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**5G;  
NR;**

**User Equipment (UE) radio transmission and reception;  
Part 4: Performance requirements  
(3GPP TS 38.101-4 version 15.4.0 Release 15)**

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# Contents

|                                                                                                  |    |
|--------------------------------------------------------------------------------------------------|----|
| Intellectual Property Rights .....                                                               | 2  |
| Legal Notice .....                                                                               | 2  |
| Modal verbs terminology.....                                                                     | 2  |
| 1 Scope .....                                                                                    | 11 |
| 2 References .....                                                                               | 11 |
| 3.1 Definitions .....                                                                            | 12 |
| 3.2 Symbols .....                                                                                | 12 |
| 3.3 Abbreviations .....                                                                          | 12 |
| 4 General .....                                                                                  | 13 |
| 4.1 Relationship between minimum requirements and test requirements .....                        | 13 |
| 4.2 Applicability of minimum requirements .....                                                  | 14 |
| 4.3 Specification suffix information.....                                                        | 14 |
| 4.4 Conducted requirements .....                                                                 | 14 |
| 4.4.1 Reference point .....                                                                      | 15 |
| 4.4.2 SNR definition .....                                                                       | 15 |
| 4.4.3 Noc .....                                                                                  | 15 |
| 4.4.3.1 Introduction .....                                                                       | 15 |
| 4.4.3.2 Noc for NR operating bands in FR1 .....                                                  | 15 |
| 4.4.3.2.1 Derivation of Noc values for NR operating bands in FR1 .....                           | 15 |
| 4.4.3.2.2 Derivation of Es values for NR operating bands in FR1 .....                            | 16 |
| 4.4.3.3 Radiated requirements .....                                                              | 17 |
| 4.5.1 Reference point .....                                                                      | 17 |
| 4.5.2 SNR definition .....                                                                       | 17 |
| 4.5.3 Noc .....                                                                                  | 18 |
| 4.5.3.1 Introduction .....                                                                       | 18 |
| 4.5.3.2 Noc for NR operating bands in FR2 .....                                                  | 18 |
| 4.5.3.3 Derivation of Noc values for NR operating bands in FR2 .....                             | 18 |
| 4.5.3.4 Angle of arrival .....                                                                   | 19 |
| 5 Demodulation performance requirements (Conducted requirements) .....                           | 19 |
| 5.1 General .....                                                                                | 19 |
| 5.1.1 Applicability of requirements .....                                                        | 19 |
| 5.1.1.1 General .....                                                                            | 19 |
| 5.1.1.2 Applicability of requirements for different number of RX antenna ports .....             | 19 |
| 5.1.1.3 Applicability of requirements for optional UE features .....                             | 20 |
| 5.1.1.4 Applicability of requirements for mandatory UE features with capability signalling ..... | 20 |
| 5.2 PDSCH demodulation requirements .....                                                        | 21 |
| 5.2.1 1RX requirements .....                                                                     | 24 |
| 5.2.2 2RX requirements .....                                                                     | 24 |
| 5.2.2.1 FDD .....                                                                                | 24 |
| 5.2.2.1.1 Minimum requirements for PDSCH Mapping Type A .....                                    | 24 |
| 5.2.2.1.2 Minimum requirements for PDSCH Mapping Type A and CSI-RS overlapped with PDSCH .....   | 26 |
| 5.2.2.1.3 Minimum requirements for PDSCH Mapping Type B .....                                    | 27 |
| 5.2.2.1.4 Minimum requirements for PDSCH Mapping Type A and LTE-NR coexistence .....             | 28 |
| 5.2.2.2 TDD .....                                                                                | 29 |
| 5.2.2.2.1 Minimum requirements for PDSCH Mapping Type A .....                                    | 29 |
| 5.2.2.2.2 Minimum requirements for PDSCH Mapping Type A and CSI-RS overlapped with PDSCH .....   | 31 |
| 5.2.2.2.3 Minimum requirements for PDSCH Mapping Type B .....                                    | 32 |
| 5.2.3 4RX requirements .....                                                                     | 33 |
| 5.2.3.1 FDD .....                                                                                | 33 |
| 5.2.3.1.1 Minimum requirements for PDSCH Mapping Type A .....                                    | 33 |
| 5.2.3.1.2 Minimum requirements for PDSCH Mapping Type A and CSI-RS overlapped with PDSCH .....   | 36 |
| 5.2.3.1.3 Minimum requirements for PDSCH Mapping Type B .....                                    | 37 |
| 5.2.3.1.4 Minimum requirements for PDSCH Mapping Type A and LTE-NR coexistence .....             | 38 |
| 5.2.3.2 TDD .....                                                                                | 39 |

