



**5G;
NR;**

**User Equipment (UE) radio transmission and reception;
Part 3: Range 1 and Range 2 Interworking operation
with other radios
(3GPP TS 38.101-3 version 15.11.0 Release 15)**



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Contents

Intellectual Property Rights	2
Legal Notice	2
Modal verbs terminology.....	2
Foreword.....	9
1 Scope	10
2 References	10
3 Definitions, symbols and abbreviations	11
3.1 Definitions	11
3.2 Symbols.....	11
3.3 Abbreviations	11
4 General	12
4.1 Relationship between minimum requirements and test requirements	12
4.2 Applicability of minimum requirements	12
4.3 Specification suffix information.....	13
5 Operating bands and channel arrangement.....	14
5.1 General	14
5.2 Operating bands.....	14
5.2A Operating bands for CA	14
5.2A.1 Inter-band CA between FR1 and FR2.....	14
5.2B Operating bands for DC	15
5.2B.1 General.....	15
5.2B.2 Void	15
5.2B.3 Void	15
5.2B.4 Void	15
5.2B.5 Void	15
5.2B.6 Void	15
5.2B.7 Void	15
5.3 UE Channel bandwidth	15
5.3A UE Channel bandwidth for CA	15
5.3A.1 Inter-band CA between FR1 and FR2.....	15
5.3B UE Channel bandwidth for EN-DC.....	15
5.3B.1 Intra-band EN-DC in FR1.....	16
5.3B.1.1 General	16
5.3B.1.2 BCS for Intra-band contiguous EN-DC	16
5.3B.1.3 BCS for Intra-band non-contiguous EN-DC	17
5.4 Void.....	18
5.4A Channel arrangement for CA.....	18
5.4B Channel arrangement for DC.....	18
5.4B.1 Channel spacing for intra-band EN-DC carriers	18
5.5 Configuration	19
5.5A Configuration for CA	19
5.5A.1 Inter-band CA configurations between FR1 and FR2	19
5.5B Configuration for DC	22
5.5B.1 General.....	22
5.5B.2 Intra-band contiguous EN-DC	22
5.5B.3 Intra-band non-contiguous EN-DC.....	23
5.5B.4 Inter-band EN-DC within FR1.....	23
5.5B.4.1 Inter-band EN-DC configurations within FR1 (two bands)	23
5.5B.4.2 Inter-band EN-DC configurations within FR1 (three bands)	26
5.5B.4.3 Inter-band EN-DC configurations within FR1 (four bands)	30
5.5B.4.4 Inter-band EN-DC configurations within FR1 (five bands).....	34
5.5B.4.5 Inter-band EN-DC configurations within FR1 (six bands)	37
5.5B.4a Inter-band NE-DC within FR1.....	37

