ETSI TS 102 412 V16.3.0 (2021-10)

Smart Cards;
Smart Card Platform Requirements Stage 1
(Release 16)

iTeh STANDARD PREVIEW
(standards.iteh.ai)

ETSI TS 102 412 V16.3.0 (2021-10)
https://standards.iteh.ai/catalog/standards/sist/507588dd-d038-4330-a8dd-de67c2940259/etsi-ts-102-412-v16-3-0-2021-10

Reference
RTS/SCP-R00002vg30

Keywords
smart card

ETSI

650 Route des Lucioles
F-06921 Sophia Antipolis Cedex - FRANCE

Tel.: +33 4 92 94 42 00 Fax: +33 4 93 65 47 16

Siret N° 348 623 562 00017 - APE 7112B
Association à but non lucratif enregistrée à la
Sous-Préfecture de Grasse (06) N° w061004871

Important notice

The present document can be downloaded from:
http://www.etsi.org/standards-search

The present document may be made available in electronic versions and/or in print. The content of any electronic and/or print versions of the present document shall not be modified without the prior written authorization of ETSI. In case of any existing or perceived difference in contents between such versions and/or in print, the prevailing version of an ETSI deliverable is the one made publicly available in PDF format at www.etsi.org/deliver.

iTeh STANDARD PREVIEW
(standards.iteh.ai)

Users of the present document should be aware that the document may be subject to revision or change of status.
Information on the current status of this and other ETSI documents is available at
https://portal.etsi.org/TB/ETSIDeliverableStatus.aspx

ETSI TS 102 412 V16.3.0 (2021-10)
https://standards.iteh.ai/catalog/standards/sist/5e7e5ddd-1e3c-4f3b-aadd-dc07e2940259/etsi-ts-102-412-v16.3.0-2021-10

If you find errors in the present document, please send your comment to one of the following services:
https://portal.etsi.org/People/CommiteeSupportStaff.aspx

Notice of disclaimer & limitation of liability

The information provided in the present deliverable is directed solely to professionals who have the appropriate degree of experience to understand and interpret its content in accordance with generally accepted engineering or other professional standard and applicable regulations.
No recommendation as to products and services or vendors is made or should be implied.
No representation or warranty is made that this deliverable is technically accurate or sufficient or conforms to any law and/or governmental rule and/or regulation and further, no representation or warranty is made of merchantability or fitness for any particular purpose or against infringement of intellectual property rights.
In no event shall ETSI be held liable for loss of profits or any other incidental or consequential damages.

Any software contained in this deliverable is provided "AS IS" with no warranties, express or implied, including but not limited to, the warranties of merchantability, fitness for a particular purpose and non-infringement of intellectual property rights and ETSI shall not be held liable in any event for any damages whatsoever (including, without limitation, damages for loss of profits, business interruption, loss of information, or any other pecuniary loss) arising out of or related to the use of or inability to use the software.

Copyright Notification

No part may be reproduced or utilized in any form or by any means, electronic or mechanical, including photocopying and microfilm except as authorized by written permission of ETSI.
The content of the PDF version shall not be modified without the written authorization of ETSI.
The copyright and the foregoing restriction extend to reproduction in all media.

© ETSI 2021.
All rights reserved.

Contents

Intellectual Property Rights 10
Foreword 10
Modal verbs terminology 11
Introduction 11
1 Scope 12
2 References 12
2.1 Normative references 12
2.2 Informative references 14
3 Definition of terms, symbols and abbreviations 15
3.1 Terms 15
3.2 Symbols 17
3.3 Abbreviations 17
4 Requirements 20
4.0 General 20
4.1 Run time environment timing constraints 21
4.1.1 Abstract (informative) 21
4.1.2 Background (informative) 21
4.1.2.1 Use case - Network authentication 21
4.1.3 Requirements 21
4.1.4 Interaction with existing features (informative) 21
4.2 Launch Application feature 22
4.2.1 Abstract (informative) 22
4.2.2 Background (informative) 22
4.2.3 Requirements 23
4.2.4 Interaction with existing features (informative) 23
4.3 Mapped file support on the UICC 23
4.3.1 Abstract (informative) 23
4.3.2 Background (informative) 24
4.3.3 Requirements 24
4.3.4 Interaction with existing features (informative) 24
4.4 Extension of logical channels 24
4.4.1 Abstract (informative) 24
4.4.2 Background (informative) 24
4.4.2.1 Typical problem situation 24
4.4.2.2 Possible problem solution 25
4.4.2.3 Use cases 25
4.4.2.3.1 Use case - JSR 177 applications 25
4.4.2.3.2 Use case - PC connection 25
4.4.3 Requirements 25
4.4.3.1 General requirements 25
4.4.3.2 Backward compatibility requirements 25
4.4.4 Interaction with existing features (informative) 25
4.5 Secure channel to secure local terminal interfaces 25
4.5.1 Abstract (informative) 25
4.5.2 Background (informative) 26
4.5.2.0 General 26
4.5.2.1 Use case - User interface 26
4.5.2.2 Use case - UICC as a control point for device management 27
4.5.2.3 Use case - DRM and distributed applications 28
4.5.2.4 Use case - Toolkit commands protection 29
4.5.3 Requirements 30
4.5.3.0 General 30
4.5.3.1 End point requirements 30
4.5.3.2 Integrity requirements 30

