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## Language resource management — Syntactic annotation framework (SynAF) —

Part 2: XML serialization (Tiger vocabulary)

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## Foreword

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The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular the different approval criteria needed for the different types of ISO documents should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see <a href="https://www.iso.org/directives">www.iso.org/directives</a>).

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A list of all parts in the ISO 24615 series can be found on the ISO website.

## Introduction

The need for standardization of syntactic annotation was recognized and addressed in detail with the publication of ISO 24615-1:2014. As a result of the work on ISO 24615-1:2014, it was anticipated that such a reference model for syntactic annotation should be associated with a concrete XML serialization in order to meet the specific needs of such applications as syntactic parsers or syntactic treebanks, where representations have to be exchanged and reused. Furthermore, such a serialization should be independent from the theoretical orientation and specific details of any specific annotation scheme.

This document answers this need on the basis of the seminal work carried out on the TigerXML format<sup>[3]</sup>. This starting point was chosen as a reference because it is widely used as a de facto standard for unrelated XML treebanks, with the advantages in terms of interoperability offered by its XML-based representations, as opposed to other frequently used formats, in particular, the Penn Treebank bracketing format<sup>[5]</sup> or the CoNLL format for dependency structures (see Reference<sup>[4]</sup>).

The document is designed to complement ISO 24615-1:2014 and to coordinate closely with ISO 24610, ISO 24611, ISO 24612 and ISO 12620.

This document therefore extends ISO 24615-1:2014 with an XML model based upon the Tiger XML vocabulary for the interchange of syntactically annotated data which is both standardized as well as language- and theory-independent. The proposed format directly instantiates all features of the meta-model defined in ISO 24615-1 and defines concrete serialized interfaces to the complementary ISO 24611 and ISO 12620, which provides the background for the DatCatInfo data category registry.

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# Language resource management — Syntactic annotation framework (SynAF) —

# Part 2: XML serialization (Tiger vocabulary)

## 1 Scope

This document describes an XML-conformant serialization of the ISO 24615-1 meta-model, with the objective of supporting interoperability across language resources or language processing components in the domain of syntactic annotations. As an extension of ISO 24615-1, this document is also coordinated with ISO 24612.

### 2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO 12620, Terminology and other language and content resources — Data category specifications

ISO 24610 (all parts), Language resource management — Feature structures

ISO 24611, Language resource management — Morpho-syntactic annotation framework (MAF)

ISO 24612, Language resource management 794 Englistic annotation framework (LAF)

ISO 24615-1, Language resource management — Syntactic annotation framework (SynAF) — Part 1: Syntactic model

### 3 Terms and definitions

For the purposes of this document, the terms and definitions given in ISO 12620, ISO 24610 (all parts), ISO 24611, ISO 24612 and ISO 24615-1 and the following apply.

ISO and IEC maintain terminological databases for use in standardization at the following addresses:

— IEC Electropedia: available at http://www.electropedia.org/

— ISO Online browsing platform: available at http://www.iso.org/obp

#### 3.1

#### domain

class of elements to which a certain set of labels (3.2) can be assigned

Note 1 to entry: Domains can refer generally to the set of all edges, terminal nodes or non-terminal nodes.

#### 3.2

#### label

unit of annotation consisting of the name of a feature and a value, which together can be applied to appropriate model elements and add arbitrary feature-value annotations to such elements

#### 3.3

#### primary data

initial raw linguistic content that is being encoded

#### 3.4

#### sequential representation

representation of annotation content where the XML element structure mirrors the sequence of linguistic objects in the primary source

#### Graph structure and meta-model 4

In the XML Tiger format, annotations are represented in a graph structure. The graph structure can be described as G = (V, E, A) with

- a set of nodes V.
- a set of edges E with  $e = (v \in V, v \in V) \in E$ ,
- a set of annotations A, where an annotation a is defined by a feature-value pair, and
- a function *annot*:  $E \cup V \rightarrow A$ .

