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An American National Standard

# Standard Specification for Performance of Engine Oils<sup>1</sup>

This standard is issued under the fixed designation D 4485; the number immediately following the designation indicates the year of original adoption or, in the case of revision, the year of last revision. A number in parentheses indicates the year of last reapproval. A superscript epsilon (ε) indicates an editorial change since the last revision or reapproval.

This standard has been approved for use by agencies of the Department of Defense.

#### INTRODUCTION

This specification covers all the currently active American Petroleum Institute (API) engine oil performance categories that have been defined in accordance with the ASTM consensus process. There are organizations with specifications not subject to the ASTM consensus process, such as the International Lubricant Standardization and Approval Committee (ILSAC), American Petroleum Institute (API – SM Specification), and the Association des Constructeurs Europeans d'Automobiles (ACEA). Certain of these specifications, which have been defined primarily by the use of current ASTM test methods, have also been included in the Appendix of this document for information.

In the ASTM system, a specific API designation is assigned to each category. The system is open-ended, that is, new designations are assigned for use with new categories as each new set of oil performance characteristics are defined. Oil categories may be referenced by engine builders in making lubricant recommendations, and used by lubricant suppliers and customers in identifying products for specific applications. Where applicable, candidate oil programs are conducted in accordance with the American Chemistry Council (ACC) Petroleum Additives Product Approval Code of Practice.

Other service categories not shown in this document have historically been used to describe engine oil performance (SA, SB, SC, SD, SE, SF, SG and CA, CB, CC, CD, CD-II, CE) (see 3.1.2). SA is not included because it does not have specified engine performance requirements. SG is not included because it was a category that could not be licensed for use in the API Service Symbol after Dec. 31, 1995. The others are not included because they are based on test methods for which engine parts, test fuel, or reference oils, or a combination thereof, are no longer available. Also, the ASTM 5-Car and Sequence VI Procedures are obsolete and have been deleted from the category Energy Conserving and Energy Conserving II (defined by Sequence VI). Information on excluded older categories and obsolete test requirements can be found in SAE J183.

#### 1. Scope

- 1.1 This specification covers engine oils for light-duty and heavy-duty internal combustion engines used under a variety of operating conditions in automobiles, trucks, vans, buses, and off-highway farm, industrial, and construction equipment.
- 1.2 This specification is not intended to cover engine oil applications such as outboard motors, snowmobiles, lawn mowers, motorcycles, railroad locomotives, or ocean-going vessels.

- 1.3 This specification is based on engine test results that generally have been correlated with results obtained on reference oils in actual service engines operating with gasoline or diesel fuel. As it pertains to the API SL engine oil category, it is based on engine test results that generally have been correlated with results obtained on reference oils run in gasoline engine Sequence Tests that defined engine oil categories prior to 2000. It should be recognized that not all aspects of engine oil performance are evaluated by the engine tests in this specification. In addition, when assessing oil performance, it is desirable that the oil be evaluated under actual operating conditions.
- 1.4 This specification includes bench and chemical tests that help evaluate some aspects of engine oil performance not covered by the engine tests in this specification.

<sup>&</sup>lt;sup>1</sup> This specification is under the jurisdiction of ASTM Committee D02 on Petroleum Products and Lubricants and is the direct responsibility of Subcommittee D02.B0 on Automotive Lubricants.

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1.5 The test procedures referred to in this specification that are not yet standards are listed in Table 1.

**TABLE 1 Test Procedures** 

Test Procedure	ASTM Publications <sup>A</sup>
T-6	RR: D02–1219 <sup>B</sup>
T-7	RR: D02–1220 <sup>C</sup>
ISM	under development <sup>D</sup>
ISB	under development <sup>E</sup>
C13	under development <sup>F</sup>

<sup>&</sup>lt;sup>A</sup> Research Reports are available from ASTM International Headquarters. Request by Research Report No.

1.6 The values stated in SI units are to be regarded as standard. No other units of measurement are included in this standard.

#### 2. Referenced Documents

- 2.1 ASTM Standards: <sup>2</sup>
- D 92 Test Method for Flash and Fire Points by Cleveland Open Cup Tester
- D 93 Test Methods for Flash Point by Pensky-Martens Closed Cup Tester
- D 130 Test Method for Corrosiveness to Copper from Petroleum Products by Copper Strip Test
- D 874 Test Method for Sulfated Ash from Lubricating Oils and Additives
- D 892 Test Method for Foaming Characteristics of Lubricating Oils
- D 2887 Test Method for Boiling Range Distribution of Petroleum Fractions by Gas Chromatography
- D 3244 Practice for Utilization of Test Data to Determine Conformance with Specifications
- D 4683 Test Method for Measuring Viscosity at High Shear Rate and High Temperature by Tapered Bearing Simulator
- D 4684 Test Method for Determination of Yield Stress and Apparent Viscosity of Engine Oils at Low Temperature
- D 4951 Test Method for Determination of Additive Elements in Lubricating Oils by Inductively Coupled Plasma Atomic Emission Spectrometry
- D 5119 Test Method for Evaluation of Automotive Engine Oils in the CRC L-38 Spark-Ignition Engine<sup>3</sup>
- D 5133 Test Method for Low Temperature, Low Shear Rate, Viscosity/Temperature Dependence of Lubricating Oils Using a Temperature-Scanning Technique
- D 5185 Test Method for Determination of Additive Elements, Wear Metals, and Contaminants in Used Lubricat-

- ing Oils and Determination of Selected Elements in Base Oils by Inductively Coupled Plasma Atomic Emission Spectrometry (ICP-AES)
- D 5290 Test Method for Measurement of Oil Consumption, Piston Deposits, and Wear in a Heavy-Duty High-Speed Diesel Engine—NTC-400 Procedure<sup>3</sup>
- D 5293 Test Method for Apparent Viscosity of Engine Oils Between -5 and -35°C Using the Cold-Cranking Simulator
- D 5302 Test Method for Evaluation of Automotive Engine Oils for Inhibition of Deposit Formation and Wear in a Spark-Ignition Internal Combustion Engine Fueled with Gasoline and Operated Under Low-Temperature, Light-Duty Conditions<sup>3</sup>
- D 5480 Test Method for Engine Oil Volatility by Gas Chromatography<sup>3</sup>
- D 5533 Test Method for Evaluation of Automotive Engine Oils in the Sequence IIIE, Spark-Ignition Engine<sup>3</sup>
- D 5800 Test Method for Evaporation Loss of Lubricating Oils by the Noack Method
- D 5844 Test Method for Evaluation of Automotive Engine Oils for Inhibition of Rusting (Sequence IID)<sup>3</sup>
- D 5862 Test Method for Evaluation of Engine Oils in Two-Stroke Cycle Turbo-Supercharged 6V92TA Diesel Engine
- D 5966 Test Method for Evaluation of Engine Oils for Roller Follower Wear in Light-Duty Diesel Engine
- D 5967 Test Method for Evaluation of Diesel Engine Oils in T-8 Diesel Engine
- D 5968 Test Method for Evaluation of Corrosiveness of Diesel Engine Oil at 121°C
- D 6082 Test Method for High Temperature Foaming Characteristics of Lubricating Oils
- D 6202 Test Method for Automotive Engine Oils on the Fuel Economy of Passenger Cars and Light-Duty Trucks in the Sequence VIA Spark Ignition Engine
- D 6278 Test Method for Shear Stability of Polymer Containing Fluids Using a European Diesel Injector Apparatus
- D 6335 Test Method for Determination of High Temperature Deposits by Thermo-Oxidation Engine Oil Simulation
- D 6417 Test Method for Estimation of Engine Oil Volatility by Capillary Gas Chromatography
- D 6483 Test Method for Evaluation of Diesel Engine Oils in T-9 Diesel Engine
- D 6557 Test Method for Evaluation of Rust Preventive Characteristics of Automotive Engine Oils
- D 6593 Test Method for Evaluation of Automotive Engine Oils for Inhibition of Deposit Formation in a Spark-Ignition Internal Combustion Engine Fueled with Gasoline and Operated Under Low-Temperature, Light-Duty Conditions
- D 6594 Test Method for Evaluation of Corrosiveness of Diesel Engine Oil at 135°C
- D 6618 Test Method for Evaluation of Engine Oils in Diesel Four-Stroke Cycle Supercharged 1M-PC Single Cylinder Oil Test Engine

<sup>&</sup>lt;sup>B</sup> Multicylinder Engine Test Procedure for the Evaluation of Lubricants-Mack T-6.

