



Standard Practice for An Object-Oriented Model for Registration, Admitting, Discharge, and Transfer (RADT) Functions in Computer- Based Patient Record Systems¹

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1. Scope

1.1 This practice is intended to amplify ~~Guide~~Practice E 1239 and to complement ~~Guide~~Practice E 1384 by detailing the objects that make up the reservation, registration, admitting, discharge, and transfer (RADT) functional domain of the computer-based record of care (CPR). As identified in ~~Guide~~Practice E 1239, this domain is seminal to all patient record and ancillary system functions, including messaging functions used in telecommunications. For example, it is applicable to clinical laboratory information management systems, pharmacy information management systems, and radiology, or other image management, information management systems. The object model terminology is used to be compatible with other national and international standards for healthcare data and information systems engineering or telecommunications standards applied to healthcare data or systems. This practice is intended for those familiar with modeling concepts, system design, and implementation. It is not intended for the general computer user or as an initial introduction to the concepts.

2. Referenced Documents

2.1 ASTM Standards:²

E 1238 Specification for Transferring Clinical Observations Between Independent Computer Systems

~~E 1239 Guide for Description of Reservation/Registration-Admission, Discharge, Transfer (RADT) Systems for Automated Patient Care Information Systems²~~ Practice for Description of Reservation/Registration-Admission, Discharge, Transfer (R-ADT) Systems for Electronic Health Record (EHR) Systems

~~E 1384 Guide for Description for Content and Structure of an Automated Primary Record of Care²~~

~~E1633 Specification for Coded Values Used in Computer-Based Patient Record²~~ Practice for Content and Structure of the Electronic Health Record (EHR)

E 1633 Specification for Coded Values Used in the Electronic Health Record

~~E 1639 Guide for Functional Requirements of Clinical Laboratory Information Management Systems~~

~~E 1744 Guide Practice for a-View of Emergency Medical Care in the Computer-Based Patient Electronic Health Record⁰⁸~~

F 1629 Guide for Establishing ~~and/or~~ Operating Emergency Medical Services ~~and~~ Management Information Systems, or Both

2.2 ANSI Standard:

ANSI X3.172 Dictionary of Information Systems³

2.3 IEEE Standard:

IEEE 1157.1 Trial Use Standard for Healthcare Information Interchange—Information Modelling (6 June 1994)⁴

2.4 Other Document:

~~HL-7 v2.2~~ v2.4 Data Communication Standard⁵

¹ This practice is under the jurisdiction of ASTM Committee E-31 on Healthcare Informatics and is the direct responsibility of Subcommittee E31.19 on Computer-Based Patient Record Content and Structure.

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² This practice is under the jurisdiction of ASTM Committee E31 on Healthcare Informatics and is the direct responsibility of Subcommittee E31.25 on Healthcare Data Management, Security, Confidentiality, and Privacy.

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³ For referenced ASTM standards, visit the ASTM website, www.astm.org, or contact ASTM Customer Service at service@astm.org. For *Annual Book of ASTM Standards*, Vol 14.01, volume information, refer to the standard's Document Summary page on the ASTM website.

⁴ Available from American National Standards Institute, 11 W. 42nd St., 13th Floor, New York, NY 10036.

⁵ Available from American National Standards Institute (ANSI), 25 W. 43rd St., 4th Floor, New York, NY 10036, <http://www.ansi.org>.

⁶ Available from IEEE, 445 Hoes Lane, P.O. Box 1331, Piscataway, NJ 08855-1331.

⁷ Available from Institute of Electrical and Electronics Engineers, Inc. (IEEE), 445 Hoes Ln., P.O. Box 1331, Piscataway, NJ 08854-1331, <http://www.ieee.org>.

⁸ Available from Health Level Seven, 900 Victors Way, Suite 122, Ann Arbor, MI 48108.

3. Terminology

~~3.1 General terms are defined in ANSI X3.172~~

~~3.1 Definitions—General terms are defined in accordance with ANSI X3.172.~~

~~3.2 Definitions of Terms Specific to This Standard:~~

~~3.2.1 *functional domain*functional domain, *n*—that area of activity that encompasses a given function. —(HL-7, v2.2 (HL-7, v2.4)~~

~~3.2.2 *health care domain*healthcare domain, *n*—that functional domain encompassing all aspects of the delivery of health care, both preventive and corrective, to patients, and the management of resources enabling that care to be delivered. —(HL-7, v2.2 (HL-7, v2.4)~~

