
**Information technology — Scalable
compression and coding of
continuous-tone still images —**

**Part 7:
HDR Floating-Point Coding**

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*Technologies de l'information — Compression échelonnée et codage
d'images plates en ton continu —*
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Partie 7: Codage de la virgule flottante en HDR

ISO/IEC 18477-7:2016

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Foreword

ISO (the International Organization for Standardization) and IEC (the International Electrotechnical Commission) form the specialized system for worldwide standardization. National bodies that are members of ISO or IEC participate in the development of International Standards through technical committees established by the respective organization to deal with particular fields of technical activity. ISO and IEC technical committees collaborate in fields of mutual interest. Other international organizations, governmental and non-governmental, in liaison with ISO and IEC, also take part in the work. In the field of information technology, ISO and IEC have established a joint technical committee, ISO/IEC JTC 1.

The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular the different approval criteria needed for the different types of document should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see www.iso.org/directives).

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO and IEC shall not be held responsible for identifying any or all such patent rights. Details of any patent rights identified during the development of the document will be in the Introduction and/or on the ISO list of patent declarations received (see www.iso.org/patents).

Any trade name used in this document is information given for the convenience of users and does not constitute an endorsement.

For an explanation on the meaning of ISO specific terms and expressions related to conformity assessment, as well as information about ISO's adherence to the WTO principles in the Technical Barriers to Trade (TBT) see the following URL: [Foreword - Supplementary information](#)

The committee responsible for this document is ISO/IEC JTC 1, *Information technology, SC 29, Coding of audio, picture, multimedia and hypermedia information*.

ISO/IEC 18477 contains the following parts under the general title *Information technology — Scalable compression and coding of continuous-tone still images*:

- *Part 1: Scalable compression and coding of continuous-tone still images*
- *Part 2: Extensions for high dynamic range images*
- *Part 3: Box file format*
- *Part 6: IDR Integer Coding*
- *Part 7: HDR Floating-Point Coding*
- *Part 8: Lossless and Near-lossless Coding*
- *Part 9: Alpha Channel Coding*

The following parts are to be published:

- *Part 4: Conformance testing*
- *Part 5: Reference software*

Introduction

This part of ISO/IEC 18477 specifies a coded codestream format for storage of continuous-tone high and low dynamic range photographic content. JPEG XT part 6 is a scalable image coding system supporting multiple component images consisting of floating point samples. It is by itself an extension of the coding tools defined in ISO/IEC 18477-1 and the box-based format defined in ISO/IEC 18477-3; the codestream is composed in such a way that legacy applications conforming to Rec. ITU-T T.81 | ISO/IEC 10918-1 are able to reconstruct a lower quality, low dynamic range, eight bits per sample version of the image. This standard low dynamic range image is typically constructed at encoder side by tonemapping from the high dynamic image; while the LDR image is always present, this part of ISO/IEC 18477 does not define a process that generates this image.

Today, the most widely used digital photography format, a minimal implementation of JPEG (specified in Rec. ITU-T T.81 | ISO/IEC 10918-1), uses a bit depth of 8; each of the three channels that together compose an image pixel is represented by 8 bits, providing 256 representable values per channel. If the dynamic range of the input scene is too large, however, an integer sample representation is no longer applicable and sample values need to be specified in floating point. These values typically are, or are proportional to physical radiance values of three primaries. These primaries may be device specific physical colours, or may be the basis of the CIE XYZ colour space.

JPEG XT is primarily designed to provide coded data containing high dynamic range and wide colour gamut content while simultaneously providing eight bits per pixel low dynamic range images using tools defined in ISO 18477-1. The goal is to provide a backwards compatible coding specification that allows legacy applications and existing tool chains to continue to operate on codestreams conforming to this part of ISO/IEC 18477.

JPEG XT has been designed to be backwards compatible to legacy applications while at the same time having a small coding complexity; JPEG XT uses, whenever possible, functional blocks of Rec. ITU-T T.81 | ISO/IEC 10918-1 to extend the functionality of the legacy JPEG Coding System. It is optimized for storage and transmission of high dynamic range and wide colour gamut floating point images while also enabling low-complexity encoder and decoder implementations.

This part of ISO/IEC 18477 is an extension of ISO 18477-1, a compression system for continuous tone digital still images which is backwards compatible with Rec. ITU-T T.81 | ISO/IEC 10918-1. That is, legacy applications conforming to Rec. ITU-T T.81 | ISO/IEC 10918-1 will be able to reconstruct streams generated by an encoder conforming to this part of ISO/IEC 18477, though will possibly not be able to reconstruct such streams in full dynamic range, full quality or other features defined in this part of ISO/IEC 18477.

