

ETSI TS 138 423 V15.4.0 (2019-07)



TECHNICAL SPECIFICATION

**5G;
NG-RAN;
Xn Application Protocol (XnAP)
(3GPP TS 38.423 version 15.4.0 Release 15)**

*Full Standards Catalogue
https://standards.iteh.ai/catalog/standards/sist/19e063e2-b970-447e-adb0-a2e4800750e9/etsi-ts-138-423-v15.4.0-2019-07*



Reference

RTS/TSGR-0338423vf40

Keywords

5G

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1 Scope

The present document specifies the radio network layer signalling procedures of the control plane between NG-RAN nodes in NG-RAN. XnAP supports the functions of the Xn interface by signalling procedures defined in this document. XnAP is developed in accordance to the general principles stated in TS 38.401 [2] and TS 38.420 [3].

2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

- [1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
- [2] 3GPP TS 38.401: "NG-RAN; Architecture Description".
- [3] 3GPP TS 38.420: "NG-RAN; Xn General Aspects and Principles".
- [4] 3GPP TS 38.422: "NG-RAN; Xn Signalling Transport".
- [5] 3GPP TS 38.413: "NG-RAN; NG Application Protocol (NGAP) ".
- [6] 3GPP TS 25.921: "Guidelines and principles for protocol description and error handling".
- [7] 3GPP TS 23.501: "System Architecture for the 5G System".
- [8] 3GPP TS 37.340: "Evolved Universal Terrestrial Radio Access (E-UTRA) and NR; Multi-connectivity; Stage 2".
- [9] 3GPP TS 38.300: "NR; NR and NG-RAN Overall Description; Stage 2".
- [10] 3GPP TS 38.331: "NR; Radio Resource Control (RRC) Protocol specification".
- [11] 3GPP TS 38.323: "NR; Packet Data Convergence Protocol (PDCP) specification".
- [12] 3GPP TS 36.300: "Evolved Universal Terrestrial Radio Access (E-UTRA) and Evolved Universal Terrestrial Radio Access Network (E-UTRAN); Overall description; Stage 2".
- [13] 3GPP TS 23.502: "Procedures for the 5G System; Stage 2".
- [14] 3GPP TS 36.331: "Evolved Universal Terrestrial Radio Access (E-UTRA); Radio Resource Control (RRC) protocol specification".
- [15] ITU-T Recommendation X.691 (2002-07): "Information technology - ASN.1 encoding rules - Specification of Packed Encoding Rules (PER) ".
- [16] ITU-T Recommendation X.680 (2002-07): "Information technology – Abstract Syntax Notation One (ASN.1): Specification of basic notation".
- [17] ITU-T Recommendation X.681 (2002-07): "Information technology – Abstract Syntax Notation One (ASN.1): Information object specification".
- [18] 3GPP TS 29.281: "General Packet Radio Service (GPRS); Tunnelling Protocol User Plane (GTPv1-U)".
- [19] 3GPP TS 38.424: "NG-RAN; Xn data transport".

- [20] 3GPP TS 38.414: "NG-RAN; NG data transport".
- [21] 3GPP TS 38.412: "NG-RAN; NG Signalling Transport".
- [22] 3GPP TS 23.003: "Numbering, Addressing and Identification".
- [23] 3GPP TS 32.422: "Trace control and configuration management".
- [24] 3GPP TS 38.104: "NR; Base Station (BS) radio transmission and reception".
- [25] 3GPP TS 36.104: "Base Station (BS) radio transmission and reception".
- [26] 3GPP TS 36.211: "Evolved Universal Terrestrial Radio Access (E-UTRA); Physical Channels and Modulation".
- [27] 3GPP TS 36.101: "User Equipment (UE) radio transmission and reception".
- [28] 3GPP TS 33.501: "Security architecture and procedures for 5G System".
- [29] 3GPP TS 33.401: "3GPP System Architecture Evolution (SAE); Security architecture".
- [30] 3GPP TS 24.501: "Non-Access-Stratum (NAS) protocol for 5G System (5GS); Stage 3".
- [31] 3GPP TS 36.413: "Evolved Universal Terrestrial Radio Access Network (E-UTRAN); S1 Application Protocol (S1AP)".
- [32] 3GPP TS 25.413: "UTRAN Iu interface RANAP signalling".
- [33] 3GPP TS 38.304: "NR; User Equipment (UE) procedures in Idle mode and RRC Inactive state".
- [34] 3GPP TS 36.304: "Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) procedures in idle mode".
- [35] 3GPP TS 38.321: "NR; Medium Access Control (MAC) protocol specification".
- [36] 3GPP TS 36.321: "Evolved Universal Terrestrial Radio Access (E-UTRA); Medium Access Control (MAC) protocol specification".
- [37] IETF RFC 5905: "Network Time Protocol Version 4: Protocol and Algorithms Specification".

3 Definitions, symbols and abbreviations

3.1 Definitions

For the purposes of the present document, the terms and definitions given in 3GPP TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in 3GPP TR 21.905 [1].

Elementary Procedure: XnAP protocol consists of Elementary Procedures (EPs). An XnAP Elementary Procedure is a unit of interaction between two NG-RAN nodes. An EP consists of an initiating message and possibly a response message. Two kinds of EPs are used:

- **Class 1:** Elementary Procedures with response (success or failure),
- **Class 2:** Elementary Procedures without response.

NG-RAN node: as defined in TS 38.300 [9].

PDU Session Resource: As defined in TS 38.401 [2].