iTeh STANDARD PREVIEW
(standards.iteh.ai)
ETSI TS 102 412 V16.3.0 (2021-10)
https://standards.iteh.ai/catalog/standards/sist/507588dd-d038-4330-
dedb-1ec2940259/etsi-ts-102-412-v16-3-0-2021-10

4.5.3.3 Confidentiality requirements 30
4.5.3.4 Authentication requirements 31
4.5.3.5 Audit/Compliance requirements 31
4.5.3.6 Policy requirements 31
4.5.3.7 Transport Protocol requirements 31
4.5.4 Interaction with existing features (informative) 31
4.5.4.1 Logical Channels 31
4.5.4.2 CAT access over a modem interface 31
4.6 Authenticate command longer than 255 bytes 31
4.6.1 Abstract (informative) 31
4.6.2 Background (informative) 32
4.6.2.1 Use case - EAP packet exchange 32
4.6.3 Requirements 32
4.6.3.1 General requirements 32
4.6.3.2 Backward compatibility requirements 32
4.6.4 Interaction with existing features (informative) 32
4.7 CAT mechanisms to indicate the bearer connection status 32
4.7.1 Abstract (informative) 32
4.7.2 Background (informative) 32
4.7.2.1 Use case - Availability of network bearers 32
4.7.2.2 Use case - Network connection temporarily lost 33
4.7.2.3 Use case - Availability of local bearers 33
4.7.3 Requirements 33
4.7.3.1 Requirement 1 - Network bearer connection status 33
4.7.3.2 Requirement 2 - Local bearer connection status 33
4.7.4 Interaction with existing features (informative) 33
4.8 New UICC-Terminal interface 33
4.8.1 Abstract (informative) 33
4.8.2 Background (informative) 34
4.8.2.0 General 34
4.8.2.1 Use case - Multimedia file management 34
4.8.2.2 Use case - MMI on UICC 34
4.8.2.3 Use case - Real-time multimedia data encryption/decryption 34
4.8.2.4 Use case - Storage of terminal applications on the UICC 34
4.8.2.5 Use case - Direct and indirect UICC connection to a PC 34
4.8.2.6 Use case - Web server on Smart Card 35
4.8.2.7 Use case - Antivirus on UICC 35
4.8.2.8 Use case - Big phonebook management from the UICC 35
4.8.2.9 Use case - Reduce personalization time 35
4.8.2.10 Use case - generic TCP/IP connectivity 35
4.8.3 Requirements 36
4.8.3.1 General requirements 36
4.8.3.2 Backward compatibility requirements 36
4.8.4 Interaction with existing features (informative) 37
4.9 UICC based application acting as a server 37
4.9.1 Abstract (informative) 37
4.9.2 Background (informative) 37
4.9.3 Requirements 37
4.9.4 Interaction with existing features (informative) 37
4.10 API for applications registered to a Smart Card Web Server 37
4.10.1 Abstract (informative) 37
4.10.2 Background (informative) 38
4.10.2.0 General 38
4.10.2.1 Registration of an application to the SCWS 38
4.10.2.2 Data exchange between SCWS and application 38
4.10.2.3 Issuing Proactive Commands 38
4.10.3 Requirements 38
4.10.4 Interaction with existing features (informative) 39
4.11 Specific UICC environmental conditions 39
4.11.1 Abstract (informative) 39
4.11.2 Background (informative) 39
4.11.2.0 General 39

iTeh STANDARD PREVIEW
(standards.iteh.ai)
ETSI TS 102 412 V16.3.0 (2021-10)
https://standards.iteh.ai/catalog/standards/sist/...-d038-4330-...
...-3-0-2021-10

