## ETSITS 137 462 V15.2.0 (2020-01)



Universal Mobile Telecommunications System (UMTS);

ΦŢ**E**;

luant interface: Signalling transport (3GPP TS 37.462 version 15.2.0 Release 15)



# Reference RTS/TSGR-0337462vf20 Keywords 5G,LTE,UMTS

#### **ETSI**

650 Route des Lucioles F-06921 Sophia Antipolis Cedex - FRANCE

Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

Siret N° 348 623 562 00017 - NAF 742 C Association à but non lucratif enregistrée à la Sous-Préfecture de Grasse (06) N° 7803/88

#### Important notice

The present document can be downloaded from: http://www.etsl.org/standards-search

The present document may be made available in electronic versions and/or in print. The content of any electronic and/or print versions of the present document shall not be modified without the prior written authorization of ETSI. In case of any existing or perceived difference in contents between such versions and/or in print, the prevailing version of an ETSI deliverable is the one made publicly available in PDF format at <a href="https://www.etsi.org/deliver">www.etsi.org/deliver</a>.

Users of the present document should be aware that the document may be subject to revision or change of status.

Information on the current status of this and other ETSI documents is available at <a href="https://portal.etsi.org/TB/ETSIDeliverableStatus.aspx">https://portal.etsi.org/TB/ETSIDeliverableStatus.aspx</a>

If you find errors in the present document, please send your comment to one of the following services: https://portal.etsi.org/People/CommitteeSupportStaff.aspx

#### **Copyright Notification**

No part may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying and microfilm except as authorized by written permission of ETSI.

The content of the PDF version shall not be modified without the written authorization of ETSI.

The copyright and the foregoing restriction extend to reproduction in all media.

© ETSI 2020. All rights reserved.

**DECT™**, **PLUGTESTS™**, **UMTS™** and the ETSI logo are trademarks of ETSI registered for the benefit of its Members. **3GPP™** and **LTE™** are trademarks of ETSI registered for the benefit of its Members and of the 3GPP Organizational Partners.

oneM2M<sup>™</sup> logo is a trademark of ETSI registered for the benefit of its Members and of the oneM2M Partners.

GSM® and the GSM logo are trademarks registered and owned by the GSM Association.

## Intellectual Property Rights

#### **Essential patents**

IPRs essential or potentially essential to normative deliverables may have been declared to ETSI. The information pertaining to these essential IPRs, if any, is publicly available for **ETSI members and non-members**, and can be found in ETSI SR 000 314: "Intellectual Property Rights (IPRs); Essential, or potentially Essential, IPRs notified to ETSI in respect of ETSI standards", which is available from the ETSI Secretariat. Latest updates are available on the ETSI Web server (https://ipr.etsi.org/).

Pursuant to the ETSI IPR Policy, no investigation, including IPR searches, has been carried out by ETSI. No guarantee can be given as to the existence of other IPRs not referenced in ETSI SR 000 314 (or the updates on the ETSI Web server) which are, or may be, or may become, essential to the present document.

#### **Trademarks**

The present document may include trademarks and/or tradenames which are asserted and/or registered by their owners. ETSI claims no ownership of these except for any which are indicated as being the property of ETSI, and conveys no right to use or reproduce any trademark and/or tradename. Mention of those trademarks in the present document does not constitute an endorsement by ETSI of products, services or organizations associated with those trademarks.

## **Legal Notice**

This Technical Specification (TS) has been produced by ETSI 3rd Generation Partnership Project (3GPP).

The present document may refer to technical specifications or reports using their 3GPP identities. These shall be interpreted as being references to the corresponding ETSI deliverables.

The cross reference between 3GPP and ETSL identities can be found under <a href="http://webapp.etsi.org/key/queryform.asp">http://webapp.etsi.org/key/queryform.asp</a>.

## Modal verbs terminology

In the present document "shall", "shall not", "should", "should not", "may", "need not", "will", "will not", "can" and "cannot" are to be interpreted as described in clause 3.2 of the <u>ETSI Drafting Rules</u> (Verbal forms for the expression of provisions).

"must" and "must not" are NOT allowed in ETSI deliverables except when used in direct citation.

