ETSI TS 129 513 V15.10.0 (2021-04)

5G;
5G System;
Policy and Charging Control signalling flows and QoS parameter mapping;
Stage 3
(3GPP TS 29.513 version 15.10.0 Release 15)

Reference
RTS/TSGC-0329513vfa0

Keywords
5G

ETSI

650 Route des Lucioles
F-06921 Sophia Antipolis Cedex - FRANCE

Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

Siret N° 348 623 562 00017 - APE 7112B
Association à but non lucratif enregistrée à la
Sous-Préfecture de Grasse (06) N° w061004871

Important notice

The present document can be downloaded from:
http://www.etsi.org/standards-search

The present document may be made available in electronic versions and/or in print. The content of any electronic and/or print versions of the present document shall not be modified without the prior written authorization of ETSI. In case of any existing or perceived difference in contents between such versions and/or in print, the prevailing version of an ETSI deliverable is the one made publicly available in PDF format at www.etsi.org/deliver.

Users of the present document should be aware that the document may be subject to revision or change of status.
Information on the current status of this and other ETSI documents is available at
https://portal.etsi.org/TB/ETSIDeliverableStatus.aspx

If you find errors in the present document, please send your comment to one of the following services:
https://portal.etsi.org/People/CommiteeSupportStaff.aspx

Notice of disclaimer & limitation of liability

The information provided in the present deliverable is directed solely to professionals who have the appropriate degree of experience to understand and interpret its content in accordance with generally accepted engineering or other professional standard and applicable regulations.
No recommendation as to products and services or vendors is made or should be implied.
No representation or warranty is made that this deliverable is technically accurate or sufficient or conforms to any law and/or governmental rule and/or regulation and further, no representation or warranty is made of merchantability or fitness for any particular purpose or against infringement of intellectual property rights.
In no event shall ETSI be held liable for loss of profits or any other incidental or consequential damages.

Any software contained in this deliverable is provided "AS IS" with no warranties, express or implied, including but not limited to, the warranties of merchantability, fitness for a particular purpose and non-infringement of intellectual property rights and ETSI shall not be held liable in any event for any damages whatsoever (including, without limitation, damages for loss of profits, business interruption, loss of information, or any other pecuniary loss) arising out of or related to the use of or inability to use the software.

Copyright Notification

Intellectual Property Rights

Essential patents

IPRs essential or potentially essential to normative deliverables may have been declared to ETSI. The declarations pertaining to these essential IPRs, if any, are publicly available for **ETSI members and non-members**, and can be found in ETSI SR 000 314: *"Intellectual Property Rights (IPRs); Essential, or potentially Essential, IPRs notified to ETSI in respect of ETSI standards"*, which is available from the ETSI Secretariat. Latest updates are available on the ETSI Web server (https://ipr.etsi.org/).

Pursuant to the ETSI Directives including the ETSI IPR Policy, no investigation regarding the essentiality of IPRs, including IPR searches, has been carried out by ETSI. No guarantee can be given as to the existence of other IPRs not referenced in ETSI SR 000 314 (or the updates on the ETSI Web server) which are, or may be, or may become, essential to the present document.

Trademarks

The present document may include trademarks and/or tradenames which are asserted and/or registered by their owners. ETSI claims no ownership of these except for any which are indicated as being the property of ETSI, and conveys no right to use or reproduce any trademark and/or tradename. Mention of those trademarks in the present document does not constitute an endorsement by ETSI of products, services or organizations associated with those trademarks.

DECT™, **PLUGTESTS™**, **UMTS™** and the ETSI logo are trademarks of ETSI registered for the benefit of its Members. **3GPP™** and **LTE™** are trademarks of ETSI registered for the benefit of its Members and of the 3GPP Organizational Partners. **oneM2M™** logo is a trademark of ETSI registered for the benefit of its Members and of the oneM2M Partners. **GSM**® and the GSM logo are trademarks registered and owned by the GSM Association.

Legal Notice

This Technical Specification (TS) has been produced by ETSI 3rd Generation Partnership Project (3GPP).

The present document may refer to technical specifications or reports using their 3GPP identities. These shall be interpreted as being references to the corresponding ETSI deliverables.

The cross reference between 3GPP and ETSI identities can be found under http://webapp.etsi.org/key/queryform.asp.