5.5B.4a.1	Inter-band NE-DC configurations within FR1 (two bands)	37
5.5B.5	Inter-band EN-DC including FR2	37
5.5B.5.1	Inter-band EN-DC configurations including FR2 (two bands)	37
5.5B.5.2	Inter-band EN-DC configurations including FR2 (three bands)	41
5.5B.5.3	Inter-band EN-DC configurations including FR2 (four bands)	44
5.5B.5.4	Inter-band EN-DC configurations including FR2 (five bands)	46
5.5B.5.5	Void	47
5.5B.6	Inter-band EN-DC including FR1 and FR2	47
5.5B.6.1	Void	47
5.5B.6.2	Inter-band EN-DC configurations including FR1 and FR2 (three bands)	47
5.5B.6.3	Inter-band EN-DC configurations including FR1 and FR2 (four bands)	50
5.5B.6.4	Inter-band EN-DC configurations including FR1 and FR2 (five bands)	51
5.5B.6.5	Inter-band EN-DC configurations including FR1 and FR2 (six bands)	52
5.5B.7	Inter-band NR-DC between FR1 and FR2	52
5.5B.7.1	Inter-band NR-DC configurations between FR1 and FR2 (two bands)	52
6	Transmitter characteristics	53
6.1	General	53
6.2	Void	53
6.2A	Transmitter power for CA	53
6.2A.1	UE maximum output power for CA	53
6.2A.1.1	Inter-band CA between FR1 and FR2	53
6.2A.2	UE maximum output power reduction for CA	53
6.2A.2.1	Inter-band CA between FR1 and FR2	53
6.2A.3	UE additional maximum output power reduction for CA	53
6.2A.4	Configured output power for CA	54
6.2A.4.1	Configured output power level	54
6.2A.4.2	$\Delta T_{IB,c}$ for CA	54
6.2A.4.2.1	$\Delta T_{IB,c}$ for Inter-band CA between FR1 and FR2	54
6.2B	Transmitter power for DC	54
6.2B.1	UE maximum output power for DC	54
6.2B.1.1	Intra-band contiguous EN-DC	54
6.2B.1.2	Intra-band non-contiguous EN-DC	55
6.2B.1.3	Inter-band EN-DC within FR1	55
6.2B.1.3a	Inter-band NE-DC within FR1	57
6.2B.1.4	Inter-band EN-DC including FR2	58
6.2B.1.5	Inter-band EN-DC including both FR1 and FR2	58
6.2B.2	UE maximum output power reduction for DC	58
6.2B.2.0	General	58
6.2B.2.1	Intra-band contiguous EN-DC	58
6.2B.2.1.1	General	58
6.2B.2.1.2	MPR for power class 3 and power class 2	59
6.2B.2.2	Intra-band non-contiguous EN-DC	59
6.2B.2.2.1	General	59
6.2B.2.2.2	MPR for power class 3 and power class 2	60
6.2B.2.3	Inter-band EN-DC within FR1	61
6.2B.2.3a	Inter-band NE-DC within FR1	61
6.2B.2.4	Inter-band EN-DC including FR2	61
6.2B.2.5	Inter-band EN-DC including both FR1 and FR2	61
6.2B.3	UE additional maximum output power reduction for EN-DC	61
6.2B.3.1	Intra-band contiguous EN-DC	61
6.2B.3.1.0	General	61
6.2B.3.1.1	A-MPR for DC _(n) 71AA	61
6.2B.3.1.2	A-MPR for NS ₀₄	63
6.2B.3.2	Intra-band non-contiguous EN-DC	65
6.2B.3.2.0	General	65
6.2B.3.2.1	A-MPR for NS ₀₄	65
6.2B.3.3	Inter-band EN-DC within FR1	67
6.2B.3.4	Inter-band EN-DC including FR2	67
6.2B.3.5	Inter-band EN-DC including both FR1 and FR2	67
6.2B.4	Configured output power for DC	67
6.2B.4.1	Configured output power level	67