4. Background

4.1 *Object Representation of RADT Processes*—~~Guide~~—Practice E 1239 provides the experiential background of the functions in RADT. These functions are common to all systems that deal with patient data. The minimal essential data elements for RADT were identified and characterized partly in ~~Guide~~Practice E 1239. Table 1 of that guide identifies a logical data structure for the data elements, but it does not relate these elements to constituent “entities” or “objects” in the sense that they are now used in analysis. Entity-relationship modeling is one major technique used (1)⁶ to establish the conceptual “things” and their relationships involved in this overall functional domain. “Objects” (2, 3) is another term for these things, and the object concept involves very specific characteristics associated with a defined object such as encapsulation and inheritance. Common ground exists between entity and object representations of models. However, the object terminology is still evolving into a clearly established dictionary associated with object modeling at the analysis (2), design (3), and implementation (3) levels of information systems engineering.

4.1.1 At the analysis level, which is most relevant to implementation-independent standards creation, the static level is first in importance since it identifies the involved objects and their static characteristics, such as definitions, relationships, and inheritance. Subsequently, the service/messages communication properties constitute the second level of importance, because they specify the dynamics of system behavior. However, messages are more difficult to define since system behavior patterns are more complex. This secondary domain also involves the telecommunications aspects that are the focus of other standards bodies. Because of the distributed and networked architectures of the newest systems, telecommunications may be of prime importance in qualifying the definitions of system behavior identified in ~~Guide~~Practice E 1239. For all of these reasons, it is of special importance to initially establish an object-oriented static model for the RADT functional domain that can be the basis for definitions of healthcare data management and standards setting and serve as a foundation for modeling telecommunications standards.

4.1.2 While this practice was being developed, a joint working group (JWG) on data modeling of the then American National Standards Institute (ANSI) Healthcare Informatics Standards Planning Panel (HISPP), now Health Informatics Standards Board (HISB), began work on a common data model (CDM) for the healthcare information domain. A JWG data modeling convention document (IEEE 1157.1) guides the conventions to be used, and this practice reflects those conventions as they are currently known. It is intended that this practice contribute to establishing the RADT core of the CDM. The exact boundaries of the RADT functional domain have not yet been agreed on formally. The objects included here are those that involve data generally associated with administrative and demographic functions in patient care but that may also be linked with other functional domains involved with health care.

4.2 *Inclusion of Emergency Medical Systems Functions*—This practice also takes note of the recent work of the emergency medical systems (EMS) standards ASTM Subcommittee F30.03.03 on Data Management Systems in defining the pre-hospital and associated emergency room data (Guide F 1629) required for emergency medical service system management. The hospital and emergency room data are a subset of that identified in ~~Guide~~Practice E 1384 and is consistent with the statement of Steen and Dick (4) that EMS data are part of the primary record of care. This concept has already been recognized in several state statutes that are part of the implementation of an injury control plan by the Centers for Disease Control (see ~~Guide~~Practice E 1744). This RADT object model practice extends those data elements already defined in ~~Guide~~Practice E 1384 by associating them with common RADT objects, as defined here, that form the basis for a predictable system behavior for trauma data. The behavior of clinical data will be defined subsequently in following standards.

4.3 *Relationships to Other Systems*—This practice also identifies those objects in the RADT functional domain that are required by clinical laboratory information management systems (CLIMS) (Guide E 1639), radiology information systems (RIS), and other ancillary systems. This model also forms the core for a basic ambulatory record system, and specialized variants, in support of clinical specialties in medicine and dentistry. The object models for these ancillary and specialized ~~computer-based patient~~electronic health record (EHR) systems are defined in other standards that constitute the “family of models” that extend the RADT function.

5. Significance and Use

5.1 *RADT Object Model as a Basis for Communication*—The RADT object model is the first model used to create a common library of consistent entities (objects) and their attributes in the terminology of object analytical models as applied to the healthcare

⁶ The boldface numbers in parentheses refer to the list of references at the end of the standard.