|           |                                                                                          |    |
|-----------|------------------------------------------------------------------------------------------|----|
| 5.2.3.2.1 | Minimum requirements for PDSCH Mapping Type A.....                                       | 39 |
| 5.2.3.2.2 | Minimum requirements for PDSCH Mapping Type A and CSI-RS overlapped with PDSCH .....     | 41 |
| 5.2.3.2.3 | Minimum requirements for PDSCH Mapping Type B.....                                       | 42 |
| 5.3       | PDCCH demodulation requirements .....                                                    | 43 |
| 5.3.1     | 1RX requirements .....                                                                   | 46 |
| 5.3.2     | 2RX requirements .....                                                                   | 46 |
| 5.3.2.1   | FDD.....                                                                                 | 46 |
| 5.3.2.1.1 | 1 Tx Antenna performances .....                                                          | 46 |
| 5.3.2.1.2 | 2 Tx Antenna performances .....                                                          | 46 |
| 5.3.2.2   | TDD .....                                                                                | 47 |
| 5.3.2.2.1 | 1 Tx Antenna performances .....                                                          | 47 |
| 5.3.2.2.2 | 2 Tx Antenna performances .....                                                          | 47 |
| 5.3.3     | 4RX requirements .....                                                                   | 48 |
| 5.3.3.1   | FDD.....                                                                                 | 48 |
| 5.3.3.1.1 | 1 Tx Antenna performances .....                                                          | 48 |
| 5.3.3.1.2 | 2 Tx Antenna performances .....                                                          | 48 |
| 5.3.3.2   | TDD .....                                                                                | 49 |
| 5.3.3.2.1 | 1 Tx Antenna performances .....                                                          | 49 |
| 5.3.3.2.2 | 2 Tx Antenna performances .....                                                          | 49 |
| 5.4       | PBCH demodulation requirements .....                                                     | 50 |
| 5.4.1     | 1RX requirements .....                                                                   | 50 |
| 5.4.2     | 2RX requirements .....                                                                   | 50 |
| 5.4.2.1   | FDD.....                                                                                 | 50 |
| 5.4.2.2   | TDD .....                                                                                | 51 |
| 5.4.3     | 4RX requirements .....                                                                   | 51 |
| 5.4.3.1   | FDD.....                                                                                 | 51 |
| 5.4.3.2   | TDD .....                                                                                | 52 |
| 5.5       | Sustained downlink data rate provided by lower layers .....                              | 53 |
| 5.5.1     | FR1 single carrier requirements.....                                                     | 53 |
| 5.5A      | Sustained downlink data rate provided by lower layers .....                              | 53 |
| 5.5A.1    | FR1 CA requirements .....                                                                | 53 |
| 6         | CSI reporting requirements (Conducted requirements) .....                                | 59 |
| 6.1       | General .....                                                                            | 59 |
| 6.1.1     | Applicability of requirements .....                                                      | 59 |
| 6.1.1.1   | General .....                                                                            | 59 |
| 6.1.1.2   | Applicability of requirements for different number of RX antenna ports .....             | 59 |
| 6.1.1.3   | Applicability of requirements for optional UE features .....                             | 59 |
| 6.1.1.4   | Applicability of requirements for mandatory UE features with capability signalling ..... | 59 |
| 6.1.2     | Common test parameters.....                                                              | 60 |
| 6.2       | Reporting of Channel Quality Indicator (CQI).....                                        | 64 |
| 6.2.1     | 1RX requirements .....                                                                   | 64 |
| 6.2.2     | 2RX requirements .....                                                                   | 64 |
| 6.2.2.1   | FDD.....                                                                                 | 64 |
| 6.2.2.1.1 | CQI reporting definition under AWGN conditions .....                                     | 64 |
| 6.2.2.1.2 | CQI reporting under fading conditions.....                                               | 66 |
| 6.2.2.2   | TDD .....                                                                                | 71 |
| 6.2.2.2.1 | CQI reporting definition under AWGN conditions .....                                     | 71 |
| 6.2.2.2.2 | CQI reporting under fading conditions.....                                               | 73 |
| 6.2.3     | 4RX requirements .....                                                                   | 78 |
| 6.2.3.1   | FDD.....                                                                                 | 78 |
| 6.2.3.1.1 | CQI reporting definition under AWGN conditions .....                                     | 78 |
| 6.2.3.1.2 | CQI reporting under fading conditions.....                                               | 80 |
| 6.2.3.2   | TDD .....                                                                                | 85 |
| 6.2.3.2.1 | CQI reporting definition under AWGN.....                                                 | 85 |
| 6.2.3.2.2 | CQI reporting under fading conditions.....                                               | 87 |
| 6.3       | Reporting of Precoding Matrix Indicator (PMI).....                                       | 92 |
| 6.3.1     | 1RX requirements .....                                                                   | 92 |
| 6.3.2     | 2RX requirements .....                                                                   | 92 |
| 6.3.2.1   | FDD.....                                                                                 | 92 |
| 6.3.2.1.1 | Single PMI with 4TX TypeI-SinglePanel Codebook .....                                     | 92 |
| 6.3.2.1.2 | Single PMI with 8TX TypeI-SinglePanel Codebook .....                                     | 95 |

