

SLOVENSKI STANDARD SIST EN ISO 11137-2:2015

01-september-2015

Nadomešča:

SIST EN ISO 11137-2:2013

Sterilizacija izdelkov za zdravstveno nego - Sevanje - 2. del: Določanje odmerka sterilizacije (ISO 11137-2:2013)

Sterilization of health care products - Radiation - Part 2: Establishing the sterilization dose (ISO 11137-2:2013)

Sterilisation von Produkten für die Gesundheitsfürsorge - Strahlen - Teil 2: Festlegung der Sterilisationsdosis (standards.iteh.ai)

Stérilisation des produits de santé -<u>stradiation + Partiel 2</u>: Établissement de la dose stérilisante (ISO 11187-2t2013)iteh.ai/catalog/standards/sist/c715376f-8893-49ed-9148-acd30803afd5/sist-en-iso-11137-2-2015

Ta slovenski standard je istoveten z: EN ISO 11137-2:2015

ICS:

11.080.01 Sterilizacija in dezinfekcija na Sterilization and disinfection

splošno in general

SIST EN ISO 11137-2:2015 en

iTeh STANDARD PREVIEW (standards.iteh.ai)

SIST EN ISO 11137-2:2015 https://standards.iteh.ai/catalog/standards/sist/c715376f-8893-49ed-9148acd30803afd5/sist-en-iso-11137-2-2015

EUROPEAN STANDARD NORME EUROPÉENNE EUROPÄISCHE NORM

EN ISO 11137-2

June 2015

ICS 11.080.01

Supersedes EN ISO 11137-2:2013

English Version

Sterilization of health care products - Radiation - Part 2: Establishing the sterilization dose (ISO 11137-2:2013)

Stérilisation des produits de santé - Irradiation - Partie 2: Établissement de la dose stérilisante (ISO 11137-2:2013) Sterilisation von Produkten für die Gesundheitsfürsorge -Strahlen - Teil 2: Festlegung der Sterilisationsdosis (ISO 11137-2:2013)

This European Standard was approved by CEN on 20 May 2015.

CEN members are bound to comply with the CEN/CENELEC Internal Regulations which stipulate the conditions for giving this European Standard the status of a national standard without any alteration. Up-to-date lists and bibliographical references concerning such national standards may be obtained on application to the CEN-CENELEC Management Centre or to any CEN member.

This European Standard exists in three official versions (English, French, German). A version in any other language made by translation under the responsibility of a CEN member into its own language and notified to the CEN-CENELEC Management Centre has the same status as the official versions.

CEN members are the national standards bodies of Austria, Belgium, Bulgaria, Croatia, Cyprus, Czech Republic, Denmark, Estonia, Finland, Former Yugoslav Republic of Macedonia, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, Netherlands, Norway, Poland, Portugal, Romania, Slovakia, Slovenia, Spain, Sweden, Switzerland, Turkey and United Kingdom.

SIST EN ISO 11137-2:2015

https://standards.iteh.ai/catalog/standards/sist/c715376f-8893-49ed-9148-acd30803afd5/sist-en-iso-11137-2-2015



EUROPEAN COMMITTEE FOR STANDARDIZATION COMITÉ EUROPÉEN DE NORMALISATION EUROPÄISCHES KOMITEE FÜR NORMUNG

CEN-CENELEC Management Centre: Avenue Marnix 17, B-1000 Brussels

EN ISO 11137-2:2015 (E)

Contents	
Foreword	3
Annex ZA (informative) Relationship between this European Standard and the Essential Requirements of EU Directive 90/385/EEC on active implantable medical devices	4
Annex ZB (informative) Relationship between this European Standard and the Essential Requirements of EU Directive 93/42/EEC on medical devices	5
Annex ZC (informative) Relationship between this European Standard and the Essential Requirements of EU Directive 98/79/EC on in vitro diagnostic, medical devices	6

iTeh STANDARD PREVIEW (standards.iteh.ai)

<u>SIST EN ISO 11137-2:2015</u> https://standards.iteh.ai/catalog/standards/sist/c715376f-8893-49ed-9148-acd30803afd5/sist-en-iso-11137-2-2015

Foreword

The text of ISO 11137-2:2013 has been prepared by Technical Committee ISO/TC 198 "Sterilization of health care products" of the International Organization for Standardization (ISO) and has been taken over as EN ISO 11137-2:2015 by Technical Committee CEN/TC 204 "Sterilization of medical devices" the secretariat of which is held by BSI.