**TABLE 2 1 NData Elmes-of-Objects-Gont Dained-inthatype
RADT-Models**

Object NamType	Standard Tag/ MnemonicClinical Activities	
Care-agreement	CAgrmnt	
Name	Name	
Care-record	RLoc	
location		
Number	Num	
Clinical-order/ service-request	COOrd	
Code	Code	
Clinical-admission order	CADOrd	
Datetime	Dtm	
Clinical-disposition order	CDOrd	
Signature	Sig	
Clinical-transfer order	CTOrd	
Text	Text	
Death-certificate	DCert	
Quantity	DGCert	
Emergency-room admission	ERAdm	
Emergency-room activities	ERAAct	
Emergency-room disposition	ERDisp	
Health-care ambulatory-visit receipt	HCAVRee	
Health-care ambulatory-visit activities	HCAVAct	
Health-care ambulatory-visit disposition	HCAVDisp	
Health-care communication encounter	HCCEnc	
Health-care encounter-activity	HCEAct	
Health-care encounter-followup	HCEFup	
Health-care-facility encounter	HCFEnc	
Health-care-facility encounter activities	HCFEAct	
Health-care-facility encounter disposition	HCFEDisp	
Health-care-facility encounter-receipt	HCFERee	
Health-care registration	HCREg	
Health-care-visit	HCVis	
Inpatient-activities	IAAct	
Inpatient admission	IAdm	
Inpatient-transfer	ITrns	
Inpatient disposition	IDis	
Organ/tissue-donor agreement	Org	
Pre-hospital-run	PREHosp	
Research-study agreement	RSCHAgr	
Scheduled appointment	SCHAppt	
Scheduled-patient appointment	SCHPIAppt	
Scheduled equipment appointment	SCHEQApptScheduled practitioner appointment	SCHPRAppt
Scheduled equipment appointment	SCHEQTScheduled practitioner appointment	SCHPRAppt
Scheduled-site appointment	SCHSITAppt	
Health-care		Facilities

domain. These object models can be used to construct and refine standards relating to ~~health~~health care information and its management. Since the RADT object model underpins the design and implementation of specific systems, it provides the framework for establishing the systematics of managing observations made during health care. The observations recorded during health care not only become the basis for managing an individual's health care by practitioners but are also used for research and resource management. They define the common language for abstracting and codifying observations. The inconsistency and incompleteness of the data recorded in paper records is well known and has been noted by the Institute of Medicine's study (4). The ability to build the recommended ~~CPREHR~~ begins with RADT, as noted in ~~GuidePractice~~ E 1239. A more detailed specification of the RADT process and its specific functional domain shall begin with a formal model. Furthermore, following agreement on the initial model, that model shall evolve as knowledge accumulates and the initial view of the healthcare domain extends to other social and psychologic processes that link healthcare with other functional domains of society. The management of lifelong cases of care, such as those of birth defects in newborns, will involve interactions with social work and educational functional domains of experience. It has been recognized for some time (5) that a "healthcare team," in the broader sense, is involved in dealing with these complex cases. The RADT model is the core to linking these functional domains together in a transparent way. For that reason, the object terminology is used to enable the most global view and vernacular that will facilitate communication among technical specialties that participate in managing some aspect of health care or that build systems to manage the required information.

5.2 *Common Terminology as a Basis for Education*—The use of models and their associated terminology implies that education of the healthcare practitioners shall incorporate this view to a significant extent. While a detailed specification of systems requires extensive lexicons of carefully defined terms, a more understandable terminology shall evolve for the process of educating practitioners during their formal education as well as continuing to educate current practitioners concerning how this new technology can be integrated with their existing practices. This challenge has yet to be met, but the objects and modeling concepts presented here are intended to be named with the most intuitive titles in order to promote clear understanding during their use in instruction. Nevertheless, relating these objects and their properties to everyday practice remains a significant challenge, for both the implementors of systems and educators. The perspectives cataloged here can be used in the creation of system documentation and curricula represented in a variety of media.

6. Graphic Representation

6.1 The graphic representation in ~~Fig. 1~~Figs. 1 of the relationships among the objects depicts the static inheritance properties of the constituent objects. They are exploded in ~~Fig. 2 and Fig. 3~~. These properties and others, such as definitions, are given in ~~tabular form in Section 4~~ of the relationships among the objects depicts the static inheritance properties of the constituent objects. These properties and others, such as definitions, are given in tabular form in Section 7. Graphic depiction provides a more comprehensive overview of the global structure of this functional domain, thus enabling the reader to appreciate all of the parts of the model at a glance. This depiction also aids the reader when probing the specific attributes and other properties of the objects given in the tabular section. There are five object groups/subject areas (2), or subaggregates of objects with certain common characteristics. These relationships are more easily understood graphically. The notation is from Coad and Yourdon (2). Two main concepts are involved. The first, represented by separate lines and arrowheads, is the "is a component of" relationship, which implies the parts of a whole. The second concept, represented by a branching tree, is the "is a special case of" relationship, which implies encapsulation of the special attributes that differentiate the individual characteristics of a more general object. The combination of these two relationships permits all of the complexities in the static interrelationships of the constituent objects comprising the RADT model to be represented. Instance connections are a weaker form of relationship that have not been included in the basic framework for this model. Instance connections show references to master system tables of context-insensitive entities. These same terms appear in the tabular representation. The sequential application of these relationships, visually from the top down in ~~Figs. 1-4~~, depict the inheritance properties since the objects later in the sequence of the relationships inherit the attributes from those earlier in the sequence. These concepts are all explained by Coad and Yourdon (2).