|           |                                                                                          |     |
|-----------|------------------------------------------------------------------------------------------|-----|
| 6.3.2.2   | TDD .....                                                                                | 98  |
| 6.3.2.2.1 | Single PMI with 4TX TypeI-SinglePanel Codebook .....                                     | 98  |
| 6.3.2.2.2 | Single PMI with 8TX TypeI-SinglePanel Codebook .....                                     | 101 |
| 6.3.3     | 4RX requirements .....                                                                   | 104 |
| 6.3.3.1   | FDD.....                                                                                 | 104 |
| 6.3.3.1.1 | Single PMI with 4TX TypeI-SinglePanel Codebook .....                                     | 104 |
| 6.3.3.1.2 | Single PMI with 8TX TypeI-SinglePanel Codebook .....                                     | 107 |
| 6.3.3.2   | TDD .....                                                                                | 110 |
| 6.3.3.2.1 | Single PMI with 4TX TypeI-SinglePanel Codebook .....                                     | 110 |
| 6.3.3.2.2 | Single PMI with 8TX TypeI-SinglePanel Codebook .....                                     | 113 |
| 6.4       | Reporting of Rank Indicator (RI) .....                                                   | 116 |
| 6.4.1     | 1RX requirements .....                                                                   | 116 |
| 6.4.2     | 2RX requirements .....                                                                   | 116 |
| 6.4.2.1   | FDD.....                                                                                 | 116 |
| 6.4.2.2   | TDD .....                                                                                | 119 |
| 6.4.3     | 4RX requirements .....                                                                   | 121 |
| 6.4.3.1   | FDD.....                                                                                 | 121 |
| 6.4.3.2   | TDD .....                                                                                | 124 |
| 7         | Demodulation performance requirements (Radiated requirements) .....                      | 127 |
| 7.1       | General .....                                                                            | 127 |
| 7.1.1     | Applicability of requirements .....                                                      | 127 |
| 7.1.1.1   | General.....                                                                             | 127 |
| 7.1.1.2   | Applicability of requirements for different number of RX antenna ports .....             | 127 |
| 7.1.1.3   | Applicability of requirements for optional UE features .....                             | 127 |
| 7.2       | PDSCH demodulation requirements .....                                                    | 128 |
| 7.2.1     | 1RX requirements .....                                                                   | 132 |
| 7.2.2     | 2RX requirements .....                                                                   | 132 |
| 7.2.2.1   | FDD.....                                                                                 | 132 |
| 7.2.2.2   | TDD .....                                                                                | 132 |
| 7.2.2.2.1 | Minimum requirements for PDSCH Mapping Type-A .....                                      | 132 |
| 7.3       | PDCCH demodulation requirements .....                                                    | 134 |
| 7.3.1     | 1RX requirements .....                                                                   | 137 |
| 7.3.2     | 2RX requirements .....                                                                   | 137 |
| 7.3.2.1   | FDD.....                                                                                 | 137 |
| 7.3.2.2   | TDD .....                                                                                | 137 |
| 7.3.2.2.1 | 1 Tx Antenna performances .....                                                          | 137 |
| 7.3.2.2.2 | 2 Tx Antenna performances .....                                                          | 137 |
| 7.4       | PBCH demodulation requirements.....                                                      | 138 |
| 7.4.1     | 1RX requirements .....                                                                   | 138 |
| 7.4.2     | 2RX requirements .....                                                                   | 138 |
| 7.4.2.1   | FDD.....                                                                                 | 138 |
| 7.4.2.2   | TDD .....                                                                                | 138 |
| 7.5       | Sustained downlink data rate provided by lower layers .....                              | 139 |
| 7.5.1     | FR2 single carrier requirements.....                                                     | 139 |
| 7.5A      | Sustained downlink data rate provided by lower layers .....                              | 139 |
| 7.5A.1    | FR2 CA requirements .....                                                                | 139 |
| 8         | CSI reporting requirements (Radiated requirements).....                                  | 145 |
| 8.1       | General .....                                                                            | 145 |
| 8.1.1     | Applicability of requirements .....                                                      | 145 |
| 8.1.1.1   | General.....                                                                             | 145 |
| 8.1.1.2   | Applicability of requirements for different number of RX antenna ports .....             | 145 |
| 8.1.1.3   | Applicability of requirements for optional UE features .....                             | 146 |
| 8.1.1.4   | Applicability of requirements for mandatory UE features with capability signalling ..... | 146 |
| 8.1.2     | Common test parameters .....                                                             | 146 |
| 8.2       | Reporting of Channel Quality Indicator (CQI).....                                        | 150 |
| 8.2.1     | 1RX requirements .....                                                                   | 150 |
| 8.2.2     | 2RX requirements .....                                                                   | 150 |
| 8.2.2.1   | FDD.....                                                                                 | 150 |
| 8.2.2.2   | TDD .....                                                                                | 150 |
| 8.2.2.2.1 | CQI reporting under AWGN conditions.....                                                 | 150 |

