INTERNATIONAL STANDARD

ISO

15394

Packaging — Bar code and two-dimensional symbols for shipping, transport and receiving labels

Emballage — Codes à barres et symboles bidimensionnels pour l'expédition, le transport et les étiquettes de réception

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Foreword

ISO (the International Organization for Standardization) is a worldwide federation of national standards bodies (ISO member bodies). The work of preparing International Standards is normally carried out through ISO technical committees. Each member body interested in a subject for which a technical committee has been established has the right to be represented on that committee. International organizations, governmental and non-governmental, in liaison with ISO, also take part in the work. ISO collaborates closely with the International Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular, the different approval criteria needed for the different types of ISO documents should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see www.iso.org/directives).

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO shall not be held responsible for identifying any or all such patent rights. Details of any patent rights identified during the development of the document will be in the Introduction and/or on the ISO list of patent declarations received (see www.iso.org/patents).

Any trade name used in this document is information given for the convenience of users and does not constitute an endorsement.

For an explanation on the voluntary nature of standards, the meaning of ISO specific terms and expressions related to conformity assessment, as well as information about ISO's adherence to the World Trade Organization (WTO) principles in the Technical Barriers to Trade (TBT), see the following URL: www.iso.org/iso/foreword.html.

This document was prepared by Technical Committee ISO/TC 122, *Packaging*.

This third edition cancels and replaces the second edition (ISO 15394:2009), which has been technically revised.

The main changes compared to the previous edition are as follows:

— 5.4 has been restructured;

— 5.5 has been added;

— additional information on label design has been added in 7.1;

— a new Figure E.7 has been added and succeeding figures have been renumbered accordingly;

— E.3 has been added;

— Figures E.11, E.12, and E.13 have been added;

— Tables E.1 and E.2 have been added.

Introduction

The use of electronic data interchange (EDI) in association with the physical transport and handling of packages and when traceability is appropriate, such as that described in ISO 9000, requires a clear and unique identifier linking the electronic data and the transport unit.

Bar code-marked transport labels are in widespread use in global industries. Several different standards exist, each designed to meet the requirements of the specific industry sector. For effective and economic use within and between industry sectors, one common multi-industry standard is a necessity.

A bar code-marked transport label is designed to facilitate the automation of shipping and handling of administrative operations. The bar code information on the transport label may be used as a key to access the appropriate database that contains detailed information about the transport unit, including information transmitted using EDI. In addition, a transport label may contain other information as agreed between the trading partners.

Two-dimensional symbols may be included to assist in moving large amounts of shipping label or EDI data from sender to recipient and to assist the transportation carrier automated sortation and tracking systems.

This document incorporates the technology, data structure and conformance standards of ISO/IEC JTC 1/SC 31 with the user requirements for shipping labels into a single application standard.

While this document provides an international shipping label standard, ISO 22742 provides guidance for product packaging. This document and ISO 22742 are complementary.

On the other hand, ISO 17365 covers the use of RF tags on shipping/transport units and was prepared by ISO/TC 122.

Packaging — Bar code and two-dimensional symbols for shipping, transport and receiving labels

1 Scope

This document:

— specifies the minimum requirements for the design of labels containing linear bar code and two-dimensional symbols on transport units to convey data between trading partners;

— provides for traceability of transported units using a unique transport unit identifier (licence plate);

— provides guidance on the formatting on the label of data presented in linear bar code, two-dimensional symbol or human-readable form;

— provides specific recommendations regarding the choice of bar code symbologies, and specifies quality requirements;

— provides recommendations as to label placement, size and the inclusion of free text and any appropriate graphics;

— provides guidance on the selection of the label material.

This document is not applicable to the direct printing on to kraft coloured corrugated surfaces.

NOTE   Guidance on the direct printing of bar code symbols on to kraft coloured corrugated surfaces are provided in references such as *The Fibre Box Handbook*[10].