7. Tabular Representation

7.1 Table 1 and Table 2

7.1 Tables 1 and 2 and Annex A1 provide the detailed attributes of the objects and should be compared with Table 1 of ~~Guide~~ E 1239 of Practice E 1239 and Annex A1 of ~~GuidePractice~~ E 1384, which show the integrated logical structure of the computer-based primary record of care. The latest revision of ~~GuidePractice~~ E 1384 associates each data element with an index that uniquely identifies its segment location in Annex A1 and provides a definition and references its representation. Certain data elements with coded values have their value sets, which are also identified in that specification by its specific index contained in ~~GuidePractice~~ E 1384 and point to Specification E 1633. The definitions, mnemonics, and associated attributes of the objects in the RADT object model are given in Table A1.1 of Annex A1 of this practice. The object mnemonics that are used in the construction of standardized short names for the data elements indexed and characterized in ~~GuidePractice~~ E 1384 are given as attributes in this practice. A standardized short name begins with the object mnemonic and ends with a datatype substring given in Table 1. The object mnemonics are given in Table 2. Each substring begins with a sequence of uppercase letters followed by a sequence of lowercase letters. The beginning object mnemonic and ending datatype substrings are required. These characterizations provide the static properties of the RADT object model. The operational global implications of the dynamic

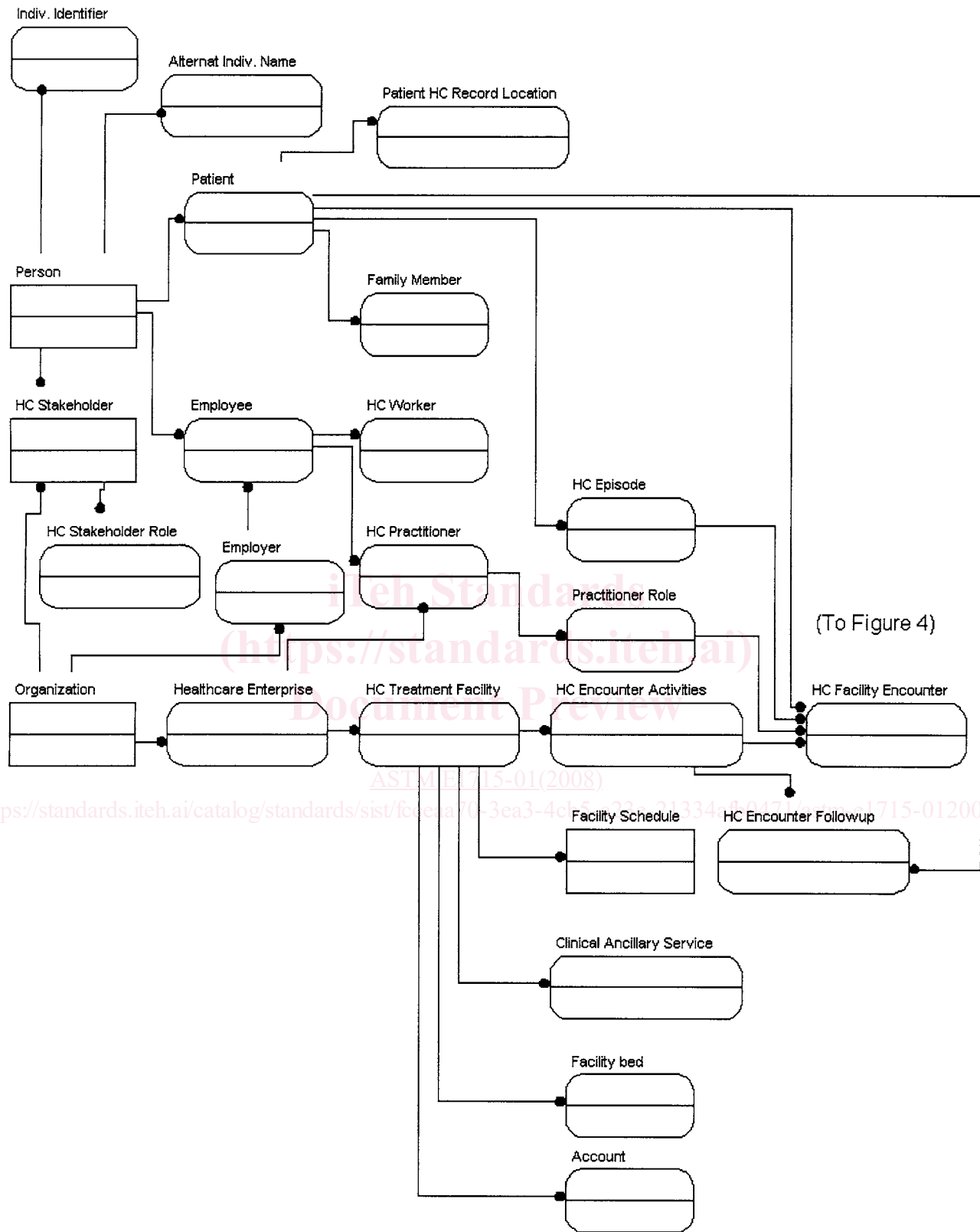


FIG. 1 RADT Object Relationships

properties of the RADT functional domain will be detailed in future versions of Guide Practice E 1239, while the specific attributes comprising messages involving RADT objects will be specified in other standards, such as Specification E 1238, HL-7 v2.2;v2.4, IEEE 1157.1, and others. The interrelationship of the objects defined here to other objects in ancillary or specialized CPREHR systems will be found in the standards focused on those specialty systems, such as Guide E 1639.

