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Water treatment equipment for haemodialysis applications and related therapies

Équipement de traitement de l'eau pour des applications en hémodialyse et aux thérapies apparentées

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Foreword

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The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular the different approval criteria needed for the different types of ISO documents should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see www.iso.org/directives).

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For an explanation on the meaning of ISO specific terms and expressions related to conformity assessment, as well as information about ISO's adherence to the WTO principles in the Technical Barriers to Trade (TBT) see the following URL: Foreword - Supplementary information

The committee responsible for this document is ISO/TC 150, *Implants for surgery*, Subcommittee SC 2, *Cardiovascular implants and extracorporeal systems*.

This second edition cancels and replaces the first edition (ISO 26722:2009), which has been technically revised.

Introduction

This International Standard reflects the conscientious efforts of concerned physicians, clinical engineers, nurses, dialysis technicians, and dialysis patients, in consultation with device manufacturers and government representatives, to develop an International Standard for performance levels that could be reasonably achieved at the time of publication. The term “consensus,” as applied to the development of voluntary medical device International Standards, does not imply unanimity of opinion, but rather reflects the compromise necessary in some instances when a variety of interests should be merged.

The provisions of this International Standard apply to individual water treatment devices and to water treatment systems assembled from one or more of these devices. In the first instance, this International Standard is directed at the individual or company that specifies the complete water treatment system and, second, at the supplier who assembles and installs the system. Since systems can be assembled from a number of individual water treatment devices, the provisions of this International Standard are also directed at the manufacturers of these devices, provided that the manufacturer indicates that the device is intended for use in haemodialysis applications. This International Standard is written principally to address water treatment systems for dialysis facilities treating multiple patients. However, many of its provisions equally apply to water treatment systems used in applications where a single patient is treated, such as in a home dialysis or acute hospital dialysis setting. Specifically, requirements for the chemical and microbiological quality of water are considered to apply in all settings, regardless of whether a single patient or many patients are being treated.

The verbal forms used in this International Standard conform to usage described in Annex H of the ISO/IEC Directives, Part 2. For the purposes of this International Standard, the auxiliary verb

— “shall” means that compliance with a requirement or a test is mandatory for compliance with this International Standard,

— “should” means that compliance with a requirement or a test is recommended but is not mandatory for compliance with this International Standard, and

— “may” is used to describe a permissible way to achieve compliance with a requirement or test.

The requirements established by this International Standard should help protect haemodialysis patients from adverse effects arising from known chemical and microbial contaminants found in water supplies. However, proper dialysis and patient safety is ultimately dependent on the quality of the dialysis fluid. Since the manufacturer or supplier of water treatment equipment does not have control over the dialysis fluid, any reference to dialysis fluid in this International Standard is for clarification only and not a requirement of the manufacturer. The responsibility for assuring that the dialysis fluid is not contaminated, mismatched, or otherwise damaging to the patient rests with the clinical professionals caring for the patient under the supervision of the medical director. Recommendations on the preparation and handling of water and dialysis fluid in a dialysis facility are provided in ISO 23500.

Water treatment equipment for haemodialysis applications and related therapies

1 Scope

1.1 General

This International Standard is addressed to the manufacturer and/or supplier of water treatment systems and/or devices used for the express purpose of providing water for haemodialysis or related therapies.

1.2 Inclusions

This International Standard covers devices used to treat water intended for use in the delivery of haemodialysis and related therapies, including water used for: (1) the preparation of concentrates from powder or other highly concentrated media at a dialysis facility; (2) the preparation of dialysis fluid, including dialysis fluid that can be used for the preparation of substitution fluid; (3) the reprocessing of dialysers for multiple uses.

Included within the scope of this International Standard are all devices, piping and fittings between the point at which potable water is delivered to the water treatment system, and the point of use of the dialysis water. Examples of devices included within the scope of this International Standard are water purification devices, online water quality monitors (such as conductivity monitors), and piping systems for the distribution of dialysis water.

