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**LTE;**  
**Evolved Universal Terrestrial**  
**Radio Access Network (E-UTRAN);**  
**X2 Application Protocol (X2AP)**  
**(3GPP TS 36.423 version 13.8.0 Release 13)**

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# 1 Scope

The present document specifies the radio network layer signalling procedures of the control plane between eNBs in E-UTRAN. X2AP supports the functions of X2 interface by signalling procedures defined in this document. X2AP is developed in accordance to the general principles stated in TS 36.401 [2] and TS 36.420 [3].

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# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

- [1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
- [2] 3GPP TS 36.401: "Evolved Universal Terrestrial Radio Access Network (E-UTRAN); Architecture Description".
- [3] 3GPP TS 36.420: "Evolved Universal Terrestrial Radio Access Network (E-UTRAN); X2 General Aspects and Principles".
- [4] 3GPP TS 36.413: "Evolved Universal Terrestrial Radio Access Network (E-UTRAN); S1 Application Protocol (S1AP)".
- [5] ITU-T Recommendation X.691 (2002-07): "Information technology - ASN.1 encoding rules - Specification of Packed Encoding Rules (PER) ".
- [6] 3GPP TS 32.422: "Telecommunication Management; Subscriber and Equipment Trace; Trace Control and Configuration Management".
- [7] 3GPP TS 32.421: "Telecommunication Management; Subscriber and Equipment Trace; Trace concepts and requirements".
- [8] 3GPP TS 36.424: "Evolved Universal Terrestrial Radio Access Network (E-UTRAN); X2 data transport".
- [9] 3GPP TS 36.331: "Evolved Universal Terrestrial Radio Access (E-UTRAN); Radio Resource Control (RRC) Protocol Specification".
- [10] 3GPP TS 36.211: "Evolved Universal Terrestrial Radio Access (E-UTRA); Physical Channels and Modulation".
- [11] 3GPP TS 36.213: "Evolved Universal Terrestrial Radio Access (E-UTRA); Physical layer procedures ".
- [12] 3GPP TS 23.401: "General Packet Radio Service (GPRS) enhancements for Evolved Universal Terrestrial Radio Access Network (E-UTRAN) access".
- [13] 3GPP TS 23.203: "Policy and charging control architecture".
- [14] 3GPP TS 24.301: "Non-Access-Stratum (NAS) protocol for Evolved Packet System; Stage 3".
- [15] 3GPP TS 36.300: "Evolved Universal Terrestrial Radio Access (E-UTRA), Evolved Universal Terrestrial Radio Access Network (E-UTRAN); Overall description; stage 2".
- [16] 3GPP TS 36.104: "Base Station (BS) radio transmission and reception ".

- [17] Void.
- [18] 3GPP TS 33.401: "Security architecture".
- [19] 3GPP TS 36.414: "Evolved Universal Terrestrial Radio Access Network (E-UTRAN); S1 data transport".
- [20] 3GPP TS 23.216: "Single Radio Voice Call Continuity (SRVCC)".
- [21] 3GPP TS 36.422: "Evolved Universal Terrestrial Radio Access Network (E-UTRAN); X2 signaling transport".
- [22] 3GPP TS 36.314: "Evolved Universal Terrestrial Radio Access Network (E-UTRAN); Layer 2 - Measurements".
- [23] Void.
- [24] 3GPP TS 25.413: "UTRAN Iu interface RANAP signalling"
- [25] 3GPP TS 37.320: "Universal Terrestrial Radio Access (UTRA) and Evolved Universal Terrestrial Radio Access (E-UTRA); Radio measurement collection for Minimization of Drive Tests (MDT); Overall description; Stage 2".
- [26] 3GPP TS 29.281: "General Packet Radio Service (GPRS); Tunnelling Protocol User Plane (GTPv1-U)".
- [27] ITU-T Recommendation X.680 (2002-07): "Information technology – Abstract Syntax Notation One (ASN.1): Specification of basic notation".
- [28] ITU-T Recommendation X.681 (2002-07): "Information technology – Abstract Syntax Notation One (ASN.1): Information object specification".
- [29] 3GPP TS 23.003: "Technical Specification Group Core Network and Terminals; Numbering, addressing and identification".
- [30] 3GPP TR 25.921, (version.7.0.0): "Guidelines and principles for protocol description and error handling".

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## 3 Definitions, symbols and abbreviations

### 3.1 Definitions

For the purposes of the present document, the terms and definitions given in TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905 [1].

**Elementary Procedure:** X2AP protocol consists of Elementary Procedures (EPs). An X2AP Elementary Procedure is a unit of interaction between two eNBs. An EP consists of an initiating message and possibly a response message. Two kinds of EPs are used:

- **Class 1:** Elementary Procedures with response (success or failure),
- **Class 2:** Elementary Procedures without response.

**E-RAB:** Defined in TS 36.401 [2].

**CSG Cell:** as defined in TS 36.300 [15].

**Dual Connectivity:** as defined in TS 36.300 [15].

**Hybrid cell:** as defined in TS 36.300 [15].

**Master eNB:** as defined in TS 36.300 [15].

**Secondary Cell Group:** as defined in TS 36.300 [15].

**Secondary eNB:** as defined in TS 36.300 [15].

## 3.2 Symbols

For the purposes of the present document, the following symbols apply:

<symbol>            <Explanation>

## 3.3 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1].

ABS	Almost Blank Subframe
BBF	Broadband Forum
CCO	Cell Change Order
CoMP	Coordinated Multi Point
DC	Dual Connectivity
DL	Downlink
EARFCN	E-UTRA Absolute Radio Frequency Channel Number
E-CID	Enhanced Cell-ID (positioning method)
eNB	E-UTRAN NodeB
EP	Elementary Procedure
EPC	Evolved Packet Core
E-RAB	E-UTRAN Radio Access Bearer
E-UTRAN	Evolved UTRAN
GNSS	Global Navigation Satellite System
GUMMEI	Globally Unique MME Identifier
HFN	Hyper Frame Number
IE	Information Element
L-GW	Local GateWay
MCG	Master Cell Group
MDT	Minimization of Drive Tests
MeNB	Master eNB
MME	Mobility Management Entity
NAICS	Network-Assisted Interference Cancellation and Suppression
PDCP	Packet Data Convergence Protocol
PLMN	Public Land Mobile Network
ProSe	Proximity Service
SCG	Secondary Cell Group
S-GW	Serving Gateway
SeNB	Secondary eNB
SIPTO	Selected IP Traffic Offload
SIPTO@LN	Selected IP Traffic Offload at the Local Network
SN	Sequence Number
TAC	Tracking Area Code
UE	User Equipment
UL	Uplink

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## 4 General

### 4.1 Procedure specification principles

The principle for specifying the procedure logic is to specify the functional behaviour of the terminating eNB exactly and completely. Any rule that specifies the behaviour of the originating eNB shall be possible to be verified with information that is visible within the system.