2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO/IEC 15415, *Information technology — Automatic identification and data capture techniques — Bar code symbol print quality test specification — Two-dimensional symbols*

ISO/IEC 15416, *Automatic identification and data capture techniques — Bar code print quality test specification — Linear symbols*

ISO/IEC 15417, *Information technology — Automatic identification and data capture techniques — Code 128 bar code symbology specification*

ISO/IEC 15434, *Information technology — Automatic identification and data capture techniques — Syntax for high-capacity ADC media*

ISO/IEC 15438:2015, *Information technology — Automatic identification and data capture techniques — PDF417 bar code symbology specification*

ISO/IEC 15459-1, *Information technology — Automatic identification and data capture techniques — Unique identification— Part 1: Individual transport units*

ISO/IEC 15459-2, *Information technology — Automatic identification and data capture techniques — Unique identification— Part 2: Registration procedures*

ISO/IEC 16023:2000, *Information technology — International symbology specification — MaxiCode*

ISO/IEC 16388, *Information technology — Automatic identification and data capture techniques — Code 39 bar code symbology specification*

ISO/IEC 18004, *Information technology — Automatic identification and data capture techniques — QR Code bar code symbology specification*

ISO/IEC 19762, *Information technology — Automatic identification and data capture (AIDC) techniques — Harmonized vocabulary*

ISO 17365, *Supply chain applications of RFID — Transport units*

ISO 21067, *Packaging — Vocabulary*

ANSI MH10.8.2, *Data Identifier and Application Identifier Standard*

GS1 General Specifications.

3   Terms and definitions

For the purposes of this document, the terms and definitions given in ISO/IEC 19762 and ISO 21067 apply.

ISO and IEC maintain terminological databases for use in standardization at the following addresses:

— ISO Online browsing platform: available at https://www.iso.org/obp

— IEC Electropedia: available at http://www.electropedia.org/

4   Concepts

4.1   Principles

The purpose of a bar code label is to facilitate the automatic exchange of data among all members within a channel of distribution, i.e., supplier, carrier, purchaser and other intermediaries. The amount of data, in linear bar code, two-dimensional symbols and in human-readable form, is dependent on the requirements of the trading partners. Where a bar code label is used in conjunction with electronic databases and/or electronic data interchange (EDI) systems, the amount of data may be significantly reduced and may consist of only one piece of data, the unique identifier for the transport unit. If radio frequency identification (RFID)-enabled labels or tags are used in conjunction with labels in conformance with this document, ISO 17365 shall be used for RFID usage with transport units. Human and optically readable data for the representation of RFID applications should be in accordance with ISO/IEC/TR 24729-1.

Trading partners have different information requirements. Some information may be common to two or more trading partners, while other information may be specific to a single trading partner. Information for various trading partners becomes available at different times, for instance:

— product-specific information at the point of manufacture or packaging;

— order processing information at the time of processing the order;

— transport information at the time of shipment.

Trading partners may find it necessary to include significant data elements dealing with the above that may be presented both in linear bar code and two-dimensional symbols (see Annexes A and B) and human-readable form.

This document shall be used in conjunction with application guidelines defining the parameters chosen by the trading partners concerned. Annex D gives guidance in the definition of these parameters.

4.2 Transport package, unit load and transport unit

4.2.1 Transport package

For the purposes of this document, a transport package is considered to be a package intended for the transportation and handling of one or more articles, smaller packages or bulk material.

4.2.2 Unit load

For the purposes of this document, a unit load is considered to be one or more transport packages or other items held together by means such as pallet, slip sheet, strapping, interlocking, glue, shrink wrap or net wrap, making them suitable for transport, stacking and storage as a unit.

4.2.3 Transport unit

Both unit loads and transport packages are referred to as transport units in this document.

4.3 Unique transport unit identifier

One unique transport unit identifier shall be assigned and applied to each transport unit prior to shipment. The unique transport unit identifier shall be associated with the highest level of packaging intended to be conveyed as a single physical entity by the shipper (e.g., a transport package within an unbreakable unit load does not require a unique transport unit identifier). This is a common requirement for all label formats specified by this document. The identifier or "licence plate" is the key, providing access to information stored in computer files and which may be transmitted electronically. The identifier may be used by all of the trading partners to retrieve information about the transport unit itself or about the status of the physical movement of the transport unit along the supply chain. It enables systems to track and trace individual transport units.

4.4 Label formats

4.4.1 Base shipping/transport/receiving label

The base label defined by this document includes the minimum set of data that fulfils the requirements of all trading partners in a supply chain when data is exchanged electronically between the parties involved.