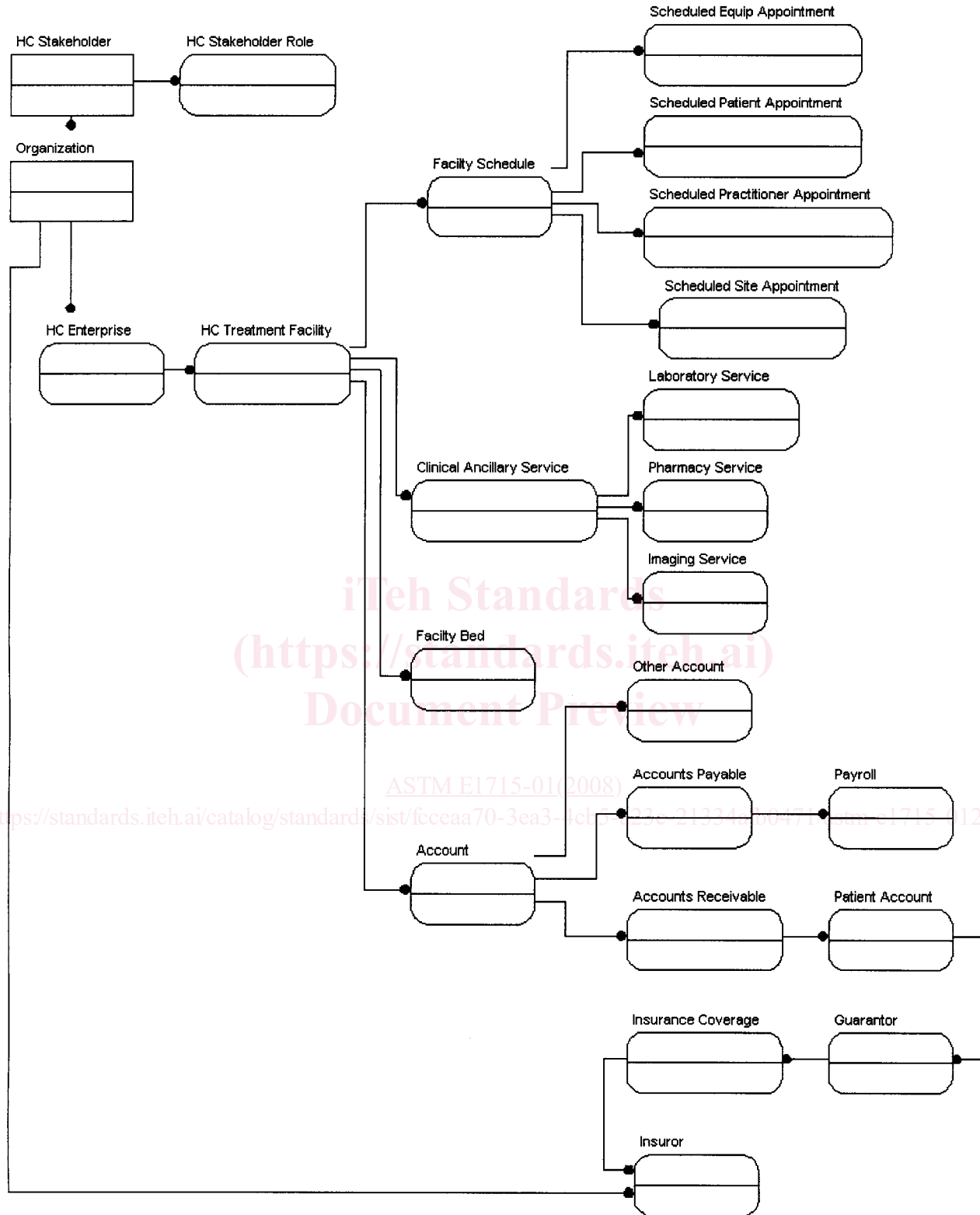


FIG. 2 Fiscal and Facilities Relationships

TABLE 2 Names of Objects Contained in the RADT Model

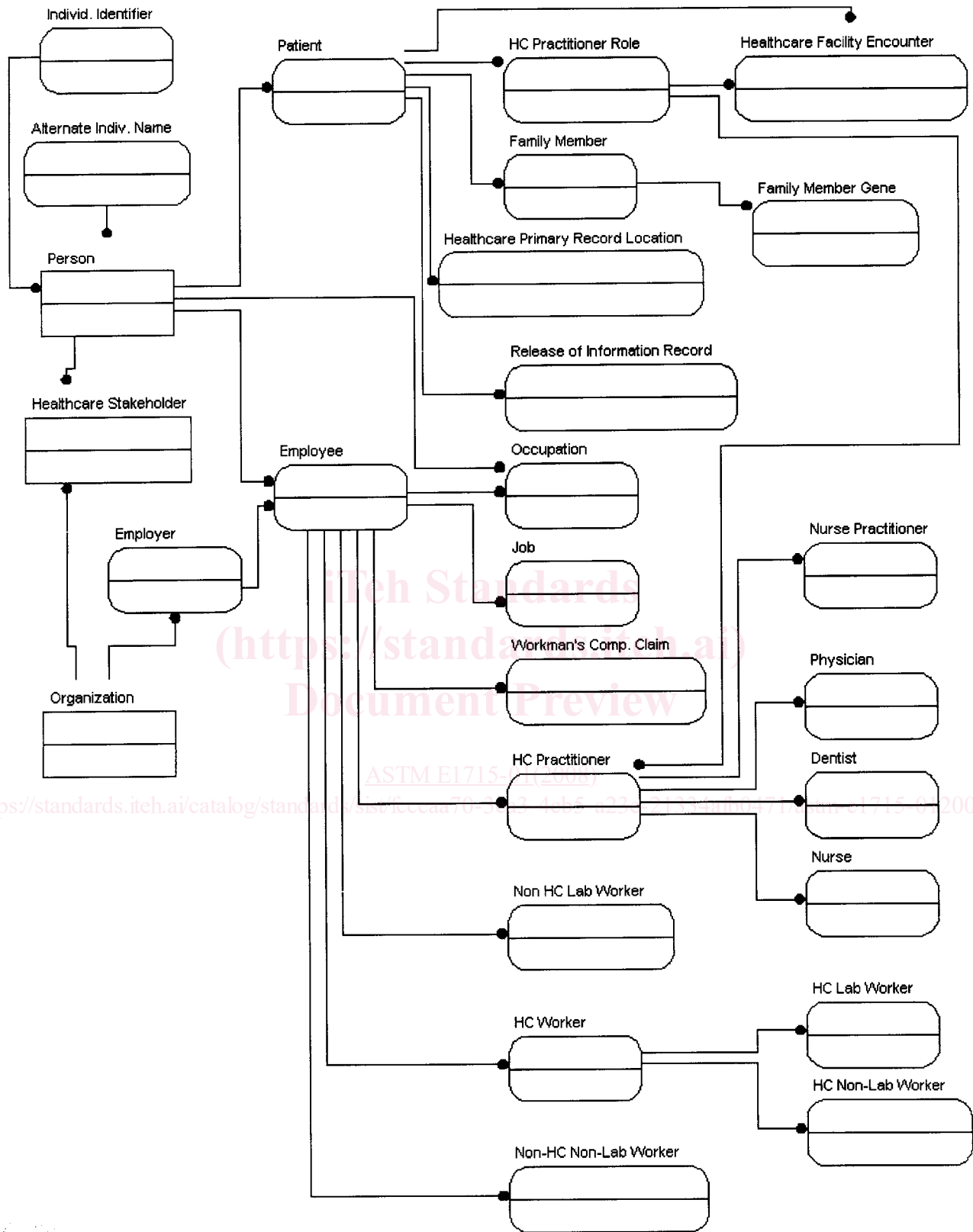


FIG. 3 People and Organization Relationships



FIG. 4 Clinical Activity and Encounter Relationships

TABLE 2 *Continued*

Object Name		Tag/Mnemonic
	<u>Clinical Activities</u>	
Care agreement		Cagmnt
Clinical order/service request		Cord
Clinical order result		Cordres
Clinical admission order		CADOrd
Clinical disposition order		CDOrd
Clinical transfer order		CTOrd
Death certificate		Dcert
Emergency room admission		ERAdm
Emergency room activities		ERAct
Emergency room disposition		ERDisp
Facility schedule		FACSch
Healthcare ambulatory visit receipt		HCAVRec
Healthcare ambulatory visit activities		HCAVAct
Healthcare ambulatory visit disposition		HCAVDisp
Healthcare communication encounter		HCCEnc
Healthcare encounter activities		HCEAct
Healthcare encounter followup		HCEFup
Healthcare episode		HCEpi
Healthcare facility encounter		HCFEnc
Healthcare facility encounter activities		HCFEAct
Healthcare facility encounter consult		HCFECon
Healthcare facility encounter disposition		HCFEDisp
Healthcare facility encounter disposition diagnosis		HCFEDispdiag
