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Contents

| | |
|---|----|
| Intellectual Property Rights | 2 |
| Legal notice | 2 |
| Modal verbs terminology..... | 2 |
| Foreword..... | 8 |
| 1 Scope | 9 |
| 2 References | 9 |
| 3 Symbols and abbreviations..... | 10 |
| 3.1 Symbols..... | 10 |
| 3.2 Abbreviations | 10 |
| 4 Synchronization procedures | 12 |
| 4.1 Cell search | 12 |
| 4.2 Timing synchronization..... | 12 |
| 4.2.1 Radio link monitoring..... | 12 |
| 4.2.2 Inter-cell synchronization | 12 |
| 4.2.3 Transmission timing adjustments | 12 |
| 4.3 Timing for Secondary Cell Activation / Deactivation | 14 |
| 5 Power control | 15 |
| 5.1 Uplink power control..... | 15 |
| 5.1.1 Physical uplink shared channel..... | 15 |
| 5.1.1.1 UE behaviour | 16 |
| 5.1.1.2 Power headroom | 30 |
| 5.1.2 Physical uplink control channel..... | 33 |
| 5.1.2.1 UE behaviour | 34 |
| 5.1.3 Sounding Reference Symbol (SRS)..... | 39 |
| 5.1.3.1 UE behaviour | 39 |
| 5.1.3.2 Power headroom for Type3 report | 41 |
| 5.1.4 Power allocation for EUTRA dual connectivity..... | 42 |
| 5.1.4.1 Dual connectivity power control Mode 1..... | 43 |
| 5.1.4.2 Dual connectivity power control Mode 2..... | 50 |
| 5.1.5 Power allocation for PUCCH-SCell | 54 |
| 5.2 Downlink power allocation | 55 |
| 5.2.1 eNodeB Relative Narrowband TX Power (RNTP) restrictions | 58 |
| 6 Random access procedure | 59 |
| 6.1 Physical non-synchronized random access procedure..... | 59 |
| 6.1.1 Timing | 60 |
| 6.2 Random Access Response Grant..... | 61 |
| 7 Physical downlink shared channel related procedures | 66 |
| 7.1 UE procedure for receiving the physical downlink shared channel | 67 |
| 7.1.1 Single-antenna port scheme | 86 |
| 7.1.2 Transmit diversity scheme | 86 |
| 7.1.3 Large delay CDD scheme | 86 |
| 7.1.4 Closed-loop spatial multiplexing scheme | 86 |
| 7.1.5 Multi-user MIMO scheme | 87 |
| 7.1.5A Dual layer scheme..... | 87 |
| 7.1.5B Up to 8 layer transmission scheme | 87 |
| 7.1.6 Resource allocation..... | 87 |
| 7.1.6.1 Resource allocation type 0 | 89 |
| 7.1.6.2 Resource allocation type 1 | 90 |
| 7.1.6.3 Resource allocation type 2 | 91 |
| 7.1.6.4 PDSCH starting position | 94 |
| 7.1.6.4A PDSCH starting position for BL/CE UEs | 96 |
| 7.1.6.5 Physical Resource Block (PRB) bundling..... | 96 |

