



**5G;
NR;
Physical channels and modulation
(3GPP TS 38.211 version 16.2.0 Release 16)**



Reference

RTS/TSGR-0138211vg20

Keywords

5G

ETSI

650 Route des Lucioles
F-06921 Sophia Antipolis Cedex - FRANCE

Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

Siret N° 348 623 562 00017 - NAF 742 C
Association à but non lucratif enregistrée à la
Sous-Préfecture de Grasse (06) N° 7803/88

Important notice

The present document can be downloaded from:

<http://www.etsi.org/standards-search>

The present document may be made available in electronic versions and/or in print. The content of any electronic and/or print versions of the present document shall not be modified without the prior written authorization of ETSI. In case of any existing or perceived difference in contents between such versions and/or in print, the prevailing version of an ETSI deliverable is the one made publicly available in PDF format at www.etsi.org/deliver.

Users of the present document should be aware that the document may be subject to revision or change of status.

Information on the current status of this and other ETSI documents is available at

<https://portal.etsi.org/TB/ETSIDeliverableStatus.aspx>

If you find errors in the present document, please send your comment to one of the following services:

<https://portal.etsi.org/People/CommitteeSupportStaff.aspx>

Copyright Notification

No part may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying and microfilm except as authorized by written permission of ETSI.

The content of the PDF version shall not be modified without the written authorization of ETSI.

The copyright and the foregoing restriction extend to reproduction in all media.

© ETSI 2020.

All rights reserved.

DECT™, **PLUGTESTS™**, **UMTS™** and the ETSI logo are trademarks of ETSI registered for the benefit of its Members.

3GPP™ and **LTE™** are trademarks of ETSI registered for the benefit of its Members and of the 3GPP Organizational Partners.

oneM2M™ logo is a trademark of ETSI registered for the benefit of its Members and of the oneM2M Partners.

GSM® and the GSM logo are trademarks registered and owned by the GSM Association.

Intellectual Property Rights

Essential patents

IPRs essential or potentially essential to normative deliverables may have been declared to ETSI. The information pertaining to these essential IPRs, if any, is publicly available for **ETSI members and non-members**, and can be found in ETSI SR 000 314: *"Intellectual Property Rights (IPRs); Essential, or potentially Essential, IPRs notified to ETSI in respect of ETSI standards"*, which is available from the ETSI Secretariat. Latest updates are available on the ETSI Web server (<https://ipr.etsi.org/>).

Pursuant to the ETSI IPR Policy, no investigation, including IPR searches, has been carried out by ETSI. No guarantee can be given as to the existence of other IPRs not referenced in ETSI SR 000 314 (or the updates on the ETSI Web server) which are, or may be, or may become, essential to the present document.

Trademarks

The present document may include trademarks and/or tradenames which are asserted and/or registered by their owners. ETSI claims no ownership of these except for any which are indicated as being the property of ETSI, and conveys no right to use or reproduce any trademark and/or tradename. Mention of those trademarks in the present document does not constitute an endorsement by ETSI of products, services or organizations associated with those trademarks.

Legal Notice

This Technical Specification (TS) has been produced by ETSI 3rd Generation Partnership Project (3GPP).

The present document may refer to technical specifications or reports using their 3GPP identities. These shall be interpreted as being references to the corresponding ETSI deliverables.

The cross reference between 3GPP and ETSI identities can be found under <http://webapp.etsi.org/key/queryform.asp>.

Modal verbs terminology

In the present document "**shall**", "**shall not**", "**should**", "**should not**", "**may**", "**need not**", "**will**", "**will not**", "**can**" and "**cannot**" are to be interpreted as described in clause 3.2 of the [ETSI Drafting Rules](#) (Verbal forms for the expression of provisions).

"**must**" and "**must not**" are **NOT** allowed in ETSI deliverables except when used in direct citation.