Modal verbs terminology

In the present document "**shall**", "**shall not**", "**should**", "**should not**", "**may**", "**need not**", "**will**", "**will not**", "**can**" and "**cannot**" are to be interpreted as described in clause 3.2 of the ETSI Drafting Rules (Verbal forms for the expression of provisions).

"**must**" and "**must not**" are **NOT** allowed in ETSI deliverables except when used in direct citation.

Contents

Foreword

This Technical Specification has been produced by the 3rd Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

where:

x the first digit:

1 presented to TSG for information;

2 presented to TSG for approval;

3 or greater indicates TSG approved document under change control.

y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.

z the third digit is incremented when editorial only changes have been incorporated in the document.

1 Scope

The present document specifies detailed call flows of Policy and Charging Control (PCC) over the Npcf, Nsmf, Namf, Nudr, Nnef, Nchf, Nbsf and Nnwdaf service-based interfaces and their relationship with the flow level signalling in 5G system.

NOTE: The call flows depicted in this Technical Specification do not cover all traffic cases.

The stage 2 definition and procedures of PCC are contained in 3GPP TS 23.502 [3] and 3GPP TS 23.503 [4]. The 5G System Architecture is defined in 3GPP TS 23.501 [2].

Detailed stage 3 procedures are provided in 3GPP TS 29.507 [7], 3GPP TS 29.508 [8], 3GPP TS 29.512 [9], 3GPP TS 29.514 [10], 3GPP TS 29.520 [11], 3GPP TS 29.519 [12], 3GPP TS 29.521 [22], 3GPP TS 29.594 [23], 3GPP TS 29.522 [24], 3GPP TS 29.551 [25], 3GPP TS 29.525 [31] and 3GPP TS 29.554 [26].

The Technical Realization of the Service Based Architecture and the Principles and Guidelines for Services Definition of the 5G System are specified in 3GPP TS 29.500 [5] and 3GPP TS 29.501 [6].

The present specification also describes the PCC reference architectures for non-roaming and roaming scenarios in 5G system.

The present specification also describes the mapping of QoS parameters at AF, PCF and SMF.

The present specification also describes the session binding at PCF, and the QoS flow binding at SMF.

The present specification also describes the PCF addressing.

2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

[1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".

[2] 3GPP TS 23.501: "System Architecture for the 5G System; Stage 2".

[3] 3GPP TS 23.502: "Procedures for the 5G System; Stage 2".

[4] 3GPP TS 23.503: "Policy and Charging Control Framework for the 5G System; Stage 2".

[5] 3GPP TS 29.500: "5G System; Technical Realization of Service Based Architecture; Stage 3".

[6] 3GPP TS 29.501: "5G System; Principles and Guidelines for Services Definition; Stage 3".

[7] 3GPP TS 29.507: "5G System; Access and Mobility Policy Control Service; Stage 3".

[8] 3GPP TS 29.508: "5G System; Session Management Event Exposure Service; Stage 3".

[9] 3GPP TS 29.512: "5G System; Session Management Policy Control Service; Stage 3".

[10] 3GPP TS 29.514: "5G System; Policy Authorization Service; Stage 3".

[11] 3GPP TS 29.520: "5G System; Network Data Analytics Services; Stage 3".

[12] 3GPP TS 29.519: "5G System; Usage of the Unified Data Repository Service for Policy Data, Application Data and Structured Data for Exposure; Stage 3".

[13] 3GPP TS 23.203: "Policies and Charging control architecture; Stage 2".

[14] 3GPP TS 26.114: "IP Multimedia Subsystem (IMS); Multimedia Telephony; Media handling and interaction".

[15] 3GPP TS 29.201: "Representational State Transfer (REST) reference point between Application Function (AF) and Protocol Converter (PC)".

[16] IETF RFC 4566: "SDP: Session Description Protocol".

[17] 3GPP TS 26.247: "Transparent end-to-end Packet-switched Streaming Service (PSS) Progressive Download and Dynamic Adaptive Streaming over HTTP (3GP-DASH)".

[18] 3GPP TS 29.214: "Policy and Charging Control over Rx reference point".

[19] 3GPP TS 26.234: "End-to-end transparent streaming service; Protocols and codecs".

[20] 3GPP2 C.S0046-0 v1.0: "3G Multimedia Streaming Services".