<sup>&</sup>lt;sup>C</sup> Multicylinder Engine Test Procedure for the Evaluation of Lubricants-Mack

D Multicylinder Engine Test Procedure for the Evaluation of Lubricants-Cummins

 $<sup>^{\</sup>it E}$  Multicylinder Engine Test Procedure for the Evaluation of Lubricants-Cummins

 $<sup>^{\</sup>it F}$  Multicylinder Engine Test Procedure for the Evaluation of Lubricants-Caterpillar C13.

<sup>&</sup>lt;sup>2</sup> For referenced ASTM standards, visit the ASTM website, www.astm.org, or contact ASTM Customer Service at service@astm.org. For Annual Book of ASTM Standards volume information, refer to the standard's Document Summary page on the ASTM website.

<sup>3</sup> Withdrawn.

- D 6681 Test Method for Evaluation of Engine Oils in a High Speed, Single-Cylinder Diesel Engine—Caterpillar 1P Test Procedure
- D 6709 Test Method for Evaluation of Automotive Engine Oils in the Sequence VIII Spark-Ignition Engine (CLR Oil Test Engine)
- D 6750 Test Methods for Evaluation of Engine Oils in a High-Speed, Single-Cylinder Diesel Engine—1K Procedure (0.4 % Fuel Sulfur) and 1N Procedure (0.04 % Fuel Sulfur)
- D 6794 Test Method for Measuring the Effect on Filterability of Engine Oils After Treatment with Various Amounts of Water and a Long (6-h) Heating Time
- D 6795 Test Method for Measuring the Effect on Filterability of Engine Oils After Treatment with Water and Dry Ice and a Short (30-min) Heating Time
- D 6837 Test Method for Measurement of Effects of Automotive Engine Oils on Fuel Economy of Passenger Cars and Light-Duty Trucks in Sequence VIB Spark Ignition Engine
- D 6838 Test Method for Cummins M11 High Soot Test
- D 6891 Test Method for Evaluation of Automotive Engine Oils in the Sequence IVA Spark-Ignition Engine
- D 6894 Test Method for Evaluation of Aeration Resistance of Engine Oils in Direct-Injected Turbocharged Automotive Diesel Engine
- D 6896 Test Method for Determination of Yield Stress and Apparent Viscosity of Used Engine Oils at Low Temperature
- D 6922 Test Method for Determination of Homogeneity and Miscibility in Automotive Engine Oils
- D 6923 Test Method for Evaluation of Engine Oils in a High Speed, Single-Cylinder Diesel Engine—Caterpillar 1R Test Procedure
- D 6975 Test Method for Cummins M11 EGR Test
- D 6984 Test Method for Evaluation of Automotive Engine Oils in the Sequence IIIF, Spark-Ignition Engine
- D 6987/D 6987M Test Method for Evaluation of Diesel Engine Oils in T-10 Exhaust Gas Recirculation Diesel Engine
- D 7097 Test Method for Determination of Moderately High Temperature Piston Deposits by Thermo-Oxidation Engine Oil Simulation Test—TEOST MHT
- D 7109 Test Method for Shear Stability of Polymer Containing Fluids Using a European Diesel Injector Apparatus at 30 and 90 Cycles
- D 7156 Test Method for Evaluation of Diesel Engine Oils in the T-11 Exhaust Gas Recirculation Diesel Engine
- D 7216 Test Method for Determining Automotive Engine Oil Compatibility with Typical Seal Elastomers
- D 7320 Test Method for Evaluation of Automotive Engine Oils in the Sequence IIIG, Spark-Ignition Engine
- D 7422 Test Method for Evaluation of Diesel Engine Oils in the T-12 Exhaust Gas Recirculation Diesel Engine
- E 29 Practice for Using Significant Digits in Test Data to Determine Conformance with Specifications
- E 178 Practice for Dealing With Outlying Observations

- 2.2 Society of Automotive Engineers Standards:<sup>4</sup>
- SAE J183 Engine Oil Performance and Engine Service Classification
- SAE J300 Engine Oil Classification
- SAE J1423 Passenger Car and Light-Duty Truck Energy-Conserving Engine Oil Classification
- SAE J2643 Standard Reference Elastomers (SRE) for Characterizing the Effects on Vulcanized Rubber
- 2.3 American Petroleum Institute Publication:<sup>5</sup>
- API 1509 Engine Oil Licensing and Certification System (EOLCS)
- 2.4 Government Standard:<sup>6</sup>
- DOD CID A-A-52039A (SAE 5W-30, 10W-30, and 15W-40)
- 2.5 American Chemical Council Code:<sup>7</sup>
- ACC Petroleum Additives Product Approval Code of Practice

### 3. Terminology

- 3.1 Definitions:
- 3.1.1 *automotive*, *adj*—descriptive of equipment associated with self-propelled machinery, usually vehicles driven by internal combustion engines.
- 3.1.2 *category*, *n*—*in engine oils*, a designation such as SH, SJ, SL, SM, CF-4, CF, CF-2, CG-4, CH-4, CI-4, Energy Conserving, and so forth, for a given level of performance in specified engine and bench tests.
- 3.1.3 *classification*, *n*—*in engine oils*, the systematic arrangement into categories in accordance with different levels of performance in specified engine and bench tests.
- 3.1.4 *engine oil*, *n*—a liquid that reduces friction and wear between the moving parts within an engine, and also serves as a coolant
- 3.1.4.1 *Discussion*—It can contain additives to enhance certain properties. Inhibition of engine rusting, deposit formation, valve train wear, oil oxidation, and foaming are examples.
- 3.1.5 heavy duty, adj—in internal combustion engine operation, characterized by average speeds, power output, and internal temperatures that are generally close to the potential maximums.
- 3.1.6 *heavy-duty engine*, *n*—*in internal combustion engine types*, one that is designed to allow operation continuous at or close to its peak output.
- 3.1.6.1 *Discussion*—This type of engine is typically installed in large trucks and buses as well as farm, industrial, and construction equipment.
- 3.1.7 *light-duty*, *adj*—*in internal combustion engine operation*, characterized by average speeds, power output, and internal temperatures that are generally much lower than the potential maximums.

<sup>&</sup>lt;sup>4</sup> Available from Society of Automotive Engineers (SAE), 400 Commonwealth Dr., Warrendale, PA 15096–0001.

<sup>&</sup>lt;sup>5</sup> Available from American Petroleum Institute (API), 1220 L. St., NW, Washington, DC 20005-4070, http://www.api.org.

<sup>&</sup>lt;sup>6</sup> Available from U.S. Government Printing Office Superintendent of Documents, 732 N. Capitol St., NW, Mail Stop: SDE, Washington, DC 20401.

<sup>&</sup>lt;sup>7</sup> Available from American Chemical Council, 1300 Wilson Blvd., Arlington, VA 22209.

- 3.1.8 *light-duty engine*, *n*—*in internal combustion engine types*, one that is designed to be normally operated at substantially less than its peak output.
- 3.1.8.1 *Discussion*—This type of engine is typically installed in automobiles and small trucks, vans, and buses.
- 3.1.9 *lugging*, *adj*—*in internal combustion engine operation*, characterized by a combined mode of relatively low-speed and high-power output.
  - 3.2 Definitions of Terms Specific to This Standard:
- 3.2.1 *C category*, *n*—the group of engine oils that are intended primarily for use in diesel and certain gasoline-powered vehicles.
- 3.2.2 Energy Conserving category, n—the group of engine oils that have demonstrated fuel economy benefits and are intended primarily for use in automotive gasoline engine applications, such as passenger cars, light-duty trucks, and vans.
- 3.2.3 *S category*, *n*—the group of engine oils that are intended primarily for use in automotive gasoline engine applications, such as passenger cars, light-duty trucks, and vans.