4.11.2.1 Use case - Automotive service 39
4.11.2.2 Use case - Remote monitoring camera 39
4.11.2.3 Use case - Remote stock monitoring for vending machines 39
4.11.2.4 Use case - Online electronic advertising board 39
4.11.3 Considerations (informative) 39
4.11.4 Requirements 40
4.11.4.1 Requirement 1: Temperature range 40
4.11.4.2 Requirement 2: Humidity 40
4.11.5 Interaction with existing features (informative) 40
4.12 Introduction of high density memory technology in UICC 40
4.12.1 Abstract (informative) 40
4.12.2 Background (informative) 40
4.12.2.1 Use case - Enhanced UICC features 40
4.12.3 Requirements 41
4.12.4 Interaction with existing features (informative) 41
4.13 Power supply indication mechanism 41
4.13.1 Abstract (informative) 41
4.13.2 Background (informative) 41
4.13.2.1 Use case - Generic situation 41
4.13.2.2 Use case - USIM application with toolkit applications 42
4.13.3 Requirements 42
4.13.3.1 General Requirements 42
4.13.3.2 Backward compatibility requirements 42
4.13.4 Interaction with existing features (informative) 42
4.14 Internet Connectivity up to UICC applications 42
4.14.1 Abstract (informative) 42
4.14.2 Use Cases (informative) 43
4.14.2.0 General 43
4.14.2.1 Use Case - Card OTA management 43
4.14.2.2 Use Case - User local access from the terminal to a card server 43
4.14.2.3 Use Case - Remote access to an identity server in the card 44
4.14.2.4 Use Case - User access from a locally connected device to a card service 44
4.14.3 Requirements 44
4.14.4 Interaction with existing features (informative) 44
4.15 Contactless UICC services 44
4.15.1 Abstract (informative) 44
4.15.2 Background (informative) 44
4.15.2.0 General 44
4.15.2.1 Use case - Access 45
4.15.2.1.1 System aspects of use case 45
4.15.2.1.2 UICC role in use case 45
4.15.2.2 Use case - Tickets 46
4.15.2.2.0 General 46
4.15.2.2.1 System aspects of throughput ticketing scenario 47
4.15.2.2.2 System aspects of high priced ticketing scenario 47
4.15.2.2.3 UICC role in use case 47
4.15.2.3 Use case - Digital rights 48
4.15.2.3.1 System aspects of contactless digital rights 48
4.15.2.3.2 UICC role in use case 49
4.15.2.4 Use case - Payment application 49
4.15.2.5 Use case - Loyalty application 50
4.15.2.6 Use case - Health care application 51
4.15.2.7 Use case - Retail 51
4.15.2.7.1 System aspects of the use case 51
4.15.2.7.2 UICC role in the use case 51
4.15.2.8 Consideration about multiple applications in peer to peer mode 52
4.15.2.8.1 System aspects of multiple applications in peer to peer mode 52
4.15.2.8.2 Service discovery in peer to peer mode 52
4.15.2.8.3 Application connection in peer to peer mode 52
4.15.2.8.4 Customer care in peer to peer mode 52
4.15.2.9 Considerations about the P2P technology 52
4.15.2.10 Consideration about multiple HCI Hosts in card emulation mode 53

iTeh STANDARD PREVIEW
(standards.iteh.ai)
ETSI TS 102 412 V16.3.0 (2021-10)
https://standards.iteh.ai/catalog/standards/sist/507588dd-d038-4330-
ETSI TS 102 412 V16.3.0 (2021-10)

4.15.3 Requirements 54
4.15.3.1 Physical interface requirements 54
4.15.3.2 Multi-protocol concurrent operation requirements 54
4.15.3.3 Contactless communication modes requirements 54
4.15.3.4 Compatibility with existing contactless systems requirements 54
4.15.3.5 Parameters to be transported by the CLFIP requirements 54
4.15.3.6 Application integration requirements 55
4.15.3.7 Terminal and user interaction requirements 55
4.15.3.8 Interoperability requirements 55
4.15.3.9 RFID requirements 56
4.15.3.10 P2P mode requirements 56
4.15.3.10.1 General P2P requirements 56
4.15.3.10.2 P2P application management requirements 57
4.15.4 Interaction with existing features (informative) 58
4.16 Administration of the Smart Card Web Server 58
4.16.1 Abstract (informative) 58
4.16.2 Background (informative) 58
4.16.3 Requirements 58
4.16.4 Interaction with existing features (informative) 58
4.17 Confidential Application Services 58
4.17.1 Abstract (informative) 58
4.17.2 Background (informative) 59
4.17.2.1 Use case 1: Mobile TV services 59
4.17.2.2 Use case 2: Banking Services 60
4.17.2.3 Use case 3: Contactless Applications 61
4.17.2.4 Use case 4: Mobile Virtual Network Operator services 62
4.17.3 Requirements 63
4.17.3.0 General 63
4.17.3.1 Confidential application environment 63
4.17.3.2 Administration by Card issuer 63
4.17.3.2.1 Third party area environment administration 63
4.17.3.2.2 Third party area creation 64
4.17.3.2.3 Third party area policy definition 64
4.17.3.3 Administration by Third party 64
4.17.3.4 Service Operator specific requirements 65
4.17.4 Interaction with existing features (informative) 65
4.18 UICC for Machine-to-Machine (M2M) applications 65
4.18.1 Abstract (informative) 65
4.18.2 Use Cases (informative) 66
4.18.2.1 Use case - Track and Trace 66
4.18.2.1.0 General 66
4.18.2.1.1 Use case - Emergency Call 66
4.18.2.1.2 Use case - Fleet Management 67
4.18.2.1.3 Use case - Theft Tracking 67
4.18.2.2 Use case - Monitoring 68
4.18.2.2.0 General 68
4.18.2.2.1 Use case - Metering/Prepaid delivery of utilities (water, gas, electricity) 68
4.18.2.2.2 Use case - Person/Animal protection 69
4.18.2.2.3 Use case - Object protection 69
4.18.2.3 Use case - Transaction 70
4.18.2.3.1 Use case - PoS Terminals (Point of Sale Terminals) 70
4.18.2.4 Use case - Control 70
4.18.2.4.1 Use case - Controlling vending machines 70
4.18.2.4.2 Use case - Controlling production machines 71
4.18.3 Requirements 71
4.18.3.0 General 71
4.18.3.1 General M2M UICC Requirements 71
4.18.3.1.0 General 71
4.18.3.1.1 Specific requirements related to definition of classes 72
4.18.3.1.2 Example for a possible class system (informative) 72
4.18.3.2 MFF Requirements 72
4.18.4 Interaction with existing features (informative) 73