Healthcare facility encounter disposition health status		HCFEDispthst
Healthcare facility encounter receipt		HCFERec
Healthcare facility encounter receipt diagnosis		HCFERecdiag
Healthcare facility encounter receipt health status		HCFERechthst
Healthcare facility encounter payment source		HCFEPaysrc
Healthcare facility encounter procedure		HCFEProc
Healthcare encounter followup		HCFoll
Healthcare primary record location		HCPriRecLoc
Healthcare registration		HCREg
Healthcare Registration Change		HCREgCh
Healthcare visit		HCVis
Inpatient activities		Iact
Inpatient admission		IAdm
Inpatient transfer		Itrns
Inpatient disposition		Idis
Inpatient disposition surgeon		Idissurg
Organ/tissue donor agreement		Urg
Pre-hospital run		PREHosp
Pre-hospital run crew action		PREHospcreat
Pre-hospital run equipment		PREHospeq
Pre-hospital run observation		PREHospobs
Research Study agreement		RSCHAgr
Scheduled patient appointment		SCHPIAppt
Scheduled equipment appointment		SCHEQAppt
Scheduled practitioner appointment		SCHPRAppt
Scheduled site appointment		SCHSITAppt
	<u>Facilities</u>	
Healthcare treatment facility		HCTFac
Facility bed		FACBed
Clin/ancillary service		CANSvc
Laboratory organizational service		CLAB
Pharmacy ancillary service		PHARANSvc
Imaging ancillary service		IMANSvc