| | | |
|-----------|---|-----|
| 7.1.7 | Modulation order and transport block size determination | 98 |
| 7.1.7.1 | Modulation order and redundancy version determination..... | 100 |
| 7.1.7.2 | Transport block size determination | 106 |
| 7.1.7.2.1 | Transport blocks not mapped to two or more layer spatial multiplexing | 111 |
| 7.1.7.2.2 | Transport blocks mapped to two-layer spatial multiplexing..... | 119 |
| 7.1.7.2.3 | Transport blocks mapped for DCI Format 1C and DCI Format 6-2..... | 119 |
| 7.1.7.2.4 | Transport blocks mapped to three-layer spatial multiplexing..... | 120 |
| 7.1.7.2.5 | Transport blocks mapped to four-layer spatial multiplexing..... | 120 |
| 7.1.7.2.6 | Transport blocks mapped for BL/CE UEs configured with CEModeB and PDSCH bandwidth up to 1.4MHz..... | 121 |
| 7.1.7.2.7 | Transport blocks mapped for BL/CE UEs <i>SystemInformationBlockType1-BR</i> | 122 |
| 7.1.7.2.8 | Transport blocks mapped for UEs configured with <i>ce-pdsch-maxBandwidth-config</i> value of 5 MHz or with <i>pdsch-MaxBandwidth-SC-MTCH</i> value of 24 PRBs | 122 |
| 7.1.7.3 | Redundancy Version determination for Format 1C | 122 |
| 7.1.8 | Storing soft channel bits | 123 |
| 7.1.9 | PDSCH resource mapping parameters..... | 123 |
| 7.1.10 | Antenna ports quasi co-location for PDSCH | 125 |
| 7.1.11 | PDSCH subframe assignment for BL/CE UE..... | 126 |
| 7.2 | UE procedure for reporting Channel State Information (CSI) | 128 |
| 7.2.1 | Aperiodic CSI Reporting using PUSCH..... | 138 |
| 7.2.2 | Periodic CSI Reporting using PUCCH..... | 163 |
| 7.2.3 | Channel Quality Indicator (CQI) definition..... | 203 |
| 7.2.4 | Precoding Matrix Indicator (PMI) definition..... | 216 |
| 7.2.5 | Channel-State Information – Reference Signal (CSI-RS) definition | 244 |
| 7.2.6 | Channel-State Information – Interference Measurement (CSI-IM) Resource definition..... | 246 |
| 7.2.7 | Zero Power CSI-RS Resource definition | 246 |
| 7.2.8 | CSI-RS Activation / Deactivation..... | 246 |
| 7.3 | UE procedure for reporting HARQ-ACK | 247 |
| 7.3.1 | FDD HARQ-ACK reporting procedure..... | 249 |
| 7.3.2 | TDD HARQ-ACK reporting procedure..... | 254 |
| 7.3.2.1 | TDD HARQ-ACK reporting procedure for same UL/DL configuration | 255 |
| 7.3.2.2 | TDD HARQ-ACK reporting procedure for different UL/DL configurations | 270 |
| 7.3.3 | FDD-TDD HARQ-ACK reporting procedure for primary cell frame structure type 1..... | 277 |
| 7.3.4 | FDD-TDD HARQ-ACK reporting procedure for primary cell frame structure type 2..... | 279 |
| 8 | Physical uplink shared channel related procedures | 280 |
| 8.0 | UE procedure for transmitting the physical uplink shared channel..... | 280 |
| 8.0.1 | Single-antenna port scheme | 306 |
| 8.0.2 | Closed-loop spatial multiplexing scheme | 306 |
| 8.1 | Resource allocation for PDCCH/EPDCCH/SPDCCH with uplink DCI format..... | 307 |
| 8.1.1 | Uplink resource allocation type 0 | 307 |
| 8.1.2 | Uplink resource allocation type 1 | 308 |
| 8.1.3 | Uplink resource allocation type 2 | 308 |
| 8.1.4 | Uplink resource allocation type 3 | 309 |
| 8.1.5 | Uplink resource allocation type 4 | 310 |
| 8.1.5.1 | UL Resource Block Groups | 310 |
| 8.1.6 | Uplink resource allocation type 5 | 311 |
| 8.2 | UE sounding procedure | 313 |
| 8.3 | UE HARQ-ACK procedure..... | 324 |
| 8.3A | Autonomous uplink feedback procedure..... | 326 |
| 8.4 | UE PUSCH hopping procedure..... | 326 |
| 8.4.1 | Type 1 PUSCH hopping | 327 |
| 8.4.2 | Type 2 PUSCH hopping | 327 |
| 8.5 | UE Reference Symbol (RS) procedure..... | 328 |
| 8.6 | Modulation order, redundancy version and transport block size determination..... | 329 |
| 8.6.1 | Modulation order and redundancy version determination | 329 |
| 8.6.2 | Transport block size determination..... | 337 |
| 8.6.3 | Control information MCS offset determination..... | 343 |
| 8.7 | UE transmit antenna selection..... | 347 |
| 8.8 | Transmission timing adjustments | 347 |
| 9 | Physical downlink control channel procedures | 347 |