Contents

Intellectual Property Rights	2
Legal Notice	2
Modal verbs terminology.....	2
Foreword.....	8
1 Scope	9
2 References	9
3 Definitions, symbols and abbreviations	9
3.1 Definitions	9
3.2 Symbols.....	9
3.3 Abbreviations	11
4 Frame structure and physical resources.....	11
4.1 General	11
4.2 Numerologies	11
4.3 Frame structure.....	12
4.3.1 Frames and subframes	12
4.3.2 Slots	12
4.4 Physical resources	13
4.4.1 Antenna ports.....	13
4.4.2 Resource grid.....	14
4.4.3 Resource elements	14
4.4.4 Resource blocks	14
4.4.4.1 General	14
4.4.4.2 Point A	14
4.4.4.3 Common resource blocks	15
4.4.4.4 Physical resource blocks	15
4.4.4.5 Virtual resource blocks	15
4.4.4.6 Interlaced resource blocks.....	15
4.4.5 Bandwidth part.....	15
4.5 Carrier aggregation.....	16
5 Generic functions	16
5.1 Modulation mapper	16
5.1.1 $\pi/2$ -BPSK.....	16
5.1.2 BPSK	17
5.1.3 QPSK.....	17
5.1.4 16QAM.....	17
5.1.5 64QAM.....	17
5.1.6 256QAM.....	17
5.2 Sequence generation.....	17
5.2.1 Pseudo-random sequence generation.....	17
5.2.2 Low-PAPR sequence generation type 1.....	18
5.2.2.1 Base sequences of length 36 or larger	18
5.2.2.2 Base sequences of length less than 36.....	18
5.2.3 Low-PAPR sequence generation type 2.....	22
5.2.3.1 Sequences of length 30 or larger	22
5.2.3.2 Sequences of length less than 30.....	22
5.3 OFDM baseband signal generation	26
5.3.1 OFDM baseband signal generation for all channels except PRACH and RIM-RS	26
5.3.2 OFDM baseband signal generation for PRACH.....	28
5.3.3 OFDM baseband signal generation for RIM-RS	29
5.4 Modulation and upconversion	30
6 Uplink.....	30
6.1 Overview	30

6.1.1	Overview of physical channels	30
6.1.2	Overview of physical signals	31
6.2	Physical resources	31
6.3	Physical channels	31
6.3.1	Physical uplink shared channel	31
6.3.1.1	Scrambling	31
6.3.1.2	Modulation	32
6.3.1.3	Layer mapping	32
6.3.1.4	Transform precoding	33
6.3.1.5	Precoding	33
6.3.1.6	Mapping to virtual resource blocks	37
6.3.1.7	Mapping from virtual to physical resource blocks	37
6.3.2	Physical uplink control channel	37
6.3.2.1	General	37
6.3.2.2	Sequence and cyclic shift hopping	38
6.3.2.2.1	Group and sequence hopping	38
6.3.2.2.2	Cyclic shift hopping	39
6.3.2.3	PUCCH format 0	39
6.3.2.3.1	Sequence generation	39
6.3.2.3.2	Mapping to physical resources	39
6.3.2.4	PUCCH format 1	40
6.3.2.4.1	Sequence modulation	40
6.3.2.4.2	Mapping to physical resources	41
6.3.2.5	PUCCH format 2	41
6.3.2.5.1	Scrambling	41
6.3.2.5.2	Modulation	41
6.3.2.5.2A	Spreading	42
6.3.2.5.3	Mapping to physical resources	42
6.3.2.6	PUCCH formats 3 and 4	42
6.3.2.6.1	Scrambling	42
6.3.2.6.2	Modulation	43
6.3.2.6.3	Block-wise spreading	43
6.3.2.6.4	Transform precoding	44
6.3.2.6.5	Mapping to physical resources	44
6.3.3	Physical random-access channel	45
6.3.3.1	Sequence generation	45
6.3.3.2	Mapping to physical resources	51
6.4	Physical signals	71
6.4.1	Reference signals	71
6.4.1.1	Demodulation reference signal for PUSCH	71
6.4.1.1.1	Sequence generation	71
6.4.1.1.2	(void)	73
6.4.1.1.3	Precoding and mapping to physical resources	73
6.4.1.2	Phase-tracking reference signals for PUSCH	77
6.4.1.2.1	Sequence generation	77
6.4.1.2.1.1	Sequence generation if transform precoding is not enabled	77
6.4.1.2.1.2	Sequence generation if transform precoding is enabled	78
6.4.1.2.2	Mapping to physical resources	78
6.4.1.2.2.1	Precoding and mapping to physical resources if transform precoding is not enabled	78
6.4.1.2.2.2	Mapping to physical resources if transform precoding is enabled	80
6.4.1.3	Demodulation reference signal for PUCCH	81
6.4.1.3.1	Demodulation reference signal for PUCCH format 1	81
6.4.1.3.1.1	Sequence generation	81
6.4.1.3.1.2	Mapping to physical resources	82
6.4.1.3.2	Demodulation reference signal for PUCCH format 2	82
6.4.1.3.2.1	Sequence generation	82
6.4.1.3.2.2	Mapping to physical resources	83
6.4.1.3.3	Demodulation reference signal for PUCCH formats 3 and 4	83
6.4.1.3.3.1	Sequence generation	83
6.4.1.3.3.2	Mapping to physical resources	83
6.4.1.4	Sounding reference signal	84
6.4.1.4.1	SRS resource	84