|            |                                                                                          |     |
|------------|------------------------------------------------------------------------------------------|-----|
| 8.2.2.2.2  | CQI reporting under fading conditions.....                                               | 152 |
| 8.3        | Reporting of Precoding Matrix Indicator (PMI).....                                       | 155 |
| 8.3.1      | 1RX requirements .....                                                                   | 155 |
| 8.3.2      | 2RX requirements .....                                                                   | 155 |
| 8.3.2.1    | FDD.....                                                                                 | 155 |
| 8.3.2.2    | TDD .....                                                                                | 155 |
| 8.3.2.2.1  | Single PMI with 2TX TypeI-SinglePanel Codebook .....                                     | 155 |
| 8.4        | Reporting of Rank Indicator (RI) .....                                                   | 158 |
| 8.4.1      | 1RX requirements .....                                                                   | 158 |
| 8.4.2      | 2RX requirements .....                                                                   | 159 |
| 8.4.2.1    | FDD.....                                                                                 | 159 |
| 8.4.2.2    | TDD .....                                                                                | 159 |
| 9          | Demodulation performance requirements for interworking.....                              | 162 |
| 9.1        | General .....                                                                            | 162 |
| 9.1.1      | Applicability of requirements .....                                                      | 162 |
| 9.1.1.1    | Applicability of requirements for optional UE features .....                             | 163 |
| 9.1.1.2    | Applicability of requirements for mandatory UE features with capability signalling ..... | 164 |
| 9.1.2      | E-UTRA Cell setup.....                                                                   | 164 |
| 9.1.2.1    | FDD.....                                                                                 | 164 |
| 9.1.2.2    | TDD .....                                                                                | 165 |
| 9.2        | PDSCH Demodulation .....                                                                 | 166 |
| 9.2A       | PDSCH demodulation for CA.....                                                           | 166 |
| 9.2A.1     | NR CA between FR1 and FR2.....                                                           | 166 |
| 9.2B       | PDSCH demodulation for DC .....                                                          | 166 |
| 9.2B.1     | EN-DC .....                                                                              | 166 |
| 9.2B.1.1   | EN-DC within FR1 .....                                                                   | 166 |
| 9.2B.1.1.1 | PDSCH .....                                                                              | 166 |
| 9.2B.1.2   | EN-DC including FR2 NR carrier only.....                                                 | 166 |
| 9.2B.1.2.1 | PDSCH .....                                                                              | 166 |
| 9.2B.1.3   | EN-DC including FR1 and FR2 NR carriers.....                                             | 166 |
| 9.2B.2     | NR DC between FR1 and FR2.....                                                           | 166 |
| 9.3        | PDCCH demodulation.....                                                                  | 167 |
| 9.3A       | PDCCH demodulation for CA.....                                                           | 167 |
| 9.3A.1     | NR CA between FR1 and FR2.....                                                           | 167 |
| 9.3B       | PDCCH demodulation for DC .....                                                          | 167 |
| 9.3B.1     | EN-DC .....                                                                              | 167 |
| 9.3B.1.1   | EN-DC within FR1 .....                                                                   | 167 |
| 9.3B.1.1.1 | PDCCH.....                                                                               | 167 |
| 9.3B.1.2   | EN-DC including FR2 NR carrier only.....                                                 | 167 |
| 9.3B.1.2.1 | PDCCH.....                                                                               | 167 |
| 9.3B.1.3   | EN-DC including FR1 and FR2 NR carriers.....                                             | 167 |
| 9.3B.2     | NR DC between FR1 and FR2.....                                                           | 167 |
| 9.4        | Void.....                                                                                | 167 |
| 9.4A       | SDR test for CA .....                                                                    | 167 |
| 9.4A.1     | NR CA between FR1 and FR2.....                                                           | 167 |
| 9.4B       | SDR test for DC .....                                                                    | 168 |
| 9.4B.1     | EN-DC .....                                                                              | 168 |
| 9.4B.1.1   | EN-DC within FR1 .....                                                                   | 168 |
| 9.4B.1.1.1 | SDR test.....                                                                            | 168 |
| 9.4B.1.2   | EN-DC including FR2 NR carrier.....                                                      | 170 |
| 9.4B.1.2.1 | SDR test.....                                                                            | 170 |
| 9.4B.1.3   | EN-DC including FR1 and FR2 NR carriers.....                                             | 170 |
| 9.4B.3.1   | NE-DC within FR1 .....                                                                   | 171 |
| 10         | CSI reporting requirements for interworking .....                                        | 171 |
| 10.1       | General .....                                                                            | 171 |
| 10.1.1     | Applicability of requirements .....                                                      | 172 |
| 10.1.1.1   | Applicability of requirements for optional UE features .....                             | 172 |
| 10.1.1.2   | Applicability of requirements for mandatory UE features with capability signalling ..... | 172 |
| 10.2       | Reporting of Channel Quality Indicator (CQI).....                                        | 172 |
| 10.2A      | Reporting of Channel Quality Indicator (CQI) for CA.....                                 | 172 |