| | | |
|------------|--|-----|
| 9.1 | UE procedure for determining physical downlink control channel assignment | 348 |
| 9.1.1 | PDCCH assignment procedure | 348 |
| 9.1.2 | PHICH assignment procedure..... | 352 |
| 9.1.3 | Control Format Indicator (CFI) assignment procedure..... | 355 |
| 9.1.4 | EPDCCH assignment procedure..... | 356 |
| 9.1.4.1 | EPDCCH starting position | 363 |
| 9.1.4.2 | Antenna ports quasi co-location for EPDCCH..... | 363 |
| 9.1.4.3 | Resource mapping parameters for EPDCCH | 364 |
| 9.1.4.4 | PRB-pair indication for EPDCCH | 364 |
| 9.1.5 | MPDCCH assignment procedure..... | 365 |
| 9.1.5.1 | MPDCCH starting position | 372 |
| 9.1.5.2 | Antenna ports quasi co-location for MPDCCH | 372 |
| 9.1.6 | SPDCCH assignment procedure | 372 |
| 9.1.6.1 | Resource mapping parameters for SPDCCH | 374 |
| 9.1.6.2 | PRB-pair indication for SPDCCH..... | 374 |
| 9.1.6.3 | Physical Resource Block (PRB) bundling for DMRS-based SPDCCH..... | 374 |
| 9.1.6.4 | Antenna ports quasi co-location for DMRS-based SPDCCH | 375 |
| 9.2 | PDCCH/EPDCCH/MPDCCH/SPDCCH validation for semi-persistent scheduling..... | 376 |
| 9.2A | PDCCH/EPDCCH validation for autonomous uplink transmissions | 378 |
| 9.3 | PDCCH/EPDCCH/MPDCCH/SPDCCH control information procedure | 379 |
| 10 | Physical uplink control channel procedures | 380 |
| 10.1 | UE procedure for determining physical uplink control channel assignment | 381 |
| 10.1.1 | PUCCH format information..... | 386 |
| 10.1.2 | FDD HARQ-ACK feedback procedures..... | 392 |
| 10.1.2.1 | FDD HARQ-ACK procedure for one configured serving cell..... | 392 |
| 10.1.2.2 | FDD HARQ-ACK procedures for more than one configured serving cell | 396 |
| 10.1.2.2.1 | PUCCH format 1b with channel selection HARQ-ACK procedure..... | 396 |
| 10.1.2.2.2 | PUCCH format 3 HARQ-ACK procedure | 399 |
| 10.1.2.2.3 | PUCCH format 4 HARQ-ACK procedure | 401 |
| 10.1.2.2.4 | PUCCH format 5 HARQ-ACK procedure | 404 |
| 10.1.3 | TDD HARQ-ACK feedback procedures | 405 |
| 10.1.3.1 | TDD HARQ-ACK procedure for one configured serving cell..... | 407 |
| 10.1.3.2 | TDD HARQ-ACK procedure for more than one configured serving cell..... | 421 |
| 10.1.3.2.1 | PUCCH format 1b with channel selection HARQ-ACK procedure..... | 421 |
| 10.1.3.2.2 | PUCCH format 3 HARQ-ACK procedure | 437 |
| 10.1.3.2.3 | PUCCH format 4 HARQ-ACK procedure | 444 |
| 10.1.3.2.4 | PUCCH format 5 HARQ-ACK procedure | 461 |
| 10.1.3A | FDD-TDD HARQ-ACK feedback procedures for primary cell frame structure type 2 | 461 |
| 10.1.4 | HARQ-ACK Repetition procedure..... | 463 |
| 10.1.5 | Scheduling Request (SR) procedure | 464 |
| 10.2 | Uplink HARQ-ACK timing | 466 |
| 11 | Physical Multicast Channel (PMCH) related procedures..... | 470 |
| 11.1 | UE procedure for receiving the PMCH..... | 470 |
| 11.2 | UE procedure for receiving MCCH and system information change notification..... | 471 |
| 12 | Assumptions independent of physical channel..... | 471 |
| 13 | Uplink/Downlink configuration determination procedure for Frame Structure Type 2..... | 471 |
| 13.1 | UE procedure for determining eIMTA-uplink/downlink configuration..... | 472 |
| 13A | Subframe configuration for Frame Structure Type 3 | 473 |
| 14 | UE procedures related to Sidelink..... | 476 |
| 14.1 | Physical Sidelink Shared Channel related procedures..... | 477 |
| 14.1.1 | UE procedure for transmitting the PSSCH | 477 |
| 14.1.1.1 | UE procedure for determining subframes for transmitting PSSCH for sidelink transmission mode 1 | 479 |
| 14.1.1.1.1 | Determination of subframe indicator bitmap..... | 479 |
| 14.1.1.2 | UE procedure for determining resource blocks for transmitting PSSCH for sidelink transmission mode 1 | 482 |
| 14.1.1.2.1 | PSSCH resource allocation for sidelink transmission mode 1 | 482 |
| 14.1.1.2.2 | PSSCH frequency hopping for sidelink transmission mode 1 | 483 |