This European Standard shall be given the status of a national standard, either by publication of an identical text or by endorsement, at the latest by December 2015, and conflicting national standards shall be withdrawn at the latest by December 2015.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. CEN [and/or CENELEC] shall not be held responsible for identifying any or all such patent rights.

This document supersedes EN ISO 11137-2:2013.

This document has been prepared under a mandate given to CEN by the European Commission and the European Free Trade Association, and supports essential requirements of EU Directives.

For relationship with EU Directives, see informative Annexes ZA, ZB and ZC, which are integral parts of this document.

The following referenced documents are indispensable for the application of this document. For undated references, the edition of the referenced document (including any amendments) listed below applies. For dated references, only the edition cited applies. However, for any use of this standard within the meaning of Annex ZA, ZB or ZC, the user should always check that any referenced document has not been superseded and that its relevant contents can still be considered the generally acknowledged state-of-art.

When an IEC or ISO standard is referred to in the ISO standard text, this should be understood as a normative reference to the corresponding EN standard, if available, and otherwise to the dated version of the ISO or IEC standard as listed below.

NOTE The way in which these referenced documents are cited in normative requirements determines the extent (in whole or in part) to which they apply.

Normative references	Equivalent dated standard	
as listed in Clause 2 of the ISO standard	EN	ISO
ISO 11137	EN ISO 11137-1:2006/A1:2013	ISO 11137-1:2006/A1:2013
ISO 11737-1	EN ISO 11737-1:2006 + AC:2009	ISO 11737-1:2006 + Cor 1:2007
ISO 11737-2	EN ISO 11737-2:2009	ISO 11737-2:2009

Table — Correlation between normative references and dated EN and ISO standards

According to the CEN-CENELEC Internal Regulations, the national standards organizations of the following countries are bound to implement this European Standard: Austria, Belgium, Bulgaria, Croatia, Cyprus, Czech Republic, Denmark, Estonia, Finland, Former Yugoslav Republic of Macedonia, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, Netherlands, Norway, Poland, Portugal, Romania, Slovakia, Slovenia, Spain, Sweden, Switzerland, Turkey and the United Kingdom.

Endorsement notice

The text of ISO 11137-2:2013 has been approved by CEN as EN ISO 11137-2:2015 without any modification.

EN ISO 11137-2:2015 (E)

Annex ZA (informative)

Relationship between this European Standard and the Essential Requirements of EU Directive 90/385/EEC on active implantable

medical devices

This European Standard has been prepared under a mandate given to CEN/CENELEC by the European Commission and the European Free Trade Association to provide a means of conforming to Essential Requirements of the New Approach Directive 90/385/EEC on active implantable medical devices.

Once this standard is cited in the Official Journal of the European Union under that Directive and has been implemented as a national standard in at least one Member State, compliance with the normative clauses of this standard given in Table ZA.1 confers, within the limits of the scope of this standard, a presumption of conformity with the corresponding Essential Requirements of that Directive and associated EFTA regulations.

NOTE 1 Where a reference from a clause of this standard to the risk management process is made, the risk management process needs to be in compliance with 90/385/EEC, as amended by 2007/47/EC. This means that risks have to be reduced 'as far as possible', 'to a minimum', 'to the lowest possible level', 'minimized' or 'removed', according to the wording of the corresponding essential requirement.

NOTE 2 The manufacturer's policy for determining **acceptable risk** must be in compliance with essential requirements 1, 4, 5, 8, 9 and 10 of the Directive.

NOTE 3 This Annex ZA is based on normative references according to the table of references in the European foreword, replacing the references in the core text. SIST EN ISO 11137-2:2015

NOTE 4 When an Essential Requirement does not appear in Table ZA-1, it means that it is not addressed by this European Standard.