|            |                                                                         |            |
|------------|-------------------------------------------------------------------------|------------|
| 10.2B      | Reporting of Channel Quality Indicator (CQI) for DC.....                | 173        |
| 10.2B.1    | EN-DC .....                                                             | 173        |
| 10.2B.1.1  | EN-DC within FR1 .....                                                  | 173        |
| 10.2B.1.2  | EN-DC including FR2 NR carrier.....                                     | 173        |
| 10.2B.1.3  | EN-DC including FR1 and FR2 NR carriers.....                            | 173        |
| 10.2B.2    | NR DC between FR1 and FR2.....                                          | 173        |
| 10.3       | Reporting of Precoding Matrix Indicator (PMI).....                      | 173        |
| 10.3A      | Reporting of Precoding Matrix Indicator (PMI) for CA.....               | 173        |
| 10.3B      | Reporting of Precoding Matrix Indicator (PMI) for DC.....               | 173        |
| 10.3B.1    | EN-DC .....                                                             | 173        |
| 10.3B.1.1  | EN-DC within FR1 .....                                                  | 173        |
| 10.3B.1.2  | EN-DC including NR FR2 carrier.....                                     | 173        |
| 10.3B.1.3  | EN-DC including FR1 and FR2 NR carriers.....                            | 173        |
| 10.3B.2    | NR DC between FR1 and FR2.....                                          | 174        |
| 10.4       | Reporting of Rank Indicator (RI) .....                                  | 174        |
| 10.4A      | Reporting of Rank Indicator (RI) for CA .....                           | 174        |
| 10.4B      | Reporting of Rank Indicator (RI) for DC .....                           | 174        |
| 10.4B.1    | EN-DC .....                                                             | 174        |
| 10.4B.1.1  | EN-DC within FR1 .....                                                  | 174        |
| 10.4B.1.2  | EN-DC including NR FR2 carrier.....                                     | 174        |
| 10.4B.1.3  | EN-DC including FR1 and FR2 NR carriers.....                            | 174        |
| 10.4B.2    | NR DC between FR1 and FR2.....                                          | 174        |
| <b>A.1</b> | <b>Annex A (normative): Measurement channels.....</b>                   | <b>175</b> |
| A.1.1      | General .....                                                           | 175        |
| A.1.2      | TDD UL-DL configurations for FR1 .....                                  | 175        |
| A.1.3      | TDD UL-DL configuration for FR2.....                                    | 179        |
| A.2        | Void.....                                                               | 181        |
| A.3        | DL reference measurement channels .....                                 | 181        |