A unique transport unit identifier shall be, and a "Ship to" name and address should be, included on the base label.

In addition to the unique transport unit identifier ("licence plate") and the "Ship to" name and address (for shipment delivery), the following information should be included on the base label:

— "Ship from" name and address (to be able to return the shipment in the event that delivery is not possible);

— key to carrier's database (if the licence plate is not this data element);

— key to customer's database (if the licence plate is not this data element).

4.4.2 Extended shipping/transport/receiving label

The extended label is used when the data available from the base label does not satisfy the requirements of all trading partners. In practice, fully automated communication channels which make it possible to rely exclusively on electronic files for retrieving information on the movements of the transport units are not always available. For this reason, there is a need to indicate relevant information on the transport units themselves, in addition to their identification.

In order to facilitate the processing and interpretation by trading partners, information provided in the extended label is organized in three segments:

— carrier segment: in addition to the key to the carrier's database, this segment may contain additional data, such as shipment identification and delivery instructions;

— customer segment: in addition to the key to the customer's database, this segment may contain additional data such as the customer part number;

— supplier segment: additional data may be generated by the supplier, such as product identification, batch number, dimensions.

5 Data content

5.1 Data representation

5.1.1 Data in linear bar code symbols

Such data shall be represented in one of the three permissible combinations of data and bar code symbology (also see Annex A):

a) GS1 Application Identifiers (AIs) in accordance with ISO/IEC 15418 shall only be used in conjunction with GS1-128 (being a subset of Code 128 compliant with ISO/IEC 15417);

b) ASC MH10 Data Identifiers (DIs) in accordance with ISO/IEC 15418 shall be used in conjunction with Code 39 symbols compliant with ISO/IEC 16388;

c) ASC MH10 Data Identifiers (DIs) in accordance with ISO/IEC 15418 shall be used in conjunction with Code 128 symbols compliant with ISO/IEC 15417.

Refer to Annex D for information on the use of the options and the issues for users encountering them.

5.1.2 Data in two-dimensional (2D) symbols

Information may also be provided in two-dimensional symbols as mutually agreed upon between trading partners (see Annex B). Data syntax in two-dimensional symbols shall be in accordance with ISO/IEC 15434.

5.1.3 Data in human-readable form

The human-readable interpretation of information presented in linear bar code form should be provided. Some information may be presented in human-readable form only (see 6.3).

5.2 Data elements

5.2.1 Unique transport unit identifier

The unique transport unit identifier assigned by the labeller shall be encoded in a linear bar code symbol, preceded by the appropriate GS1 Application Identifier or ANSI MH10.8.2 Data Identifier.

The structure of the unique transport unit identifier is defined in ISO/IEC 15459-1. The unique transport unit identifier

a) starts with the issuing agency code (IAC), assigned to the issuing agency by the registration authority,

b) conforms to a format specified by the issuing agency,

c) is unique in the sense that no issuer re-issues a number until a sufficient period of time has passed that the first number has ceased to be of significance to any user of this document,

d) contains only numeric and upper case alphabetic characters (not including lower case characters or punctuation marks),

e) does not contain more than 20 characters, including the ANSI MH10.8.2 Data Identifier or GS1 Application Identifier, and

f) does not contain more characters than specified in Table 1.

The unique transport unit identifier shall be assigned to each individual transport unit.

The unique transport unit identifier shall be either

— the serial shipping container code (SSCC) that uses AI "00", represented in GS1-128, or

— the unique transport unit identifier using the ANSI MH10.8.2 Category 10 Data Identifiers for Licence Plates (J-999J) represented in either Code 39 or Code 128 symbologies.

5.2.2 Ship to

The "Ship to" data element refers to the address of the party to which transport units are to be delivered. When used, it shall be represented in a maximum of five lines of human-readable characters comprised of no more than 35 alphanumeric (an..35) characters each. It may also be represented by a number identifying the party in human-readable or in bar code format.

5.2.3 Ship from

The "Ship from" data element refers to the address of the party to which transport units are to be returned, in case the shipment was unable to be delivered. When used, it shall be represented in a maximum of five lines of human-readable characters comprised of no more than 35 alphanumeric (an1...35) characters each. It may also be represented by a number identifying the party in human-readable or in bar code format. The "Ship from" information shall be located in the left, upper-most area or building block of the label (see Annex C for building block information).