A graph represents a bundle of interrelated nodes and edges. It is not specified which parts of a primary text are covered by a single graph, e.g. a sentence, a sub-sentence, a chapter or a whole text. Linguistic annotations represented by labels can be attached to nodes as well as edges.

The meta-model (see Figure 1) consists of three parts: KEV

- standards liteh.ai) the structural organization of corpora and associated meta-data; a)
- ISO 24615-2:2018 b) an annotation tagset definition;
  - https://standards.iteh.ai/catalog/standards/sist/d4b4132b-d143-419d-bca4-
- the linguistic annotation graph. ea5dd2b55794/iso-24615-2-2018 c)

The structural organization of corpora is represented by a recursively defined corpus element (Corpus) and its corresponding metadata (Meta). A corpus can contain subcorpora.

The annotation tagset definition is represented by a list of categories (Feature) containing the name of a category (Feature.name) and a list of category values (FeatureValue). Each FeatureValue contains a string representation of the value (FeatureValue.value). Together, both elements declare a tagset which is part of a specific corpus object. Such a tagset declaration is derivable, which means that all categories defined in a supercorpus object can also be used by its subcorpus objects. Further attributes are used to declare to which types of nodes and edges a category is applicable. Both elements allow reference to DatCatInfo entries in compliance with ISO 12620 via Unified Resource Identifiers (URIs) in the attributes Feature.dcrReference and FeatureValue.dcrReference.

The final part of the meta-model, the linguistic annotation graph, defines a set of elements containing the primary data and the annotation structure covering the primary data. It consists of the graph element itself (Graph), two classes of syntactic nodes (Terminal and NonTerminal), an edge element (Edge) and an annotation element (Annotation) realizing the *annot*-function and therefore referring to a feature name and its value. Graph is contained within Segment, which is a grouping mechanism to aggregate a set of syntactic nodes together. Such a group can have linguistic structural semantics, corresponding usually to a sentence, but possibly also to a line in a manuscript or other meaningful segments depending on the application and annotation scheme used by a specific project.

A terminal node (in ISO 24615-1 referred to as T\_Node) constitutes the point of reference to the primary data. This can be a direct reference to a text span within the XML document or an indirect reference to an object outside the model, e.g. an element contained by a MAF file in compliance with ISO 24611, the Morpho-syntactic annotation framework. A non-terminal node is an inner node, referring directly or indirectly to a terminal node within the XML document.

An edge shall always have a source and a target node. Both of them can be either a terminal or a non-terminal node.



Figure 1 — Meta-model for the serialization format

#### 5 Meta-model objects in XML serialization

The names of the XML elements and attributes follow those of the corresponding meta-model elements and attributes. All XML elements belong to the following namespace: <a href="http://www.clarin.eu/standards/ns/synaf">http://www.clarin.eu/standards/ns/synaf</a>. In this document, unless specified otherwise, all XML elements will be assumed to belong to this namespace.

 $\label{eq:topological} Terminal nodes in the XML serialization are represented by the <t> element and are nested together in a <terminals> element.$ 

A segment node is represented by the <s> element. The <s> element shall contain one or more <graph> elements, which may be used to express possible multiple annotation graphs alternating within a single segment or to represent a sequence of subgraphs.

A non-terminal node is represented by the <nt> element and is nested in the <nonterminals> element.

An edge is represented by the <edge> element and its source is given by the surrounding node element. An <edge> element is therefore always the child element of a <t> or an <nt> element. The target is given by the @target attribute and shall refer to a node within the same document.

The example shows the representation of the graph structure.

EXAMPLE Graph structure of a syntactic annotation.

#### 6 Primary data and terminal representation

#### 6.1 General

Terminal nodes and the primary data to which they refer can be defined in two different ways. The primary data can either be included within the XML document (sequential representation) or they can be specified in another file and referred to externally (standoff representation). The sequential representation makes it possible to have all data in just one XML document, whereas the standoff representation makes it possible to refer to other formats for instance, a MAF file containing tokens and wordForms.