1.3 Exclusions

Excluded from the scope of this International Standard are dialysis fluid supply systems that proportion water and concentrates to produce dialysis fluid, sorbent dialysis fluid regeneration systems that regenerate and recirculate small volumes of the dialysis fluid, dialysis concentrates, haemodiafiltration systems, haemofiltration systems, systems that process dialysers for multiple uses, and peritoneal dialysis systems. Some of these devices, such as dialysis fluid delivery systems and concentrates, are addressed in other International Standards. Also excluded from the scope of this International Standard are requirements for the ongoing monitoring of the purity of water used for dialysis fluid, concentrate preparation, or dialyser reprocessing.

2 Normative references

The following documents, in whole or in part, are normatively referenced in this document and are indispensable for its application. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO 13959:2014, *Water for haemodialysis and related therapies*

ISO 14971:2007, *Medical devices — Application of risk management to medical devices*

IEC 60601-1-8, *Medical electrical equipment – Part 1-8: General requirements for basic safety and essential performance – Collateral standard: General requirements, tests and guidance for alarm systems in medical electrical equipment and medical electrical systems*

3 Terms and definitions

For the purposes of this document, the following terms and definitions apply.

3.1
acid concentrate
A-concentrate
acidified concentrated mixture of salts that, when diluted with dialysis water and bicarbonate concentrate, yields dialysis fluid for use in dialysis

Note 1 to entry: The term "acid" refers to the small amount of acid (for example, acetic acid or citric acid) that is included in the concentrate.

Note 2 to entry: Acid concentrate can contain glucose.

Note 3 to entry: Acid concentrate can be in the form of a liquid, a dry powder, other highly concentrated media, or some combination of these forms.

3.2
action level
concentration of a contaminant at which steps should be taken to interrupt the trend toward higher, unacceptable levels

3.3
bicarbonate concentrate
B-concentrate
concentrated preparation of sodium bicarbonate that, when diluted with dialysis water and acid concentrate, makes dialysis fluid used for dialysis

Note 1 to entry: Sodium bicarbonate is also known as sodium hydrogen carbonate.

Note 2 to entry: Some bicarbonate concentrates also contain sodium chloride.

Note 3 to entry: Bicarbonate concentrate can be in the form of a liquid or a dry powder.

Note 4 to entry: Dry sodium bicarbonate, without added sodium chloride, is also used in concentrate generators to produce a concentrated solution of sodium bicarbonate used by the dialysis machine to make dialysis fluid.

3.4
biofilm
microbially derived sessile community characterized by cells that are irreversibly attached to a substratum or interface or to each other, are imbedded in a matrix of extracellular polymeric substances that they have produced, and exhibit an altered phenotype with respect to growth rate and gene transcription

Note 1 to entry: The matrix, a slimy material secreted by the cells, protects the bacteria from antibiotics and chemical disinfectants.

Note 2 to entry: A certain amount of biofilm formation is considered unavoidable in dialysis water systems. When the level of biofilm is such that the action levels for microorganisms and endotoxins in the dialysis water cannot be routinely achieved, the operation of the system is compromised from a medical and technical point of view. This level of biofilm formation is often referred to as biofouling.

3.5
chlorine, combined
chlorine that is chemically combined, such as in chloramine compounds

Note 1 to entry: There is no direct test for measuring combined chlorine, but it can be measured indirectly by measuring both total and free chlorine and calculating the difference.

3.6
chlorine, free
chlorine present in water as dissolved molecular chlorine (Cl), hypochlorous acid (HOCl), and hypoclorite ion (OCl^-)

Note 1 to entry: The three forms of free chlorine exist in equilibrium.

3.7
chlorine, total
sum of free and combined chlorine

Note 1 to entry: Chlorine can exist in water as dissolved molecular chlorine, hypochlorous acid, and/or hypochlorite ion (free chlorine) or in chemically combined forms (combined chlorine). Where chloramine is used to disinfect water supplies, chloramine is usually the principal component of combined chlorine.