[21] 3GPP2 C.S0055-A v1.0: "Packet Switched Video Telephony Services (PSVT/MCS)".

[22] 3GPP TS 29.521: "5G System; Binding Support Management Service; Stage 3".

[23] 3GPP TS 29.594: "5G System; Spending Limit Control Service; Stage 3".

[24] 3GPP TS 29.522: "5G System; Network Exposure Function Northbound APIs; Stage 3".

[25] 3GPP TS 29.551: "5G System; Packet Flow Description Management Service; Stage 3".

[26] 3GPP TS 29.554: "5G System; Background Data Transfer Policy Control Service; Stage 3".

[27] 3GPP TS 29.504: "5G System; Unified Data Repository Services; Stage 3".

[28] 3GPP TS 32.240: "Charging management; Charging architecture and principles".

[29] IETF RFC 6733: "Diameter Base Protocol".

[30] 3GPP TS 29.213: "Policy and charging control signalling flows and Quality of Service (QoS) parameter mapping".

[31] 3GPP TS 29.525: "UE Policy Control Service; Stage 3".

[32] 3GPP TS 29.518: "Access and Mobility Management Services; Stage 3".

[33] 3GPP TS 24.501: " Non-Access-Stratum (NAS) protocol for 5G System (5GS); Stage 3".

3 Definitions, symbols and abbreviations

3.1 Definitions

For the purposes of the present document, the terms and definitions given in TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905 [1].

3.2 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1].

5GC	5G Core Network
5QI	5G QoS Identifier
AF	Application Function
AMBR	Aggregate Maximum Bit Rate
AMF	Access and Mobility Management Function
ARP	Allocation and Retention Priority
AW	Average Window
BSF	Binding Support Function
CHF	Charging Function
LBO	Local Breakout
MBR	Maximum Bitrate
MPD	Media Presentation Description
MPS	Multimedia Priority Service
NEF	Network Exposure Function
NRF	Network Repository Function
NWDAF	Network Data Analytics Function
PCC	Policy and Charging Control
PCF	Policy Control Function
PDB	Packet Delay Budget
PER	Packet Error Rate
PFD	Packet Flow Description
PFDF	Packet Flow Description Function
PL	Priority Level
QNC	QoS Notification Control
QoS	Quality of Service
SDP	Session Description Protocol
SEPP	Security Edge Protection Proxy
SMF	Session Management Function
S-NSSAI	Single Network Slice Selection Assistance Information
UDR	Unified Data Repository
UPF	User Plane Function
UPSI	UE policy section identifier

4 Reference architecture

The policy framework functionality in 5G is comprised by the functions of the Policy Control Function (PCF), the policy and charging enforcement functionality supported by SMF and UPF, the access and mobility policy enforcement functionality supported by the AMF, the Network Data Analytics Function (NWDAF), the Network Exposure Function (NEF), the Charing Function (CHF), the Unified Data Repository (UDR) and the Application Function (AF). For the roaming scenario, the Security Edge Protection Proxy (SEPP) is deployed between the V-PCF and H-PCF.
3GPP TS 23.503 [4] specifies the 5G policy framework stage 2 functionality.