#### 4. Performance Classification

- 4.1 Automotive engine oils are classified in three general arrangements, as defined in 3.2; that is, S, C, and Energy Conserving. These arrangements are further divided into categories with performance measured as follows:
- 4.1.1 *SH*—Oil meeting the performance requirements measured in the following gasoline engine tests and bench tests:
- 4.1.1.1 Test Method D 5844, the Sequence IID gasoline engine test, has been correlated with vehicles used in short-trip service prior to 1978,<sup>4.8</sup> particularly with regard to rusting. (An alternative is Test Method D 6557, the Ball Rust Test.)
- 4.1.1.2 Test Method D 5533, the Sequence IIIE gasoline engine test, has been correlated with vehicles used in high-temperature service prior to 1988, particularly with regard to oil thickening and valve train wear. (Alternatives are Test Method D 6984, the Sequence IIIF test, or Test Method D 7320, the Sequence IIIG test.)
- 4.1.1.3 Test Method D 5302, the Sequence VE gasoline engine test, has been correlated with vehicles used in stop-and-go service prior to 1988, <sup>10</sup> particularly with regard to sludge and valve train wear. (An alternative is the combination of Test Method D 6593, the Sequence VG test, and Test Method D 6891, the Sequence IVA test.)
- 4.1.1.4 Test Method D 5119, the L-38 gasoline engine test, is used to measure copper-lead bearing weight loss under high-temperature operating conditions. (An alternative is Test Method D 6709, the Sequence VIII test.)
- (1) Test Method D 5119 (or Test Method D 6709) is also used to determine the ability of an oil to resist permanent viscosity loss due to shearing in an engine.
- <sup>8</sup> Available from ASTM International in STP 3151 (Part 1). Also available from the Society of Automotive Engineers as Technical Paper No. 780931.
- <sup>9</sup> Supporting data have been filed at ASTM International Headquarters and may be obtained by requesting Research Report RR: D02–1225.
- <sup>10</sup> Supporting data have been filed at ASTM International Headquarters and may be obtained by requesting Research Report RR: D02–1226.

- 4.1.1.5 In addition to passing performance in the engine tests, specific viscosity grades shall also meet bench test requirements (see Table 2), which are discussed in the following subsections:
- (1) The volatility of engine oils relates to engine oil consumption.
- (2) Test Method D 6795, the Engine Oil Filterability Test (EOFT) screens for the formation of precipitates that can cause oil filter plugging.
- (3) Phosphorus compounds can cause glazing of automotive catalysts and exhaust gas oxygen sensors and, thereby, deactivate them. Control of the phosphorus level in the engine oil may reduce this tendency.
- (4) The flash point can indicate if residual solvents and low-boiling fractions remain in the finished oil.
- (5) Foaming in engine oil can cause valve lifter collapse and a loss of lubrication due to the presence of air in the oil. Test Methods D 892 and D 6082 empirically rate the foaming tendency and stability of oils.
- (6) Test Method D 6922, the H and M Test indicates the compatibility of an oil with standard test oils.
- 4.1.1.6 Licensing of the API SH category requires that candidate oils meet the performance requirements in this specification, and that the oils be tested in accordance with the protocols described in the ACC Petroleum Additives Product Approval Code of Practice. The methodology detailed in the ACC Code will help ensure that an engine oil meets its intended performance specification. (See Appendix X3 for more information.)
- 4.1.2 *SJ*—Oil meeting the performance requirements measured in the following gasoline engine tests and bench tests:
- 4.1.2.1 Test Method D 5844, the Sequence IID, gasoline engine test has been correlated with vehicles used in short-trip service prior to 1978, particularly with regard to rusting. (An alternative is Test Method D 6557, the Ball Rust Test.)
- 4.1.2.2 Test Method D 5533, the Sequence IIIE gasoline engine test, has been correlated with vehicles used in high-temperature service prior to 1988, particularly with regard to oil thickening and valve train wear. (Alternatives are Test Method D 6984, the Sequence IIIF test, or Test Method D 7320, the Sequence IIIG test.)
- 4.1.2.3 Test Method D 5302, the Sequence VE gasoline engine test, has been correlated with vehicles used in stop-and-go service prior to 1988, particularly with regard to sludge and valve train wear. (An alternative is the combination of Test Method D 6593, the Sequence VG test, and Test Method D 6891, the Sequence IVA test.)
- 4.1.2.4 Test Method D 5119, the L-38 gasoline engine test, is used to measure copper-lead bearing weight loss under high-temperature operating conditions. (An alternative is Test Method D 6709, the Sequence VIII test.)
- (1) Test Method D 5119 (or Test Method D 6709) is also used to determine the ability of an oil to resist permanent viscosity loss due to shearing in an engine.
- 4.1.2.5 In addition to passing performance in the engine tests, specific viscosity grades shall also meet bench test requirements (see Table 2), which are discussed in the following subsections:



# TABLE 2 S Engine Oil Categories

Engine Test Method	Rated or Measured Parameter	riiiiaiv r	erformance Criteria
D 5844 <sup>A,B</sup> (Sequence IID)	Average engine rust rating, <sup>C</sup> min		8.5
D 5844" (Sequence IID)	Number stuck lifters		none
or D 6557 <sup>A</sup> (Ball Rust Test)			
D 5533 <sup>B,D</sup> (Sequence IIIE)	Average gray value, min		100
D 5533=,= (Sequence IIIE)	Hours to 375 % kinematic viscosity		64
	increase at 40°C, min		0.0
	Average engine sludge rating, <sup>E</sup> min		9.2
	Average piston skirt varnish rating, F min		8.9
	Average oil ring land deposit rating, mir	1	3.5
	Lifter sticking		none
	Scuffing and wear		
	Cam or lifter scuffing		none
	Cam plus lifter wear, µm		
	Average, max		30
	Maximum, max		64
	Ring sticking (oil-related <sup>G</sup> )		none
or D 6984 (Sequence IIIF) <sup>D</sup>	Kinematic viscosity, % increase at 40°C,		325 <sup>H</sup>
	Average piston skirt varnish rating, min		8.5
	Weighted piston deposit rating, min		3.2'
	Screened average cam-plus-lifter wear,	um, max	20 <sup><i>l</i>,<i>K</i></sup>
	Hot stuck rings		none <sup>1</sup>
or D 7320 (Sequence IIIG) <sup>L</sup>	Kinematic viscosity, % increase at 40°C,	max	150
	Weighted piston deposit rating, <sup>M</sup> min		3.5
	Cam-plus-lifter wear avg, µm, max		60
	Hot stuck rings		none
D 5302 <sup>B,N</sup> (Sequence VE)	Average engine sludge rating, <sup>E</sup> min		9.0
	Rocker arm cover sludge rating, E min		7.0
	Average piston skirt varnish rating, min		6.5
	Average engine varnish rating, F min		5.0
	Oil ring clogging, %		report
	Oil screen clogging, %, max		20.0
	Compression ring sticking (hot stuck)		none
	Cam wear, µm		
	Average, max		127
	Maximum, max		380
or D 6891 (Sequence IVA) <sup>N</sup>	Average cam wear, µm <sup>O</sup>		120
plus, D 6593 <sup>N</sup> (Sequence VG)	Average engine sludge rating, E min		7.8
	Rocker arm cover sludge rating, E min		8.0
	Average piston skirt varnish rating, F min		7.5
	Average engine varnish rating, min		8.9
	Oil screen clogging, %, max		20
	Hot stuck compression rings		none
D 5119 <sup>Q</sup> (L-38)   s. iteh. ai/catalog/sta	Bearing weight loss, mg, max 8-4761		-140185-08
ps. state and s. item area and g sta	Shear stability		R
or D 6709 <sup>Q</sup> (Sequence VIII)	Bearing weight loss, mg, max		26.4
(204000000)	Shear stability		R
		Viscosity Grade Performance Cri	iteria <sup>s</sup>
Bench Test and Measured Parameter (effection	ve January 1, 1992) SAE 5W-3		SAE 15W-40

Danch Test and Massaured Davemeter (effective January 1, 1000)	Visc	osity Grade Performance Cri	teria <sup>S</sup>
Bench Test and Measured Parameter (effective January 1, 1992)	SAE 5W-30	SAE 10W-30	SAE 15W-40
Test Method D 5800 volatility loss, % max <sup>T</sup>	25	20	18
Test Method D 2887 volatility loss at 371°C (700°F), % max <sup>T</sup>	20	17	15
Test Method D 6795 (EOFT), % flow reduction, max	50	50	$NR^{\mathcal{U}}$
Test Method D 4951 or D 5185, phosphorus % mass, max	0.12	0.12	NR
Test Method D 4951 or D 5185, phosphorus % mass, min	0.06	0.06	0.06
(all viscosity grades) (unless valid passing Test Method D 5302 results are obtained)			
Test Method D 92 flash point, °C, min <sup>V</sup>	200	205	215
Test Method D 93 flash point, °C, min <sup>V</sup>	185	190	200
Test Method D 892 foaming tendency (Option A)			
Sequence I, max, foaming/settling <sup>W</sup>	10/0	10/0	10/0
Sequence II, max, foaming/settling $^{W}$	50/0	50/0	50/0
Sequence III, max, foaming/settling <sup>W</sup>	10/0	10/0	10/0
Test Method D 6082 (optional blending required)	report <sup>X</sup>	report <sup>x</sup>	report <sup>x</sup>
Test Method D 6922 homogeneity and miscibility	Y	Y	Y