	<u>Fiscal</u>	
Account		Acc
Account payable		ACCPay
Account receivable		ACCRec
Patient account		ACCPt
Other account		ACCOth
Guarantor		Guar
Healthcare claim		HCCIm
Insurer		Insr
Insurance coverage		INSCov
Workman's compensation claim		WCCIm
	<u>Organization</u>	
Organization		Orgn
Employer/company		Emplr
Healthcare enterprise		HCPrv
Healthcare stakeholder		HCStkh

TABLE 2 *Continued*

Object Name	Tag/Mnemonic
<u>Healthcare stakeholder role</u>	HCStkhRol
	<u>People</u>
<u>Alternate Individual Name</u>	ALTINam
<u>Person</u>	Pers
<u>Employee/worker</u>	EmPLY
<u>Family member</u>	FAMMbr
<u>Patient</u>	Pt
<u>Release of information record</u>	REINRcd
<u>Healthcare practitioner</u>	HCPract
<u>Healthcare practitioner role</u>	HCPractRole
<u>Healthcare practitioner, physician</u>	HCPPhy
<u>Healthcare practitioner, dentist</u>	HCPDent
<u>Healthcare practitioner, nurse</u>	HCPNur
<u>Healthcare practitioner, nurse practitioner</u>	HCPNPr
<u>Individual Identifier</u>	IndIdentifier
<u>Job</u>	Job
<u>Occupation</u>	Occ
<u>Professional specialty</u>	ProfSpec
<u>Worker, healthcare</u>	WkrHC
<u>Worker, healthcare, nonlab</u>	WkrHCNonLab
<u>Worker, healthcare, lab</u>	WkrHCLab
<u>Worker, nonhealthcare, lab</u>	WkrNonHCLab
<u>Worker, nonhealthcare, nonlab</u>	WkrNonHCNonLab