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Both options are possible and the decision is largely made based on the needs of the corpus project.

#### 6.2 Sequential representation

In a sequential representation, primary data is represented sequentially directly within the <t> elements of each <s> element in the document. As a result, all the primary data of a document is grouped together in one single XML document. This improves human readability and basic machine processing, but reduces representational flexibility. Tokens of the primary data are encoded as values of the @word attribute of the <t> element as illustrated in Example 1.

EXAMPLE 1 Simple sequential representation of word forms.

```
<t xml:id="s1_t1" word="two"/>
<t xml:id="s1_t2" word="words"/>
```

Example 1 shows two terminal nodes, the first containing the word "two" and the second containing the word "words". The order of the tokens in the primary data is mirrored by the order of the XML elements.

However, it should be pointed out that this representation does not need to fully preserve primary data, since only textual material that has a corresponding <t> element is preserved. This means that parts of an utterance that are not considered to be tokens (untokenized material), such as whitespaces between words or enumerations, non-linguistic characters, etc., will be lost. Example 2 and Example 3 illustrate this.

EXAMPLE 2 Primary data.

This is a sample.

#### EXAMPLE 3 Sequential representation.

```
<t xml:id="s1_t1" word="This"/>
<t xml:id="s1_t2" word="is"/>
<t xml:id="s1_t3" word="sample"/>
<t xml:id="s1_t4" word="."/>
```

In these examples, it is not possible to resolve whether there was originally a whitespace character between the tokens corresponding to the <code>@word</code> attributes of the terminals or not. In the case of the terminals "s1\_t1" and "s1\_t2" there was one, whereas between "s1\_t3" and "s1\_t4" there was no intervening whitespace. This can be handled by means of the <code>@join</code> attribute as provided by ISO 24611. It is also possible to omit textual material on purpose, leading to the text span corresponding to the word "a" becoming lost in the XML document.

Because of the restrictions of XML attributes, reserved XML signs such as angle brackets ("<") cannot be represented inside an XML attribute value. These are therefore escaped using the sequence "&lt"; (see Example 4), which, in some cases, can cause confusion in character-based offset calculations.

EXAMPLE 4 Sequential representation with character escaping.

```
<t xml:id="s1 t4" word="&lt; "/>
```

Cases where the primary data contains additional mark-up can be difficult to handle on a sequential encoding strategy.

# 6.3 Standoff representation

Problems concerning special characters of mark-up embedded in the primary data, described in <u>6.2</u>, can be avoided by using a standoff representation. In a standoff representation, the @corresp attribute of the <t> element is used to point to a sequence of tokens or word forms in the primary data. The @corresp attribute replaces the @word attribute and contains a URI reference to another resource, for example, a MAF file. If both attributes are provided, the @word attribute takes precedence and the @corresp attribute can usually be ignored. The following examples show the use of the standoff representation with the @corresp attribute. For these examples, the token and wordForm elements from ISO 24611 data model are applied and the references into the primary data utilize anchors to refer to locations in between the base units of the data representation as specified in ISO 24612.

EXAMPLE 1 Locations in the primary data document.

```
<s xml:id="s1">We can...</s>
```

This sentence is associated with the following segmentation, with an inter-character numbering:

```
|W|e| |c|a|n|...
0 1 2 3 4 5 6
```

#### EXAMPLE 2 MAF standoff representation, in TEI flavour.

```
<w xml:id="t1" corresp="#string-range(s1,0,2)">
<w xml:id="t2" corresp="#string-range(s1,3,6)">
...
<span type="wordForm" xml:id="wf_1" lemma="we" corresp="#t1"/>
<span type="wordForm" xml:id="wf_2" lemma="can" corresp="#t2"/>
```