6.4.1.4.2	Sequence generation	84
6.4.1.4.3	Mapping to physical resources	85
6.4.1.4.4	Sounding reference signal slot configuration	90
7	Downlink	90
7.1	Overview	90
7.1.1	Overview of physical channels	90
7.1.2	Overview of physical signals	90
7.2	Physical resources	91
7.3	Physical channels	91
7.3.1	Physical downlink shared channel	91
7.3.1.1	Scrambling	91
7.3.1.2	Modulation	92
7.3.1.3	Layer mapping	92
7.3.1.4	Antenna port mapping	93
7.3.1.5	Mapping to virtual resource blocks	94
7.3.1.6	Mapping from virtual to physical resource blocks	94
7.3.2	Physical downlink control channel (PDCCH)	96
7.3.2.1	Control-channel element (CCE)	96
7.3.2.2	Control-resource set (CORESET)	96
7.3.2.3	Scrambling	97
7.3.2.4	PDCCH modulation	98
7.3.2.5	Mapping to physical resources	98
7.3.3	Physical broadcast channel	98
7.3.3.1	Scrambling	98
7.3.3.2	Modulation	98
7.3.3.3	Mapping to physical resources	98
7.4	Physical signals	98
7.4.1	Reference signals	98
7.4.1.1	Demodulation reference signals for PDSCH	98
7.4.1.1.1	Sequence generation	98
7.4.1.1.2	Mapping to physical resources	99
7.4.1.2	Phase-tracking reference signals for PDSCH	103
7.4.1.2.1	Sequence generation	103
7.4.1.2.2	Mapping to physical resources	103
7.4.1.3	Demodulation reference signals for PDCCH	105
7.4.1.3.1	Sequence generation	105
7.4.1.3.2	Mapping to physical resources	105
7.4.1.4	Demodulation reference signals for PBCH	106
7.4.1.4.1	Sequence generation	106
7.4.1.4.2	Mapping to physical resources	106
7.4.1.5	CSI reference signals	106
7.4.1.5.1	General	106
7.4.1.5.2	Sequence generation	106
7.4.1.5.3	Mapping to physical resources	107
7.4.1.6	RIM reference signals	110
7.4.1.6.1	General	110
7.4.1.6.2	Sequence generation	110
7.4.1.6.3	Mapping to physical resources	111
7.4.1.6.4	RIM-RS configuration	111
7.4.1.6.4.1	General	111
7.4.1.6.4.2	Time-domain parameters and mapping from it to time-domain parameters	111
7.4.1.6.4.3	Frequency-domain parameters and mapping from it to frequency-domain parameters	112
7.4.1.6.4.4	Sequence parameters and mapping from it to sequence parameters	113
7.4.1.6.4.5	Mapping between resource triplet and set ID	113
7.4.1.7	Positioning reference signals	114
7.4.1.7.1	General	114
7.4.1.7.2	Sequence generation	114
7.4.1.7.3	Mapping to physical resources in a downlink PRS resource	114
7.4.1.7.4	Mapping to slots in a downlink PRS resource set	115
7.4.2	Synchronization signals	116
7.4.2.1	Physical-layer cell identities	116