## Contents

Intelle	ectual Property Rights	S	2
Legal	Notice		
_			
1	•		
2			
3		eviations	
3.1			
3.2			
4	•		
4.1			
4.2			
4.3 4.4			
4.4 4.5			
4.0 4.7	Device types	Δ	
4.7	XID negotiation	a de	
4.8.1	HDLC paramete	ers	
4.8.2	Protocol version	n Alana	
4.8.3	Address assignr	ment	
4.8.4	Device scan		9
4.8.5	Reset device	Or New York Control of the Control o	9
4.9	Link establishment	Trade day and de	10
4.10	Link timeout		10
<b>A</b>	A (ifo	IIDI Ollara timbili dalla tali	11 1
Anne	Pasia atmustura	nDIA description	
Δ2	LINC commands	ite and	11 1′
A 2 1	Set Normal Res	enonse Mode (SNRM) (SNRM)	10
A.2.2	State model  Device types  XID negotiation  HDLC parameters  Protocol version  Address assignment  Device scan  Reset device  Link establishment  Link timeout  Basic structure  UNC commands  Set Normal Response Mode (SNRM)  Disconnect (DISC)		
A.2.3	Unnumbered Ac	cknowledge (UA)	12
A.2.4		Mode (DM)	
A.2.5	Receiver Ready	(RR)	12
A.2.6	Receiver Not Re	eady (RNR)	12
A.2.7			
A.2.8		FRMR)	
A.3			
A.4			
A.5	•		
A.6			
A.7	Full duplex link		13
Anne	x B (informative):	HDLC parameter negotiation	15
	` ,	•	
Anne	x C (informative):	HDLC parameter negotiation example	16
Anne	x D (informative):	Address assignment example	17
D.1		ment command	
D.2	_	ment response	
	•	•	
Anne	x E (informative):	Device scan example	18
Anne	x F (informative):	Change History	20
		•	
Histor	ry		21

## **Foreword**

This Technical Specification has been produced by the 3<sup>rd</sup> Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

where:

- x the first digit:
  - 1 presented to TSG for information;
  - 2 presented to TSG for approval;
  - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

Tehes And And Fide State and State of the St

## 1 Scope

The present document specifies the signalling transport related to RETAP and TMAAP signalling to be used across the Iuant interface for UTRAN, E-UTRAN and NG-RAN. In this specification UTRAN, E-UTRAN and NG-RAN are denoted as "RAN", whereas the corresponding network entities Node B, eNB, en-gNB and NG-RAN node are denoted as "RAN Node". The logical Iuant interface is an interface internal to the RAN Node and defined to reside between the implementation specific O&M function and the RET antennas and between the implementation specific O&M function and the TMA control unit function.

## 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.
- [1] Void
- [2] ISO/IEC 13239 (3rd Edition, 2002 07): "Information Technology Telecommunications and information exchange between systems High-level data link control (HDLC) procedures".
- [4] Antenna Interface Standards Group: "Control Interface for Antenna Line Devices", Standard No. AISG v2.0

## 3 Definitions and abbreviations

#### 3.1 Definitions

For the purposes of the present document, the following terms and definitions apply.

**ASCII character:** A character forming part of the International Reference Version of the 7-bit character set defined in ISO/IEC 646:1991 represented as one octet

Octet: 8 bits as used in ISO/IEC 13239 [2]

Device type: One octet identifying the type of a device

**Unique ID:** A concatenation of the vendor code (2 octets) and a 1 to 17 octets long unit specific code (e.g. serial number) exclusive for each secondary device from the vendor to whom the vendor code is assigned. The vendor code is placed in the left-most (most significant) position of the unique ID. The vendor to whom the vendor code is assigned is responsible for ensuring the uniqueness of the unique ID for each device.

Vendor code: A unique ASCII 2-character code assigned to each vendor in AISG v2.0 [4].

Reset: A process by which the device is put in the state it reaches after a completed power-up

**SecondaryPayloadTransmitLength:** The maximum length of the INFO field of an HDLC I-frame in the direction secondary device to primary device.

SecondaryPayloadReceiveLength: The maximum length of the INFO field of an HDLC I-frame in the direction primary device to secondary device.

