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Sectional Specification: Quartz crystal controlled oscillators (Capability approval)

Rahmenspezifikation: Quarzoszillatoren (Befähigungsanerkennung)

Spécification intermédiaire: Oscillateurs pilotés par quartz (Agrément de savoir-faire)

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### **Sectional Specification:**

### Quartz crystal controlled oscillators (Capability Approval)

Spécification intermédiaire: Oscillateurs pilotés par quartz (Agrément de savoir-faire) Rahmenspezifikation: Quarzoszillatoren (Befähigungsanerkennung)

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Up-to-date lists and bibliographical references concerning such national standards may be obtained on application to the General Secretariat of the CECC or to any CENELEC member.

This European Standard exists in three official versions (English, French, German). A version in any other language made by translation under the responsibility of a CENELEC member into its own language and notified to the CECC General Secretariat has the same status as the official versions.

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### CECC

CENELEC Electronic Components Committee

Comité des Composants Electroniques du CENELEC

CENELEC- Komitee für Bauelemente der Elektronik

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#### **FOREWORD**

The CENELEC Electronic Components Committee (CECC) is composed of those member countries of the European Committee for Electrotechnical Standardization (CENELEC) who wish to take part in a harmonized System for electronic components of assessed quality.

The object of the System is to facilitate international trade by the harmonization of the specifications and quality assessment procedures for electronic components, and by the grant of an internationally recognized Mark, or Certificate, of Conformity. The components produced under the System are thereby acceptable in all member countries without further testing.

This European Standard was prepared by CECC WG 17, Piezoelectric devices for frequency control and selection.

It is based, wherever possible, on the publications of the International Electrotechnical Commission (IEC) and in particular on IEC 679-1: Quartz crystal controlled oscillators: Part 1: General information, test conditions and methods.

The text of the draft based on document CECC(Secretariat)2806 was submitted to the formal vote; together with the voting report, circulated as document CECC(Secretariat)3027 it was approved by CECC as EN 169 100 on 02 March 1992.

#### The following dates were fixed:

-	latest date of announcement of the EN at national level	(doa)	1993 - 04 - 05
-	latest date of publication of an identical national standard	(dop)	1993 - 10 - 05
-	latest date of declaration of national standards obsolescence		1993 - 10 - 05
-	latest date of withdrawal of conflicting national standards	(dow)	2003 - 04 - 05

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#### SECTION 1 - SCOPE

This sectional specification applies to quartz crystal controlled oscillators as custom built products or as standard catalogue items and whose quality is assessed on the basis of capability approval.

It prescribes the preferred ratings and characteristics, with the appropriate tests and measuring methods contained in the generic specification EN 169 000, and gives the general performance requirements to be used in detail specifications for quartz crystal controlled oscillators.

The concept of preferred values is directly applicable to standard catalogue items but does not necessarily apply to custom built products.

#### SECTION 2 - GENERAL, PREFERRED RATINGS AND GUIDANCE ON DETAIL SPECIFICATIONS

#### 2.1 Related Documents

IEC 68 : Basic environmental testing procedures.

EN 168 000 : Generic specification: Quartz crystal units.

EN 169 000 : Generic specification: Quartz crystal controlled

oscillators.

Note: The above references apply to the current editions except for IEC 68 for which the referred edition and the applicable test clauses of EN 169 000 shall be used.

2.2 Preferred ratings and characteristics

The values given in detail specifications shall preferably be selected from those stated in 2.4 of the generic specification EN 169 000.

2.3 <u>Information to be prescribed in detail specifications</u> (for both custom built and standard catalogue items)

Guidance on the preparation of detail specifications is given in the blank detail specification.

Each detail specification shall state all the tests and measurements required for inspection. For standard catalogue items this shall, as a minimum, include the relevant tests given in the blank detail specification, with methods and severities.

The following information shall be given in each detail specification.

#### 2.3.1 Outline drawing and dimensions

The detail specification shall include a dimensional drawing of the crystal controlled oscillator, and/or reference to an appropriate international standard, to permit easy recognition and to provide information for dimensioning and gauging procedures.

The dimensions shall include the overall dimensions of the body of the component and the size and spacing of the terminations. All dimensions shall be stated in mm.

Terminal connections shall be identified for all enclosures.

When a detail specification covers more that one enclosure the dimensions and their associated tolerances shall be placed in a table below the drawing.

When the configuration is other than described above, the detail specification shall state such dimensional information as will adequately describe the crystal controlled oscillator.

#### 2.3.2 Marking

The detail specification shall prescribe the content of the marking on the crystal controlled oscillator and on the primary package in accordance with 2.5 of EN 169 000.