6.2B.4.1.1	Intra-band contiguous EN-DC	67
6.2B.4.1.2	Intra-band non-contiguous EN-DC	71
6.2B.4.1.3a	Inter-band NE-DC within FR1	75
6.2B.4.1.4	Inter-band EN-DC including FR2	78
6.2B.4.1.5	Inter-band EN-DC including both FR1 and FR2	79
6.2B.4.2	$\Delta T_{IB,c}$ for DC	79
6.2B.4.2.0	General	79
6.2B.4.2.1	Intra-band contiguous EN-DC	79
6.2B.4.2.2	Intra-band non-contiguous EN-DC	79
6.2B.4.2.3	Inter-band EN-DC within FR1	79
6.2B.4.2.3.1	$\Delta T_{IB,c}$ for EN-DC two bands	79
6.2B.4.2.3.5	$\Delta T_{IB,c}$ for EN-DC six bands	92
6.2B.4.2.3a	Inter-band NE-DC within FR1	92
6.2B.4.2.4	Inter-band EN-DC including FR2	92
6.2B.4.2.4.1	$\Delta T_{IB,c}$ for EN-DC two bands	92
6.2B.4.2.4.2	$\Delta T_{IB,c}$ for EN-DC three bands	92
6.2B.4.2.4.3	$\Delta T_{IB,c}$ for EN-DC four bands	93
6.2B.4.2.4.4	$\Delta T_{IB,c}$ for EN-DC five bands	93
6.2B.4.2.4.5	Void	93
6.2B.4.2.5	Inter-band EN-DC including both FR1 and FR2	93
6.2B.4.2.5.1	$\Delta T_{IB,c}$ for EN-DC three bands	93
6.2B.4.2.5.2	$\Delta T_{IB,c}$ for EN-DC four bands	93
6.2B.4.2.5.3	$\Delta T_{IB,c}$ for EN-DC five bands	93
6.2B.4.2.5.4	$\Delta T_{IB,c}$ for EN-DC six bands	93
6.2B.5	Configured output power for NR-DC	93
6.2B.5.1	Configured output power level	93
6.2B.5.1.1	Inter-band NR-DC between FR1 and FR2	93
6.3	Output power dynamics	94
6.3A	Output power dynamics for CA	94
6.3B	Output power dynamics for DC	94
6.3B.0	General	94
6.3B.1	Output power dynamics for EN-DC with UL sharing from UE perspective	94
6.3B.1.1	E-UTRA and NR switching time mask for TDM based UL sharing from UE perspective	94
6.3B.1a	Output power dynamics for NE-DC with UL sharing from UE perspective	96
6.3B.2	Output power dynamics for intra-band EN-DC without dual PA capability	96
6.3B.3	Output power dynamics for intra-band EN-DC with dual PA capability	96
6.4	Void	97
6.4A	Transmit signal quality for CA	97
6.4A.1	Frequency error for CA	97
6.4A.2	Transmit modulation quality for CA	97
6.4B	Transmit signal quality for DC	97
6.4B.2	Transmit modulation quality for DC	98
6.4B.2.1	Transmit modulation quality for Intra-band contiguous EN-DC	98
6.4B.2.1.1	Error Vector Magnitude	98
6.4B.2.1.2	Carrier leakage	98
6.4B.2.1.3	In-band emissions	98
6.4B.2.2	Transmit modulation quality for Intra-band non-contiguous EN-DC	99
6.4B.2.2.1	Error Vector Magnitude	99
6.4B.2.2.2	Carrier leakage	99
6.4B.2.2.3	In-band emissions	99
6.4B.2.3a	Transmit modulation quality for Inter-band NE-DC within FR1	99
6.4B.2.4	Transmit modulation quality for Inter-band EN-DC including FR2	99
6.4B.2.5	Transmit modulation quality for inter-band EN-DC including both FR1 and FR2	99
6.5	Void	100
6.5A	Output RF spectrum emissions for CA	100
6.5A.1	Occupied bandwidth for CA	100
6.5A.2	Out-of-band emissions for CA	100
6.5A.3	Spurious emissions for CA	100
6.5A.3.1	Inter-band CA between FR1 and FR2	100
6.5A.4	Transmit intermodulation for CA	100
6.5B	Output RF spectrum emissions for DC	100
6.5B.1	Occupied bandwidth for EN-DC	100