#### 3.2 **Abbreviations**

For the purposes of the present document, the following abbreviations apply:

**ADDR** Address **ACK** Acknowledgment **CRC** Cyclic Redundancy Check **DISC** Disconnect (frame type) Disconnected Mode (frame type) DM **FCS** Frame Checking Sequence Format Identifier FI **FRMR** Frame Reject (frame type)

Group Identifier GI GL Group Length

**HDLC** High-Level Data Link Control Information (frame type)

ID Identifier

**INFO** Information (field name) Non Acknowledgment NAK NRM Normal Response Mode

Poll/Final P/F

Remote Electrical Tilting Application Part Receive Not Ready (frame type)
Receive Ready (frame type)
Set Normal Response PΙ Parameter Identifier PL PV **RET** 

**RETAP** 

**RNR** RR

**SNRM** TMA

Tower Mounted Amplifier

Tower Mounted Amplifier Application Part **TMAAP** 

TWA Two Way Alternate

Unnumbered Acknowledgement (frame type) UA Unbalanced Operation Normal Response Mode Class UNC

XID Exchange ID (frame type)

#### 4 luant data link layer

The Data Link Layer uses HDLC Class UNC1,15.1 TWA (see 6.10 in ISO/IEC 13239 [2]) according to ISO/IEC 13239 [2].

#### Invalid receptions 4.1

Frames shall be discarded if a framing error or data overrun occurs.

#### 4.2 Frame lengths

HDLC frame lengths may vary between 4 and N octets.

All secondary devices shall support an N of 78 octets. A secondary device may, after XID negotiation, support a larger N.

#### 4.3 Default address

After reset, a secondary device shall use the no-device address (0x00). While it has the no-device address, it may only respond to device scan and address assignment messages, but any broadcast messages shall be evaluated without response.

#### 4.4 Window size

All devices shall support a window size of 1. A device may, after XID negotiation, support any window size up to 7.

## 4.5 Message timing

A minimum of 3 ms shall elapse between receiving and transmitting messages.

A secondary device shall, after reception of a command with the P/F bit set, start transmitting a response within 10 ms from the time the final flag octet of that command frame was received.

The transmission of the response shall be finalised within the time t=n\*10\*4/datarate where n is the number of octets in the response frame including all HDLC framing overhead. The maximum gap time between two consecutive octets shall not exceed the time t=3\*10/datarate. This corresponds to a 25% utilisation of the Data Link Layer.

The data rate is specified in TS 37.461 [3].

#### 4.6 State model

The connection state model for the layer 2 of the secondary device is shown in figure 4.1. The events written in *italic* are procedures from higher levels e.g. link establishment. The HDLC frames that correspond to the events are written in bold as **command / response** messages.

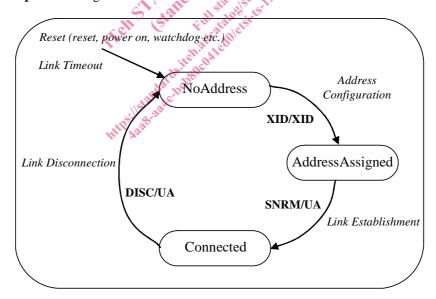


Figure 4.6.1: Connection state model

## 4.7 Device types

Three device types are defined and identified by the assigned 1-octet unsigned integer code.

Table 4.7.1: Device types and codes

Device Type	1-octet unsigned integer code
Single-Antenna RET Device	0x01
Multi-Antenna RET Device	0x11
Tower mounted amplifier (TMA)	0x02

## 4.8 XID negotiation

XID negotiation shall use the standard format (see 5.5.3.1-5.5.3.2.3.2 in ISO/IEC 13239 [2]). See Annex B for a brief description of XID negotiation and Annex C to E for examples of XID negotiations. All GL fields have a size of 1 octet.

Any parameter combination of 4.8.1 (HDLC parameter), 4.8.2 (Protocol Version) and 4.8.3 (Address assignment) in an XID command shall be supported by all secondary devices.