7.4.2.2	Primary synchronization signal.....	116
7.4.2.2.1	Sequence generation.....	116
7.4.2.2.2	Mapping to physical resources.....	116
7.4.2.3	Secondary synchronization signal.....	116
7.4.2.3.1	Sequence generation.....	116
7.4.2.3.2	Mapping to physical resources.....	117
7.4.3	SS/PBCH block.....	117
7.4.3.1	Time-frequency structure of an SS/PBCH block.....	117
7.4.3.1.1	Mapping of PSS within an SS/PBCH block.....	118
7.4.3.1.2	Mapping of SSS within an SS/PBCH block.....	118
7.4.3.1.3	Mapping of PBCH and DM-RS within an SS/PBCH block.....	118
7.4.3.2	Time location of an SS/PBCH block.....	118
8	Sidelink.....	119
8.1	Overview.....	119
8.1.1	Overview of physical channels.....	119
8.1.2	Overview of physical signals.....	119
8.2	Physical resources.....	119
8.2.1	General.....	119
8.2.2	Numerologies.....	119
8.2.3	Frame structure.....	120
8.2.3.1	Frames and subframes.....	120
8.2.3.2	Slots.....	120
8.2.4	Antenna ports.....	120
8.2.5	Resource grid.....	120
8.2.6	Resource elements.....	120
8.2.7	Resource blocks.....	120
8.2.8	Bandwidth part.....	121
8.3	Physical channels.....	121
8.3.1	Physical sidelink shared channel.....	121
8.3.1.1	Scrambling.....	121
8.3.1.2	Modulation.....	122
8.3.1.3	Layer mapping.....	122
8.3.1.4	Precoding.....	122
8.3.1.5	Mapping to virtual resource blocks.....	122
8.3.1.6	Mapping from virtual to physical resource blocks.....	123
8.3.2	Physical sidelink control channel.....	123
8.3.2.1	Scrambling.....	123
8.3.2.2	Modulation.....	123
8.3.2.3	Mapping to physical resources.....	123
8.3.3	Physical sidelink broadcast channel.....	123
8.3.3.1	Scrambling.....	123
8.3.3.2	Modulation.....	123
8.3.3.3	Mapping to physical resources.....	124
8.3.4	Physical sidelink feedback channel.....	124
8.3.4.1	General.....	124
8.3.4.2	PSFCH format 0.....	124
8.3.4.2.1	Sequence generation.....	124
8.3.4.2.2	Mapping to physical resources.....	124
8.4	Physical signals.....	124
8.4.1	Reference signals.....	124
8.4.1.1	Demodulation reference signals for PSSCH.....	124
8.4.1.1.1	Sequence generation.....	124
8.4.1.1.2	Mapping to physical resources.....	125
8.4.1.2	Phase-tracking reference signals for PSSCH.....	126
8.4.1.2.1	Sequence generation.....	126
8.4.1.2.2	Mapping to physical resources.....	126
8.4.1.3	Demodulation reference signals for PSCCH.....	127
8.4.1.3.1	Sequence generation.....	127
8.4.1.3.2	Mapping to physical resources.....	127
8.4.1.4	Demodulation reference signals for PSBCH.....	128
8.4.1.4.1	Sequence generation.....	128

8.4.1.4.2	Mapping to physical resources	128
8.4.1.5	CSI reference signals.....	128
8.4.1.5.1	General	128
8.4.1.5.2	Sequence generation	128
8.4.1.5.3	Mapping to physical resources	129
8.4.2	Synchronization signals	129
8.4.2.1	Physical-layer sidelink identities.....	129
8.4.2.2	Sidelink primary synchronization signal.....	129
8.4.2.2.1	Sequence generation	129
8.4.2.2.2	Mapping to physical resources	129
8.4.2.3	Sidelink secondary synchronization signal	129
8.4.2.3.1	Sequence generation	129
8.4.2.3.2	Mapping to physical resources	130
8.4.3	S-SS/PSBCH block.....	130
8.4.3.1	Time-frequency structure of an S-SS/PSBCH block.....	130
8.4.3.1.1	Mapping of S-PSS within an S-SS/PSBCH block.....	130
8.4.3.1.2	Mapping of S-SSS within an S-SS/PSBCH block.....	130
8.4.3.1.3	Mapping of PSBCH and DM-RS within an S-SS/PSBCH block.....	131
8.4.3.2	Time location of an S-SS/PSBCH block.....	131
Annex A:	Change history	132
History		135

iTeh STANDARD PREVIEW
 (standards.iteh.ai)
 Full standard:
<https://standards.iteh.ai/catalog/standards/sist/1301b048-2994-4462-a159-5d4a1e8177a8/etsi-ts-138-211-v16.2.0-2020-07>

Foreword

This Technical Specification has been produced by the 3rd Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

where:

- x the first digit:
 - 1 presented to TSG for information;
 - 2 presented to TSG for approval;
 - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

ITeH STANDARD PREVIEW
(standards.iteh.ai)
Full standard:
<https://standards.iteh.ai/catalog/standards/sist/1301b48-2994-4462-a159-5d4a1e8177a8/etsi-ts-138-211-v16.2.0>
2020-07

1 Scope

The present document describes the physical channels and signals for 5G-NR.