#### 2.3.3 Ordering information

The detail specification shall prescribe that the following information is required when ordering a crystal controlled oscillator:

- (1) Quantity
- (2) Detail specification number, issue number and date

and where applicable

- (3) Type of oscillator (e.g. SPXO, VCXO, TCXO etc.)
- (4) Nominal frequency in kHz or MHz
- (5) Enclosure type
- (6) Frequency tolerance(s) and operating temperature range
- (7) Full description of any additional requirements to identify the oscillator.

#### 2.3.4 Additional information (not for inspection purposes)

The detail specification may include information which does not normally require verification by the inspection procedure, such as circuit diagrams, curves, drawings and notes needed for clarification.

#### SECTION 3 - CAPABILITY APPROVAL

#### 3.1 Eligibility for capability approval

Prior to making an application for capability approval a manufacturer shall first obtain manufacturer's inspection approval in accordance with CECC 00 114 Part I.

The primary stage of manufacture shall be as defined in 3.1 of the generic specification EN 169 000.

#### 3.2 Structural similarity

Structural similarity is not applicable to Capability Approval. However, it is applicable to released lots as defined in clause 3.14.1 of this specification.

#### 3.3 Procedures for capability approval

#### 3.3.1 General

Capability approval in quartz crystal controlled oscillator technology covers:

- the complete design, material preparation and manufacturing techniques, including control procedures and tests
- the performance limits claimed for the processes and products, that is, those specified for the Capability Qualifying Components (CQCs)
- the range of mechanical structures for which approval is granted.

#### 3.3.2 Application for capability approval

In order to obtain capability approval the manufacturer shall apply the rules of procedure given in §2.4 of CECC 00 114 Part III.

In an application for capability approval the manufacturer shall define the boundaries of the capability for which approval is sought in accordance with 3.5.

#### 3.3.3 Granting of capability approval

Capability approval shall be granted when the manufacturer has :

- prepared a capability manual describing the capability for which he wishes to be approved, to the satisfaction of the ONS
- agreed with the ONS the range of CQCs, as defined in §2.7 of
   CECC 00 114 Part III, to be used for the assessment of capability
- successfully demonstrated that he can design and manufacture components which satisfy the requirements of this sectional specification, within the limits of his capability
- prepared a capability approval test report to the satisfaction of the ONS TEH STANDARD PREVIEW

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#### 3.4 Capability manual

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The manufacturer shall prepare a manual describing his capability (see §2.5 of CECC 00 114 Part III), in relation to the technologies involved.

The manual shall be approved by the ONS who shall ensure that it is a true and complete record of procedures carried out by the manufacturer during the design, production, testing, inspection and release of his products. The manual is a document that shall be treated as 'commercial in confidence'.

The manual shall include the following as a minimum :

- a general introduction and description of the technologies involved
- aspects of customer liaison including provisions of design rules (if appropriate) and assistance to customers in the formulation of their requirements
- a detailed description of the design rules to be used
- the procedure for checking that the design rules are complied with for quartz crystal controlled oscillators manufactured to a detail specification
- a list of all materials used, with references to the corresponding purchasing specifications and goods inward inspection
- a flow chart for the total process showing quality control points and permitted rework loops and containing references to all process and quality control procedures
- a declaration of processes for which approval has been sought in accordance with 3.5.1
- a declaration of boundaries for which approval has been sought in accordance with 3.5.2
- a list of (CQCs) used to assess the capability, with a general description of each, supported by a detailed table showing where the declared boundaries of capability are demonstrated by a particular CQC design
- a detail specification for each CQC. These shall be produced to the satisfaction of the ONS. (See annexes B and C).

#### 3.5 Capability qualifying components (CQC)

There are basic methods of construction of quartz crystal controlled oscillators which include:

#### Leaded component techniques

Comprises assembly of wire leaded components in Printed Circuit Board (PCB) Laminates.

#### ii) Surface mounting techniques

Comprises assembly of components designed for surface mounting.

#### iii) Thick and Thin Film techniques

Comprises assembly of components in either Thick or Thin Film on Substrates.

#### iv) Die and Wire Bond techniques

Comprises assembly of components by die attach and wire bonding.

These methods of construction, or combinations thereof, may incorporate either sealed crystal units or unencapsulated crystal units. These methods are not exclusive but if other methods of construction are to be used then the appropriate CQCs must be agreed with the ONS.

The above classification covers all sub-families of oscillators for example SPXO, VCXO, TCXO and OCXO. For the purpose of capability approval the method of construction shall be the first consideration and the samples shall include the sub-families of oscillators for which approval is required. Aggregation of sub-families is not allowed when validating the design and performance requirements covered under 3.11.5.

The manufacturer shall agree with the ONS the range of capability qualifying components, selected in accordance with the general plan (see 3.11.2) specified in the capability manual. The CQCs shall comply with the following requirements:

- (1) The range of CQCs used shall cover all the processes, component types and limits of the declared capability.
- (2) The CQCs shall be one of the following:
  - test pieces designed for assessment of a process or range of processes; or
  - quartz crystal controlled oscillators in production; or
  - a combination of both of these, provided the requirements of
     (1) are met.