6.5B.1.4	Inter-band EN-DC including FR2	100
6.5B.1.5	Inter-band EN-DC including both FR1 and FR2	101
6.5B.2	Out-of-band emissions for DC	101
6.5B.2.1	Intra-band contiguous EN-DC	101
6.5B.2.1.1	Spectrum emissions mask	101
6.5B.2.1.2	Additional spectrum emissions mask	101
6.5B.2.1.2.1	Requirements for network signalled value "NS_35"	101
6.5B.2.1.2.2	Requirements for network signalled value "NS_04"	102
6.5B.2.1.3	Adjacent channel leakage ratio	102
6.5B.2.2	Intra-band non-contiguous EN-DC	103
6.5B.2.2.1	Spectrum emissions mask	103
6.5B.2.2.2	Additional spectrum emissions mask	103
6.5B.2.2.3	Adjacent channel leakage ratio	103
6.5B.2.3	Inter-band EN-DC within FR1	103
6.5B.2.3a	Inter-band NE-DC within FR1	103
6.5B.2.4	Inter-band EN-DC including FR2	103
6.5B.2.5	Inter-band EN-DC including both FR1 and FR2	103
6.5B.3	Spurious emissions for DC	104
6.5B.3.1	Intra-band contiguous EN-DC	104
6.5B.3.1.1	General spurious emissions	104
6.5B.3.1.2	Spurious emission band UE co-existence	104
6.5B.3.2	Intra-band non-contiguous EN-DC	104
6.5B.3.2.1	General spurious emissions	104
6.5B.3.2.2	Spurious emission band UE co-existence	104
6.5B.3.3	Inter-band EN-DC within FR1	105
6.5B.3.3.2	Spurious emission band UE co-existence	105
6.5B.3.3a	Inter-band NE-DC within FR1	113
6.5B.3.3a.1	General spurious emissions	113
6.5B.3.3a.2	Spurious emission band UE co-existence	113
6.5B.3.4	Inter-band EN-DC including FR2	113
6.5B.3.4.1	Spurious emission band UE co-existence	113
6.5B.3.5	Inter-band EN-DC including both FR1 and FR2	113
6.5B.3.5.1	Spurious emission band UE co-existence	113
6.5B.4	Additional spurious emissions	114
6.5B.4.1	General	114
6.5B.4.1.1	Minimum requirement (network signalled value "NS_04")	114
6.5B.5	Transmit intermodulation for DC	114
6.5B.5.1	Intra-band contiguous EN-DC	114
6.5B.5.2	Intra-band non-contiguous EN-DC	114
6.5B.5.3	Inter-band EN-DC within FR1	114
6.5B.5.3a	Inter-band NE-DC within FR1	114
6.5B.5.4	Inter-band EN-DC including FR2	114
6.5B.5.5	Inter-band EN-DC including both FR1 and FR2	115
6.6B	Beam correspondence for DC	115
6.6B.1	Void	115
6.6B.2	Void	115
6.6B.3	Void	115
6.6B.4	Inter-band EN-DC including FR2	115
6.6B.5	Inter-band EN-DC including both FR1 and FR2	115
7	Receiver characteristics	115
7.1	General	115
7.2	Void	116
7.3	Void	116
7.3A	Reference sensitivity for CA	116
7.3A.1	General	116
7.3A.2	Reference sensitivity power level for CA	116
7.3A.3	$\Delta R_{IB,c}$ for CA	116
7.3A.3.1	$\Delta R_{IB,c}$ for Inter-band CA between FR1 and FR2	117
7.3A.4	Void	117
7.3B	Reference sensitivity level for DC	117
7.3B.1	General	117