Table ZA.1 — Correspondence between this European Standard and Directive 90/385/EEC

Clauses of this European Standard	Essential Requirements (ERs) of EU Directive 90/385/EEC	Qualifying remarks/Notes
4, 5, 6, 7, 8, 9, 10	7	Only a sterilization process using ionizing radiation is considered by this standard. This relevant Essential Requirement is only partly addressed in this European Standard. Design and packaging for maintenance of sterility during transportation and storage are not covered. Aspects of manufacture other than those related to
		sterilization are not covered.

WARNING — Other requirements and other EU Directives may be applicable to the product(s) falling within the scope of this standard.

Annex ZB

(informative)

Relationship between this European Standard and the Essential Requirements of EU Directive 93/42/EEC on medical devices

This European Standard has been prepared under a mandate given to CEN/CENELC by the European Commission and the European Free Trade Association to provide a means of conforming to Essential Requirements of the New Approach Directive 93/42/EEC on medical devices.

Once this standard is cited in the Official Journal of the European Union under that Directive and has been implemented as a national standard in at least one Member State, compliance with the normative clauses of this standard given in Table ZB.1 confers, within the limits of the scope of this standard, a presumption of conformity with the corresponding Essential Requirements of that Directive and associated EFTA regulations.

NOTE 1 Where a reference from a clause of this standard to the risk management process is made, the risk management process needs to be in compliance with 93/42/EEC, as amended by 2007/47/EC. This means that risks have to be reduced 'as far as possible', 'to a minimum', 'to the lowest possible level', 'minimized' or 'removed', according to the wording of the corresponding essential requirement.

NOTE 2 The manufacturer's policy for determining **acceptable risk** must be in compliance with essential requirements 1, 2, 5, 6, 7, 8, 9, 11 and 12 of the Directive \(\) \(

NOTE 3 This Annex ZA is based on normative references according to the table of references in the European foreword, replacing the references in the core text.

NOTE 4 When an Essential Requirement does not appear in Table ZA.1, it means that it is not addressed by this European Standard. https://standards.iteh.ai/catalog/standards/sist/c715376f-8893-49ed-9148-acd30803afid5/sist-en-iso-11137-2-2015

Table ZB.1 — Correspondence between this European Standard and EU Directive 93/42/EEC

Clauses of this European Standard	Essential Requirements (ERs) of EU Directive 93/42/EEC	Qualifying remarks/Notes
4, 5, 6, 7, 8, 9, 10	8.3	Only a sterilization process using inoizing radiation is considered by this standard.
		This relevant ER is only partly addressed in this International Standard and only in conjunction with ISO 11137-1. Design and packaging for maintenance of sterility during transportation and storage are not covered. Aspects of manufacture other than those related to sterilization are not covered.
4, 5, 6, 7, 8, 9, 10	8.4	This relevant ER is only partly addressed in this International Standard and only in conjunction with ISO 11137-1. Aspects of manufacture other than those related to sterilization are not covered.

WARNING — Other requirements and other EU Directives may be applicable to the product(s) falling within the scope of this standard.

Annex ZC (informative)

Relationship between this European Standard and the Essential Requirements of EU Directive 98/79/EC on *in vitro* diagnostic medical devices

This European Standard has been prepared under a mandate given to CEN/CENELEC by the European Commission and the European Free Trade Association to provide a means of conforming to Essential Requirements of the New Approach Directive 98/79/EC on in vitro diagnostic medical devices.

Once this standard is cited in the Official Journal of the European Union under that Directive and has been implemented as a national standard in at least one Member State, compliance with the normative clauses of this standard given in Table ZC.1 confers, within the limits of the scope of this standard, a presumption of conformity with the corresponding Essential Requirements of that Directive and associated EFTA regulations.

NOTE 1 Where a reference from a clause of this standard to the risk management process is made, the risk management process needs to be in compliance with 98/79/EC. This means that risks have to be reduced 'as far as possible', 'to a minimum', 'to the lowest possible level', 'minimized' or 'removed', according to the wording of the corresponding essential requirement.

NOTE 2 The manufacturer's policy for determining acceptable risk must be in compliance with essential requirements Part A: 1, 2 and 5; Part B: 1.2, 2, 3, 5, 6, and 7 of the Directive.