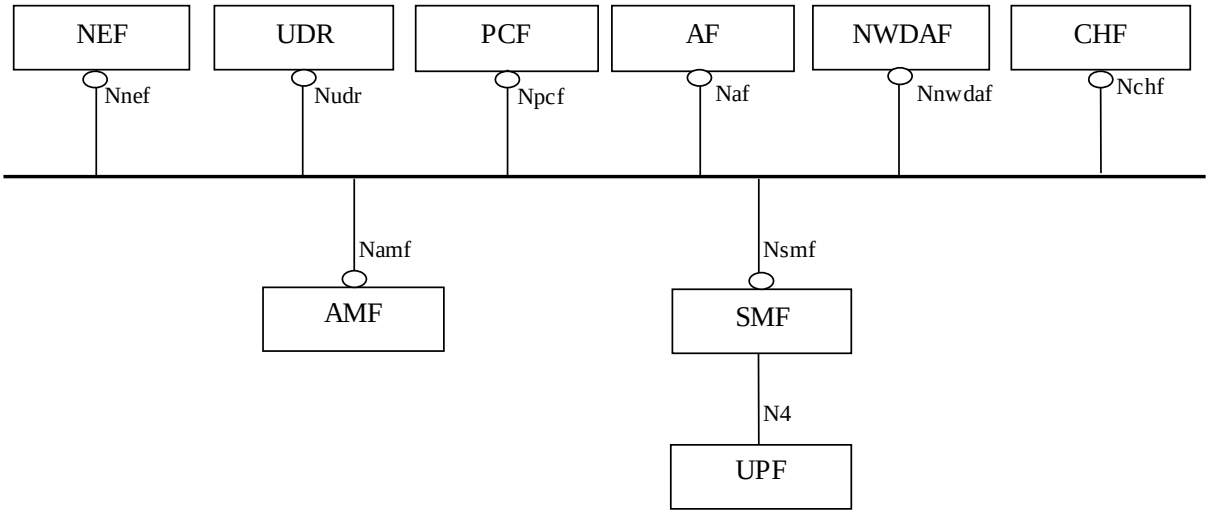

Figure 4.1-1a: Overall non-roaming 5G Policy framework architecture (service based representation)

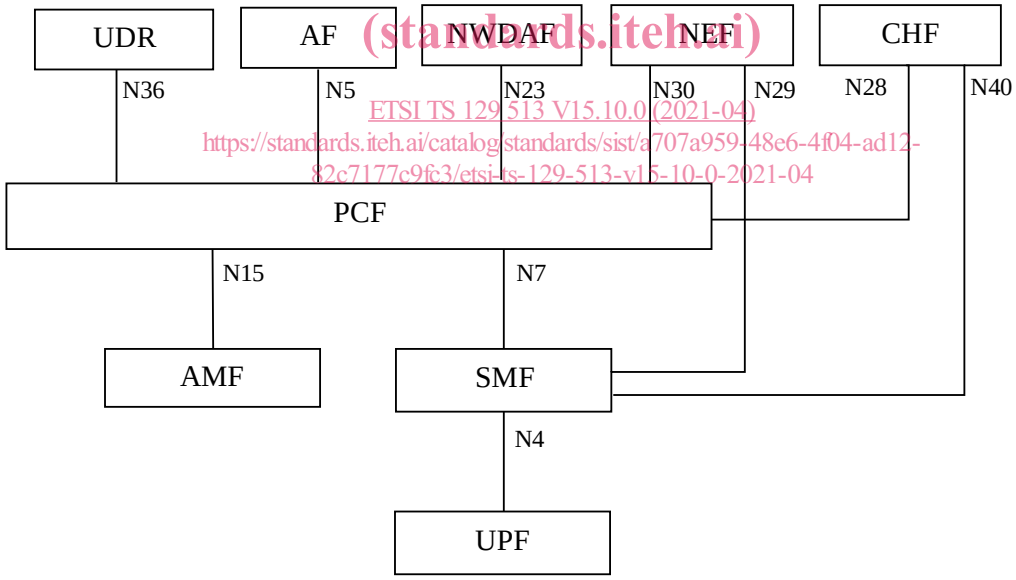

Figure 4.1-1b: Overall non-roaming 5G Policy framework architecture (reference point representation)

NOTE 1: The N4 interface is not part of the Policy Framework architecture but shown in the figures for completeness.

The Nchf service for online and offline charging consumed by the SMF is defined in 3GPP TS 32.240 [28].

The Nchf service for Spending Limit Control consumed by the PCF is defined in 3GPP TS 29.594 [23].