iTeh STANDARD PREVIEW
(standards.iteh.ai)
ETSI TS 102 412 V16.3.0 (2021-10)
https://standards.iteh.ai/catalog/standards/sist/507588dd-d038-4330-a0d1-1e6f7e940259/etsi-ts-102-412-v16-3-0-2021-10

4.19 Location based services for broadcast technology 73
4.19.1 Abstract (informative) 73
4.19.2 Use Cases (informative) 73
4.19.3 Requirement for retrieving location information for broadcast technology 73
4.19.4 Interaction with existing features (informative) 73
4.20 Terminals with reduced functionality 74
4.20.1 Abstract (informative) 74
4.20.2 Use case (informative) 74
4.20.2.1 Use case - Data card 74
4.20.3 Requirements 74
4.20.4 Interaction with existing features (informative) 74
4.21 Digital Rights Management 74
4.21.1 Abstract (informative) 74
4.21.2 Use cases (informative) 74
4.21.2.0 General 74
4.21.2.1 Use case - Transfer of protected contents and rights by using a UICC 75
4.21.2.2 Use case - Provisioning of rights in the UICC 75
4.21.2.3 Use case - Direct rendering of DRM-protected content by using the UICC 75
4.21.2.4 Use case - Pre-loading of rights by using the UICC 75
4.21.3 Requirements 75
4.21.4 Interaction with existing features (informative) 76
4.22 Multicast dataflow in UICC 76
4.22.1 Abstract (informative) 76
4.22.2 Use cases (informative) 76
4.22.2.1 Use case - Broadcast data services 76
4.22.2.2 Use case - Mobile TV related services 76
4.22.3 Requirement for multicast dataflow (subscription and dataflow reception) 77
4.22.4 Interaction with existing features (informative) 77
4.23 New type of data storage and access 77
4.23.1 Abstract (informative) 77
4.23.2 Background (informative) 77
4.23.2.0 General 77
4.23.2.1 Use case - Taking a picture from the terminal, storing it on the UICC and retrieving it 77
4.23.2.2 Use case - Storing and protecting data through operator portal 78
4.23.2.3 Use case - Storing a service description 78
4.23.2.4 Use case - Managing multimedia content via UICC to a remote server 78
4.23.2.5 Use case - Partitioning UICC memory 78
4.23.2.6 Use case - UICC content depending on user authentication 78
4.23.2.7 Use case - Migration to a USB UICC without ICCD class 78
4.23.3 Requirements 78
4.23.3.1 Data storage and structure requirements 78
4.23.3.2 Data protection requirements 79
4.23.3.3 Local and remote access requirements 79
4.23.4 Interaction with existing features (informative) 79
4.24 CAT access over a modem interface 79
4.24.1 Abstract (informative) 79
4.24.2 Background (informative) 80
4.24.2.0 General 80
4.24.2.1 Use case - Extending CAT to the connected entity capabilities 80
4.24.2.2 Use case - Using CAT for data acquisition and control in an M2M device 80
4.24.2.3 Use case - Addition of CAT support by adding a CAT extender device 81
4.24.3 Requirements 81
4.24.3.1 General requirements 81
4.24.3.2 Connected device registration requirements 81
4.24.3.3 Legacy support requirements 82
4.24.3.4 Extended support requirements 83
4.24.3.5 CAT over modem-client interface requirements 83
4.24.3.6 Connected entity termination requirements 84
4.24.3.7 Security requirements 84
4.24.4 Interaction with existing features (informative) 84
4.25 UICC-Terminal applications and services over USB 84
4.25.1 Abstract (informative) 84

iTeh STANDARD PREVIEW
(standards.iteh.ai)
ETSI TS 102 412 V16.3.0 (2021-10)