| | | |
|------------|---|-----|
| 14.1.1.3 | UE procedure for determining subframes for transmitting PSSCH for sidelink transmission mode 2 | 483 |
| 14.1.1.4 | UE procedure for determining resource blocks for transmitting PSSCH for sidelink transmission mode 2 | 484 |
| 14.1.1.4A | UE procedure for determining subframes and resource blocks for transmitting PSSCH for sidelink transmission mode 3 | 484 |
| 14.1.1.4B | UE procedure for determining subframes and resource blocks for transmitting PSSCH and reserving resources for sidelink transmission mode 4 | 485 |
| 14.1.1.4C | UE procedure for determining subframes and resource blocks for PSSCH transmission associated with an SCI format 1 | 485 |
| 14.1.1.5 | UE procedure for PSSCH power control | 486 |
| 14.1.1.6 | UE procedure for determining the subset of resources to be reported to higher layers in PSSCH resource selection in sidelink transmission mode 4 and in sensing measurement in sidelink transmission mode 3 | 488 |
| 14.1.1.7 | Conditions for selecting resources when the number of HARQ transmissions is two in sidelink transmission mode 4 | 491 |
| 14.1.2 | UE procedure for receiving the PSSCH | 491 |
| 14.1.3 | UE procedure for determining resource block pool and subframe pool for sidelink transmission mode 2 | 492 |
| 14.1.5 | UE procedure for determining resource block pool and subframe pool for sidelink transmission mode 3 and 4 | 493 |
| 14.2 | Physical Sidelink Control Channel related procedures | 494 |
| 14.2.1 | UE procedure for transmitting the PSCCH | 494 |
| 14.2.1.1 | UE procedure for determining subframes and resource blocks for transmitting PSCCH for sidelink transmission mode 1 | 497 |
| 14.2.1.2 | UE procedure for determining subframes and resource blocks for transmitting PSCCH for sidelink transmission mode 2 | 497 |
| 14.2.1.3 | UE procedure for PSCCH power control | 498 |
| 14.2.2 | UE procedure for receiving the PSCCH | 499 |
| 14.2.3 | UE procedure for determining resource block pool and subframe pool for PSCCH | 499 |
| 14.2.4 | UE procedure for determining resource block pool for PSCCH in sidelink transmission mode 3 and 4 | 500 |
| 15 | Void | 504 |
| 16 | UE Procedures related to narrowband IoT | 504 |
| 16.1 | Synchronization procedures | 504 |
| 16.1.1 | Cell search | 504 |
| 16.1.2 | Timing synchronization | 504 |
| 16.2 | Power control | 504 |
| 16.2.1 | Uplink power control | 504 |
| 16.2.1.1 | Narrowband physical uplink shared channel | 505 |
| 16.2.1.1.1 | UE behaviour | 505 |
| 16.2.1.1.2 | Power headroom | 505 |
| 16.2.1.2 | SR | 506 |
| 16.2.1.2.1 | UE behaviour | 506 |
| 16.2.2 | Downlink power allocation | 506 |
| 16.3 | Random access procedure | 507 |
| 16.3.1 | Physical non-synchronized random access procedure | 507 |
| 16.3.2 | Timing | 507 |
| 16.3.3 | Narrowband random access response grant | 508 |
| 16.4 | Narrowband physical downlink shared channel related procedures | 510 |
| 16.4.1 | UE procedure for receiving the narrowband physical downlink shared channel | 510 |
| 16.4.1.1 | Single-antenna port scheme | 513 |
| 16.4.1.2 | Transmit diversity scheme | 513 |
| 16.4.1.3 | Resource allocation | 513 |
| 16.4.1.4 | NPDSCH starting position | 516 |
| 16.4.1.5 | Modulation order and transport block size determination | 517 |
| 16.4.1.5.1 | Transport blocks not mapped for <i>SystemInformationBlockType1-NB</i> | 517 |
| 16.4.1.5.2 | Transport blocks mapped for <i>SystemInformationBlockType1-NB</i> | 518 |
| 16.4.2 | UE procedure for reporting ACK/NACK | 518 |
| 16.5 | Narrowband physical uplink shared channel related procedures | 519 |