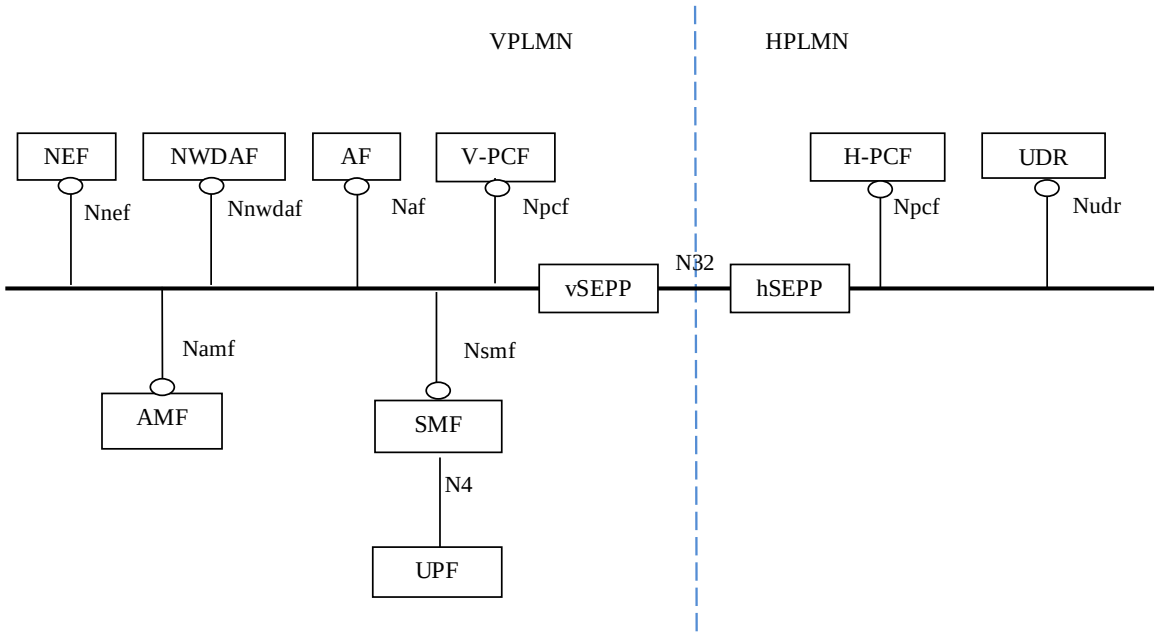

Figure 4.1-2a: Overall roaming policy framework architecture - LBO (service based representation)

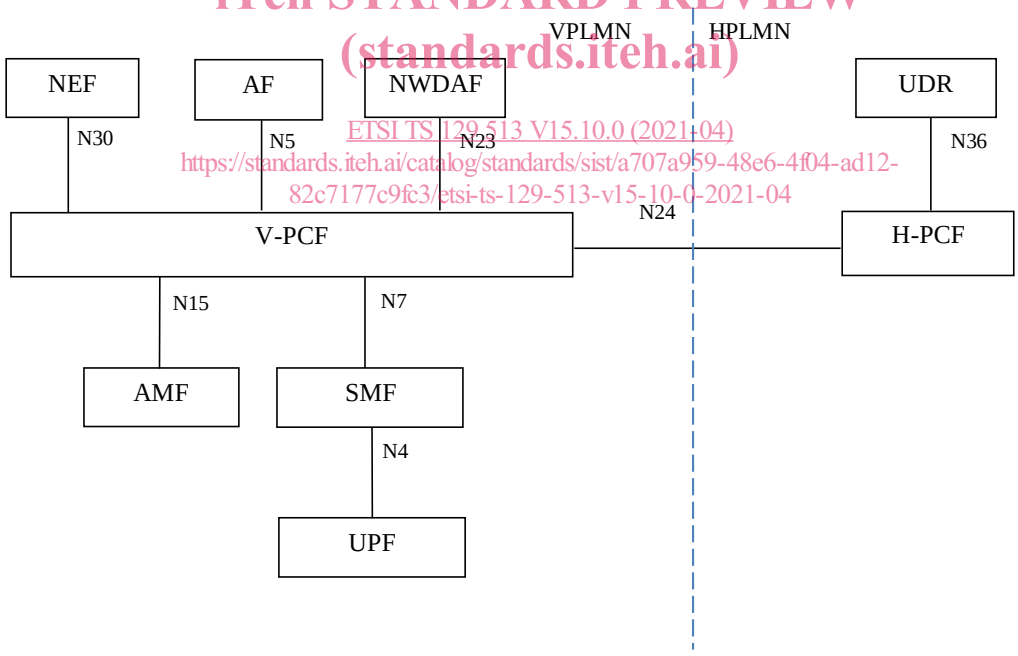

Figure 4.1-2b: Overall roaming policy framework architecture - LBO (reference point representation)

NOTE 2: In the LBO scenario, the PCF in the VPLMN may interact with the AF in order to generate PCC rules for services delivered via the VPLMN. The PCF in the VPLMN uses locally configured policies according to the roaming agreement with the HPLMN operator as input for PCC rule generation. The PCF in VPLMN has no access to subscriber policy information from the HPLMN to retrieve input for PCC Rule generation. The interactions between the PCF in the VPLMN and the PCF in the HPLMN through the Npcf service based interface enables the PCF in the HPLMN to provision UE policies to the PCF in the VPLMN, as described in 3GPP TS 23.503 [4] subclause 5.2.5.