7.3B.2	Reference sensitivity for DC.....	117
7.3B.2.1	Intra-band contiguous EN-DC	117
7.3B.2.2	Intra-band non-contiguous EN-DC	118
7.3B.2.3	Inter-band EN-DC within FR1	118
7.3B.2.3.1	Reference sensitivity exceptions due to UL harmonic interference for EN-DC in NR FR1	118
7.3B.2.3.2	Reference sensitivity exceptions due to receiver harmonic mixing for EN-DC in NR FR1.....	122
7.3B.2.3.3	Void.....	124
7.3B.2.3.4	Reference sensitivity exceptions due to cross band isolation for EN-DC in NR FR1	124
7.3B.2.3.5	MSD for intermodulation interference due to dual uplink operation for EN-DC in NR FR1	126
7.3B.2.3.5.1	MSD test points for intermodulation interference due to dual uplink operation for EN-DC in NR FR1 involving two bands	127
7.3B.2.3.5.2	MSD test points for intermodulation interference due to dual uplink operation for EN-DC in NR FR1 involving three bands	129
7.3B.2.3.5.3	Void	135
7.3B.2.3a	Inter-band NE-DC within FR1	135
7.3B.2.3a.1	Reference sensitivity exceptions due to UL harmonic interference for NE-DC in NR FR1	135
7.3B.2.4	Inter-band EN-DC including FR2	136
7.3B.2.4.1	Void.....	136
7.3B.2.5	Inter-band EN-DC including both FR1 and FR2	136
7.3B.2.5.1	Reference sensitivity exceptions due to UL harmonic interference for EN-DC including both FR1 and FR2	136
7.3B.3	$\Delta R_{IB,c}$, ΔR_{IBNC} for DC	136
7.3B.3.0	General	136
7.3B.3.1	Intra-band contiguous EN-DC	137
7.3B.3.2	Intra-band non-contiguous EN-DC	137
7.3B.3.3	Inter-band EN-DC within FR1	138
7.3B.3.3.1	$\Delta R_{IB,c}$ for EN-DC in two bands	138
7.3B.3.3.2	$\Delta R_{IB,c}$ for EN-DC three bands	140
7.3B.3.3.4	$\Delta R_{IB,c}$ for EN-DC five bands	147
7.3B.3.3.5	$\Delta R_{IB,c}$ for EN-DC six bands.....	149
7.3B.3.3a	Inter-band NE-DC within FR1	149
7.3B.3.4	Inter-band EN-DC including FR2	149
7.3B.3.4.1	$\Delta R_{IB,c}$ for EN-DC in two bands	149
7.3B.3.4.2	$\Delta R_{IB,c}$ for EN-DC three bands	149
7.3B.3.4.3	$\Delta R_{IB,c}$ for EN-DC four bands	150
7.3B.3.4.4	$\Delta R_{IB,c}$ for EN-DC five bands	150
7.3B.3.4.5	Void.....	150
7.3B.3.5	Inter-band EN-DC including both FR1 and FR2	150
7.3B.3.5.2	$\Delta R_{IB,c}$ for EN-DC three bands	150
7.3B.3.5.3	$\Delta R_{IB,c}$ for EN-DC four bands.....	150
7.3B.3.5.4	$\Delta R_{IB,c}$ for EN-DC five bands	150
7.3B.3.5.5	$\Delta R_{IB,c}$ for EN-DC six bands.....	150
7.4	Void.....	150
7.4A	Maximum input level for CA	150
7.4B	Maximum input level for DC in FR1	151
7.4B.1	Intra-band contiguous EN-DC in FR1	151
7.4B.2	Intra-band non-contiguous EN-DC in FR1	151
7.4B.3	Inter-band EN-DC within FR1.....	151
7.5	Void.....	151
7.5A	Adjacent channel selectivity for CA.....	151
7.5B	Adjacent channel selectivity for DC in FR1.....	152
7.5B.1	Intra-band contiguous EN-DC in FR1	152
7.5B.2	Intra-band non-contiguous EN-DC in FR1	152
7.5B.3	Inter-band EN-DC within FR1.....	152
7.6	Void.....	154
7.6A	Blocking characteristics for CA	154
7.6B	Blocking characteristics for DC in FR1	154
7.6B.1	General.....	154
7.6B.2	In-band blocking for DC in FR1	154
7.6B.2.1	Intra-band contiguous EN-DC in FR1.....	154
7.6B.2.2	Intra-band non-contiguous EN-DC in FR1	154
7.6B.2.3	Inter-band EN-DC within FR1	154

7.6B.3	Out-of-band blocking for DC in FR1.....	155
7.6B.3.1	Intra-band contiguous EN-DC in FR1.....	155
7.6B.3.2	Intra-band non-contiguous EN-DC in FR1	155
7.6B.3.3	Inter-band EN-DC within FR1	155
7.6B.3.3a	Inter-band NE-DC within FR1	156
7.6B.3.4	Inter-band EN-DC including FR2	157
7.6B.3.5	Inter-band EN-DC including both FR1 and FR2	157
7.6B.4	Narrow band blocking for DC in FR1	157
7.6B.4.1	Intra-band contiguous EN-DC in FR1.....	157
7.6B.4.2	Intra-band non-contiguous EN-DC in FR1	157
7.6B.4.3	Inter-band EN-DC within FR1	157
7.7	Void.....	158
7.7A	Spurious response for CA.....	158
7.7B	Spurious response for DC in FR1.....	158
7.7B.1	Intra-band contiguous EN-DC in FR1	158
7.7B.2	Intra-band non-contiguous EN-DC in FR1	158
7.7B.3	Inter-band EN-DC within FR1.....	158
7.8	Void.....	159
7.8A	Intermodulation characteristics for CA	159
7.8B	Intermodulation characteristics for DC in FR1	159
7.8B.1	General.....	159
7.8B.2	Wide band Intermodulation	159
7.8B.2.1	Intra-band contiguous EN-DC in FR1.....	159
7.8B.2.2	Intra-band non-contiguous EN-DC in FR1	160
7.8B.2.3	Inter-band EN-DC within FR1	160
7.9	Void.....	160
7.9A	Spurious emissions for CA.....	160
7.9B	Spurious emissions for DC in FR1	161
7.9B.1	Intra-band contiguous EN-DC in FR1.....	161
7.9B.2	Intra-band non-contiguous EN-DC in FR1.....	161
7.9B.3	Inter-band EN-DC within FR1.....	161
Annex A (normative):	Measurement channels.....	162
Annex B:	Void	174
Annex C:	Void	174
Annex D:	Void	174
Annex E:	Void	174
Annex F:	Void	174
Annex G:	Void	174
Annex H (normative):	Modified MPR behavior.....	175
Annex I (normative):	Dual uplink interferer	175
Annex J:	Void	176
Annex K:	Void	176
Annex L (informative):	Change history	177
History		185