| A.3.1      | General .....                                                           | 181        |
| A.3.2      | Reference measurement channels for PDSCH performance requirements ..... | 182        |
| A.3.2.1    | FDD .....                                                               | 182        |
| A.3.2.1.1  | Reference measurement channels for SCS 15 kHz FR1 .....                 | 182        |
| A.3.2.1.2  | Reference measurement channels for SCS 30 kHz FR1 .....                 | 190        |
| A.3.2.1.3  | Reference measurement channels for SCS 60 kHz FR1 .....                 | 191        |
| A.3.2.1.4  | Reference measurement channels for E-UTRA .....                         | 191        |
| A.3.2.2    | TDD .....                                                               | 197        |
| A.3.2.2.1  | Reference measurement channels for SCS 15 kHz FR1 .....                 | 197        |
| A.3.2.2.2  | Reference measurement channels for SCS 30 kHz FR1 .....                 | 197        |
| A.3.2.2.3  | Reference measurement channels for SCS 60 kHz FR1 .....                 | 212        |
| A.3.2.2.4  | Reference measurement channels for SCS 60 kHz FR2 .....                 | 212        |
| A.3.2.2.5  | Reference measurement channels for SCS 120 kHz FR2 .....                | 213        |
| A.3.2.2.6  | Reference measurement channels for E-UTRA .....                         | 221        |
| A.3.3      | Reference measurement channels for PDCCH performance requirements ..... | 227        |
| A.3.3.1    | FDD .....                                                               | 227        |
| A.3.3.1.1  | Reference measurement channels for SCS 15 kHz FR1 .....                 | 227        |
| A.3.3.1.2  | Reference measurement channels for SCS 30 kHz FR1 .....                 | 228        |
| A.3.3.2    | TDD .....                                                               | 228        |
| A.3.3.2.1  | Reference measurement channels for SCS 15 kHz FR1 .....                 | 228        |
| A.3.3.2.2  | Reference measurement channels for SCS 30 kHz FR1 .....                 | 229        |
| A.3.3.2.3  | Reference measurement channels for SCS 60 kHz FR1 .....                 | 230        |
| A.3.3.2.4  | Reference measurement channels for SCS 60 kHz FR2 .....                 | 230        |
| A.3.3.2.5  | Reference measurement channels for SCS 120 kHz FR2 .....                | 230        |
| A.3.4      | Reference measurement channels for PBCH demodulation requirements ..... | 230        |
| A.3.4.1    | Reference measurement channels for FR1.....                             | 230        |
| A.3.4.2    | Reference measurement channels for FR2.....                             | 231        |
| A.4        | CSI reference measurement channels.....                                 | 231        |
| A.5        | OFDMA Channel Noise Generator (OCNG) .....                              | 232        |

