [2] to facilitate the communications between Applets and the SCWS.

Applet: these derive from *javacard.framework.Applet* and provide the entry points: *process, select, deselect, install* as defined in the "Runtime Environment Specification, Java CardTM Platform, 3.0.1 Classic Edition " [6].

Registry of the SCWS: is provided as a JCRE entry point object defined in [6], and provides an interface to the Applet to pass a name to the SCWS for registration and deregistration. The registry is part of the SCWS Runtime Environment.

SCWS API: consists of the package *uicc.scws*, provides the methods to register and deregister, to receive HTTP requests and to provide the content of the HTTP response.

4.2 Registration and deregistration

The registration of Applets to the SCWS enables the server to invoke a specific applet when it has received an HTTP request. Applet Instances can register with a name to the SCWS.

The mapping of the HTTP request to the name of the applet is described by OMA in [3] and [4] by the use of administrative commands {[FFS] other non-HTTP based mechanism.}. It is not possible to register several Applets under the same name to the SCWS. It is possible for an Applet to register several times with different names to the SCWS.

The Applet can also deregister from the SCWS. When the Applet deregisters from the SCWS the mapping information is deleted from the registry.

If an Applet is deleted then the registration information in the SCWS Registry is deleted by the SCWS Runtime Environment.

If the Applet is in a non-selectable state, its registration to the SCWS is still valid.

4.3 Invocation and Retrieval of Data

The SCWS invokes the Applets according to the mapping information.

If the complete request fits into the *HTTPRequest* buffer, the Applet is invoked when the complete HTTP request has been received by the SCWS.

If chunked transfer encoding is used and/or the HTTP request does not fit into the *HTTPRequest* buffer, the Applet is invoked when a certain amount of data was received by the SCWS and not yet retrieved by the applet. This amount may correspond with one chunk of data if it fits into the *HTTPRequest* buffer. The buffer management and invocation frequency of the Applet by the SCWS and the *HTTPRequest* buffer size are implementation specific.

The content of the buffer of the *HTTPRequest* is only changed between invocations of the Applet. That means the Applet can read from the buffer multiple times during the same invocation and get the same data. Only an Applet that is in selectable state can be invoked by the SCWS.

If processing of an HTTP request ends without any invocation of the flush() method and without throwing an exception the SCWS shall finalize the response and send it.

4.4 Transfer of response data

There are two transfer modes defined for the SCWS API: "fixed buffer size mode" and "chunked mode".

The API offers a method to switch between transfer modes. This method shall be called before calling *finalizeHeader()* and before the first call of *appendContent()*.

The default transfer mode is "fixed buffer size".

The header attributes ("Content-Length: xxx" and "Fransfer-Encoding: chunked") will be set according to the active transfer mode by the SCWS runtime environment. The Application is not supposed to set these attributes.

The SCWS runtime environment is not required to enforce this policy. The behaviour of the SCWS runtime environment is undefined if the application manipulates the header attributes for content length and transfer encoding.

In "fixed buffer size mode" an exception will be thrown by *appendContent()* if the buffer size would be exceeded.

In "fixed buffer size mode" no data are sent out before the application has called the *flush()* method, subsequent calls are permitted but have no effect.

In "chunked mode" a call of *flush()* sends all data in the response buffer. If there are no data in the response buffer no data will be sent.

If a call of *appendContent()* exceeds the buffer size in "chunked mode" the data in the response buffer will be sent implicitly.

In chunked mode no response data shall be lost. Therefore, if the total size of the data in the response buffer and the data added to it in appendContent() exceeds the size of the response buffer, the SCWS framework shall send several chunks of data after invocation of appendContent() if necessary.

Exceptions thrown by the invoked Applet or any uncaught exception occurring during its processing shall not be propagated to the terminal, and the SCWS shall follow these rules:

• In case of "chunked mode", some response data could have already been sent by the SCWS. In this case, no error status code shall be sent by the SCWS and the chunked data transfer shall be terminated according to HTTP 1.1 [1].

• In any other case, an error status code according to HTTP 1.1 [1] is sent by the SCWS. In case of "fixed buffer size mode", any response data that may have been added to the HTTP response (regardless if in the response header or body) shall be discarded.

4.5 Response header management

Response header fields can be provided by applications by using the appendHeaderVariable() methods.

The following headers shall be added by the SCWS if not provided by the application:

- Status line, indicating success status (200 OK or 204 No Content).
- Server field, containing "OMA Smart Card Web Server" or a customized string.
- If status code 200 is returned, Content-type field, indicating "text/plain".
- If status code 200 is returned, Content-length and Transfer-Encoding, according to clause 4.4.

4.6 ProactiveHandler and ProactiveResponseHandler

The rules about the availability of the *ProactiveHandler* and the *ProactiveResponseHandler* as defined in ETSI TS 102 241 [2] are extended according to the following rules:

The *ProactiveHandler* shall be available for applets that are invoked by an incoming HTTP request (i.e. by one of the methods *doGet()*, *doPost()*, *doDelete()*, *doHead()*, *doOptions()*, *doPut()*, and *doTrace()*) in the same way as if it would be available for an applet which was triggered by the method *processToolkit()* in the current card state. If available, the *ProactiveHandler* shall be available for these applets until the termination of the method that was invoked by the incoming HTTP request.

The availability of the *ProactiveResponseHandler* shall depend on the availability of the *ProactiveHandler* as defined in ETSI TS 102 241 [2], except that it is available until the termination of the method that was invoked by the incoming HTTP request.

Applets implementing the ToolkitInterface as defined in ETSI TS 102 241 [2] in addition to the SCWS interface will be triggered in the *processToolkit()* method upon reception of any Toolkit event.

Implementation dependent on a central CAT TP multiplexing application as defined in ETSI TS 102 225 [9] may be present in the card. It shall not block the *ProactiveHandler* when an applet is invoked by an incoming HTTP request.