3.8
concentrate generator
system where the concentrate is delivered to the user as a powder in a container, suitable for attachment to the dialysis machine with which it is intended to be used, and then the powder is converted into a concentrated solution by the dialysis machine

Note 1 to entry: The solution produced by the concentrate generator is used by the dialysis machine to make the final dialysis fluid delivered to the dialyser.

3.9
device
individual water purification unit, such as a softener, carbon bed, reverse osmosis unit, or deionizer

Note 1 to entry: This term is synonymous with the term "component" as used by the US. Food and Drug Administration.[26]

3.10
dialysis fluid
dialysate
dialysis solution
aqueous fluid containing electrolytes and, usually, buffer and glucose, which is intended to exchange solutes with blood during haemodialysis

Note 1 to entry: The term "dialysis fluid" is used throughout this International Standard to mean the fluid made from dialysis water and concentrates that is delivered to the dialyser by the dialysis fluid delivery system. Such phrases as "dialysate" or "dialysis solution" are used in place of dialysis fluid in some countries; however, that usage is discouraged to avoid confusion.

Note 2 to entry: The dialysis fluid entering the dialyser is referred to as "fresh dialysis fluid", while the fluid leaving the dialyser is referred to as "spent dialysis fluid."

Note 3 to entry: Dialysis fluid does not include prepackaged parenteral fluids used in some renal replacement therapies such as haemodiafiltration and haemofiltration.

3.11
dialysis fluid delivery system
device that prepares dialysis fluid online from dialysis water and concentrates or that stores and distributes premixed dialysis fluid, circulates the dialysis fluid through the dialyser, monitors the dialysis fluid for temperature, conductivity (or equivalent), pressure, flow, and blood leaks, and prevents dialysis during disinfection or cleaning modes

Note 1 to entry: The term includes reservoirs, conduits, proportioning devices for the dialysis fluid, and monitors and associated alarms and controls assembled as a system for the purposes listed above.

Note 2 to entry: The dialysis fluid supply system might be an integral part of the single-patient dialysis machine or a centralized preparation system which feeds multiple bedside monitoring systems.

Note 3 to entry: Dialysis fluid delivery systems are also known as proportioning systems and dialysis fluid supply systems.

3.12
dialysis water
water that has been treated to meet the requirements of ISO 13959 and which is suitable for use in haemodialysis applications, including the preparation of dialysis fluid, reprocessing of dialysers, preparation of concentrates, and preparation of substitution fluid for online convective therapies

3.13
disinfection
destruction of pathogenic and other kinds of microorganisms by thermal or chemical means

Note 1 to entry: Disinfection is a less lethal process than sterilization because it destroys most recognized pathogenic microorganisms but does not necessarily destroy all microbial forms.

3.14
empty bed contact time
EBCT
time taken by a fluid to pass through an empty volume equal to the volume of a particle bed

Note 1 to entry: EBCT (min) is calculated using the following equation:

$$\mathrm{EBCT} = V/Q$$

where

V is the volume of the particle bed in cubic metres (m^3);

Q is the flow rate of water through the bed in cubic metres per minute (m^3/min).

Note 2 to entry: EBCT is used as an indirect measure of how much contact occurs between particles, such as activated carbon, and water as the water flows through a bed of particles.

3.15
endotoxin
major component of the outer cell wall of gram-negative bacteria

Note 1 to entry: Endotoxins are lipopolysaccharides, which consist of a polysaccharide chain covalently bound to lipid A. Endotoxins can acutely activate both humoral and cellular host defences, leading to a syndrome characterized by fever, shaking, chills, hypotension, multiple organ failure, and even death if allowed to enter the circulation in a sufficient dose. [See also *pyrogen* (3.26).]

3.16
endotoxin-retentive filter
ETRF
membrane filter used to remove endotoxins and microorganisms from dialysis water or dialysis fluid

Note 1 to entry: The performance of an endotoxin-retentive filter is usually expressed as the logarithmic reduction value (LRV), defined as $\log_{10}$(inlet concentration)/(outlet concentration).