5.2.4 Key to carrier's database

The key to the carrier's database should be mutually agreed upon with the carrier. If the unique transport unit identifier described in 5.2.1 does not provide the key to the carrier's database, one or more of the following keys may be used:

— the carrier tracking number that includes class of service;

— the carrier code to identify the shipment;

— the carrier code to identify the transport unit.

This data element may be included within a two-dimensional symbol, a linear bar code symbol or both.

5.2.5 Key to customer's database

The key to the customer's database should be mutually agreed upon with the customer. If the unique transport unit identifier described in 5.2.1 does not provide the key to the customer's database, one or more of the following keys may be used:

— customer's purchase order number;

— part number;

— KANBAN/pull signal number;

— shipment ID.

This data element may be included within a two-dimensional symbol, a linear bar code symbol or both.

5.2.6   Other data elements

As much additional data as required may be included in the extended label to fulfil the needs of the supplier, carrier and customer.

5.3   Concatenating data fields in linear bar code symbols

5.3.1   Using GS1 Application Identifiers (AI)

When several Application Identifiers (AIs) and their data are concatenated into one GS1-128 symbol, each variable length field shall be followed by the FNC1 (Function 1) character, unless it is the last field encoded in the symbol. The FNC1 character used for this purpose assumes a value of ^G_S when transmitted by the decoder.

5.3.2   Using ANSI MH10.8.2 Data Identifiers (DI)

When several DIs and their data are concatenated into one Code 39 or Code 128 symbol, each field shall be followed by a plus symbol, "+", unless it is the last field encoded in the symbol.

Care should be exercised when concatenating data fields in linear symbols since some ANSI MH10.8.2 Data Identifiers prescribe the use of the "+" as an internal data structure syntax. Examples include the ANSI MH10.8.2 Data Identifiers "14K", "19S", and "3W".

5.4   Structured data files

5.4.1   General

Structured data files, such as documentation supporting the handling of the transport units or complete EDI messages, e.g., delivery note, quality certificate and insurance certificate, may be included. High-capacity two-dimensional symbols shall be used to represent this data. Structured data files shall comply with the syntax described in ISO/IEC 15434, or when appropriate, the GS1 General Specifications.

5.4.2   Shipping and receiving data

It is possible to combine all the data from the linear bar codes on the multi-industry transport label into one single two-dimensional symbol to facilitate more efficient data capture. This symbol may also incorporate additional data not represented in the linear bar codes on the transport label (e.g., data represented in text).

The structure and syntax of the encoded message shall conform to ISO/IEC 15434.

5.4.3   Supporting documentation application

The transport of goods often requires supporting documentation to be provided, such as a bill of lading, manifest, packing slip, customs data or information that might be transmitted in EDI or other message formats. Supporting documentation incorporated as an item-attendant two-dimensional symbol on the transport label facilitates more efficient processing of a transport unit because the relevant "documentation" can be scanned.

The structure and syntax of the encoded message shall conform to ISO/IEC 15434.

5.4.4 Carrier sorting and tracking applications

Sortation data to be encoded include any data that are required to route transport units between multiple points, locate transport units and other supporting data which is relevant to sorting and/or tracking for internal and external processing.

The structure and syntax of the encoded message shall conform to ISO/IEC 15434.

5.5 Data area identification

In practice, fully automated communication channels that make it possible to rely exclusively on electronic files for retrieving information on the movements of the transport units are not always available. For this reason, in addition to their identification, there is a need to indicate relevant information on the transport labels themselves.

The various fields can be placed in relevant data areas.

These data areas, whether they contain bar code or human-readable information, shall be identified with the corresponding data area title. The data area title may include the relevant application identifier or data identifier.

    EXAMPLES:

     CUST:
     CUST PART NO:
     (400) CUST P O:
     GLN:
     (J) LICENCE PLATE:
     SHIP FROM:
     SHIP TO:
     SSCC:
     (12K) SCAC and PRO #:
     (Q) QTY:

6 Data carriers

6.1 Linear bar code symbols

Guidelines for using linear bar code symbols are found in <u>Annex A</u>. The linear bar code symbologies shall be one of the following:

— "Code 39" in accordance with ISO/IEC 16388;

— "Code 128" in accordance with ISO/IEC 15417.