| | | |
|--|--|------------|
| 16.5.1 | UE procedure for transmitting format 1 narrowband physical uplink shared channel..... | 520 |
| 16.5.1.1 | Resource allocation | 521 |
| 16.5.1.2 | Modulation order, redundancy version and transport block size determination..... | 522 |
| 16.5.2 | UE procedure for NPUSCH retransmission..... | 524 |
| 16.5.3 | UE procedure for transmitting SR | 524 |
| 16.6 | Narrowband physical downlink control channel related procedures | 524 |
| 16.6.1 | NPDCCH starting position | 530 |
| 16.6.2 | NPDCCH control information procedure | 530 |
| 16.6.3 | NPDCCH validation for semi-persistent scheduling | 530 |
| 16.7 | Assumptions independent of physical channel related to narrowband IoT | 531 |
| 16.8 | UE procedure for acquiring cell-specific reference signal sequence and raster offset | 531 |
| 16.9 | UE procedure for receiving narrowband wake up signal | 531 |
| 17 | Wake-up signal related procedures for BL/CE UE | 532 |
| Annex A (informative): Change history | | 533 |
| History | | 551 |

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1 Scope

The present document specifies and establishes the characteristics of the physical layer procedures in the FDD and TDD modes of E-UTRA.

2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

- [1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
- [2] 3GPP TS 36.201: "Evolved Universal Terrestrial Radio Access (E-UTRA); Physical Layer – General Description".
- [3] 3GPP TS 36.211: "Evolved Universal Terrestrial Radio Access (E-UTRA); Physical channels and modulation".
- [4] 3GPP TS 36.212: "Evolved Universal Terrestrial Radio Access (E-UTRA); Multiplexing and channel coding".
- [5] 3GPP TS 36.214: "Evolved Universal Terrestrial Radio Access (E-UTRA); Physical layer – Measurements".
- [6] 3GPP TS 36.101: "Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) radio transmission and reception".
- [7] 3GPP TS 36.104: "Evolved Universal Terrestrial Radio Access (E-UTRA); Base Station (BS) radio transmission and reception".
- [8] 3GPP TS 36.321: "Evolved Universal Terrestrial Radio Access (E-UTRA); Medium Access Control (MAC) protocol specification".
- [9] 3GPP TS 36.423: "Evolved Universal Terrestrial Radio Access (E-UTRA); X2 Application Protocol (X2AP)".
- [10] 3GPP TS 36.133: "Evolved Universal Terrestrial Radio Access (E-UTRA); Requirements for support of radio resource management".
- [11] 3GPP TS 36.331: "Evolved Universal Terrestrial Radio Access (E-UTRA); Radio Resource Control (RRC) protocol specification".
- [12] 3GPP TS 36.306: "Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) radio access capabilities".
- [13] 3GPP TS 37.213: "Physical layer procedures for shared spectrum channel access".
- [14] 3GPP TS 36.304: "Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) procedures in idle mode".
- [15] 3GPP TS 38.321: "NR; Medium Access Control (MAC) protocol specification".
- [16] 3GPP TS 38.133: "NR; Requirements for support of radio resource management".