4.25.2 Background (informative) 85
4.25.2.1 Use case - Migration of existing services over IP 85
4.25.2.2 Use case - End-user interaction 85
4.25.2.3 Use case - Integration of UICC services into terminal user interface 85
4.25.2.4 Use case - Access status of communication services 85
4.25.2.5 Use case - Access to specific terminal hardware 86
4.25.2.6 Use case - Interaction between terminal and UICC applications 86
4.25.3 Requirements 86
4.25.3.1 General framework requirements 86
4.25.3.2 Framework service discovery and management requirements 87
4.25.3.3 Interaction between UICC and terminal applications requirements 87
4.25.3.4 Framework security requirements 87
4.25.3.5 User Interface requirements 88
4.25.3.6 Device interaction requirements 88
4.25.3.7 Network related requirements 88
4.25.3.8 Specific Services requirements 88
4.25.3.9 Backwards compatibility requirements 89
4.25.4 Interaction with existing features (informative) 89
4.26 Integration of a UICC in a Mobile Broadband Notebook 89
4.26.1 Abstract (informative) 89
4.26.2 Background (informative) 89
4.26.2.1 Architecture considerations 89
4.26.2.2 Use cases 90
4.26.2.2.1 Authentication 90
4.26.2.2.2 Mass storage for MNO content 90
4.26.2.2.3 Mass storage for user content 90
4.26.2.2.4 Cryptographic services 91
4.26.2.2.5 Web services 91
4.26.2.2.6 Secure execution environment 91
4.26.2.2.7 Device Management 91
4.26.2.3 Security considerations 91
4.26.3 Requirements 92
4.26.3.1 Generic requirements 92
4.26.3.2 Security requirements 92
4.26.3.3 Security policy related requirements 92
4.26.4 Interaction with existing features (informative) 92
4.27 Fourth UICC Form Factor 92
4.27.1 Abstract (informative) 92
4.27.2 Background (informative) 93
4.27.2.0 General 93
4.27.2.1 Use Case - Introduction of new devices that can support UICC 93
4.27.2.2 Use Case - Slimmer mobile devices 93
4.27.2.3 Use Case - Enhanced end user experience 93
4.27.3 Technical Solution Selection Criteria 93
4.27.4 Requirements 93
4.28 Name resolution mechanism for the UICC 94
4.28.1 Abstract (informative) 94
4.28.2 Use Cases (informative) 94
4.28.2.0 General 94
4.28.2.1 Use Case - Card OTA management 94
4.28.2.2 Use Case - Access to a payment server 94
4.28.3 Requirements 95
4.28.4 Interaction with existing features (informative) 95
4.29 UICC Access Optimization 95
4.29.1 Abstract (informative) 95
4.29.2 Background (informative) 95
4.29.3 Requirements 95
4.30 Mechanism for monitoring the wear of a UICC 95
4.30.1 Abstract (informative) 95
4.30.2 Use cases (informative) 96
4.30.2.1 Use case - Remote management of wear index information 96
4.30.2.2 Use case - New communication modules in cars 96

iTeh STANDARD PREVIEW
(standards.iteh.ai)
ETSI TS 102 412 V16.3.0 (2021-10)
https://standards.iteh.ai/catalog/standards/sist/507588dd-d038-4330-8b1d-de67c2940259/etsi-ts-102-412-v16-3-0-2021-10

4.30.2.3 Use case - Automatic detection of memory defects 96
4.30.3 Requirements 96
4.31 Mechanism to suspend the UICC 96
4.31.1 Abstract (informative) 96
4.31.2 Use cases (informative) 97
4.31.3 Requirements 97
4.31.3.1 Power requirements 97
4.31.3.2 Toolkit requirements 97
4.31.3.3 Security requirements 97
4.31.3.4 Backward compatibility requirements 97
4.32 UWB services 97
4.32.1 Abstract (informative) 97
4.32.2 Background (informative) 98
4.32.2.1 General 98
4.32.2.2 Use case - retail 98
4.32.2.3 Use case - transport 98
4.32.2.4 Use case - automotive 98
4.32.2.5 Use case - object tracking 98
4.32.2.6 Use case - building access 98
4.32.2.7 Use case - ticketing 98
4.32.3 Requirements 99
4.33 Multiplexed logical interfaces over one single physical interface 99
4.33.1 Abstract (informative) 99
4.33.2 Background (informative) 99
4.33.2.1 Typical problem situation 99
4.33.2.2 Use cases 100
4.33.2.2.1 Use case - Dual SIM application using a single eUICC 100
4.33.3 Requirements 100
4.33.3.1 Interface requirements 100
4.33.3.2 Interface management requirements 100
4.33.3.3 Logical SE lifecycle requirements 101
4.33.3.4 Security requirements 101
4.33.3.5 Backward compatibility requirements 101
Annex A (informative): Requirement numbering scheme 102
Annex B (informative): Change history 103
History 105

iTeh STANDARD PREVIEW
(standards.iteh.ai)
ETSI TS 102 412 V16.3.0 (2021-10)
https://standards.iteh.ai/catalog/standards/sist/507588dd-d038-4330-a8dd-de67c2940259/etsi-ts-102-412-v16-3-0-2021-10

Intellectual Property Rights

Essential patents

IPRs essential or potentially essential to normative deliverables may have been declared to ETSI. The declarations pertaining to these essential IPRs, if any, are publicly available for **ETSI members and non-members**, and can be found in ETSI SR 000 314: *"Intellectual Property Rights (IPRs); Essential, or potentially Essential, IPRs notified to ETSI in respect of ETSI standards"*, which is available from the ETSI Secretariat. Latest updates are available on the ETSI Web server (https://ipr.etsi.org/).