NOTE 3: In the LBO scenario, AF requests targeting a DNN (and slice) and / or a group of UEs are stored in the UDR by the NEF. The PCF in the VPLMN subscribes to and get notification from the UDR in the VPLMN for those AF requests. Details are defined in subclause 5.6.7 of 3GPP TS 23.501 [2].

NOTE 4: For the sake of clarity, SEPPs are not depicted in the roaming reference point architecture figures.

NOTE 5: N4 and N32 are not service based interfaces.

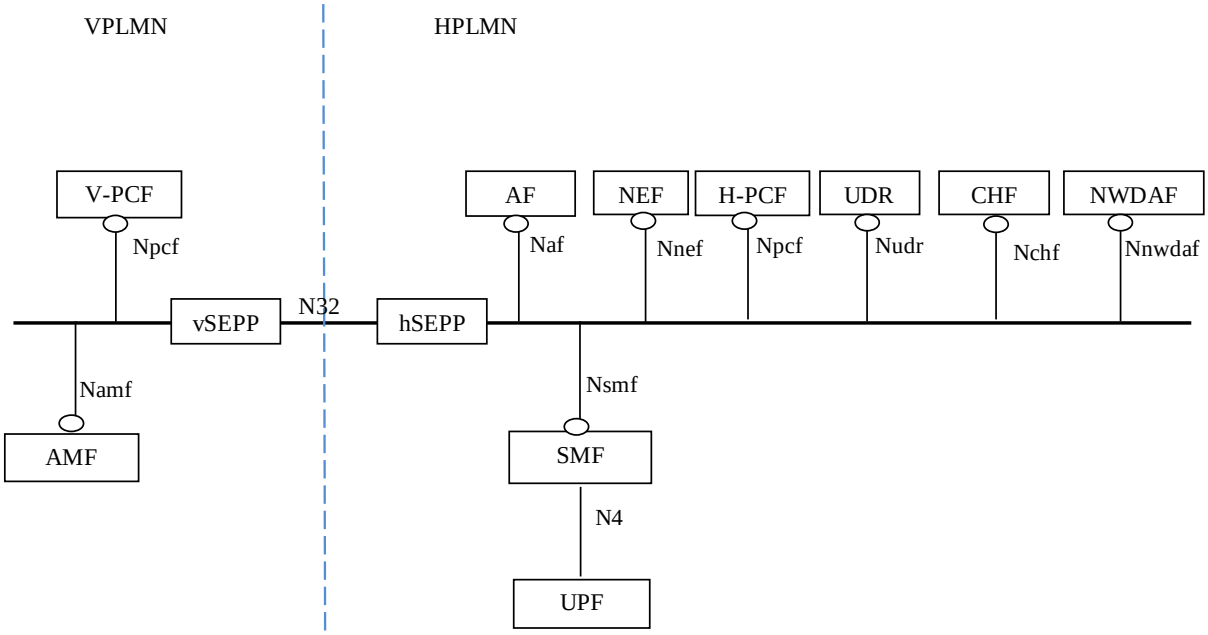

Figure 4.1-3a: Overall roaming policy framework architecture - home routed scenario (service based representation)