3 Symbols and abbreviations

3.1 Symbols

For the purposes of the present document, the following symbols apply:

| | |
|------------------|---|
| n_f | System frame number as defined in [3] |
| n_s | Slot number within a radio frame as defined in [3] |
| N_{cells}^{DL} | Number of configured cells |
| N_{RB}^{DL} | Downlink bandwidth configuration, expressed in units of N_{sc}^{RB} as defined in [3] |
| N_{RB}^{UL} | Uplink bandwidth configuration, expressed in units of N_{sc}^{RB} as defined in [3] |
| N_{symb}^{UL} | Number of SC-FDMA symbols in an uplink slot as defined in [3] |
| N_{sc}^{RB} | Resource block size in the frequency domain, expressed as a number of subcarriers as defined in [3] |
| T_s | Basic time unit as defined in [3] |

3.2 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1].

| | |
|---------|--|
| ACK | Acknowledgement |
| AUL | Autonomous Uplink |
| AUL-DFI | AUL downlink feedback information |
| BCH | Broadcast Channel |
| CCE | Control Channel Element |
| CDD | Cyclic Delay Diversity |
| CG | Cell Group |
| CIF | Carrier Indicator Field |
| CQI | Channel Quality Indicator |
| CRC | Cyclic Redundancy Check |
| CRI | CSI-RS Resource Indicator |
| CSI | Channel State Information |
| CSI-IM | CSI-interference measurement |
| DAI | Downlink Assignment Index |
| DC | Dual Connectivity |
| DCI | Downlink Control Information |
| DL | Downlink |
| DL-SCH | Downlink Shared Channel |
| DTX | Discontinuous Transmission |
| EDT | Early Data Transmission |
| EN-DC | E-UTRA NR Dual Connectivity with MCG using E-UTRA and SCG using NR |
| EPDCCH | Enhanced Physical Downlink Control Channel |
| EPRE | Energy Per Resource Element |
| MCG | Master Cell Group |
| MCS | Modulation and Coding Scheme |
| NACK | Negative Acknowledgement |
| NE-DC | NR E-UTRA Dual Connectivity with MCG using NR and SCG using E-UTRA |
| NPBCH | Narrowband Physical Broadcast CHannel |
| NPDCCH | Narrowband Physical Downlink Control CHannel |
| NPDSCH | Narrowband Physical Downlink Shared CHannel |
| NPRACH | Narrowband Physical Random Access CHannel |
| NPUSCH | Narrowband Physical Uplink Shared CHannel |
| NPSS | Narrowband Primary Synchronization Signal |
| NSSS | Narrowband Secondary Synchronization Signal |