(Standards.iteh.ai)

NOTE 3 This Annex ZA is based on normative references according to the table of references in the European foreword, replacing the references in the core text. $\underline{\text{SIST EN ISO } 11137-2:2015}$

NOTE 4 When an Essential Requirement does not appear in Table ZA-1, it means that it is not addressed by this European Standard.

Table ZC.1 — Correspondence between this European Standard and Directive 98/79/EC

Clauses of this European Standard	Essential Requirements (ERs) of EU Directive 98/79/EC	Qualifying remarks/Notes
4, 5, 6, 7, 8, 9, 10	B.2.3	Only a sterilization process using inoizing radiation is considered by this standard.
		This relevant ER is only partly addressed in this International Standard and only in conjunction with ISO 11137-1. Design and packaging for maintenance of sterility during transportation and storage are not covered. Aspects of manufacture other than those related to sterilization are not covered.

EN ISO 11137-2:2015 (E)

4, 5, 6, 7, 8, 9, 10	B.2.4	This relevant Essential requirement is addressed in this International Standard in conjunction with ISO 11137-1 and only with regard to:
		 sterilization, not covering other special microbiological state devices for which sterilization by radiation is appropriate.

WARNING — Other requirements and other EU Directives may be applicable to the product(s) falling within the scope of this standard.

iTeh STANDARD PREVIEW (standards.iteh.ai)

SIST EN ISO 11137-2:2015

https://standards.iteh.ai/catalog/standards/sist/c715376f-8893-49ed-9148-acd30803afd5/sist-en-iso-11137-2-2015

iTeh STANDARD PREVIEW (standards.iteh.ai)

SIST EN ISO 11137-2:2015 https://standards.iteh.ai/catalog/standards/sist/c715376f-8893-49ed-9148acd30803afd5/sist-en-iso-11137-2-2015

INTERNATIONAL STANDARD

ISO 11137-2

Third edition 2013-06-01

Sterilization of health care products — Radiation —

Part 2: **Establishing the sterilization dose**

Stérilisation des produits de santé — Irradiation —

iTeh STPartie 2: Établissement de la dose stérilisante (standards.iteh.ai)

SIST EN ISO 11137-2:2015

https://standards.iteh.ai/catalog/standards/sist/c715376f-8893-49ed-9148-acd30803afd5/sist-en-iso-11137-2-2015



ISO 11137-2:2013(E)

iTeh STANDARD PREVIEW (standards.iteh.ai)

SIST EN ISO 11137-2:2015

https://standards.iteh.ai/catalog/standards/sist/c715376f-8893-49ed-9148-acd30803afd5/sist-en-iso-11137-2-2015



COPYRIGHT PROTECTED DOCUMENT

© ISO 2013

All rights reserved. Unless otherwise specified, no part of this publication may be reproduced or utilized otherwise in any form or by any means, electronic or mechanical, including photocopying, or posting on the internet or an intranet, without prior written permission. Permission can be requested from either ISO at the address below or ISO's member body in the country of the requester.

ISO copyright office Case postale 56 • CH-1211 Geneva 20 Tel. + 41 22 749 01 11 Fax + 41 22 749 09 47 E-mail copyright@iso.org Web www.iso.org