2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- [1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
- [2] 3GPP TS 38.201: "NR; Physical Layer – General Description"
- [3] 3GPP TS 38.202: "NR; Services provided by the physical layer"
- [4] 3GPP TS 38.212: "NR; Multiplexing and channel coding"
- [5] 3GPP TS 38.213: "NR; Physical layer procedures for control "
- [6] 3GPP TS 38.214: "NR; Physical layer procedures for data "
- [7] 3GPP TS 38.215: "NR; Physical layer measurements"
- [8] 3GPP TS 38.104: "NR; Base Station (BS) radio transmission and reception"
- [9] void
- [10] 3GPP TS 38.306: "NR; User Equipment (UE) radio access capabilities"
- [11] 3GPP TS 38.321: "NR; Medium Access Control (MAC) protocol specification"

3 Definitions, symbols and abbreviations

3.1 Definitions

For the purposes of the present document, the following definitions apply:

3.2 Symbols

For the purposes of the present document, the following symbols apply:

$(k,l)_{p,\mu}$	Resource element with frequency-domain index k and time-domain index l for antenna port p and subcarrier spacing configuration μ ; see clause 4.4.3
$a_{k,l}^{(p,\mu)}$	Value of resource element (k,l) for antenna port p and subcarrier spacing configuration μ ; see clause 4.4.3
β	Amplitude scaling for a physical channel/signal
$c(n)$	PN sequence; see clause 5.2.1
Δf	Subcarrier spacing
Δf_{RA}	Subcarrier spacing for random-access preambles
κ	The ratio between T_s and T_c ; see clause 4.1
k	Subcarrier index relative to a reference
l	OFDM symbol index relative to a reference
μ	Subcarrier spacing configuration, $\Delta f = 2^\mu \cdot 15 \text{ [kHz]}$