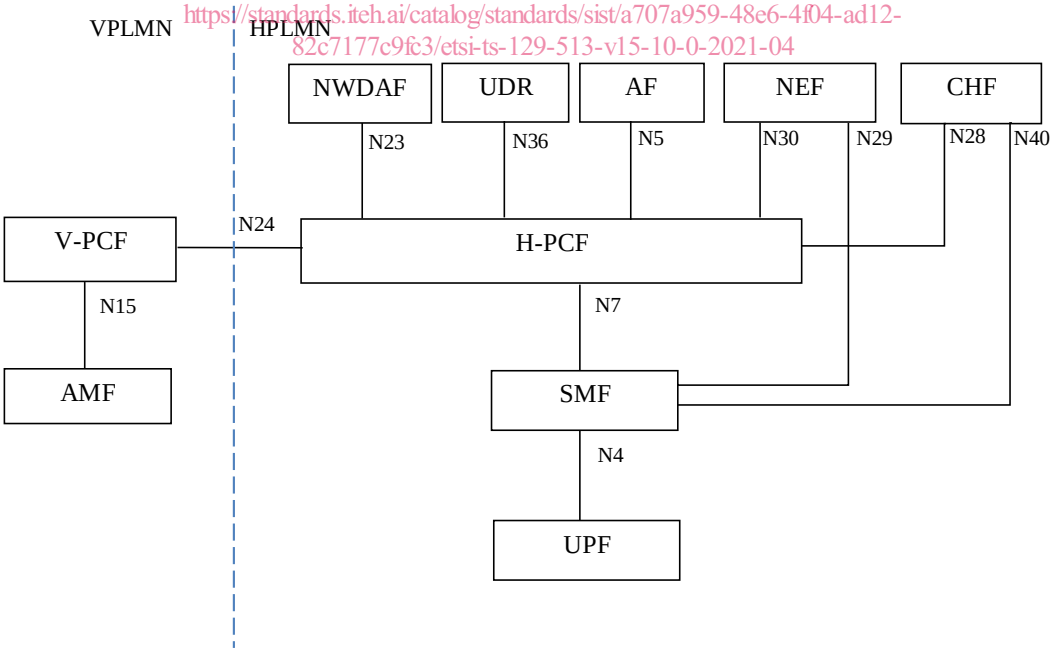

Figure 4.1-3b: Overall roaming policy framework architecture - home routed scenario (reference point representation)

NOTE 6: For the sake of clarity, SEPPs are not depicted in the roaming reference point architecture figures.

NOTE 7: N4 and N32 are not service based interfaces.

To allow the 5G system to interwork with AFs related to existing services, e.g. IMS based services, Mission Critical Push To Talk services, the PCF shall support the corresponding Rx procedures and requirements defined in 3GPP TS 29.214 [18]. This facilitates the migration from EPC to 5GC without requiring these AFs to upgrade to support the N5 interface.

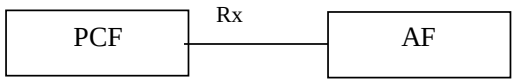

Figure 4.1-4: Interworking between 5G Policy framework and AFs supporting Rx interface

5 Signalling Flows for the Policy Framework

5.1 AM Policy Association Management

5.1.1 AM Policy Association Establishment

This procedure concerns the following scenarios:

1. UE initial registration with the network.
2. The AMF re-allocation with PCF change in handover procedure and registration procedure.
3. UE registers with 5GS during the UE moving from EPS to 5GS when there is no existing AM Policy Association.