|         |                                                                       |     |
|---------|-----------------------------------------------------------------------|-----|
| A.5.1   | OCNG Patterns for FDD .....                                           | 232 |
| A.5.1.1 | OCNG FDD pattern 1: Generic OCNG FDD Pattern for all unused REs.....  | 232 |
| A.5.2   | OCNG Patterns for TDD .....                                           | 233 |
| A.5.2.1 | OCNG TDD pattern 1: Generic OCNG TDD Pattern for all unused REs ..... | 233 |

**Annex B (normative):      Propagation conditions.....**234****

|           |                                                                              |     |
|-----------|------------------------------------------------------------------------------|-----|
| B.1       | Static propagation condition.....                                            | 234 |
| B.1.1     | UE Receiver with 2Rx.....                                                    | 234 |
| B.1.2     | UE Receiver with 4Rx.....                                                    | 234 |
| B.2       | Multi-path fading propagation conditions .....                               | 235 |
| B.2.1     | Delay profiles .....                                                         | 235 |
| B.2.1.1   | Delay profiles for FR1 .....                                                 | 236 |
| B.2.1.2   | Delay profiles for FR2 .....                                                 | 237 |
| B.2.2     | Combinations of channel model parameters .....                               | 238 |
| B.2.3     | MIMO Channel Correlation Matrices .....                                      | 239 |
| B.2.3.1   | MIMO Correlation Matrices using Uniform Linear Array (ULA) .....             | 239 |
| B.2.3.1.1 | Definition of MIMO Correlation Matrices.....                                 | 239 |
| B.2.3.1.2 | MIMO Correlation Matrices at High, Medium and Low Level .....                | 240 |
| B.2.3.2   | MIMO Correlation Matrices using Cross Polarized Antennas (X-pol) .....       | 244 |
| B.2.3.2.1 | Definition of MIMO Correlation Matrices using cross polarized antennas ..... | 245 |
| B.2.3.2.2 | MIMO Correlation Matrices using cross polarized antennas .....               | 247 |
| B.2.3.2.3 | Beam steering approach .....                                                 | 248 |
| B.2.4     | Two-tap propagation conditions for CQI tests .....                           | 249 |
| B.3       | High Speed Train Scenario.....                                               | 249 |
| B.3.1     | Single Tap Channel Profile .....                                             | 249 |
| B.4       | Beamforming Model .....                                                      | 252 |
| B.4.1     | Generic beamforming model .....                                              | 252 |

**Annex C (normative):      Downlink physical channels.....**253****

|       |                                               |     |
|-------|-----------------------------------------------|-----|
| C.1   | General .....                                 | 253 |
| C.2   | Setup (Conducted).....                        | 253 |
| C.3   | Connection (Conducted).....                   | 253 |
| C.3.1 | Measurement of Performance requirements ..... | 253 |
| C.4   | Setup (Radiated).....                         | 254 |

**Annex D (informative):      Void .....****255**

**Annex E (normative):      Environmental conditions .....****256**

|       |                                 |     |
|-------|---------------------------------|-----|
| E.1   | General .....                   | 256 |
| E.2   | Environmental (Conducted) ..... | 256 |
| E.2.1 | Temperature .....               | 256 |
| E.2.2 | Voltage .....                   | 256 |
| E.2.3 | Vibration.....                  | 257 |
| E.3   | Environmental (Radiated) .....  | 257 |
| E.3.1 | Temperature .....               | 257 |
| E.3.2 | Voltage .....                   | 257 |
| E.3.3 | Void.....                       | 258 |

**Annex G (informative):      Void .....****259**

**Annex H (informative):      Void .....****259**

**Annex I (informative):      Void .....****259**

**Annex J (informative):      Void .....****259**

|                        |                      |     |
|------------------------|----------------------|-----|
| Annex K (informative): | Void .....           | 259 |
| Annex L (informative): | Change history ..... | 260 |
| History .....          |                      | 265 |

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## Foreword

This Technical Specification has been produced by the 3rd Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

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## 1 Scope

The present document establishes the minimum performance requirements for NR User Equipment (UE).