Foreword

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1 Scope

The present document establishes the minimum RF requirements for NR User Equipment (UE) Interworking operation with other radios. This includes but is not limited to additional requirements for carrier aggregation or NR dual connectivity between Range 1 and Range 2 and additional requirements due to NR non-standalone (NSA) operation mode with E-UTRA.

2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

- [1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
- [2] 3GPP TS 38.101-1: "NR; User Equipment (UE) radio transmission and reception; Part 1: Range 1 Standalone"
- [3] 3GPP TS 38.101-2: "NR; User Equipment (UE) radio transmission and reception; Part 2: Range 2 Standalone"
- [4] 3GPP TS 36.101: "Evolved Universal Terrestrial Radio Access (E-UTRA); User Equipment (UE) radio transmission and reception"
- [5] 3GPP TS 38.521-3: "NR; User Equipment (UE) conformance specification; Radio transmission and reception; Part 3: Range 1 and Range 2 Interworking operation with other radios"
- [6] Recommendation ITU-R M.1545: "Measurement uncertainty as it applies to test limits for the terrestrial component of International Mobile Telecommunications-2000"
- [7] 3GPP TS 36.211: "E-UTRA; Physical channels and modulation"
- [8] 3GPP TS 36.331: "Evolved Universal Terrestrial Radio Access (E-UTRA); Radio Resource Control (RRC); Protocol specification"
- [9] 3GPP TS 38.331: "NR; Radio Resource Control (RRC) protocol specification"
- [10] 3GPP TS 38.213: "NR; Physical layer procedures for control"
- [11] 3GPP TS 38.306: "NR; User Equipment (UE) radio access capabilities"
- [12] 3GPP TS 38.133: "NR; Requirements for support of radio resource management".
- [13] 3GPP TS 38.211: "NR; Physical channels and modulation".

3 Definitions, symbols and abbreviations

3.1 Definitions

For the purposes of the present document, the terms and definitions given in 3GPP TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in 3GPP TR 21.905 [1].

3.2 Symbols

For the purposes of the present document, the following symbols apply:

$\Delta R_{IB,c}$	Allowed reference sensitivity relaxation due to support for CA or DC operation, for serving cell c .
$\Delta T_{IB,c}$	Allowed maximum configured output power relaxation due to support for CA or DC operation, for serving cell c
$BW_{E-UTRA_Channel}$	Channel bandwidth of E-UTRA carrier
$BW_{E-UTRA_Channel_CA}$	Channel bandwidth of E-UTRA sub-block which is composed of intra-band contiguous CA E-UTRA carriers
$BW_{NR_Channel}$	Channel bandwidth of NR carrier
$BW_{NR_Channel_CA}$	Channel bandwidth of NR sub-block which is composed of intra-band contiguous CA NR carriers
$Ceil(x)$	Rounding upwards; $ceil(x)$ is the smallest integer such that $ceil(x) \geq x$
$EN-DC_{ACLR}$	The ratio of the filtered mean power centred on the aggregated sub-block bandwidth ENBW to the filtered mean power centred on an adjacent bandwidth of the same size ENBW
$E-UTRA_{ACLR}$	E-UTRA ACLR
F_C	<i>RF reference frequency for the carrier center on the channel raster</i>
F_{DL_low}	<i>The lowest frequency of the downlink operating band</i>
F_{DL_high}	<i>The highest frequency of the downlink operating band</i>
F_{UL_low}	<i>The lowest frequency of the uplink operating band</i>
F_{UL_high}	<i>The highest frequency of the uplink operating band</i>
F_{OOB}	The boundary between the NR out of band emission and spurious emission domains
L_{CRB}	Transmission bandwidth which represents the length of a contiguous resource block allocation expressed in units of resource blocks
$Max()$	The largest of given numbers
$Min()$	The smallest of given numbers
NR_{ACLR}	NR ACLR
N_{RB}	Transmission bandwidth configuration, expressed in units of resource blocks
N_{RB_agg}	The number of the aggregated RBs within the fully allocated aggregated channel bandwidth $N_{RB_agg} = \sum_1^j N_{RB_j} * 2^{\mu_j} \text{ for carrier 1 to } j, \text{ where } \mu \text{ is defined in TS 38.211 [13]}$
$N_{RB,c}$	The transmission bandwidth configuration of component carrier c , expressed in units of resource blocks $N_{RB,cj} = N_{RB_j} * 2^{\mu_j} \text{ for carrier } j, \text{ where } \mu \text{ is defined in TS 38.211 [13]}$
P_{CMAX}	The configured maximum UE output power
RB_{start}	Indicates the lowest RB index of transmitted resource blocks
W_{gap}	The sub-block gap between the two sub-blocks

3.3 Abbreviations

For the purposes of the present document, the abbreviations given in 3GPP TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in 3GPP TR 21.905 [1].

ACLR	Adjacent Channel Leakage Ratio
ACS	Adjacent Channel Selectivity
A-MPR	Additional Maximum Power Reduction
BCS	Bandwidth Combination Set
CA	Carrier Aggregation

CC	Component Carrier
DC	Dual Connectivity
EN-DC	E-UTRA/NR DC
EVM	Error Vector Magnitude
FDM	Frequency Division Multiplexing
FR	Frequency Range
ENBW	The aggregated bandwidth of an E-UTRA sub-block and an adjacent NR sub-block
ITU-R	Radiocommunication Sector of the International Telecommunication Union
MBW	Measurement bandwidth defined for the protected band
MPR	Allowed maximum power reduction
MSD	Maximum Sensitivity Degradation
MCG	Master Cell Group
NR	New Radio
NS	Network Signalling
NSA	Non-Standalone, a mode of operation where operation of an other radio is assisted with an other radio
OOB	Out-of-band
OOBE	Out-of-band emission
OTA	Over The Air
PRB	Physical Resource Block
RE	Resource Element
REFSENS	Reference Sensitivity
RF	Radio Frequency
Rx	Receiver
SCG	Secondary Cell Group
SCS	Subcarrier spacing
SEM	Spectrum Emission Mask
SUL	Supplementary uplink
TDM	Time Division Multiplex
Tx	Transmitter
UE	User Equipment
UL MIMO	Up Link Multiple Antenna transmission
ULSUP	Uplink sharing from UE perspective

4 General

4.1 Relationship between minimum requirements and test requirements

The present document is interwork specification for NR UE, covering RF characteristics and minimum performance requirements. Conformance to the present specification is demonstrated by fulfilling the test requirements specified in the conformance specification 3GPP TS 38.521-3 [5].

The Minimum Requirements given in this specification make no allowance for measurement uncertainty. The test specification TS 38.521-3 [5] defines test tolerances. These test tolerances are individually calculated for each test. The test tolerances are used to relax the minimum requirements in this specification to create test requirements. For some requirements, including regulatory requirements, the test tolerance is set to zero.

The measurement results returned by the test system are compared - without any modification - against the test requirements as defined by the shared risk principle.

The shared risk principle is defined in Recommendation ITU-R M.1545 [6].

4.2 Applicability of minimum requirements

- a) In this specification the Minimum Requirements are specified as general requirements and additional requirements. Where the Requirement is specified as a general requirement, the requirement is mandated to be met in all scenarios