NOTE   "GS1-128" is a subset of "Code 128".

6.2 Two-dimensional symbols

If more data than can be accommodated with a linear bar code is required to be encoded on the label in optically readable symbol(s), 2D symbols may be used. This document specifies the use of MaxiCode, PDF417 and QR Code 2D symbologies. This document recommends the use of MaxiCode, PDF417 or QR Code for carrier sortation and tracking applications and PDF417 or QR Code for shipping and receiving applications and for supporting documentation applications. The specific two-dimensional symbol to be used shall be mutually agreed upon between trading partners.

For further information and guidance in the use of 2D symbols, see <u>Annex B</u>.

6.3   Human-readable information

6.3.1   Human-readable interpretation

In order to provide a fall-back key entry and diagnostic, a human-readable interpretation of each linear bar code symbol shall be provided adjacent to the bar code. Such human-readable interpretation shall represent the encoded data. See Figure E.9.

6.3.2   Human translation

In addition to the human-readable interpretation, human translation of linear bar code information may be provided in a separate section of the label. See Figure E.9.

6.3.3   Data area titles

Data areas comprise information in bar code or human-readable form. Data areas shall be identified with the corresponding data area title in human-readable text, which may be prefixed, if relevant, by the appropriate AI or DI (see Figures E.1 and E.2). A data area title is not required when a data area contains

— a single linear bar code symbol concatenating multiple data elements, or

— multiple linear bar code symbols that are intended to be scanned in a single data capture operation, or

— two-dimensional symbols.

6.3.4   Free text and data

Human-readable information that is not a translation of the bar code information may be provided according to the requirements of the trading partners.

6.3.5   Choice of language

6.3.5.1   Applicability

Choice of language is applicable to human translation, data area titles and free text.

6.3.5.2   Domestic shipments

Human-readable information within a single country should be in the national language of that country.

6.3.5.3   Export shipments

Shipments for export should have human-readable information in the language(s) mutually agreed upon between trading partners.

6.3.5.4   Multiple languages

Regulations may require multiple languages on the shipping label.

7   Label design

7.1   General considerations

The linear bar code representing the unique transport unit identifier ("licence plate"), a mandatory element for this document, shall be printed in the lowest area of the label.

Label segments are logical groupings of information based on the data needs of the trading partners within the distribution channel. Three segments are defined: carrier segment, customer segment and supplier segment. Label segments may or may not be printed at the same time on a single physical label. When the size and structure of the transport unit permits, segments shall be stacked vertically, from top to bottom, in the following order:

— carrier segment;

— customer segment;

— supplier segment.

The design of the label is the responsibility of the industry or trading partners concerned and should take account of the following when defining the label segments:

— the size and number of linear bar codes and two-dimensional symbols;

— the size and number of human-readable items of information;

— free text;

— graphics, e.g., safety, hazard, quality signs;

— logos, e.g., supplier logo.

Examples of labels are provided in Annex E. The labels shown in Annex E are for illustration only and do not represent all of the possible choices of label designs. Separate segments of the label may be applied at different stages to form the complete label.

7.2 Layout

7.2.1 Base label layout

In addition to the unique transport unit identifier, a typical base label may include the following data areas:

— "Ship from" address, human-readable;

— "Ship to" address, human-readable;

— "Ship to" postal code or location number, linear bar code;

— carrier shipment tracking number (if required), linear bar code;

— customer purchase order number (if required), linear bar code.

Only linear bar codes shall be used to represent data in a machine-readable form on a base label.

The "Ship to" address shall be located below or to the right of the "Ship from" address. "Ship from" characters shall be noticeably smaller than the "Ship to" characters and the fields shall be easily distinguishable. All international shipments shall conform to this requirement.

For shipments within a single country and where that country has a national standard recommending an alternative label layout, e.g., where "Ship from" address and "Ship to" address are reversed, such alternative label layout may be used with the agreement of the trading partners.

7.2.2 Extended label layout

The extended label comprises more information than the base label. In addition to the information contained in the base label, the extended label may include

— linear bar codes representing other discrete data elements,