API	S.I	Category

Engine Test Method	Rated or Measured Parameter	Primary Performance Criteria
D 5844 <sup>A,B</sup> (Sequence IID)	Average engine rust rating, <sup>C</sup> min	8.5
	Number stuck lifters	none
or D 6557 <sup>A</sup> (Ball Rust Test)	Average gray value, min	100
D 5533 <sup>B,D</sup> (Sequence IIIE)	Hours to 375 % kinematic viscosity increase at 40°C, min	64



## TABLE 2 Continued

Engine Test Method	Rated or Measured Parameter	Primary Performance Criteria
	Average engine sludge rating, <sup>E</sup> min	9.2
	Average piston skirt varnish rating, min	8.9
	Average oil ring land deposit rating, min	3.5
	Lifter sticking	none
	Scuffing and wear	
	Cam or lifter scuffing	none
	Cam plus lifter wear, µm	
	Average, max	30
	Maximum, max	64
	Ring sticking (oil-related) <sup>G</sup>	none
or <mark>D 6984</mark> (Sequence IIIF) <sup>D</sup>	Kinematic viscosity, % increase at 40°C, max	325 <sup>H</sup>
,	Average piston skirt varnish rating, min	8.5'
	Weighted piston deposit rating, min	3.2'
	Screened average cam-plus-lifter wear, µm, max	20 <sup>/,K</sup>
	Hot stuck rings	none <sup>1</sup>
or D 7320 (Sequence IIIG) <sup>L</sup>	Kinematic viscosity, % increase at 40°C, max	150
,	Weighted piston deposit rating, <sup>M</sup> min	3.5
	Cam-plus-lifter wear avg, µm, max	60
	Hot stuck rings	none
D 5302 <sup>B,N</sup> (Sequence VE)	Average engine sludge rating, <sup>E</sup> min	9.0
(0040000000)	Rocker arm cover sludge rating, <sup>E</sup> min	7.0
	Average piston skirt varnish rating, min	6.5
	Average engine varnish rating, F min	5.0
	Oil ring clogging, %	report
	Oil screen clogging, %, max	20.0
	Compression ring sticking (hot stuck)	none
	Cam wear, µm	
	Average, max	127
	Maximum, max	380
or D 6891 (Sequence	Average cam wear, µm <sup>O</sup>	120
IVA) <sup>N</sup>	ttng.//gtondordg itch oi	
plus, D 6593 <sup>N</sup>	Average engine sludge rating, E min	7.8
(Sequence VG)	Rocker arm cover sludge rating, E min	8.0
(,,	Average piston skirt varnish rating, F min	7.5
	Average engine varnish rating, min	8.9
	Oil screen clogging, %, max	20
	Hot stuck compression rings	none
D 5119 <sup>Q</sup> (L-38)	Bearing weight loss, mg, max	40
- ()	Shear stability STM D4485-08	R
or D 6709 <sup>Q</sup> (Sequence	Regring weight loss ma may	8dfbcf46/astm-d4485-08
VIII)/StandardS.ItCII.al/Cata	Shear stability	R

	Viscosity Grade Per	formance Criteria
Bench Test and Measured Parameter	SAE 0W-20, SAE 5W-20, SAE 5W-30, SAE 10W-30	All Others
Test Method D 5800 volatility loss, % max <sup>Z</sup>	22	20 <sup>AA</sup>
Test Method D 6417 volatility loss at 371°C (700°F), % max <sup>Z</sup>	17	15 <sup>AA</sup>
Test Method D 5480 volatility loss at 371°C (700°F), % max <sup>Z</sup>	17	15 <sup>AA</sup>
Test Method D 6795 (EOFT), % flow reduction, max	50	50
Test Method D 6794 (EOWTT), % flow reduction, max		
with 0.6 % H <sub>2</sub> 0	report	report
with 1.0 % H <sub>2</sub> 0	report	report
with 2.0 % H <sub>2</sub> 0	report	report
with 3.0 % H <sub>2</sub> 0	report	report
Test Method D 4951 or D 5185, phosphorus % mass, max	0.10 <sup>AB</sup>	$NR^U$
Test Method D 4951 or D 5185, phosphorus % mass, min	0.06	0.06
(unless valid passing Test Method D 5302 results are obtained)		
Test Method D 92 flash point, °C, min <sup>V</sup>	200	$NR^{\mathcal{U}}$
Test Method D 93 flash point, °C, min <sup>V</sup>	185	$NR^{\mathcal{U}}$
Test Method D 892 foaming tendency (Option A)		
Sequence I, max, foaming/settling <sup>AC</sup>	10/0	10/0
Sequence II, max, foaming/settling <sup>AC</sup>	50/0	50/0
Sequence III, max, foaming/settling <sup>AC</sup>	10/0	10/0
Test Method D 6082 (optional blending required) Static foam, max,	200/50 <sup>AD</sup>	200/50 <sup>AD</sup>
tendency/stability		
Test Method D 6922 homogeneity and miscibility	Y	Y



#### TABLE 2 Continued

	Viscosity Grade Pe	rformance Criteria
Bench Test and Measured Parameter	SAE 0W-20, SAE 5W-20, SAE 5W-30, SAE 10W-30	All Others
Test Method D 6335 High temperature deposits (TEOST 33), deposit wt, mg, max	60	60
Test Method D 5133 Gelation Index, max	12	$NR^{\mathit{U}}$

#### APLSI Category

Engine Test Method	Rated or Measured Parameter	Primary Performance Criteria
D 6984 (Sequence IIIF)	Kinematic viscosity, % increase at 40°C, max	275
	Average piston skirt varnish rating, min	9.0
	Weighted piston deposit rating, min	4.0
	Screened average cam-plus-lifter wear, µm, max	20 <sup>K</sup>
	Hot Stuck Rings	none
	Low temperature viscosity performance <sup>AE</sup>	report
or D 7320 (Sequence IIIG) <sup>L</sup>	Kinematic viscosity, % increase at 40°C, max	150
	Weighted piston deposit rating, $^{M}$ min	3.5
	Cam-plus-lifter wear avg, µm, max	60
	Hot stuck rings	none
	Low temperature viscosity performance <sup>AF</sup>	report
D 6891 (Sequence IVA)	Cam wear average, $\mu$ m, $^{O}$ max	120
D 5302 <sup>B</sup>	Cam wear average, µm, max	127
(Sequence VE <sup>AG</sup> ) D 6593	Cam wear max, µm, max	380
(Sequence VG)	Average engine sludge rating, E min	7.8
	Rocker arm cover sludge rating, E min	8.0
	Average piston skirt varnish rating, min	7.5
	Average engine varnish rating, min	8.9
	Oil screen clogging, %, max	20
	Hot stuck Compression rings	none
	Cold stuck rings	report
	Oil screen debris, %	report
	Oil ring clogging, %	report
D 6709	Bearing weight loss, mg, max	26.4
(Sequence VIII)	Shear stability	R

Bench Test and Measured Parameter	Performance Criteria
Test Method D 6557 (Ball Rust Test), average gray value, min	100
Test Method D 5800 volatility loss, % max ASTM D4485-08	15
Test Method D 6417 volatility loss at 371°C (700°F), % max D 6795 (EOFT), % flow reduction, max	f-c79a8dfbcf46/ast <mark>50</mark> -d4485-08
D 6794 (EOWTT), % flow reduction, max	
With 0.6 % H <sub>2</sub> O	50
With 1.0 % H <sub>2</sub> O	50
With 2.0 % H <sub>2</sub> O	50
With 3.0 % H <sub>2</sub> O	50
Test Method D 4951 or D 5185, phosphorus % mass, max <sup>AH</sup>	0.10 <sup>AB</sup>
Test Method D 4951 or D 5185, phosphorus % mass, min	0.06
(unless valid passing Test Method D 5302 results are obtained)	
Test Method D 892 foaming tendency (Option A)	
Sequence I, max, foaming/settling <sup>AC</sup>	10/0
Sequence II, max, foaming/settling <sup>AC</sup>	50/0
Sequence III, max, foaming/settling <sup>AC</sup>	10/0
Test Method D 6082 (optional blending required) static foam max, tendency/stability	100/0 <sup>AD</sup>
Test Method D 6922 homogeneity and miscibility	Y
Test Method D 7097 high temperature deposits (TEOST MHT-4),	
deposit wt, mg, max	45
Test Method D 5133 (Gelation Index), max <sup>AH</sup>	12 <sup>AI</sup>

<sup>&</sup>lt;sup>A</sup> Demonstrate passing performance in either Test Method D 5844 or D 6557.