| | |
|-------------|---|
| NRS | Narrowband Reference Signal |
| PBCH | Physical Broadcast Channel |
| PCFICH | Physical Control Format Indicator Channel |
| PDCCH | Physical Downlink Control Channel |
| PDSCH | Physical Downlink Shared Channel |
| PHICH | Physical Hybrid ARQ Indicator Channel |
| PMCH | Physical Multicast Channel |
| PMI | Precoding Matrix Indicator |
| PRACH | Physical Random Access Channel |
| PRS | Positioning Reference Signal |
| PRB | Physical Resource Block |
| PSBCH | Physical Sidelink Broadcast Channel |
| PSCCH | Physical Sidelink Control Channel |
| PSCell | Primary Secondary cell |
| PSDCH | Physical Sidelink Discovery Channel |
| PSSCH | Physical Sidelink Shared Channel |
| PSSS | Primary Sidelink Synchronisation Signal |
| PUCCH | Physical Uplink Control Channel |
| PUCCH-SCell | PUCCH SCell |
| PUSCH | Physical Uplink Shared Channel |
| PTI | Precoding Type Indicator |
| RBG | Resource Block Group |
| RE | Resource Element |
| RI | Rank Indication |
| RS | Reference Signal |
| RSS | Resynchronization Signal |
| SCG | Secondary Cell Group |
| SINR | Signal to Interference plus Noise Ratio |
| SPS C-RNTI | Semi-Persistent Scheduling C-RNTI |
| SR | Scheduling Request |
| SRS | Sounding Reference Symbol |
| SSSS | Secondary Sidelink Synchronisation Signal |
| TAG | Timing Advance Group |
| TBS | Transport Block Size |
| UCI | Uplink Control Information |
| UE | User Equipment |
| UL | Uplink |
| UL-SCH | Uplink Shared Channel |
| VRB | Virtual Resource Block |

4 Synchronization procedures

4.1 Cell search

Cell search is the procedure by which a UE acquires time and frequency synchronization with a cell and detects the physical layer Cell ID of that cell. E-UTRA cell search supports a scalable overall transmission bandwidth corresponding to 6 resource blocks and upwards.

The following signals are transmitted in the downlink to facilitate cell search: the primary and secondary synchronization signals.

A UE may assume the antenna ports 0 – 3 and the antenna port for the primary/secondary synchronization signals of a serving cell are quasi co-located (as defined in [3]) with respect to Doppler shift and average delay.

For a BL/CE UE, if the UE is configured with higher layer parameter *RSS-Config*, the UE can use the resynchronization signal (as defined in [3]) to re-acquire time and frequency synchronization with the cell.

4.2 Timing synchronization

4.2.1 Radio link monitoring

The downlink radio link quality of the primary cell shall be monitored by the UE for the purpose of indicating out-of-sync/in-sync status to higher layers.

If the UE is configured with a SCG [11] and the parameter *rlf-TimersAndConstantsSCG* is provided by the higher layers and is not set to release, the downlink radio link quality of the PSCell [11] of the SCG shall be monitored by the UE for the purpose of indicating out-of-sync/in-sync status to higher layers.

In non-DRX mode operation, the physical layer in the UE shall every radio frame assess the radio link quality, evaluated over the previous time period defined in [10], against thresholds (Q_{out} and Q_{in}) defined by relevant tests in [10].

In DRX mode operation, the physical layer in the UE shall at least once every DRX period assess the radio link quality, evaluated over the previous time period defined in [10], against thresholds (Q_{out} and Q_{in}) defined by relevant tests in [10].

If higher-layer signalling indicates certain subframes for restricted radio link monitoring, the radio link quality shall not be monitored in any subframe other than those indicated.

The physical layer in the UE shall in radio frames where the radio link quality is assessed indicate out-of-sync to higher layers when the radio link quality is worse than the threshold Q_{out} . When the radio link quality is better than the threshold Q_{in} , the physical layer in the UE shall in radio frames where the radio link quality is assessed indicate in-sync to higher layers.

4.2.2 Inter-cell synchronization

No functionality is specified in this subclause in this release.

4.2.3 Transmission timing adjustments

Upon reception of a timing advance command or a timing adjustment indication for a TAG containing the primary cell or PSCell, the UE shall adjust uplink transmission timing for PUCCH/PUSCH/SRS of the primary cell or PSCell based on the received timing advance command or a timing adjustment indication.

The UL transmission timing for PUSCH/SRS of a secondary cell is the same as the primary cell if the secondary cell and the primary cell belong to the same TAG. If the primary cell in a TAG has a frame structure type 1 and a secondary cell in the same TAG has a frame structure type 2 or frame structure 3, UE may assume that $N_{TA} \geq 624$.

If the UE is configured with a SCG, the UL transmission timing for PUSCH/SRS of a secondary cell other than the PSCell is the same as the PSCell if the secondary cell and the PSCell belong to the same TAG.