Pursuant to the ETSI Directives including the ETSI IPR Policy, no investigation regarding the essentiality of IPRs, including IPR searches, has been carried out by ETSI. No guarantee can be given as to the existence of other IPRs not referenced in ETSI SR 000 314 (or the updates on the ETSI Web server) which are, or may be, or may become, essential to the present document.

Trademarks

The present document may include trademarks and/or tradenames which are asserted and/or registered by their owners. ETSI claims no ownership of these except for any which are indicated as being the property of ETSI, and conveys no right to use or reproduce any trademark and/or tradename. Mention of those trademarks in the present document does not constitute an endorsement by ETSI of products, services or organizations associated with those trademarks.

DECT™, **PLUGTESTS™**, **UMTS™** and the ETSI logo are trademarks of ETSI registered for the benefit of its Members. **3GPP™** and **LTE™** are trademarks of ETSI registered for the benefit of its Members and of the 3GPP Organizational Partners. **oneM2M™** logo is a trademark of ETSI registered for the benefit of its Members and of the oneM2M Partners. **GSM**® and the GSM logo are trademarks registered and owned by the GSM Association.

iTeh STANDARD PREVIEW

BLUETOOTH® is a trademark registered and owned by Bluetooth SIG, Inc.

(standards.iteh.ai)

Foreword

ETSI TS 102 412 V16.3.0 (2021-10)
https://standards.iteh.ai/catalog/standards/sist/507588dd-d038-4330-a8dd-de67c2940259/etsi-ts-102-412-v16-3-0-2021-10

This Technical Specification (TS) has been produced by ETSI Technical Committee Smart Card Platform (SCP).

The contents of the present document are subject to continuing work within TC SCP and may change following formal TC SCP approval. If TC SCP modifies the contents of the present document, it will then be republished by ETSI with an identifying change of release date and an increase in version number as follows:

Version x.y.z

where:

- x the first digit:
  - 0 early working draft;
  - 1 presented to TC SCP for information;
  - 2 presented to TC SCP for approval;
  - 3 or greater indicates TC SCP approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

Modal verbs terminology

In the present document "**shall**", "**shall not**", "**should**", "**should not**", "**may**", "**need not**", "**will**", "**will not**", "**can**" and "**cannot**" are to be interpreted as described in clause 3.2 of the ETSI Drafting Rules (Verbal forms for the expression of provisions).

"**must**" and "**must not**" are **NOT** allowed in ETSI deliverables except when used in direct citation.

Introduction

The present document specifies the requirements for Release 7 onwards of the TC SCP.

iTeh STANDARD PREVIEW
(standards.iteh.ai)
ETSI TS 102 412 V16.3.0 (2021-10)
https://standards.iteh.ai/catalog/standards/sist/507588dd-d038-4330-a8dd-de67c2940259/etsi-ts-102-412-v16-3-0-2021-10

1 Scope

The present document specifies the additional requirements for Release 7 onwards of the TC SCP with respect to earlier releases.

The present document covers all the Stage 1 requirements which are not covered by other TC SCP stage 1 documents.

2 References

2.1 Normative references

References are either specific (identified by date of publication and/or edition number or version number) or non-specific. For specific references, only the cited version applies. For non-specific references, the latest version of the referenced document (including any amendments) applies.

- In the case of a reference to a TC SCP document, a non specific reference implicitly refers to the latest version of that document in the same Release as the present document.

Referenced documents which are not found to be publicly available in the expected location might be found at https://docbox.etsi.org/Reference/.

NOTE: While any hyperlinks included in this clause were valid at the time of publication, ETSI cannot guarantee their long term validity.

iTeh STANDARD PREVIEW
(standards.iteh.ai)

The following referenced documents are necessary for the application of the present document.

ETSI TS 102 412 V16.3.0 (2021-10)
https://standards.iteh.ai/catalog/standards/sist/507588dd-d058-4530-a8dd-de67c2940259/etsi-ts-102-412-v16-3-0-2021-10

[1] ETSI TS 102 221: "Smart Cards; UICC-Terminal interface; Physical and logical characteristics (Release 7)".

[2] ETSI TS 102 223: "Smart cards; Card Application Toolkit (CAT) (Release 6)".

[3] Void.

[4] Void.

[5] Void.