<sup>&</sup>lt;sup>B</sup> Monitoring of this test method was discontinued in June 20, 2001. Valid test results shall predate the end of the last calibration period for the test stand in which this test method was conducted.

<sup>&</sup>lt;sup>C</sup> CRC Rust Rating Manual No. 7, available from Coordinating Research Council, 219 Perimeter Center Pkwy., Atlanta, GA 30346.

Demonstrate passing performance in either Test Method D 5533 or D 6984. However, an oil passing Test Method D 6984 and containing less than 0.08 % mass phosphorus in the form of ZDDP shall also pass the wear limits in Test Method D 5302 (see also footnote <sup>L</sup>).

ECRC Sludge Rating Manual No. 12, available from Coordinating Research Council, 219 Perimeter Center Pkwy., Atlanta, GA 30346.

FCRC Varnish Rating Manual No. 14, available from Coordinating Research Council, 219 Perimeter Center Pkwy., Atlanta, GA 30346.

<sup>&</sup>lt;sup>G</sup> An oil-related stuck ring occurs on a piston with an individual oil ring land deposit rating <2.6.

 $<sup>^{\</sup>it H}$  Determine at 60 h.

Determine at 80 h.



- <sup>J</sup> Determine weighted piston deposits by rating the following piston areas and applying the corresponding weightings: undercrown, 10 %; second land, 15 %; third land, 30 %; piston skirt, 10 %; first groove, 5 %; second groove, 10 %; and third groove, 20 %. Use CRC Varnish Rating Manual No. 14 for all ratings.
- K Calculate by eliminating the highest and lowest cam-plus-lifter wear results and then calculating an average based on the remaining ten rating positions.
- <sup>L</sup> For oils containing at least 0.06 % mass phosphorus in the form of ZDDP, demonstrating passing performance in the Sequence IIIG test obviates the need to also conduct Test Method D 5302 (Sequence VE), which was previously required for oils with less than 0.08 % mass phosphorus.
  - <sup>M</sup> Unlike the Sequence IIIF test, piston skirt varnish rating is not required in the Sequence IIIG test.
- <sup>N</sup> Demonstrate passing performance in Test Method D 5302, or alternatively, in both Test Method D 6891 and Test Method D 6593.
- O Determine cam wear according to Test Method D 6891. Seven wear measurements are made on each cam lobe and the seven measured values are added to obtain an individual cam lobe wear result. The overall cam wear value is the average of the twelve individual cam lobe wear results.
- P Determine the average engine varnish rating by averaging the piston skirt, right rocker arm cover, and left rocker arm cover varnish ratings. Use the CRC Varnish Rating Manual No. 14 for all ratings.
  - <sup>Q</sup> Demonstrate passing performance in either Test Method D 5119 or D 6709.
  - R Ten-hour stripped kinematic viscosity (oil shall remain in original viscosity grade).
  - S Passing bench test performance is only required for SAE 5W-30, SAE 10W-30, and SAE 15W-40 viscosity grades as defined in SAE J300.
  - <sup>7</sup> Meet either Test Method D 5800 or Test Method D 2887 volatility requirement.
  - $^{\prime\prime}$  NR stands for Not Required.
  - <sup>V</sup> Meet either Test Method D 92 or Test Method D 93 flash point requirement.
  - $^{\ensuremath{\textit{W}}}$  Determine settling volume at 5 min.
  - X Report kinetic foam volume (mL), static foam volume (mL), and collapse time, s.
  - Y Homogeneous with SAE reference oils.
  - <sup>Z</sup> Meet the volatility requirement in either Test Method D 5800, D 5480, or D 6417.
  - AA Passing volatility loss only required for SAE 15W-40 oils.
  - <sup>AB</sup> This is a noncritical specification as described in Practice D 3244.
  - AC Determine settling volume, in mL, at 10 min.
  - <sup>AD</sup> Determine settling volume, in mL, at 1 min.
- AE Evaluate the 80-h test oil sample by Test Method D 4684 at the temperature indicated by the low temperature grade of oil as determined on the 80-h sample by Test Method D 5293.
- AF Measure the viscosity of the EOT oil sample by Test Method D 4684. The measured viscosity shall meet the requirements of the original grade or the next higher grade. The EOT sample can be either from a Sequence IIIG or a Sequence IIIGA test. (A Sequence IIIGA test is identical to a Sequence IIIG test, except only low temperature viscosity performance is measured.) Additional details are provided in the Sequence IIIG test method, in Section 13.6.
  - AG Not required for oils containing a minimum of 0.08 % mass phosphorus in the form of ZDDP.
  - AH Requirement applies only to SAE 0W-20, 5W-20, 0W-30, 5W-30, and 10W-30 viscosity grades.
  - <sup>AI</sup> For gelation temperatures at or above the W grade pumpability temperature as defined in SAE J300.

# Teh Standards

- (1) The volatility of engine oils is one of several factors that relates to engine oil consumption.
- (2) Test Method D 6795, the EOFT screens for the formation of precipitates and gels that form in the presence of water and can cause oil filter plugging.
- (3) Phosphorus compounds in excessive amounts can cause glazing of automotive catalysts and exhaust gas oxygen sensors and, thereby, deactivate them. Control of the phosphorus level in the engine oil may reduce this tendency.
- (4) The flash point may indicate if residual solvents and low-boiling fractions remain in the finished oil.
- (5) Excessive foaming in engine oil can cause valve lifter collapse and a loss of lubrication due to the presence of air in the oil. Test Methods D 892 and D 6082 empirically rate the foaming tendency and stability of oils.
- (6) Test Method D 6922, the H and M Test indicates the compatibility of an oil with standard test oils.
- (7) Newer engines designed to provide increased power and improved driveability and to meet future federal emissions and fuel economy requirements may be sensitive to internal deposits caused by elevated engine operating temperatures. Test Method D 6335, the TEOST test, may be useful in determining the deposit control of oils recommended for these engines.
- (8) Test Method D 5133, the Gelation Index technique, might identify oils susceptible to air binding and might provide low temperature protection not adequately measured by the Test Method D 4684.
- 4.1.2.6 Licensing of the API SJ category requires that candidate oils meet the performance requirements in this specification, and that the oils be tested in accordance with the protocols described in the ACC Petroleum Additives Product Approval Code of Practice. The methodology detailed in the

- ACC Code will help ensure that an engine oil meets its intended performance specification.
- 4.1.3 *SL*—Oil meeting the performance requirements measured in the following gasoline engine tests and bench tests:
- 4.1.3.1 Test Method D 6984, the Sequence IIIF gasoline engine test, is used to measure oil thickening and piston deposits under high temperature conditions and provides information about valve train wear.<sup>11</sup> (An alternative is Test Method D 7320, the Sequence IIIG test.)
- 4.1.3.2 Test Method D 6891, the Sequence IVA gasoline engine test, has been correlated with the Sequence VE gasoline engine test in terms of overhead cam and slider follower wear control.<sup>12</sup>
- 4.1.3.3 Test Method D 5302, the Sequence VE gasoline engine test, has been correlated with vehicles used in stop-and-go service prior to 1988, with regard to valve train wear. It is included in the SL performance specification to augment assessment of the wear control performance of oils containing less than 0.08 % mass of phosphorus from ZDDP additive.