Published in Switzerland

Cor	Contents		
Fore	word		v
Intro	ductio	n	vi
1	Scope	e	1
2	Norn	native references	1
3		is, definitions, and abbreviated terms	
5	3.1	Terms and definitions	1
	3.2	Abbreviated terms	
4	Defin	nition and maintenance of product families for dose setting, dose substantiation, a	nd
		lization dose auditing	
	4.1	General Deficiency of the Confliction of the Confli	
	4.2 4.3	Defining product families Designation of product to represent a product family for performance of a verification	
	4.3	dose experiment or sterilization dose audit	5
	4.4	Maintaining product families	6
	4.5	Effect of failure of establishment of sterilization dose or of a sterilization dose audit on	ıa
		product family	7
5	Selec	tion and testing of product for establishing the sterilization dose	7
	5.1	Nature of product	7
	5.2	Sample item portion (SIP) ARE PREVIEW Manner of sampling	8
	5.3	Manner of sampling	9
	5.4 5.5	Microbiological te <mark>sting melands iteh ai</mark>	9 a
_			
6	Meth	ods of dose establishment EN ISO 11137-22015	9
7	Meth	od 1: döse setting üsing bioburden information893-49ed-9148- Rationale acd30803afd5/sist-en-iso-11137-2-2015	10
			10
	7.2	Procedure for Method 1 for product with an average bioburden greater than or equal to 1,0 for multiple production batches	11
	7.3	Procedure for Method 1 for product with an average bioburden greater than or equal	11
	7.15	to 1,0 for a single production batch	17
	7.4	Procedure for Method 1 for product with an average bioburden in the range 0,1 to 0,9	
		multiple or single production batches	19
8	Meth	od 2: Dose setting using fraction positive information from incremental dosing to	
		rmine an extrapolation factor	
	8.1	Rationale	
	8.2 8.3	Procedure for Method 2AProcedure for Method 2B	
_			
9		od VD _{max} — Substantiation of 25 kGy or 15 kGy as the sterilization dose	28
	9.1 9.2	RationaleProcedure for Method VD _{max} 25 for multiple production batches	
	9.3	Procedure for Method VD _{max} ²⁵ for a single production batch	
	9.4	Procedure for Method VD _{max} ¹⁵ for multiple production batches	37
	9.5	Procedure for Method VD _{max} 15 for a single production batch	
10	Steri	lization dose audit	43
	10.1	Purpose and frequency	
	10.2	Procedure for auditing a sterilization dose established using Method 1, Method 2A, or	
	400	Method 2B	43
	10.3	Procedure for auditing a sterilization dose substantiated using Method VD _{max} ²⁵ or	46
	10.4	Method VD _{max} ¹⁵ Failure of a sterilization dose audit	⁻¹⁰
11			
11	work	xed examples	52

ISO 11137-2:2013(E)

11.1	Worked examples for Method 1
11.2	Worked examples for Method 2
11.3	Worked examples for Method VD _{max} 62
	Worked example of a sterilization dose audit for a dose established using Method 1, the
	findings from which necessitated augmentation of the sterilization dose64
11.5	Worked example of a sterilization dose audit for a dose established using Method 2A, the
	findings from which necessitated augmentation of the sterilization dose64
11.6	Worked example of a sterilization dose audit for a sterilization dose substantiated using
	Method VD _{max} ²⁵
Rihliogranh	v 67

iTeh STANDARD PREVIEW (standards.iteh.ai)

SIST EN ISO 11137-2:2015

https://standards.iteh.ai/catalog/standards/sist/c715376f-8893-49ed-9148-acd30803afd5/sist-en-iso-11137-2-2015

Foreword

ISO (the International Organization for Standardization) is a worldwide federation of national standards bodies (ISO member bodies). The work of preparing International Standards is normally carried out through ISO technical committees. Each member body interested in a subject for which a technical committee has been established has the right to be represented on that committee. International organizations, governmental and non-governmental, in liaison with ISO, also take part in the work. ISO collaborates closely with the International Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

International Standards are drafted in accordance with the rules given in the ISO/IEC Directives, Part 2.

The main task of technical committees is to prepare International Standards. Draft International Standards adopted by the technical committees are circulated to the member bodies for voting. Publication as an International Standard requires approval by at least 75 % of the member bodies casting a vote.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO shall not be held responsible for identifying any or all such patent rights.

ISO 11137-2 was prepared by Technical Committee ISO/TC 198, *Sterilization of health care products*.

This third edition cancels and replaces the second edition (ISO 11137-2:2012), of which it constitutes a minor revision with the following changes:

- addition of the word "and" in 9.1, second paragraph, third sentence;
- addition of the word "not" in 10.341 third paragraph; 21
- correction of the language used to describe requirements for interpretation of results during a verification dose experiment in the second paragraph in 7.2.6.2, 7.3.7.2, 9.2.6.3, 9.3.7.3, 9.4.6.3, and 9.5.7.3.

ISO 11137 consists of the following parts, under the general title *Sterilization of health care products* — *Radiation*:

- Part 1: Requirements for development, validation and routine control of a sterilization process for medical devices
- Part 2: Establishing the sterilization dose
- Part 3: Guidance on dosimetric aspects