[6] ISO/IEC 7816-4: "Identification cards -- Integrated circuit cards -- Part 4: Organization, security and commands for interchange".

[7] Trusted Computing Group (2011): "TPM Main - Part 1 Design Principles - Specification version 1.2".

NOTE: Available at https://trustedcomputinggroup.org/wp-content/uploads/TPM-Main-Part-1-Design-Principles_v1.2_rev116_01032011.pdf.

[8] ISO/IEC 14443 (all parts): "Cards and security devices for personal identification -- Contactless proximity objects".

[9] ISO/IEC 18092: "Information technology -- Telecommunications and information exchange between systems -- Near Field Communication -- Interface and Protocol (NFCIP-1)".

[10] ISO/IEC 15693 (all parts): "Cards and security devices for personal identification -- Contactless vicinity objects".

[11] ETSI EN 300 468: "Digital Video Broadcasting (DVB); Specification for Service Information (SI) in DVB systems".

[12] ETSI EN 302 304: "Digital Video Broadcasting (DVB); Transmission System for Handheld Terminals (DVB-H)".

[13] Void.

[14] OMA-AD-SRM-V1-0-0-20090310-A: "OMA Secure Removable Media Architecture".

NOTE: Available at http://www.openmobilealliance.org/release/SRM/V1_0-20090310-A/OMA-AD-SRM-V1_0-20090310-A.pdf.

[15] OMA-RD-SRM-V1-0-20090310-A: "OMA Secure Removable Media Requirements".

NOTE: Available at https://www.openmobilealliance.org/release/SRM/V1_0-20090310-A/OMA-RD-SRM-V1_0-20090310-A.pdf.

[16] ETSI TS 102 241: "Smart Cards; UICC Application Programming Interface (UICC API) for Java Card (™) (Release 8)".

[17] ETSI TS 127 007: "Digital cellular telecommunications system (Phase 2+); Universal Mobile Telecommunications System (UMTS); LTE; AT command set for User Equipment (UE) (3GPP TS 27.007 Release 9)".

[18] ETSI TS 102 613: "Smart Cards; UICC - Contactless Front-end (CLF) Interface; Physical and data link layer characteristics".

[19] ETSI TS 102 622: "Smart Cards; UICC - Contactless Front-end (CLF) Interface; Host Controller Interface (HCI)".

[20] ISO/IEC 18000 (all parts): "Information technology -- Radio frequency identification for item management".

[21] ETSI TS 102 483: "Smart cards; UICC-Terminal interface; Internet Protocol connectivity between UICC and terminal (Release 8)".

[22] Void.

[23] ETSI TS 102 484: "Smart Cards; Secure channel between a UICC and an end-point terminal".

[24] ETSI TS 102 600: "Smart Cards; UICC-Terminal interface; Characteristics of the USB interface".

[25] ETSI TS 102 671: "Smart Cards; Machine to Machine UICC; Physical and logical characteristics".

[26] GlobalPlatform: "Requirements for NFC Mobile: Management of Multiple Contactless Secure Elements v1.0".

NOTE: Available at https://globalplatform.wpengine.com/wp-content/uploads/2018/06/GlobalPlatform_Requirements_Secure_Elements.pdf.

[27] NFC Forum: "NFC Controller Interface (NCI) Technical Specification Version 1.1".

NOTE: Available at https://members.nfc-forum.org/apps/group_public/download.php/17505/NFCForum-TS-NCI-1.1_For_Feedback_Only.pdf.

[28] IEEE 802.15.4™-2020: "IEEE Standard for Low-Rate Wireless Networks".

[29] IEEE 802.15.4z™-2020: "IEEE Standard for Low-Rate Wireless Networks -- Amendment 1: Enhanced Ultra Wideband (UWB) Physical Layers (PHYs) and Associated Ranging Techniques".

iTeh STANDARD PREVIEW
(standards.iteh.ai)
ETSI TS 102 412 V16.3.0 (2021-10)
https://standards.iteh.ai/catalog/standards/sist/507588dd-d038-4330-a8dd-de67c2940259/etsi-ts-102-412-v16-3-0-2021-10

2.2 Informative references

References are either specific (identified by date of publication and/or edition number or version number) or non-specific. For specific references, only the cited version applies. For non-specific references, the latest version of the referenced document (including any amendments) applies.

- In the case of a reference to a TC SCP document, a non specific reference implicitly refers to the latest version of that document in the same Release as the present document.

NOTE: While any hyperlinks included in this clause were valid at the time of publication, ETSI cannot guarantee their long term validity.

The following referenced documents are not necessary for the application of the present document but they assist the user with regard to a particular subject area.

[i.1] GSMA Pay Buy Mobile, Business Opportunity Analysis, Public White Paper, version 1.0, November 2007.

[i.2] ISO/IEC 16750-3: "Road vehicles - Environmental conditions and testing for electrical and electronic equipment -- Part 3: Mechanical loads".