Note 1—Prior to May 2004, the API SH, SJ, and SL categories required that oils with passing Test Method D 6984 (Sequence IIIF) results, and containing less than 0.08 % mass phosphorus in the form of ZDDP, also demonstrate passing performance in Test Method D 5302 (Sequence VE). This requirement was included to address concerns over adequate wear protection with low levels of ZDDP. However, Test Method D 5302 has not been available to industry for some time, and an alternative method was needed. In a related activity, the next level of

<sup>&</sup>lt;sup>11</sup> Supporting data have been filed at ASTM International Headquarters and may be obtained by requesting Research Report RR: D02–1391.

<sup>&</sup>lt;sup>12</sup> Supporting data have been filed at ASTM International Headquarters and may be obtained by requesting Research Report RR: D02–1473.

gasoline engine oil performance, the ILSAC GF-4 standard, was developed outside the normal ASTM consensus process. Deliberations during the GF-4 development process included careful consideration of the suitability of Test Method D 7320, the Sequence IIIG, a new test, to evaluate the wear protection of oils with less than 0.08 % phosphorus. Data on oils with less than 0.08 % mass phosphorus in the form of ZDDP were reviewed by members of the D02.B0 Passenger Car Engine Oil Classification Panel (PCEOCP). These data were from Test Method D 7320 (Sequence IIIG) tests and from field tests on large populations of older vehicles with different engine types. Based on these data, the PCEOCP recommended a ballot to allow the use of Test Method D 7320 (Sequence IIIG) as an alternative to Test Method D 6984 (Sequence IIIF) plus Test Method D 5302 (Sequence VE) for demonstration of acceptable API SH, SJ, and SL performance on low phosphorus oils, establishing at least 0.06 % phosphorus as the minimum level. That ballot was approved by Subcommittee D02.B0 in May 2004.

- 4.1.3.4 Test Method D 6593, the Sequence VG gasoline engine test, has been correlated with the Sequence VE gasoline engine test and with vehicles used in stop-and-go service prior to 2000, with regard to sludge and varnish deposit control.
- 4.1.3.5 Test Method D 6709, the Sequence VIII gasoline engine test, is used to measure copper-lead bearing weight loss under high-temperature operating conditions and has been shown to correlate with the L-38 gasoline engine test.<sup>13</sup>
- (1) The Sequence VIII gasoline engine test is also used to determine the ability of an oil to resist permanent viscosity loss due to shearing in an engine.
- 4.1.3.6 In addition to passing performance in the engine tests, oils shall also meet bench test requirements (see Table 2), which are discussed in the following subsections:
- (1) Test Method D 6557 (Ball Rust Test), was developed to replace the Sequence IID gasoline engine test, and evaluates the ability of an oil to prevent the formation of rust under short-trip service conditions.
- (2) The volatility of engine oils is one of several factors that relates to engine oil consumption. For this engine oil category, volatility is measured by Test Methods D 5800 and D 6417.
- (3) Test Method D 6795, the Engine Oil Filterability Test (EOFT) and Test Method D 6794, the Engine Oil Water Tolerance Test (EOWTT) screen for the formation of precipitates and gels which form in the presence of water and can cause oil filter plugging.
- (4) Phosphorus compounds in excessive amounts can cause glazing of automotive catalysts and exhaust gas oxygen sensors and, thereby, deactivate them. Control of the phosphorus level in the engine oil may reduce this tendency. For this engine oil category, phosphorus content is measured by either Test Method D 4951 or D 5185.
- (5) Excessive foaming in engine oil can cause valve lifter collapse and a loss of lubrication due to the presence of air in the oil. Test Methods D 892 and D 6082 empirically rate the foaming tendency and stability of oils.
- (6) Test Method D 6922, the H and M Test indicates the compatibility of an oil with standard test oils.
- (7) Newer engines designed to provide increased power and improved driveability and to meet future federal emissions and fuel economy requirements may be sensitive to internal depos-

its caused by elevated engine operating temperatures. Test Method D 7097, the TEOST MHT-4 test may be useful in determining the piston deposit control capability of oils recommended for these engines.

- (8) Test Method D 5133, the Gelation Index technique, might identify oils susceptible to air binding and might provide low-temperature protection not adequately measured by Test Method D 4684.
- 4.1.3.7 Licensing of the API SL category requires that candidate oils meet the performance requirements in this specification, and that the oils be tested in accordance with the protocols described in the ACC Petroleum Additives Product Approval Code of Practice. The methodology detailed in the ACC Code will help ensure that an engine oil meets its intended performance specification.
- 4.1.4 *CF-4*—Oil meeting the performance requirements in the following diesel and gasoline engine tests and bench test:
- 4.1.4.1 Test Method D 6750, the 1K diesel engine test, has been correlated with vehicles equipped with engines used in high-speed operation prior to 1989,<sup>14</sup> particularly with regard to deposits and oil consumption.
- 4.1.4.2 The T-6 has been correlated with vehicles equipped with engines used in high-speed operation prior to 1980,<sup>15</sup> particularly with regard to deposits, oil consumption, and ring wear. (An alternative is Test Method D 6987/D 6987M, the T-10 diesel engine test. See 4.1.9.2.)
- 4.1.4.3 The T-7 test has been correlated with vehicles equipped with engines operated largely under lugging conditions prior to 1984, <sup>16</sup> particularly with regard to oil thickening.
- 4.1.4.4 Test Method D 5968, the bench corrosion test, has been shown to predict corrosion of engine oil-lubricated copper, lead, or tin-containing components used in diesel engines. Test Method D 5290, the NTC-400 diesel engine test, has been correlated with vehicles equipped with engines in highway operation prior to 1983, Particularly with regard to oil consumption control, deposits, and wear. Test Method D 5290 is not listed in Table 3, as calibrated test stands are no longer available due to unavailability of critical test parts. It has been demonstrated that the 1K test, in combination with Test Method D 5968, can be substituted for the NTC-400 test as an acceptable means to demonstrate performance against this category; however, data from NTC-400 tests, run in calibrated stands, can be used to support this category in accordance with the provisions of Specification D 4485–94.
- 4.1.4.5 Test Method D 6709, the Sequence VIII gasoline engine test, is used to measure copper-lead bearing weight loss under high temperature operating conditions and has been shown to correlate with the L-38 gasoline engine test.<sup>13</sup>

<sup>&</sup>lt;sup>13</sup> Supporting data have been filed at ASTM International Headquarters and may be obtained by requesting Research Report RR: D02–1471.

<sup>&</sup>lt;sup>14</sup> Supporting data have been filed at ASTM International Headquarters and may be obtained by requesting Research Report RR: D02–1273.

<sup>&</sup>lt;sup>15</sup> Supporting data have been filed at ASTM International Headquarters and may be obtained by requesting Research Report RR: D02–1219.

<sup>&</sup>lt;sup>16</sup> Supporting data have been filed at ASTM International Headquarters and may be obtained by requesting Research Report RR: D02–1220.

<sup>&</sup>lt;sup>17</sup> Supporting data have been filed at ASTM International Headquarters and may be obtained by requesting Research Report RR: D02–1322.

<sup>&</sup>lt;sup>18</sup> Supporting data have been filed at ASTM International Headquarters and may be obtained by requesting Research Report RR: D02–1194.

