

**SLOVENSKI STANDARD**  
**oSIST prEN ISO 34101-4:2017**  
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**Trajnost in sledljivost kakavovih zrn - 4. del: Zahteve za sheme certificiranja  
(ISO/DIS 34101-4:2017)**

Sustainable and traceable cocoa beans - Part 4: Requirements for certification schemes  
(ISO/DIS 34101-4:2017)

Nachhaltige und rückverfolgbare Kakaobohnen - Teil 4: Anforderungen für  
Zertifizierungsprogramme (ISO/DIS 34101-4:2017)

Fèves de cacao durable et traçable - Partie 4: Exigences pour les systèmes de  
certification (ISO/DIS 34101-4:2017)

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**ICS:**

67.140.30      Kakav      Cocoa

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### Sustainable and traceable cocoa beans —

### Part 4: Requirements for certification schemes

*Fèves de cacao durable et traçable —**Partie 4: Exigences pour les systèmes de certification*

ICS: 03.120.20; 67.140.30

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ISO copyright office  
Ch. de Blandonnet 8 • CP 401  
CH-1214 Vernier, Geneva, Switzerland  
Tel. +41 22 749 01 11  
Fax +41 22 749 09 47  
[copyright@iso.org](mailto:copyright@iso.org)  
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## ISO/DIS 34101-4:2017(E)

## Foreword

ISO (the International Organization for Standardization) is a worldwide federation of national standards bodies (ISO member bodies). The work of preparing International Standards is normally carried out through ISO technical committees. Each member body interested in a subject for which a technical committee has been established has the right to be represented on that committee. International organizations, governmental and non-governmental, in liaison with ISO, also take part in the work. ISO collaborates closely with the International Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular the different approval criteria needed for the different types of ISO documents should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see [www.iso.org/directives](http://www.iso.org/directives)).

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO shall not be held responsible for identifying any or all such patent rights. Details of any patent rights identified during the development of the document will be in the Introduction and/or on the ISO list of patent declarations received (see [www.iso.org/patents](http://www.iso.org/patents)).

Any trade name used in this document is information given for the convenience of users and does not constitute an endorsement.

For an explanation on the meaning of ISO specific terms and expressions related to conformity assessment, as well as information about ISO's adherence to the World Trade Organization (WTO) principles in the Technical Barriers to Trade (TBT) see the following URL: [www.iso.org/iso/foreword.html](http://www.iso.org/iso/foreword.html).

The committee responsible for this document is Technical Committee ISO/TC 34, Subcommittee SC 18 *Cocoa*.

A list of all parts in the ISO 34101- series can be found on the ISO website.

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## Introduction

The ISO 34101- series consists of International Standards and Technical Specifications (with the intended purpose as given in the table below.

ISO Publication	Subject	Intended to be applied by	Requirements/Guidance
ISO 34101-1	Management of cocoa bean production	Registered cocoa farmers and organizations producing sustainable cocoa beans	Requirements
ISO 34101-2	Performance of cocoa bean production		
ISO 34101-3	Traceability of sustainably produced cocoa beans and derived products	The cocoa supply chain actors	Requirements
ISO 34101-4	Sustainable and traceable cocoa beans certification scheme	Certification scheme owners and conformity assessment bodies certifying conformity to the ISO 34101- series and organizations wishing to make claims of conformity	Requirements
ISO/TS 34101-5 <sup>a</sup>	Guidance on application of ISO 34101-series	Organizations wishing to implement standards within the ISO 34101- series and those assisting them in applying the standards.	Guidance

In this document:

- “shall” indicates a requirement;
- “should” indicates a recommendation;
- “may” indicates a permission;

— “can” indicates a possibility or a capability.

Information marked “NOTE” is for guidance in understanding or clarifying the associated requirement.





# Sustainable and traceable cocoa beans —

## Part 4: Requirements for certification schemes

### 1 Scope

This document specifies requirements for certification schemes for certification of sustainably produced cocoa beans and derivative cocoa products. It is intended to be used jointly with ISO 34101-1, ISO 34101-2, and/or ISO 34101-3.

### 2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO 34101-1, *Sustainable and traceable cocoa beans — Part 1: Requirements for sustainability management systems*

ISO 34101-2, *Sustainable and traceable cocoa beans — Part 2: Requirements for performance (related to economic, social, and environmental aspects)*

ISO 34101-3, *Sustainable and traceable cocoa beans — Part 3: Requirements for traceability*

ISO 17011, *Conformity assessment — General requirements for accreditation bodies accrediting conformity assessment bodies*

ISO/IEC 17021-1:2015, *Conformity assessment — Requirements for bodies providing audit and certification of management systems*

ISO/IEC 17030, *Conformity assessment — General requirements for third-party marks of conformity*

### 3 Terms and definitions

For the purposes of this document, the terms and definitions given in ISO 34101-1, ISO/IEC 17000 and the following apply.

ISO and IEC maintain terminological databases for use in standardization at the following addresses:

- ISO Online browsing platform: available at <http://www.iso.org/obp>
- IEC Electropedia: available at <http://www.electropedia.org/>

#### 3.1

##### accreditation

third-party attestation related to a conformity assessment body conveying formal demonstration of its competence to carry out specific conformity assessment tasks

[SOURCE: ISO/IEC 17000:2004, 5.6]

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### 3.2

#### **accreditation body**

authoritative body that performs *accreditation* (3.1)

Note 1 to entry: The authority of an accreditation body is generally derived from government

[SOURCE: ISO/IEC 17000:2004, 2.6]

### 3.3

#### **certification requirement**

specified requirement, that is fulfilled by the *client* (3.6) as a condition of establishing or maintaining certification

Note 1 to entry: Certification requirements include requirements imposed on the client (3.6) by the certification body (usually via the certification agreement to meet this document) and can also include requirements imposed on the client (3.6) by the certification scheme (3.5).

### 3.4

#### **certification body**

third party that performs conformity assessment of a *certification scheme* (3.5)

[SOURCE: ISO/IEC 17065:2012, 3.12, modified]

### 3.5

#### **certification scheme**

certification system related to specified products, to which the same specified requirements, specific rules and procedures apply

Note 1 to entry: A “certification system” is a “conformity assessment system”, which is defined in ISO/IEC 17000:2004, definition 2.7.

Note 2 to entry: The rules, procedures and management for implementing product, process and service certification are stipulated by the certification scheme.

Note 3 to entry: General guidance for the development of schemes is given in ISO/IEC 17067, in combination with ISO/IEC/TR 17026.

### 3.6

#### **client**

organization or person responsible to a *certification body* (3.4) for ensuring that *certification requirements* (3.3), are fulfilled

Note 1 to entry: Whenever the term “client” is used in this document, it applies to both the “applicant” and the “client”, unless otherwise specified.

### 3.7

#### **scheme owner**

organization responsible for developing and maintaining a specific certification scheme

### 3.8

#### **scope of certification**

identification of:

- the product(s), process(es) or service(s) for which the certification is granted,
- the applicable certification scheme, and
- the standard(s) and other normative document(s), including their date of publication, to which it is judged that the product(s), process(es) or service(s) comply