[i.3] AEC-Q100: "Stress Test Qualification for Integrated Circuits".

[i.4] OMA-TS-BCAST-SvcCntProtection-V1.0: "Service and Content Protection for Mobile Broadcast Services".

[i.5] Mobile Broadband in Notebooks Guidelines, version 4.0, December 2009.

[i.6] ETSI TR 102 906: "Smart Cards; UICC-Terminal interface; UICC in Mobile Broadband Notebook".

[i.7] ETSI TR 131 970: "Universal Mobile Telecommunications System (UMTS); LTE; 5G; UICC power optimisation for Machine-Type Communication (3GPP TR 131 970)".

[i.8] GSMA RSP Technical Specification SGP.22, Version 2.3.

[i.9] ETSI TS 122 038: "Digital cellular telecommunications system (Phase 2+); Universal Mobile Telecommunications System (UMTS); USIM Application Toolkit (USAT/SAT); Service description; Stage 1 (3GPP TS 22.038 Release 7)".

[i.10] ETSI TS 151 011: "Digital cellular telecommunications system (Phase 2+); Specification of the Subscriber Identity Module - Mobile Equipment (SIM-ME) interface (3GPP TS 51.011)".

[i.11] ETSI TS 131 102: "Universal Mobile Telecommunications System (UMTS); LTE; Characteristics of the Universal Subscriber Identity Module (USIM) application (3GPP TS 31.102 Release 6)".

[i.12] OMA-TS-SRM-V1-0-20090310-A: "OMA Secure Removable Media Specification".

NOTE: Available at https://www.openmobilealliance.org/release/SRM/V1_0-20090310-A/OMA-TS-SRM-V1_0-20090310-A.pdf.

[i.13] IETF RFC 2616: "Hypertext Transfer Protocol -- HTTP/1.1".

NOTE: Obsoleted by IETF RFC 7230, IETF RFC 7231, IETF RFC 7232, IETF RFC 7233, IETF RFC 7234 and IETF RFC 7235.

iTeh STANDARD PREVIEW
(standards.iteh.ai)
ETSI TS 102 412 V16.3.0 (2021-10)
https://standards.iteh.ai/catalog/standards/sist/5075884d-d038-4330-a8dd-de67c2940259/etsi-ts-102-412-v16-3-0-2021-10

3 Definition of terms, symbols and abbreviations

3.1 Terms

For the purposes of the present document, the following terms apply:

AT command interface: modem interface protocol specified in ETSI TS 127 007 [17]

card emulation mode: mode of operation where the UICC emulates a contactless card through the CLF

central repository: repository of registered applications residing in the UICC

chunked transfer-coding: mechanism that allows HTTP messages to be split in several parts as defined in IETF RFC 2616 [i.13]

ContactLess Front-end (CLF): circuitry in the terminal which:

- Handles the analog part of the contactless communication.
- May handle some layers of the contactless protocol.
- May exchange data with the terminal and the UICC.

CLF Interface (CLFI): physical interface between the UICC and the CLF

CLFI Protocol (CLFIP): communication protocol between the UICC and the CLF carried over the CLFI

DRM agent: entity in the Device that manages Permissions for Media Objects on the Device, as described in OMA SRM technical specification [i.12]

iTeh STANDARD PREVIEW
(standards.iteh.ai)

DRM agent-SRM agent mutual authentication: DRM Agent and the SRM Agent can authenticate each other based on credentials that are securely provisioned in each

ETSI TS 102 412 V16.3.0 (2021-10)
https://standards.iteh.ai/catalog/standards/sist/507588dd-d038-4330-a8dd-dcb7c2940259/etsi-ts-102-412-v16-3-0-2021-10

NOTE: The result of this mutual authentication allows the DRM Agent and SRM Agent to establish a secure communication for the exchange and sharing of secret elements as described in the OMA SRM architecture specification [14].

external entity: entity that is external to the UICC and the modem; it can be the Mobile Broadband Notebook or a distant entity (e.g. a server)

HCI host: logical entity that operates one or more contactless service(s), as defined in ETSI TS 102 622 [19]

High Speed Protocol (HSP): running on top of the NUT interface

Host Controller Interface (HCI): HCI is a part of the implementation of CLFIP, as defined in ETSI TS 102 622 [19]

logical SE: SE functionalities, applications and files grouped together to act like a SE (e.g. UICC) when multiple logical SE interfaces are supported

Logical SE interface: logical connection between an endpoint in the terminal and one logical SE

M2M communication module: electronics system including all necessary components to establish wireless communications between machines

NOTE: M2M communication modules are usually integrated directly into target devices, such as Automated Meter Readers (AMRs), vending machines, alarm systems, car equipment or others.

M2M device applications: applications deployed on a machine to machine device that deliver a service to the UICC

MFF (M2M form factor): new form factor dedicated to M2M applications

M2M UICC: UICC with specific properties for use in M2M environments, this includes existing form factors and an optional new form factor