# TABLE 3 C Engine Oil Categories

Category	Test Method	Rated or Measured Parameter	F	rimary Performance	Criteria
CF-4	D 6709 (Sequence VIII) T-6	Bearing weight loss, mg, max Merit rating, <sup>4</sup> min	33.0 90		
	or	mont rading, min	00		
	D 6483 (T-9) <sup>B</sup>	Top piston ring weight loss, c average, mg, max	150		
		Liner wear, µm, max	40		
	or, D 6987/D 6987M (T-10)	Top ring weight loss, mg, max	180		
	Т 7	Liner wear, µm, max	47		
	T-7 or	Average rate of kinematic viscosity increase during last 50 h, mm <sup>2</sup> /s at 100°C/h, max	0.040		
	D 5967 (T-8A) <sup>B</sup>	Average rate of kinematic viscosity increase from 100 to 150 h, mm <sup>2</sup> /s at 100°C/h, max	0.20		
	D 5968 (CBT) <sup>D</sup>	Copper, mg/kg (ppm) increase, max	20		
	, ,	Lead, mg/kg (ppm) increase, max	60		
		Tin, mg/kg (ppm) increase, max	report		
		Copper strip rating, <sup>E</sup> max	_3		
			Two-test <sup>F</sup>	Three-test <sup>F</sup>	Four-test <sup>F</sup>
	D 6750 (1K)	A 1K test program <sup>F</sup> with a minimum of two tests, acceptable according to the limits shown in the columns to the right, is required to demonstrate performance for			
		this category. Weighted demerits (WDK), G,H max	332	339	342
		Top groove fill (TGF), G %, max	332 24	339 26	342 27
		Top land heavy carbon (TLHC), 6 % max	4	4	5
		Average oil consumption, g/kW·h, (0-252 h), max	0.5	0.5	0.5
		Final Oil consumption, g/kW·h, (228-252 h) max	0.27	0.27	0.27
		Piston, ring, and liner scuffing	- **	- <del>-</del> -	- =-
		Number of tests allowed	none	none <sup>E</sup>	none <sup>1</sup>
		Piston ring sticking	none	none	none
OF	D 6618 (1M-PC)	Top groove fill (TGF), G, max	70 <sup>J</sup>		
	2 0010 (1W1 1 0)	Weighted total demerits (WTD), a max	240 <sup>J</sup>	MTAC <sup>J</sup>	MTAC <sup>J</sup>
		Piston ring sticking	none		
		Piston, ring and liner scuffing	none		
		nttne•//etandarde i	One-Test	$Two\text{-}Test^K$	Three-Test $^K$
	D 6709 (Sequence VIII)	Bearing weight loss, mg, max	29.3	31.9	33.0
DF-2	D 6618 (1M-PC)	Weighted total demerits (WTD), a max	100 <sup>J</sup>	MTAC <sup>J</sup>	MTAC <sup>J</sup>
	, ,	Document Previ	One-Test	Two-Test <sup>L</sup>	Three-Test <sup>L</sup>
	D 5862 (6V 92TA)	Cylinder liner scuffing area, % max	45.0	48.0	50.0
		Cylinder liner port plugging area, Average, % max	2	2	2
		Single cylinder, % max	0256 70 01	g	105500
		Piston rings face distress demerits	93 <b>df-c</b> 79a8d	tbct467astm-d4	485-08
		No. 1 (fire ring), max	0.23	0.24	0.26
		Average of No. 2 and 3, max	0.20	0.21	0.22
	D 6709 (Sequence VIII)	Bearing weight loss, mg, max	29.3	31.9 <sup>K</sup>	33.0 <sup>K</sup>
	·		One-Test	Two-Test <sup>M</sup>	Three-Test <sup>M</sup>
CG 4	D 6750 (1N)	Waighted demorite (MDN)/G.N			
CG-4	D 6/50 (1N)	Weighted demerits (WDN) <sup>G,N</sup> Top groove fill (TGF), <sup>G</sup> %, max	286.2 20	311.7 23	323.0 25
		Top land heavy carbon (TLHC), 6 % max	3	4	5
		Oil consumption, g/kW·h, (0-252 h) max Piston, ring, and liner scuffing	0.5	0.5	0.5
		Number of tests allowed	none	none	none <sup>/</sup>
		Piston ring sticking	none	none	none
	D 5967 (T-8) <sup>O</sup>	Viscosity increase at 3.8 % soot, cSt, max	11.5	12.5	13.0
	( -,	Filter plugging, differential pressure, kPa (psi), max	138 (20)	138 (20)	138 (20)
		Oil consumption, g/kW·h (lb/bhp·h), max	0.304	0.304	0.304
		· · · · · · · · · · · · · · · · · · ·	(0.0005)	(0.0005)	(0.0005)
	D 6984 (Sequence IIIF)	60 h viscosity (at 40°C) increase from 10 min sample, %, max	325	349	360
	or D 7320 (Sequence	Kinematic viscosity, % increase at 40°C max	150	173	184
	IIIG) <sup>P</sup> D 6709 (Sequence VIII)	Bearing weight loss, mg, max	29.3	31.9 <sup>K</sup>	$33.0^{K}$
	D 0700 (Sequence VIII)	Used oil viscosity, cSt greater than SAE	23.0	01.3	55.0
		J300 lower limit for grade, min <sup>Q</sup>	0.5	0.5	0.5
	D 5966 (RFWT)	Wear, mils, max	0.45	0.49	0.50
		μm, max	(11.4)	(12.4)	(12.7)
		Foaming characteristics	• ,	` '	` '
	D 892 (Option A				
	D 892 (Option A not allowed)	Foaming/settling, <sup>R</sup> mL, max	/-		
	` .	Foaming/settling, <sup>R</sup> mL, max Sequence I	10/0		
	` .	Foaming/settling, <sup>R</sup> mL, max	10/0 20/0 10/0		