Note 2 to entry: Endotoxin-retentive filters can be configured in a cross-flow or dead-end mode. Some endotoxin-retentive filters also remove endotoxins by adsorption.

3.17
feed water
water supplied to a water treatment system or an individual component of a water treatment system

3.18
germicide
agent that kills microorganisms

3.19
haemodiafiltration
form of renal replacement therapy in which waste solutes are removed from blood by a combination of diffusion and convection through a high-flux membrane

Note 1 to entry: Diffusive solute removal is achieved using a dialysis fluid stream as in haemodialysis. Convective solute removal is achieved by adding ultrafiltration in excess of that needed to obtain the desired weight loss; fluid balance is maintained by infusing replacement solution into the blood either before the dialyser (predilution haemodiafiltration), after the dialyser (postdilution haemodiafiltration), or a combination of the two (mixed dilution haemodiafiltration).

3.20
haemodialysis
form of renal replacement therapy in which waste solutes are removed primarily by diffusion from blood flowing on one side of a membrane into dialysis fluid flowing on the other side

Note 1 to entry: Fluid removal that is sufficient to obtain the desired weight loss is achieved by establishing a hydrostatic pressure gradient across the membrane. This fluid removal provides some additional waste solute removal, particularly for solutes with higher molecular weight.

3.21
haemofiltration
form of renal replacement therapy in which waste solutes are removed from blood by convection

Note 1 to entry: Convective transport is achieved by ultrafiltration through a high-flux membrane. Fluid balance is maintained by infusing a replacement solution into the blood either before the haemofilter (predilution haemofiltration), after the haemofilter (postdilution haemofiltration), or a combination of the two (mixed dilution haemofiltration).

Note 2 to entry: There is no dialysis fluid stream in haemofiltration.

3.22
manufacturer
entity that designs, manufactures, fabricates, assembles, or processes a finished device

Note 1 to entry: Manufacturers include, but are not limited to, those who perform the functions of contract sterilization, installation, relabelling, remanufacturing, repacking, or specification development and initial distributions of foreign entities performing these functions. The term does not cover preparation of concentrates from prepackaged dry chemicals at a dialysis facility or the handling of bulk concentrates at a dialysis facility after responsibility for the concentrate is transferred from the manufacturer to the user.

3.23
microfilter
filter designed to remove particles down to 0,1 µm in size

Note 1 to entry: Microfilters have an absolute size cut-off and are available in both dead-end and cross-flow configurations. Some microfilters can reduce the concentration of endotoxins by adsorption.

3.24
product water
water produced by a water treatment system or by an individual device thereof

3.25
proportioning system
apparatus that proportions dialysis water and haemodialysis concentrate to prepare dialysis fluid

3.26
pyrogen
fever-producing substance

Note 1 to entry: Pyrogens are most often lipopolysaccharides of gram-negative bacterial origin [see also *endotoxin* (3.15)].

3.27
sodium hypochorite
chemical used for disinfection of hemodialysis systems

Note 1 to entry: Commercially available solutions of sodium hypochlorite are known in different countries by terms such as bleach and javel. These solutions are used for disinfection at concentrations recommended by equipment manufacturers.

3.28
source water
water entering a dialysis facility from an external supplier, i.e. water from a municipal water supply or equivalent

Note 1 to entry: Source water is sometimes referred to as feed water and is assumed to be potable water

3.29
storage tank
tank at the user's facility for storage of source water, dialysis water, or concentrate from bulk deliveries or for concentrate prepared in bulk at the user's facility from powder and dialysis water

3.30
substitution fluid
fluid used in haemofiltration and haemodiafiltration treatments which is infused directly into the patient's blood as a replacement for the fluid that is removed from the blood by filtration

Note 1 to entry: Substitution fluid is also referred to as substitution solution or replacement solution.

Note 2 to entry: Substitution fluid can also be used for bolus administration, for priming of an extracorporeal blood circuit, and for returning blood to the patient at the end of a treatment.