### 4.8.1 HDLC parameters

Format Identifier (FI) shall be 0x81 and Group Identifier (GI) shall be 0x80. All secondary devices shall support the following parameters:

Table 4.8.1.1: HDLC parameters for secondary devices

PI	PL	Description of PV
5	4	Maximum information field length – transmit (bits)
6	4	Maximum information field length - receive (bits)
7	1	Window size ctransmit (frames)
8	1	Window size – receive (frames)

The SecondaryPayloadTransmitLength shall be 74 octets by default. It can be increased via XID negotiation, but shall always be 74 octets or larger.

The SecondaryPayloadReceiveLength shall be 74 octets by default. It can be increased via XID negotiation, but shall always be 74 octets or larger.

#### 4.8.2 Protocol version

Format Identifier (FI) shall be 0x81 and Group Identifier (GI) shall be 0xF0. All secondary devices shall support the following parameter:

Table 4.8.2.1: HDLC parameter for protocol version

PI	PL	Description of PV
5	1	3GPP Release ID

## 4.8.3 Address assignment

The primary device broadcasts the XID commands. The secondary device(s) which match shall respond. The primary shall ensure that only one secondary matches the supplied parameter(s). See below for details.

Format Identifier (FI) shall be 0x81 and Group Identifier (GI) shall be 0xF0. All secondary devices shall support the following parameters:

Table 4.8.3.1: HDLC parameters for address assignment and device scan

PI	PL	Description of PV
1	0 to 19	Unique ID
2	1	HDLC Address
3	0 to 19	Bit Mask (for Unique ID), indicates a device scan
4	1	Device Type (see table 4.7.1)
6	2	Vendor Code as given in AISG v2.0 [4]

The XID message can be used to assign HDLC addresses or to scan for devices.

An address assignment XID command shall contain at least PI=2 (HDLC Address) and shall not contain PI=3 (Bit Mask). During an address assignment all secondary devices first assume a match and then carry out the following steps:

- If PI=1 (Unique ID) is supplied, the right-most PL octets of the secondary devices Unique ID are compared to the Unique ID in the XID command. If they are different, the secondary device does not match, and the message is ignored. If the Unique ID in the XID command is longer than the secondary devices Unique ID, the secondary device does not match, and the message is ignored.
- If PI=4 (Device Type) is supplied, the device type of the secondary device is compared to the device type in the XID command. If they are different, the secondary device does not match, and the message is ignored.
- If PI=6 (Vendor Code) is supplied, the vendor code of the secondary device is compared to the vendor code in the XID command. If they are different, the secondary device does not match, and the message is ignored.

If the secondary device still matches after these steps, the secondary device sets its HDLC address to the address specified in PI=2 and responds with an XID response which contains PI=1 and PI=4.

NOTE: Unlike the normal XID negotiation, in this XID negotiation, the XID response message returns a different set of parameters than the XID command message.

#### 4.8.4 Device scan

The device scan messages may be utilised by the primary to identify all secondary stations in the NoAddress state on an interface.

A device scan XID command shall only contain PI=1 (Unique ID) and PI=3 (Bit Mask), see table 4.8.3.1. PI=1 and PI=3 shall be of equal length PL octets.

If in the NoAddress state, the secondary device masks the min(PL,2) left-most octets of its own unique ID with the min(PL,2) left-most octets of the bit mask in the XID command and compares the result with the min(PL,2) left-most octets the unique ID supplied in the XID command. If they match, the secondary device masks the max(0,PL-2) right-most octets of its own unique ID with the max(0,PL-2) right-most octets of the bit mask in the XID command and compares the result with the max(0,PL-2) right-most octets of the unique ID supplied in the XID command. If they also match, the secondary device transmits an XID response message with its own identification data in the fields PI=1 (complete unique ID), PI=4 (device type) and PI=6 (vendor code).

For the device scan comparison, the unique ID of the secondary device shall be padded with NUL characters (character code 0x00) between the second and third left-most positions to a length of 19 octets.

The scan command with zero length (PL=0) of the Unique ID (PI=1) and the Bit Mask (PI=3) shall match all secondary devices in the NoAddress state.

Only matching secondary devices in the NoAddress state shall respond to the device scan messages.

#### 4.8.5 Reset device

Format identifier (FI) shall be 0x81 and group identifier (GI) shall be 0xF0. All secondary devices shall support the following parameter: