# ETSI TS 122 240 V15.0.0 (2020-01)



Digital cellular telecommunications system (Phase 2+) (GSM); Universal Mobile Telecommunications System (UMTS);

Service requirements for 3GPP Generic User Profile (GUP); Stage 1

(3GPP TS 22.240 version 15.0.0 Release 15)



# Reference RTS/TSGS-0122240vf00 Keywords GSM,LTE,UMTS

#### **ETSI**

650 Route des Lucioles F-06921 Sophia Antipolis Cedex - FRANCE

Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

Siret N° 348 623 562 00017 - NAF 742 C Association à but non lucratif enregistrée à la Sous-Préfecture de Grasse (06) N° 7803/88

#### Important notice

The present document can be downloaded from: http://www.etsl.org/standards-search

The present document may be made available in electronic versions and/or in print. The content of any electronic and/or print versions of the present document shall not be modified without the prior written authorization of ETSI. In case of any existing or perceived difference in contents between such versions and/or in print, the prevailing version of an ETSI deliverable is the one made publicly available in PDF format at <a href="https://www.etsi.org/deliver">www.etsi.org/deliver</a>.

Users of the present document should be aware that the document may be subject to revision or change of status.

Information on the current status of this and other ETSI documents is available at

<a href="https://portal.etsi.org/TB/ETSIDeliverableStatus.aspx">https://portal.etsi.org/TB/ETSIDeliverableStatus.aspx</a>

If you find errors in the present document, please send your comment to one of the following services: https://portal.etsi.org/People/CommitteeSupportStaff.aspx

#### **Copyright Notification**

No part may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying and microfilm except as authorized by written permission of ETSI.

The content of the PDF version shall not be modified without the written authorization of ETSI.

The copyright and the foregoing restriction extend to reproduction in all media.

© ETSI 2020. All rights reserved.

**DECT™**, **PLUGTESTS™**, **UMTS™** and the ETSI logo are trademarks of ETSI registered for the benefit of its Members. **3GPP™** and **LTE™** are trademarks of ETSI registered for the benefit of its Members and of the 3GPP Organizational Partners.

oneM2M<sup>™</sup> logo is a trademark of ETSI registered for the benefit of its Members and of the oneM2M Partners.

GSM® and the GSM logo are trademarks registered and owned by the GSM Association.

# Intellectual Property Rights

#### **Essential patents**

IPRs essential or potentially essential to normative deliverables may have been declared to ETSI. The information pertaining to these essential IPRs, if any, is publicly available for **ETSI members and non-members**, and can be found in ETSI SR 000 314: "Intellectual Property Rights (IPRs); Essential, or potentially Essential, IPRs notified to ETSI in respect of ETSI standards", which is available from the ETSI Secretariat. Latest updates are available on the ETSI Web server (https://ipr.etsi.org/).

Pursuant to the ETSI IPR Policy, no investigation, including IPR searches, has been carried out by ETSI. No guarantee can be given as to the existence of other IPRs not referenced in ETSI SR 000 314 (or the updates on the ETSI Web server) which are, or may be, or may become, essential to the present document.

#### **Trademarks**

The present document may include trademarks and/or tradenames which are asserted and/or registered by their owners. ETSI claims no ownership of these except for any which are indicated as being the property of ETSI, and conveys no right to use or reproduce any trademark and/or tradename. Mention of those trademarks in the present document does not constitute an endorsement by ETSI of products, services or organizations associated with those trademarks.

# **Legal Notice**

This Technical Specification (TS) has been produced by ETSI 3rd Generation Partnership Project (3GPP).

The present document may refer to technical specifications or reports using their 3GPP identities. These shall be interpreted as being references to the corresponding ETSI deliverables.

The cross reference between 3GPP and ETSL identities can be found under <a href="http://webapp.etsi.org/key/queryform.asp">http://webapp.etsi.org/key/queryform.asp</a>.

# Modal verbs terminology

In the present document "shall", "shall not", "should", "should not", "may", "need not", "will", "will not", "can" and "cannot" are to be interpreted as described in clause 3.2 of the <u>ETSI Drafting Rules</u> (Verbal forms for the expression of provisions).

"must" and "must not" are NOT allowed in ETSI deliverables except when used in direct citation.

# Contents

Intell	ectual Property Rights		2
Legal Notice			2
Modal verbs terminology			2
Forev	vord		4
Introd	luction		4
1.			
2.	•		
		eviations	
3 3.1		eviations	
3.2			
4	General description		6
4.1			
4.1.1		of the Generic User Profile	
4.1.2	Benefits of the 3	GPP Generic User Profile for individual stakeholders	7
4.2	Conceptual view of	the GUP	8
4.3	GUP Data Stores an	nd GUP data Users	9
4.4	Synchronisation mo	del	10
4.5	Contents of GUP	of his constant	11
4.6	The role of Data De	escription in GUP	12
5	Stakeholder requirem	ents	12
5.1	Subscriber Requirer	ments dan	13
5.1.1	User Requireme	ntsd	13
5.2	Value Added Service	e Provider Requirements	13
5.3	Benefits of the 3GPP Generic User Profile for individual stakeholders  Conceptual view of the GUP		
5.4	Roamed-to Network	COperator Requirements (1) (1) (1) (2) (2) (2) (2) (2) (2) (2) (2) (2) (2	14
5.5	Regulatory Require	ments	14
6	General Requirement	s atare 391t	14
6.1	Regulatory Requirements  General Requirements  Network Requirements  UE Requirements  General Service Requirements		
6.2	UE Requirements		
6.3	General Service Requirements		
6.4	Management Requir	rements	15
6.5	Synchronization Requirements		
6.6	Data Description Re	equirements	16
7	Security		16
8	Privacy and Authoris	ation	17
8.1	·		
8.2	1		
9			
	6 6		
	ex A (informative):	Example 3GPP Generic User Profile use cases	
Anne	ex B (informative):	Additional Information	21
Anne	ex C (informative):	Bibliography	24
Anne	ex D (informative):	Change history	25
Histo	<b>rv</b> /		26

## **Foreword**

This Technical Specification has been produced by the 3<sup>rd</sup> Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

where:

- x the first digit:
  - 1 presented to TSG for information;
  - 2 presented to TSG for approval;
  - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, e.g. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

# Introduction

The present document introduces the requirements and features of a 3GPP Generic User Profile (GUP). The GUP will help overcome some of the challenges associated with the introduction of sophisticated user terminals with widely varying capabilities, hybrid combinations of mobile network domains, the advent of downloadable applications, and the desire of users to customise potentially complex services to individual preferences and needs.

The present document for a Generic User Profile will capture requirements that will allow:

- 1. A way to express user preferences in a consistent manner.
- 2. Effective management, control ownership and protection of GUP data.
- 3. Extensibility to cater for future needs and the simple addition of new features.

# 1. Scope

The present document defines the stage one description to the 3GPP Generic User Profile (GUP). It specifies requirements to the 3GPP Generic User Profile, seen primarily from the user, home environment, serving network and value added service provider's points of view.

The present document includes information applicable to the home environment, device- and network manufacturers and value added service providers which are sufficient to provide complete support of services in 3GPP networks.

While the 3GPP Generic User Profile may contain components that are out of scope of 3GPP (e.g. for services offered by third parties) the requirements in the present document pertain only to those components that lie within the 3GPP system.

## 2. References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document in the same release as the present document.
- [1] 3GPP TS 21.905: "Vocabulary for 3GPP Specifications".
  [2] 3GPP TS 32.140: "Subscription Management Requirements".
  [3] Open Mobile Alliance (OMA): OMA-RD-Parlay\_Service\_Access-V1\_0-20100427-A.
  [4] W3C Recommendation "Extensible Markup Language (XML) 1.0 (Fifth Edition)" http://www.w3.org/TR/2008/REC-xml-20081126/
  [5] W3C Recommendation "XML Schemas, Part 1: Structures Second Edition" http://www.w3.org/TR/2004/REC-xmlschema-1-20041028/
  [6] W3C Recommendation "XML Schemas, Part 2: Datatypes Second Edition"

http://www.w3.org/TR/2004/REC-xmlschema-2-20041028/

3 Definitions and abbreviations

## 3.1 Definitions

For the purposes of the present document, the following terms and definitions apply:

**3GPP Generic User Profile (GUP):** The 3GPP Generic User Profile is the collection of user related data which affects the way in which an individual user experiences services and which may be accessed in a standardised manner as described in this specification. The Generic User Profile is defined using the W3C XML recommendation [4].

**GUP Component (logical)**: A GUP component is logically an individual part of the Generic User Profile.

**GUP Component instance (physical)**: a GUP component instance is a physical representation of a GUP component. To one GUP component (logical) correspond one or more component instances, i.e. physical copies. Component instances may be located in the Home Network, in the Value Added Service Provider Environment and/or the User Equipment.

**GUP Data Element**: the indivisible unit of Generic User Profile information.

**GUP Data Model:** The data model describing the data structure, the way the data elements are defined and the relationship to each other.

**Data Description Method:** A method describing how to define the data contained in the Generic User Profile. The description is defined using the W3C XML Schemas recommendations [5], [6].

**Master component instance (aka master instance)**: Among the component instances (physical) associated with a GUP component (logical), one of them is tagged with the role of "master instance". The master component instance is responsible for the correct value of the corresponding GUP component.

Public User Identity: Identity which is used to communicate with other users.

**User:** for definition see 3GPP TR 21.905 [1]. In addition the present document assumes, that the user has a unique identity in the 3GPP system (IMSI or IMS Private ID) and is associated with one 3GPP subscriber. (Note, that a user may have many addresses though! E.g. the user can have several Public User IDs). The user is not necessarily identical to the 3GPP subscriber.

Further 3GPP system related definitions are given in 3GPP TR 21.905 [1].

### 3.2 Abbreviations

For the purposes of the present document, the following abbreviations apply:

**GERAN** GSM/EDGE Radio Access Network **GUP** 3GPP Generic User Profile IP Multimedia Core Network Subsystem IMS International Mobile Subscriber Identity

Mobile Virtual Notword Co. **IMSI MVNO** Mobile Virtual Network Operator Open Service Access OSA PID Private User Identity User Equipment UE Universal Terrestrial Radio Access Network **UTRAN** Value Added Service Provider **VASP** VHE Virtual Home Environment **WLAN** Wireless Local Area Network

Further 3GPP system related abbreviations are given in 3GPP TR 21.905 [1].

# 4 General description

#### 4.1 Introduction

The fact of having several domains within the 3GPP mobile system (e.g. Circuit-Switched, Packet-Switched, IP Multimedia Subsystem ) and access technologies (e.g. GERAN, UTRAN and WLAN) introduces a wide distribution of data associated with the user. Further, the new functions both in terminals and networks mean that the data related to Users, Services and User Equipment will be increased greatly. This causes difficulties for Users, Subscribers, network Operators and Value added service providers to create, access and manage the user-related data located in different entities.

The objective of specifying the 3GPP Generic User Profile is to provide a means to enable harmonised usage of the user-related information originating from different entities. The specification of the GUP shall also allow extensibility to cater for future developments.

The 3GPP Generic User Profile is the collection of User-related data which affects the way in which an individual user experiences services where a community of entities share this data. The 3GPP Generic User Profile can be stored in the home network environment and/or Value Added Service Provider equipment.

The 3GPP Generic User Profile will be accessed by different stakeholders and managed either by one (centralised) or by different stakeholders (de-centralised) such as the user, subscriber, value added service provider and network

operator by a standardised access mechanism. The 3GPP Generic User Profile allows intra-network usage (i.e. data exchange between applications within a mobile operator's network) and inter-network usage (between mobile operator's network and value added service providers) as illustrated in Figure 1.

Note: MVNOs and visited networks are treated as value added service providers in terms of GUP data exchanges with mobile operator's network.

The 3GPP Generic User Profile may be also be used by different applications in a standardised way.

The 3GPP Generic User Profile will help to create and manage the user data in each entity and on the other hand to make it easier to find all user related data as a whole in the home network environment.

Technically the 3GPP Generic User Profile provides an architecture, data description and interface with mechanisms to handle the data.

### 4.1.1 Intended Usage of the Generic User Profile

The intended usage of the 3GPP generic user profile is a critical factor driving its detailed specification, e.g., architecture and data model. In general, user profile data can be shared between different stakeholders to facilitate the following:

- **User preference management**: Enable applications to read and utilize a limited set of user preference information
- **User service customization**: Enable applications to read and utilize personalized service information, i.e., individual settings for a particular service
- Terminal capability management: Enable applications to access terminal-related capabilities
- **User Information sharing**: Enable applications to read and utilize application level information, e.g. address book information
- **Profile key access**: Enable applications to use a unique identity as a key to access profile information, .e.g. any public user identity or an alias.

It is intended that the 3GPP GUP, in particular, will address all of the above. As can be inferred, a user's identity can serve as the unique common key into the profile.