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## 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

- [1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
- [2] 3GPP TS 38.521-4: "NR; User Equipment (UE) radio transmission and reception; Part 4: Performance requirements".
- [3] Recommendation ITU-R M.1545: "Measurement uncertainty as it applies to test limits for the terrestrial component of International Mobile Telecommunications-2000".
- [4] 3GPP TS 36.101: "Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) radio transmission and reception".
- [5] 3GPP TR 38.901: "Study on channel model for frequencies from 0.5 to 100 GHz".
- [6] 3GPP TS 38.101-1: "NR; User Equipment(UE) radio transmission and reception; Part 1: Range 1 Standalone".
- [7] 3GPP TS 38.101-2: "NR; User Equipment (UE) radio transmission and reception; Part 2: Range 2 Standalone".
- [8] 3GPP TS 38.101-3: "NR; User Equipment (UE) radio transmission and reception; Part 3: Range 1 and Range 2 Interworking operation with other radios".
- [9] 3GPP TS 38.211: "NR; Physical channels and modulation".
- [10] 3GPP TS 38.212: "NR; Multiplexing and channel coding".
- [11] 3GPP TS 38.213: "NR; Physical layer procedures for control".
- [12] 3GPP TS 38.214: "NR; Physical layer procedures for data".
- [13] 3GPP TS 37.340: "Evolved Universal Terrestrial Radio Access (E-UTRA) and NR; Multi-connectivity", Stage 2.
- [14] 3GPP TS 38.306: "NR; User Equipment (UE) radio access capabilities".
- [15] 3GPP TS 36.211: "Evolved Universal Terrestrial Radio Access (E-UTRA); Physical Channels and Modulation".
- [16] 3GPP TS38.521-4, "User Equipment (UE) conformance specification; Radio transmission and reception; Part 4: Performance"

## 3 Definitions, symbols and abbreviations

### 3.1 Definitions

For the purposes of the present document, the terms and definitions given in 3GPP TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in 3GPP TR 21.905 [1].

**DL BWP:** DL bandwidth part as defined in TS 38.213 [11].

**EN-DC:** E-UTRA-NR Dual Connectivity as defined in clause 4.1.2 of TS 37.340 [13].

**Enhanced Receiver Type 1:** SU-MIMO interference mitigation advanced receiver [14]

- R-ML (reduced complexity ML) receiver with enhanced inter-stream interference suppression for SU-MIMO transmissions with rank 2 with 2 RX antennas
- R-ML (reduced complexity ML) receiver with enhanced inter-stream interference suppression for SU-MIMO transmissions with rank 2, 3, and 4 with 4 RX antennas

**FR1:** Frequency range 1 as defined in clause 5.1 of TS 38.101-3 [8].

**FR2:** Frequency range 2 as defined in clause 5.1 of TS 38.101-3 [8].

**SSB:** SS/PBCH block as defined in clause 7.8.3 of TS 38.211 [19].

### 3.2 Symbols

For the purposes of the present document, the following symbols apply:

|          |                                                                                                                                                                                                                                                                                                  |
|----------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| $E_s$    | The averaged received energy per Hz of the wanted signal during the useful part of the symbol, i.e. excluding the cyclic prefix, at the UE antenna connector; average power is computed within a set of REs used for the transmission of physical, divided transmission bandwidth within the set |
| $\mu$    | Subcarrier spacing configuration as defined in clause 4.2 of TS 38.211 [9]                                                                                                                                                                                                                       |
| $N_{oc}$ | The power spectral density of a white noise source with average power per Hz as defined in Clause 4.4.3 for conducted requirements and Clause 4.5.3 for radiated requirements                                                                                                                    |

### 3.3 Abbreviations

For the purposes of the present document, the abbreviations given in 3GPP TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in 3GPP TR 21.905 [1].

|         |                              |
|---------|------------------------------|
| CA      | Carrier Aggregation          |
| CC      | Component Carrier            |
| CCE     | Control Channel Element      |
| CORESET | Control Resource Set         |
| CP      | Cyclic Prefix                |
| CSI     | Channel-State Information    |
| CSI-IM  | CSI Interference Measurement |
| CSI-RS  | CSI Reference Signal         |
| CW      | Codeword                     |
| CQI     | Channel Quality Indicator    |
| CRC     | Cyclic Redundancy Check      |
| CRI     | CSI-RS Resource Indicator    |
| DC      | Dual Connectivity            |
| DCI     | Downlink Control Information |