# TABLE 3 Continued

Category	Test Method	Rated or Measured Parameter	Primary Performance Criteria		
	D 6894 (EOAT) <sup>S</sup>	Aeration, volume % max	10.0		
	D 5968	Copper, mg/kg (ppm) increase, max	20		
		Lead, mg/kg (ppm) increase, max	60		
		Tin, mg/kg (ppm) increase, max	report		
		Copper strip rating, <sup>E</sup> max	3 One-test	Two-test $^{T}$	Three-test <sup>T</sup>
CH-4	D 6681 (1P) <sup>U</sup>	Weighted demerits (WDP), max	350	378	390
011 1	2 0001 (11)	Top groove carbon (TGC), demerits, max	36	39	41
		Top land carbon (TLC), demerits, max	40	46	49
		Average Oil Consumption, g/h (0-360 h), max	12.4	12.4	12.4
		Final Oil Consumption, g/h (312-360 h), max	14.6	14.6	14.6
		Piston, ring, and liner scuffing	none	none	none <sup>1</sup>
	D 6750 (1K) <sup>H</sup>	Weighted demerits (WDK), %, max	332	347	353
	,	Top groove fill (TGF), %, max	24	27	29
		Top land heavy carbon (TLHC), %, max	4	5	5
		Average Oil Consumption, g/kW-h (0-250 h), max	0.5	0.5	0.5
		Piston, ring, and liner scuffing	none	none	none <sup>1</sup>
	D 6483 (T-9)	Average Liner Wear, normalized to 1.75 % soot, µm max	25.4	26.6	27.1
		Average Top Ring Weight Loss, mg max <sup>C</sup>	120	136	144
		EOT Used Oil Lead Content less New Oil Lead			
		Content, mg/kg (ppm), max	25	32	36
	or, D 6987/D 6987M (T-10)	Liner wear, µm, max	32	34	35
		Ring wear, mg, max	150	159	163
		Lead content @ EOT, mg/kg (ppm), max	50	56	59
	or, D 7422 (T-12)	Liner wear, µm, max	30.0	30.8	31.1
	•	Top Ring Weight Loss, mg, max	120	132	137
		Lead content @ EOT, mg/kg (ppm), max	65	75	79
	D 5966 (RFWT)	Average Pin Wear, mils, max	0.30	0.33	0.36
		(µm, max)	(7.6)	(8.4)	(9.1)
	D 6838 (M11) <sup>V</sup>	Rocker Pad Average Wt. Loss, normalized to 4.5 % soot,			
		mg max	6.5	7.5	8.0
		Oil Filter Differential Pressure at EOT, kPa max	79	93	100
		Average Engine Sludge, CRC Merits at EOT, min	8.7	8.6	8.5
	or, the Cummins ISM test	Crosshead wear, mg, max	7.5	7.8	7.9
		Oil filter delta pressure, at 150 h, kPa, max	79	95	103
	_	Sludge rating, CRC merits, min	8.1	8.0	8.0
	D 5967 (Ext. T-8E) <sup>O</sup>	Relative Viscosity at 4.8 % Soot by			
		TGA, max	2.1	2.2	2.3
		Viscosity increase at 3.8 % Soot by TGA, cSt max	11.5	12.5	13.0
	D 6984 (Sequence IIIF)	60 h Viscosity at 40°C, increase from 10 min sample, %			
		max A STIM DA 495 09	295	295 (MTAC) <sup>W</sup>	295 (MTAC) W
	or D 7320 (Sequence	Kinematic viscosity, % increase at 40°C max			
	/IIIG) Producted steh ai/ca		9150 f c 79a 8 d fb c	150 (MTAC)	150 (MTAC)
	D 6894 (EOAT) <sup>s</sup>	Aeration, volume, % max	8.0	8.0 (MTAC) W	8.0 (MTAC) W
	D 6594 (135°C, HTC BT)	Used Oil Elemental Concentration			
		Copper, mg/kg (ppm) increase, max	20		
		Lead, mg/kg (ppm) increase, max	120		
		Tin, mg/kg (ppm) increase	report		
	D 000 (O 1)	Copper strip rating, E max	3		
	D 892 (Option A	Foaming/Settling, <sup>R</sup> mL, max			
	not allowed)	Soguence I	10/0		
		Sequence I	10/0		
		Seguence II			
		Sequence II	20/0		
		Sequence II Sequence III	10/0	SAE 15W 40	
	D 5800 or	Sequence III	10/0 SAE 10W-30	SAE 15W-40	
	D 5800 or	Sequence III % volatility loss at 250°C, max	10/0 SAE 10W-30 20	18	
	D 6417	Sequence III  % volatility loss at 250°C, max % volatility loss at 371°C, max	10/0 SAE 10W-30 20 17	18 15	
		Sequence III  % volatility loss at 250°C, max % volatility loss at 371°C, max Kinematic Viscosity after shearing,	10/0 SAE 10W-30 20 17 SAE XW-30	18 15 SAE XW-40	
	D 6417	Sequence III  % volatility loss at 250°C, max % volatility loss at 371°C, max	10/0 SAE 10W-30 20 17	18 15	Three-test <sup>x</sup>
CLA	D 6417 D 6278	Sequence III  % volatility loss at 250°C, max % volatility loss at 371°C, max Kinematic Viscosity after shearing, cSt min	10/0 SAE 10W-30 20 17 SAE XW-30 9.3 One-test	18 15 SAE XW-40 12.5 Two-test <sup>X</sup>	
CI-4	D 6417	Sequence III  % volatility loss at 250°C, max % volatility loss at 371°C, max Kinematic Viscosity after shearing, cSt min  Weighted demerits (WDR), max	10/0 SAE 10W-30 20 17 SAE XW-30 9.3 One-test	18 15 SAE XW-40 12.5 Two-test <sup>x</sup> 396	402
CI-4	D 6417 D 6278	Sequence III  % volatility loss at 250°C, max % volatility loss at 371°C, max Kinematic Viscosity after shearing, cSt min  Weighted demerits (WDR), max Top groove carbon (TGC), demerits, max	10/0 SAE 10W-30 20 17 SAE XW-30 9.3 One-test 382 52	18 15 SAE XW-40 12.5 Two-test <sup>X</sup> 396 57	402 59
CI-4	D 6417 D 6278	Sequence III  % volatility loss at 250°C, max % volatility loss at 371°C, max Kinematic Viscosity after shearing, cSt min  Weighted demerits (WDR), max Top groove carbon (TGC), demerits, max Top land carbon (TLC), demerits, max	10/0 SAE 10W-30 20 17 SAE XW-30 9.3 One-test 382 52 31	18 15 SAE XW-40 12.5 Two-test <sup>X</sup> 396 57 35	402 59 36
CI-4	D 6417 D 6278	Sequence III  % volatility loss at 250°C, max % volatility loss at 371°C, max Kinematic Viscosity after shearing, cSt min  Weighted demerits (WDR), max Top groove carbon (TGC), demerits, max Top land carbon (TLC), demerits, max Initial oil consumption (IOC),	10/0 SAE 10W-30 20 17 SAE XW-30 9.3 One-test 382 52	18 15 SAE XW-40 12.5 Two-test <sup>X</sup> 396 57	402 59
CI-4	D 6417 D 6278	Sequence III  % volatility loss at 250°C, max % volatility loss at 371°C, max Kinematic Viscosity after shearing, cSt min  Weighted demerits (WDR), max Top groove carbon (TGC), demerits, max Top land carbon (TLC), demerits, max Initial oil consumption (IOC), (0-252 h), g/h, average	10/0 SAE 10W-30 20 17 SAE XW-30 9.3 One-test  382 52 31 13.1	18 15 SAE XW-40 12.5 Two-test <sup>X</sup> 396 57 35 13.1	402 59 36 13.1
CI-4	D 6417 D 6278	Sequence III  % volatility loss at 250°C, max % volatility loss at 371°C, max Kinematic Viscosity after shearing, cSt min  Weighted demerits (WDR), max Top groove carbon (TGC), demerits, max Top land carbon (TLC), demerits, max Initial oil consumption (IOC), (0-252 h), g/h, average Final oil consumption,	10/0 SAE 10W-30 20 17 SAE XW-30 9.3 One-test 382 52 31	18 15 SAE XW-40 12.5 Two-test <sup>X</sup> 396 57 35	402 59 36
CI-4	D 6417 D 6278	Sequence III  % volatility loss at 250°C, max % volatility loss at 371°C, max Kinematic Viscosity after shearing, cSt min  Weighted demerits (WDR), max Top groove carbon (TGC), demerits, max Top land carbon (TLC), demerits, max Initial oil consumption (IOC), (0-252 h), g/h, average Final oil consumption, (432-504 h), g/h, average, max	10/0 SAE 10W-30 20 17 SAE XW-30 9.3 One-test  382 52 31 13.1 IOC + 1.8	18 15 SAE XW-40 12.5 Two-test <sup>X</sup> 396 57 35 13.1 IOC + 1.8	402 59 36 13.1 IOC + 1.8
CI-4	D 6417 D 6278	Sequence III  % volatility loss at 250°C, max % volatility loss at 371°C, max Kinematic Viscosity after shearing, cSt min  Weighted demerits (WDR), max Top groove carbon (TGC), demerits, max Top land carbon (TLC), demerits, max Initial oil consumption (IOC), (0-252 h), g/h, average Final oil consumption, (432-504 h), g/h, average, max Piston, ring, and liner distress	10/0 SAE 10W-30 20 17 SAE XW-30 9.3 One-test  382 52 31 13.1 IOC + 1.8 none	18 15 SAE XW-40 12.5 Two-test <sup>X</sup> 396 57 35 13.1 IOC + 1.8	402 59 36 13.1 IOC + 1.8
CI-4	D 6417 D 6278 D 6923 (1R)	Sequence III  % volatility loss at 250°C, max % volatility loss at 371°C, max Kinematic Viscosity after shearing, cSt min  Weighted demerits (WDR), max Top groove carbon (TGC), demerits, max Top land carbon (TLC), demerits, max Initial oil consumption (IOC), (0-252 h), g/h, average Final oil consumption, (432-504 h), g/h, average, max Piston, ring, and liner distress Ring sticking	10/0 SAE 10W-30 20 17 SAE XW-30 9.3 One-test  382 52 31 13.1 IOC + 1.8 none none	18 15 SAE XW-40 12.5 Two-test <sup>x</sup> 396 57 35 13.1 IOC + 1.8 none none	402 59 36 13.1 IOC + 1.8 none none
CI-4	D 6417 D 6278	Sequence III  % volatility loss at 250°C, max % volatility loss at 371°C, max Kinematic Viscosity after shearing, cSt min  Weighted demerits (WDR), max Top groove carbon (TGC), demerits, max Top land carbon (TLC), demerits, max Initial oil consumption (IOC), (0-252 h), g/h, average Final oil consumption, (432-504 h), g/h, average, max Piston, ring, and liner distress Ring sticking Weighted demerits (WDP), max	10/0 SAE 10W-30 20 17 SAE XW-30 9.3 One-test  382 52 31 13.1 IOC + 1.8  none none 350	18 15 SAE XW-40 12.5 Two-test <sup>X</sup> 396 57 35 13.1 IOC + 1.8 none none 378	402 59 36 13.1 IOC + 1.8 none none 390
Cl-4	D 6417 D 6278 D 6923 (1R)	Sequence III  % volatility loss at 250°C, max % volatility loss at 371°C, max Kinematic Viscosity after shearing, cSt min  Weighted demerits (WDR), max Top groove carbon (TGC), demerits, max Top land carbon (TLC), demerits, max Initial oil consumption (IOC), (0-252 h), g/h, average Final oil consumption, (432-504 h), g/h, average, max Piston, ring, and liner distress Ring sticking	10/0 SAE 10W-30 20 17 SAE XW-30 9.3 One-test  382 52 31 13.1 IOC + 1.8 none none	18 15 SAE XW-40 12.5 Two-test <sup>x</sup> 396 57 35 13.1 IOC + 1.8 none none	402 59 36 13.1 IOC + 1.8 none none