8. Explanation of Subject Areas

8.1 *People Subject Area*—This group of objects characterizes the properties of individuals in the RADT functional domain. The top level in this hierarchy is the person object. The generic attributes of all persons are contained in that object. The employee/worker object is included because it encapsulates attributes of the employee properties of not only patients but also those of workers in health care, including those generally skilled in addition to those skilled in ancillary as well as practitioner skills, in order to deal with the occupational illness and injury of these workers in an entirely consistent fashion. The guarantor status of each recipient is thus dealt with in a consistent manner, whether or not the individual works for the healthcare organization. The employee/worker object also inherits from the “organization” subject area because he/she works for an “employer.” The “occupation” object is a component multiple attribute of person objects because it is context insensitive, but “job” objects relate to the “employee/worker” object since their attributes are a function of the work environment, tasks, and potential hazards.

8.2 *Fiscal Subject Area*—This subject area characterizes the most basic properties of the claims and benefits properties. It is included to contain those data needed by the practitioners in devising treatment plans that are within the means of the individual as well as those data that characterize the resources allocated to the staff. It is an initial framework for understanding the management of resources within health care.

8.3 *Organizational Organization Subject Area*—The objects in this subject area characterize the general properties of organizations and the responsibilities they have for the individuals they employ, including the elected or mandated benefits and workplace health and safety responsibilities. These properties are inherent in healthcare-providing organizations (Healthcare Enterprises), in addition to their clients to whom they provide services. The “organization” object encapsulates the most general attributes of an organization without employees, while the employer function is represented by the employer object. A health-care provider-healthcare enterprise in the facilities subject area characterizes the highest level business functions of a healthcare organization while Healthcare T is at the facility level treatment facilities.

8.4 *Facilities Subject Area*—This subject area is intended to characterize the properties of each facility and healthcare worksite, both from the contribution to resource management as well as support of care to individual patients at the time of clinical encounters. The inheritance of these attributes into the primary record of care still requires study. The common designation of specialty and subspecialty subunits of a healthcare provider (“clinical service”) is dependent on a yet-to-be-agreed-on naming rules convention that would define the special cases and their attendant unique attributes. Some commonality in these designations would aid health service and outcomes research that identify the best recommended services for identified populations and problems.

8.5 *Clinical Activities Subject Area*—This subject area is the important core to all aspects of the primary record of care and the supporting ancillary services. The healthcare facility encounter, and the immediate hierarchy of objects under it that characterize the properties of the range of healthcare settings outlined in GuidePractice E 1384, provides the partitioning of attributes that enable the characterization of all situations of encounter and settings of care. They encapsulate the attributes of such situations and settings that we enumerate currently but do not restrict any further partitioning or identification of new attributes. All encounters have been recognized to have three major phases, with registration review an implicit fourth component and communication situations still assigned provisionally in the hierarchy.

9. Keywords

9.1 computer-based patient record (CPR); (EHR); object modeling; registration, admitting, discharge, and transfer (RADT); reservation

ANNEX

(Mandatory Information)

A1. PROPERTIES OF RADT OBJECTS

A1.1 The definitions, mnemonics, and associated attributes of the objects in the RADT object model are given in Table A1.1.

TABLE A1.1 Properties of RADT Objects

General Subject/Object Group: Clinical Activities	
Care Agreement	Object and Class
02001 02005 02010 02015 02020 02030 02040 02045	<p>Relationships: Is a component of: inpatient admission Inherits from: Inpatient admission Healthcare facility encounter Healthcare facility encounter receipt Patient Person Healthcare facility encounter Healthcare enterprise Organization Healthcare stakeholder</p> <p>A legal document detailing the conditions that the patient consents to care during residency in this facility.</p> <p>Attributes: consent signed/admit agreement patient rights acknowledgment authority for autopsy release of body to morgue consent for videotp/observ directive to physician organ donor type court-ordered care</p>
Clinical Admission Order	<p>Relationships: Is a special case of: clinical order/service request Inherits from: Clinical order/service request Healthcare facil encounter Healthcare facil encounter activities Person Patient Health care encounter activity Healthcare stakeholder Healthcare treatment facility Health care provider Healthcare enterprise Organization</p> <p>This object characterizes the special properties of the action to induct a patient into a resident status in a healthcare treatment facility.</p> <p>Attributes:</p>
Clinical Disposition Order	<p>Relationships: Is a special case of: clinical order/service request Inherits from: Clinical order/service request Person Patient Healthcare facility encounter Healthcare facility encounter activities Healthcare encounter activity Inpatient activities Organization Healthcare provider</p>