3.31
total dissolved solids
TDS
sum of all ions in a solution, often approximated by means of electrical conductivity or resistivity measurements

Note 1 to entry: TDS measurements are commonly used to assess the performance of reverse osmosis units. TDS values are often expressed in terms of $CaCO_3$, NaCl, KCl, or 442 equivalents in milligrams per litre (mg/l). [442 is a solution of sodium sulfate (40 %), sodium bicarbonate (40 %), and sodium chloride (20 %) that closely represents the conductivity to concentration relationship, on average, for naturally occurring fresh water.]

3.32
user
physician or physician's representative or healthcare professional with a responsibility for the prescription, production, and delivery of dialysis fluid

3.33
water treatment system
collection of water treatment devices and associated piping, pumps, valves, gauges, etc. that together produce water meeting the requirements of ISO 13959 for haemodialysis applications and deliver it to the point of use

4 Requirements

4.1 Dialysis water quality requirements

4.1.1 General

The requirements contained in this International Standard apply to the dialysis water as it enters the equipment used to prepare concentrates from powder or other concentrated media at a dialysis facility, to prepare dialysis fluid, or to reprocess dialysers for multiple uses. As such, these requirements apply to the water treatment system as a whole and not to each of the individual devices that make up the system. However, collectively, the individual devices shall produce dialysis water that, at a minimum, meets the requirements of the clause.

4.1.2 Microbiology of dialysis water

Dialysis water used to prepare dialysis fluid or concentrates from powder at a dialysis facility, or to reprocess dialysers for multiple uses, shall contain a total viable microbial count and endotoxin levels as specified in ISO 13959.

The manufacturer or supplier of a complete water treatment and distribution system shall demonstrate that the complete water treatment, storage, and distribution system meets the requirements of this International Standard, including those related to action levels at the time of installation.

NOTE 1 If the manufacturer or supplier does not install the water storage and distribution system, then the responsibility of the manufacturer or supplier is limited to demonstrating that the water treatment system, excluding the water storage and distribution system, meets the requirements of this International Standard. If individual devices of the water treatment system are provided by different manufacturers or suppliers, the person or organization specifying the devices is responsible for demonstrating that the complete system meets the requirements of this International Standard at the time of installation.

For disposable water treatment systems validated by the manufacturer to produce dialysis water meeting the quality requirements of this International Standard for a specified time, monitoring of the incoming feed water is required to ensure that the input to the treatment system is in the range for which the system has been validated. The manufacturer's recommendations for monitoring the final dialysis water can be followed when the system is operated according to the manufacturer's instructions. Alternatively, the quality of the dialysis water can be monitored as outlined for non-validated systems.

NOTE 2 Following installation of a water treatment, storage, and distribution system, the user is responsible for continued monitoring of the water bacteriology of the system and for complying with the requirements of this International Standard, including those requirements related to action levels.

4.1.3 Maximum level of chemical contaminants

Dialysis water used to prepare dialysis fluid or concentrates from powder at a dialysis facility, or to reprocess dialysers for multiple uses, shall not contain chemical contaminants at concentrations in excess of those in ISO 13959:2014, Tables 1 and 2 (reproduced as Tables B.1 and B.2). The manufacturer or supplier of a complete water treatment system shall recommend a system capable of meeting the requirements of this clause based on the analysis of the feed water. The system design should reflect possible seasonal variations in feed water quality. The manufacturer or supplier of a complete water treatment and distribution system shall demonstrate that the complete water treatment, storage, and distribution system is capable of meeting the requirements of this International Standard at the time of installation.

NOTE 1 If the manufacturer or supplier does not install the water storage and distribution system, then the responsibility of the manufacturer or supplier is limited to demonstrating that the water treatment system, excluding the water storage and distribution system, meets the requirements of this International Standard. If individual devices of the water treatment system are provided by different manufacturers or suppliers, the person or organization specifying the devices is responsible for demonstrating that the complete system meets the requirements of this International Standard at the time of installation.