$M_{\text{bit}}^{(q)}$	Number of coded bits to transmit on a physical channel [for codeword q]
$M_{\text{symb}}^{(q)}$	Number of modulation symbols to transmit on a physical channel [for codeword q]
$M_{\text{symb}}^{\text{layer}}$	Number of modulation symbols to transmit per layer for a physical channel
$M_{\text{sc}}^{\text{PUSCH}}$	Scheduled bandwidth for uplink transmission, expressed as a number of subcarriers
$M_{\text{RB}}^{\text{PUSCH}}$	Scheduled bandwidth for uplink transmission, expressed as a number of resource blocks
$M_{\text{symb}}^{\text{ap}}$	Number of modulation symbols to transmit per antenna port for a physical channel
ν	Number of transmission layers
$N_{\text{BWP},i}^{\text{size}}$	Size of bandwidth part i ; see clause 4.4.4.4
$N_{\text{BWP},i}^{\text{start}}$	Start of bandwidth part i ; see clause 4.4.4.4
$N_{\text{CP},l}^{\mu}$	Cyclic prefix length; see clause 5.3.1
$N_{\text{grid},x}^{\text{size},\mu}$	The size of the resource grid; see clauses 4.4.2 and 5.3
$N_{\text{grid},x}^{\text{start},\mu}$	The start of the resource grid; see clause 4.4.2
$N_{\text{group}}^{\text{PT-RS}}$	The number of PT-RS groups; see clause 6.3.1.4
$N_{\text{ID}}^{\text{cell}}$	Physical layer cell identity; see clause 7.4.2.1
$N_{\text{ID}}^{\text{SL}}$	Physical-layer sidelink identity; see clause 8.4.2.1
$N_{\text{RB}}^{\text{CORESET}}$	Frequency-domain size of a control resource set; see clause 7.3.2.2
$N_{\text{REG}}^{\text{CORESET}}$	Number of resource-element groups in a CORESET; see clause 7.3.2.2
$N_{\text{samp}}^{\text{group}}$	Number of samples per PT-RS group; see clause 6.3.1.4
$N_{\text{sc}}^{\text{RB}}$	Number of subcarriers per resource block, see clause 4.4.4.1
$N_{\text{slot}}^{\text{subframe},\mu}$	Number of slots per subframe for subcarrier spacing configuration μ , see clause 4.3.2
$N_{\text{slot}}^{\text{frame},\mu}$	Number of slots per frame for subcarrier spacing configuration μ , see clause 4.3.2
$N_{\text{symb}}^{\text{CORESET}}$	Time duration of a control resource set; see clause 7.3.2.2
$N_{\text{symb}}^{\text{PUCCH}}$	Length of the PUCCH transmission in OFDM symbols; see clause 6.3.2.1
$N_{\text{symb}}^{\text{subframe},\mu}$	Number of OFDM symbols per subframe for subcarrier spacing configuration μ ; see clause 4.3.1
$N_{\text{symb}}^{\text{slot}}$	Number of symbols per slot
N_{TA}	Timing advance between downlink and uplink; see clause 4.3.1
$N_{\text{TA,offset}}$	A fixed offset used to calculate the timing advance; see clause 4.3.1
$N_{\text{Rx-Tx}}$	Minimum time from reception to transmission for a half-duplex UE; see clause 4.3.2
n_{f}	System frame number (SFN)
n_{CRB}^{μ}	Common resource block number for subcarrier spacing configuration μ , see clause 4.4.4.3
n_{PRB}	Physical resource block number; see clause 4.4.4.4
n_{RNTI}	Radio network temporary identifier
n_{s}^{μ}	Slot number within a subframe for subcarrier spacing configuration μ ; see clause 4.3.2
$n_{\text{s},f}^{\mu}$	Slot number within a frame for subcarrier spacing configuration μ ; see clause 4.3.2
p	Antenna port number
Q_{m}	Modulation order
ρ	Number of antenna ports
$\bar{r}_{u,v}(n)$	Low-PAPR base sequence; see clause 5.2.2
$r_{u,v}^{(\alpha,\delta)}(n)$	Low-PAPR sequence; see clause 5.2.2
$s_l^{(p,\mu)}(t)$	The time-continuous signal on antenna port p and subcarrier spacing configuration μ for OFDM symbol l in a subframe; see clause 5.3.1
T_{c}	Basic time unit for NR; see clause 4.1
T_{f}	Radio frame duration; see clause 4.3.1
T_{s}	Basic time unit for LTE
T_{sf}	Subframe duration; see clause 4.3.1
T_{slot}	Slot duration; see clause 4.3.2
T_{TA}	Timing advance between downlink and uplink; see clause 4.3.1
W	Precoding matrix for spatial multiplexing

3.3 Abbreviations

For the purposes of the present document, the following abbreviations apply:

BWP	Bandwidth part
CCE	Control channel element
CORESET	Control resource set
CRB	Common resource block
CSI	Channel-state information
CSI-RS	CSI reference signal
DCI	Downlink Control Information
DM-RS	Demodulation reference signal
FR1	Frequency range 1 as defined in [8, TS 38.104]
FR2	Frequency range 2 as defined in [8, TS 38.104]
IAB	Integrated access and backhaul
IAB-MT	IAB mobile termination
IE	Information element
PBCH	Physical broadcast channel
PDCCH	Physical downlink control channel
PDSCH	Physical downlink shared channel
PRACH	Physical random-access channel
PRB	Physical resource block
PSS	Primary synchronization signal
PT-RS	Phase-tracking reference signal
PUCCH	Physical uplink control channel
PUSCH	Physical uplink shared channel
REG	Resource-element group
RIM	Remote interference management
RIM-RS	Remote interference management reference signal
SRS	Sounding reference signal
SSS	Secondary synchronization signal
VRB	Virtual resource block

4 Frame structure and physical resources

4.1 General

Throughout this specification, unless otherwise noted, the size of various fields in the time domain is expressed in time units $T_c = 1/(\Delta f_{\max} \cdot N_f)$ where $\Delta f_{\max} = 480 \cdot 10^3$ Hz and $N_f = 4096$. The constant $\kappa = T_s/T_c = 64$ where $T_s = 1/(\Delta f_{\text{ref}} \cdot N_{f,\text{ref}})$, $\Delta f_{\text{ref}} = 15 \cdot 10^3$ Hz and $N_{f,\text{ref}} = 2048$.