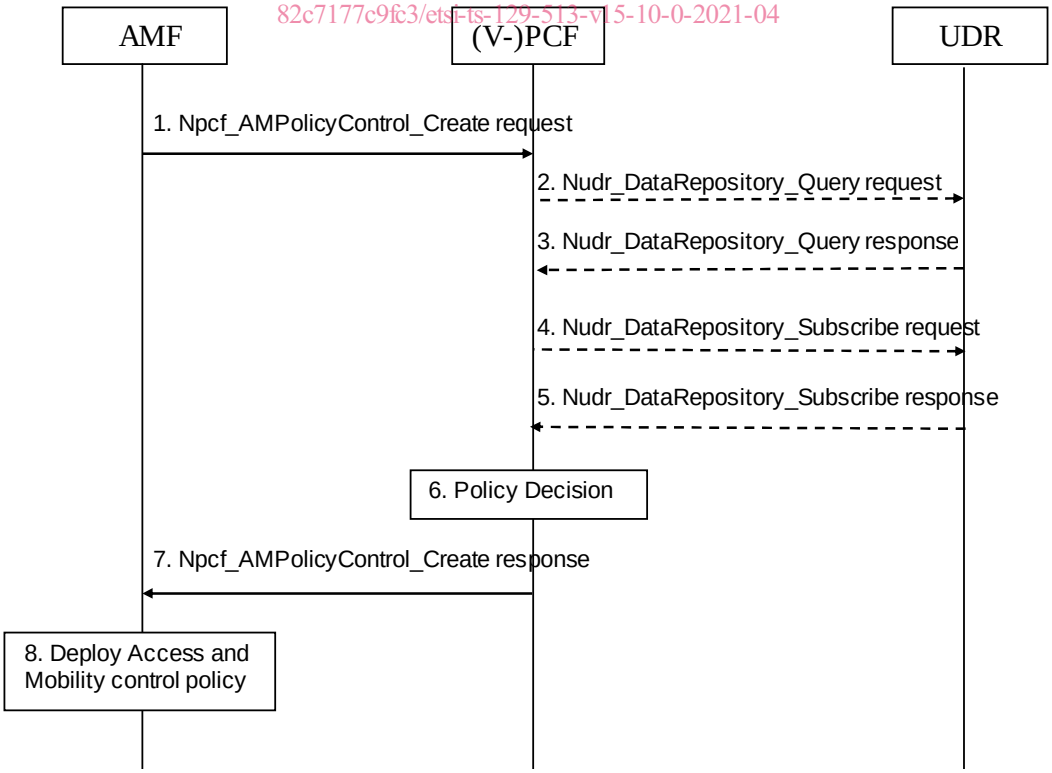

Figure 5.1.1-1: AM Policy Association Establishment procedure

This procedure concerns both roaming and non-roaming scenarios.

In the non-roaming case the role of the V-PCF is performed by the PCF. For the roaming scenarios, the V-PCF interacts with the AMF.

Step 2 - step 5 are not executed in the roaming case.

1. The AMF receives the registration request from the AN. Based on local policy, the AMF selects to contact the (V-) PCF to create the policy association with the (V-) PCF and to retrieve Access and Mobility control policy. The AMF selects the PCF as described in subclause 8.2 and invokes the Npcf_AMPolicyControl_Create service operation by sending the HTTP POST request to the "AM Policy Associations" resource as defined in subclause 5.1.2.1.1 of 3GPP TS 29.507 [7]. The request operation provides the SUPI, and if received from the UDM, the Service Area Restrictions, RFSP index, GPSI and a list of Internal Group Identifiers, and may provide the access type, the PEI if received in the AMF, the User Location Information if available, the UE Time Zone if available, Serving Network, RAT type, GUAMI of AMF, alternative or backup address(es) of AMF and trace control and configuration parameters information. The request includes a Notification URI to indicate to the PCF where to send a notification when the policy is updated.

2. If the PCF does not have the subscription data, it invokes the Nudr_DataRepository_Query service operation to the UDR by sending an HTTP GET request to the "AccessAndMobilityPolicyData " resource as specified in TS 29.519 [12]

3. The UDR sends an HTTP "200 OK" response to the PCF with the subscription data.

4. The PCF may request notifications from the UDR on changes in the subscription information by invoking Nudr_DataRepository_Subscribe service operation by sending an HTTP POST request to the "PolicyDataSubscriptions" resource as specified in 3GPP TS 29.519 [12].

5. The UDR sends an HTTP "201 Created" response to acknowledge the subscription from the PCF.

6. The (V-)PCF makes the requested policy decision including Access and Mobility control policy information, and may determine applicable Policy Control Request Trigger(s).

7. The (V)PCF sends an HTTP "201 Created" response to the AMF with the determined policies as described in subclause 4.2.2 of 3GPP TS 29.507 [7]:

   - Access and Mobility control Policy including Service Area Restrictions, and/or a RAT Frequency Selection Priority (RFSP) Index; and/or

   - Policy Control Request Triggers and related policy information;

8. The AMF deploys the Access and Mobility control policy information if received which includes storing the Service Area Restrictions, provisioning the Service Area Restrictions to the UE and/ or provisioning the RFSP index and Service Area Restrictions to the NG-RAN.

NOTE: The PCF can reject the AM Policy Association establishment, e.g. the PCF cannot obtain the subscription-related information from the UDR and the PCF cannot make the policy decisions, as described in 3GPP TS 29.519 [12]. In this case, the AMF deploys the Access and Mobility control policy information based on the policy retrieved from the UDM if available or the local configuration.

5.1.2 AM Policy Association Modification

5.1.2.1 AM Policy Association Modification initiated by the AMF

5.1.2.1.1 AM Policy Association Modification initiated by the AMF without AMF relocation

This procedure is performed when a Policy Control Request Trigger condition is met.