# 4.1.2 Benefits of the 3GRP Generic User Profile for individual stakeholders

The following chapter shows in an exemplary way how stakeholders may benefit from GUP. The examples given are neither exhaustive nor are they meant to be part of, or be implemented by GUP. On the contrary, these functions / use-cases need to be seen distinct from GUP, but capabilities offered through GUP (e.g. a common data description, data access- and synchronisation mechanisms ...) may be utilised to build these functions.

- Subscription Management and Customer Care:
  - Subscription Management [2] benefits from a standardised way to access subscription data of a user. Already today customer care is a noticeable part of an operator's expenses, it will grow to be even more expensive as more services and more terminal types become available for 3GPP system. Unlike the Supplementary services in GSM new services in 3GPP are not standardised. Therefore content and format of subscription data as well as the places (repositories) where subscription data are stored may be different for different new services. GUP specifies the description of- and access of data in a standardised way. This will allow:
  - **Service providers** as well as Value Added Service providers to use standardised GUP mechanisms for Subscription Management and Customer Care by the operator.
  - Reduce costs for Subscription Management and Customer Care for the **operator** and/or **service provider** and/or value added service provider since management tools may rely on this standardised mechanism.
- <u>Subscription Check by third party provided services:</u>
  Third party provided services may run on application servers outside the 3GPP system. However subscription information may be kept by the home operator.

To find out, whether a service is allowed to be invoked by a particular user the service needs to check its subscription. Access to this information can be controlled by means of GUP mechanisms.

- Benefit for third party value added service providers and for
- **Operators**, who want to keep subscription within their domain

#### - Services Interaction:

If personalisation of services possibly effect other services it may be advantageous, that such personalisation is visible to these other services. If a service is designed to permit access to these data through GUP mechanisms:

- the **user** or **operator** may choose to allow certain services to access certain user data of other services of the user.

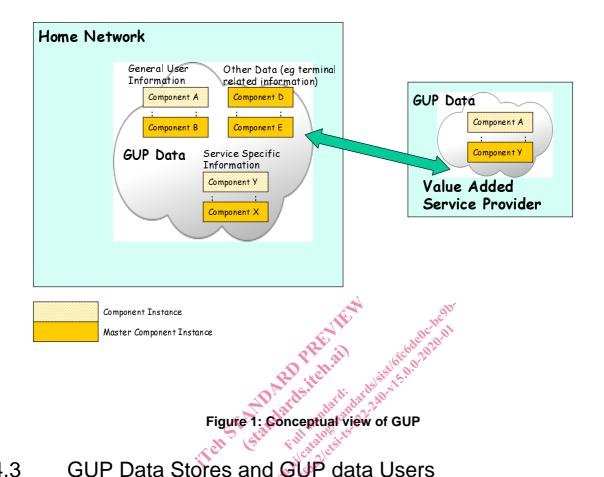
#### - Provision of Terminal Capability information:

Services (from the home- or visited network operator or provided by third parties) may need to know what capabilities the terminal, that is currently used by the user, supports. Multiple provisioning protocols are a problem for terminal vendors since the UE has to support all of them. The GUP data will be described in the same way and can therefore be used in different protocols without having to change. GUP mechanisms could provide the basis for retrieval of a user's terminal capabilities.

- Benefit for the **value added service provider**, who can rely on a GUP mechanism to obtain this information.

# 4.2 Conceptual view of the GUP

For each user (characterised by an IMSI or IMS PID) one User Profile exists, which may consist of several 'components'. These components may be distributed in the home network and value added service provider's environment. Within the home network, the components may be distributed in various network nodes. Figure 1 below provides a conceptual overview of GUP and is as such for informative purposes only. Only one master of the component exists, but one or more copies of the master component may exist. The home operator shall be able to copy master components, which are located outside the home network to the home network. Within the home network, functionality exists that is able to locate GUP components, thereby making applications unaware of the actual location of the components. The administration and management of the data associated with this functionality is under the control of the home network. Although GUP does not attempt to provide an actual classification of the data it may contain, one may consider categorisations such as general user information, terminal related information, service specific information, etc. as indicated in clause 4.4.



#### GUP Data Stores and GUP data Users 4.3

This clause describes in general terms where the generic user profile data resides and which entities use that information. A general feature of the user profile is that the different entities are data consumers for a certain subset of the generic user profile and are data suppliers for another part. The 3GPP GUP data are distributed by nature and consequently stored in home network and Value Added Service Provider Equipment.