Throughout this specification, unless otherwise noted, statements using the term "UE" in clauses 4, 5, 6, or 7 are equally applicable to the IAB-MT part of an IAB-node.

4.2 Numerologies

Multiple OFDM numerologies are supported as given by Table 4.2-1 where μ and the cyclic prefix for a downlink or uplink bandwidth part are obtained from the higher-layer parameter *subcarrierSpacing* and *cyclicPrefix*, respectively.

Table 4.2-1: Supported transmission numerologies.

μ	$\Delta f = 2^\mu \cdot 15 [\text{kHz}]$	Cyclic prefix
0	15	Normal
1	30	Normal
2	60	Normal, Extended
3	120	Normal
4	240	Normal

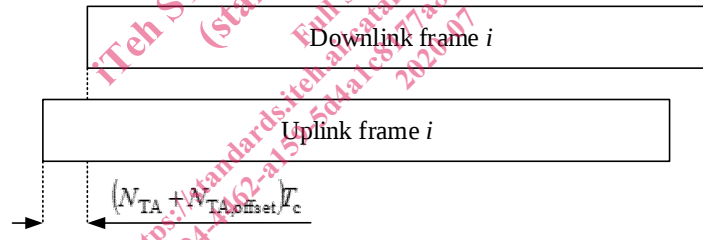
4.3 Frame structure

4.3.1 Frames and subframes

Downlink, uplink, and sidelink transmissions are organized into frames with $T_f = (\Delta f_{\max} N_f / 100) \cdot T_c = 10 \text{ ms}$ duration, each consisting of ten subframes of $T_{\text{sf}} = (\Delta f_{\max} N_f / 1000) \cdot T_c = 1 \text{ ms}$ duration. The number of consecutive OFDM symbols per subframe is $N_{\text{symb}}^{\text{subframe}, \mu} = N_{\text{symb}}^{\text{slot}} N_{\text{slot}}^{\text{subframe}, \mu}$. Each frame is divided into two equally-sized half-frames of five subframes each with half-frame 0 consisting of subframes 0 – 4 and half-frame 1 consisting of subframes 5 – 9.

There is one set of frames in the uplink and one set of frames in the downlink on a carrier.

Uplink frame number i for transmission from the UE shall start $T_{\text{TA}} = (N_{\text{TA}} + N_{\text{TA,offset}}) T_c$ before the start of the corresponding downlink frame at the UE where $N_{\text{TA,offset}}$ is given by [5, TS 38.213], except for msgA transmission on PUSCH where $N_{\text{TA}} = 0$ shall be used.

**Figure 4.3.1-1: Uplink-downlink timing relation.**

4.3.2 Slots

For subcarrier spacing configuration μ , slots are numbered $n_s^\mu \in \{0, \dots, N_{\text{slot}}^{\text{subframe}, \mu} - 1\}$ in increasing order within a subframe and $n_{s,f}^\mu \in \{0, \dots, N_{\text{slot}}^{\text{frame}, \mu} - 1\}$ in increasing order within a frame. There are $N_{\text{symb}}^{\text{slot}}$ consecutive OFDM symbols in a slot where $N_{\text{symb}}^{\text{slot}}$ depends on the cyclic prefix as given by Tables 4.3.2-1 and 4.3.2-2. The start of slot n_s^μ in a subframe is aligned in time with the start of OFDM symbol $n_s^\mu N_{\text{symb}}^{\text{slot}}$ in the same subframe.

OFDM symbols in a slot in a downlink or uplink frame can be classified as 'downlink', 'flexible', or 'uplink'. Signaling of slot formats is described in clause 11.1 of [5, TS 38.213].

In a slot in a downlink frame, the UE shall assume that downlink transmissions only occur in 'downlink' or 'flexible' symbols.

In a slot in an uplink frame, the UE shall only transmit in 'uplink' or 'flexible' symbols.

A UE not capable of full-duplex communication and not supporting simultaneous transmission and reception as defined by parameter *simultaneousRxTxInterBandENDC*, *simultaneousRxTxInterBandCA* or *simultaneousRxTxSUL* [10, TS 38.306] among all cells within a group of cells is not expected to transmit in the uplink in one cell within the group of