When CQCs are designed and produced solely for capability approval, the manufacturer shall satisfy the ONS that the same design rules, materials and manufacturing processes will be applied to released products.

The CQC specifications may refer to internal control documentation which specifies production testing and recording in order to demonstrate control and maintenance of processes and boundaries.

see 3.11.4(1)

see 3.11.4(1).

#### 3.5.1 Processes

The CQC specifications shall include the following processes to be assessed. This list is not exclusive:

-	deposition of electrode material on the crystal blank	see 3.11.3(1)
-	bonding of crystal vibrator to the mounting	see 3.11.3(2)
	Note: the above apply only when an unencapsulated crystal is used.	
-	component assembly	see 3.11.3(3)
-	alignment	see 3.11.3(4)

#### 3.5.2 Boundaries

sealing of enclosure

durability of marking

CQCs shall demonstrate a set of boundaries which shall include the following:

-	<pre>frequency range(s)</pre>	see	3.11.5(1)
-	<pre>frequency tolerance(s)</pre>	see	3.11.5(1)
-	temperature range	see	3.11.5(1)
-	output power or voltage	see	3.11.5(1)
-	output waveform	see	3.11.5(1)
-	input power	see	3.11.5(1)
-	frequency/load coefficient	see	3.11.5(2)
-	frequency/supply voltage coefficient	see	3.11.5(2)
-	external frequency adjustment (where applicable)	see	3.11.5(2)
-	frequency modulation (FM) characteristics	see	3.11.5(2)
-	climatic category	see	3.11.5(3)
-	ageing	see	3.11.5(4)
-	enclosure	see	3.11.4(1)
-	mechanical test severities	see	3.11.4(2).

This list is not exclusive. Where additional boundaries are claimed these shall be covered by one or more  $\mathsf{CQCs}$ .

#### 3.6 Inspection requirements for CQCs

The inspection requirements shall be contained in the CQC detail specifications together with environmental tests, measurements, severities and end point limits, where appropriate (see 3.11). Where possible the tests applied to CQCs shall be selected from section 4 of the generic specification EN 169 000.

For capability approval and the subsequent maintenance of that approval, the inspection requirements shall ensure that processes and design features meet the declared capability.

#### 3.7 Programme for capability approval

The manufacturer shall prepare a programme for the assessment of the declared capability to the satisfaction of the ONS. This programme shall be designed so that each declared boundary condition is verified by the appropriate CQC. (See §2.6.1 of CECC 00 114 Part III).

The programme shall contain the following:

- a bar chart or other means of showing the proposed timetable for the approval exercise
- details of all the CQCs to be used with references to their detail specifications
- a chart showing the features to be demonstrated by each CQC.

#### 3.8 Capability approval report

The report shall contain the following information:

- the issue number and date of the description of capability
- a programme for capability approval in accordance with 3.7
- test results obtained during the performance of the programme
- the test methods used.

The report shall be signed by the Chief Inspector as a true statement of the results obtained and submitted to the ONS for approval. (See §2.6.3 of CECC 00 114 Part III).

#### 3.9 Abstract of description of capability

The abstract, as required by  $\S 2.8$  of CECC 00 114 Part III, is intended for formal publication in CECC 00 200 when capability approval is granted by the National Authorised Institution (ONH) on the recommendation of the ONS.

It shall include a concise description of the manufacturer's capability and give sufficient information on the technology, methods of construction, packaging and range of products for which the manufacturer has been approved. The layout shall conform to Annex A of this specification indicating the boundary conditions for which Approval has been granted.

#### 3.10 Modifications likely to affect the capability approval

Any modification likely to affect the capability approval shall satisfy the requirements of  $\S 2.11$  of CECC 00 114 part III.

#### 3.11 Initial capability approval

The test plans given below are to be applied to appropriately selected groups of  ${\sf CQCs.}$ 

The test plans are in categories as follows:

- (1) Process CQCs
- (2) Process/boundary CQCs
- (3) Boundary CQCs.

The tests referred to in each test plan are defined in Table 1. These tests have been grouped to prove particular design areas covering materials, processes, enclosure types, crystal controlled oscillator performance and durability.

The tests in each group shall be carried out in the given order.

The approval is granted when the selected range of CQCs has collectively satisfied the assessment requirements of the CQC detail specifications with no defects allowed.

One defective is counted when a CQC has not satisfied the whole or part of the tests of a group.

#### 3.11.1 Procedure in the event of CQC failures

In the event of the failure of specimens to meet the test requirements, the manufacturer shall apply the procedures given in \$2.6.2 of CECC 00 114 Part III.