This part of ISO/IEC 18477 is itself based on ISO/IEC 18477-3 which defines a box-based file format similar to other JPEG standards. The aim of this part of ISO/IEC 18477 is to provide a migration path for legacy applications to support, potentially in a limited way, lossless coding and coding of high dynamic range images consisting of samples represented in floating point. Existing tools depending on the existing standards will continue to work, but will only be able to reconstruct a lossy and/or a low dynamic range version of the image contained in the codestream. This part of ISO/IEC 18477 specifies a coded file format, referred to as JPEG XT, which is designed primarily for storage and interchange of continuous-tone photographic content.

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Information technology — Scalable compression and coding of continuous-tone still images —

Part 7: HDR Floating-Point Coding

1 Scope

This part of ISO/IEC 18477 specifies a coding format, referred to as JPEG XT, which is designed primarily for continuous-tone photographic content.

2 Normative references

The following documents, in whole or in part, are normatively referenced in this document and are indispensable for its application. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO/IEC 18477-1:2015, *Information technology — Scalable compression and coding of continuous-tone still images — Part 1: Scalable compression and coding of continuous-tone still images*

ISO/IEC 18477-2, *Information technology — Scalable compression and coding of continuous-tone still images — Part 2: Extensions for high dynamic range images*

ISO/IEC 18477-3:2015, *Information technology — Scalable compression and coding of continuous-tone still images — Part 3: Box-Based File Format*

ISO/IEC 18477-6:2016, *Information technology — Scalable compression and coding of continuous-tone still images — Part 6: Coding of Intermediate Dynamic Range Images*

ISO/IEC/IEEE 60559, *Information technology — Microprocessor Systems — Floating-Point arithmetic*

Rec. ITU-T T.81 | ISO/IEC 10918-1:1994, *Information technology — Digital Compression and Coding of Continuous Tone Still Images — Requirements and Guidelines*

Rec. ITU-T BT.601, *Studio encoding parameters of digital television for standard 4:3 and wide screen 16:9 aspect ratios*

3 Terms, definitions, symbols and abbreviated terms

3.1 Terms and definitions

For the purposes of this document, the following terms and definitions apply.

3.1.1

ASCII encoding

encoding of text characters and text strings according to ISO/IEC 10646

3.1.2

base decoding path

process of decoding legacy codestream and refinement data to the base image, jointly with all further steps until residual data is added to the values obtained from the residual codestream

3.1.3

base image

collection of sample values obtained by entropy decoding the DCT coefficients of the legacy codestream and the refinement codestream, and inversely DCT transforming them jointly

3.1.4

binary decision

choice between two alternatives

3.1.5

bitstream

partially encoded or decoded sequence of bits comprising an entropy-coded segment

3.1.6

block

8 × 8 array of samples or an 8 × 8 array of DCT coefficient values of one component

3.1.7

box

structured collection of data describing the image or the image decoding process embedded into one or multiple APP₁₁ marker segments

Note 1 to entry: See ISO/IEC 18477-3:2015, Annex B for definition of boxes.

3.1.8

byte

group of 8 bits

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3.1.9

coder

embodiment of a coding process

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3.1.10

coding

encoding or decoding

3.1.11

coding model

procedure used to convert input data into symbols to be coded

3.1.12

(coding) process

general term for referring to an encoding process, a decoding process, or both

3.1.13

compression

reduction in the number of bits used to represent source image data

3.1.14

component

two-dimensional array of samples having the same designation in the output or display device

Note 1 to entry: An image typically consists of several components, e.g. red, green and blue.

3.1.15

continuous-tone image

image whose components have more than one bit per sample

3.1.16

data unit

8 × 8 block of samples of one component in DCT-based processes; a sample in lossless processes

3.1.17**decoder**

embodiment of a decoding process

3.1.18**decoding process**

process which takes as its input compressed image data and outputs a continuous-tone image

3.1.19**dequantization**

inverse procedure to quantization by which the decoder recovers a representation of the DCT coefficients

3.1.20**discrete cosine transform****DCT**

either the forward discrete cosine transform or the inverse discrete cosine transform

3.1.21**downsampling**

procedure by which the spatial resolution of a component is reduced

3.1.22**encoder**

embodiment of an encoding process

3.1.23**encoding process**

process which takes as its input a continuous-tone image and outputs compressed image data

3.1.24**entropy-coded (data) segment**

independently decodable sequence of entropy encoded bytes of compressed image data

3.1.25**entropy decoder**

embodiment of an entropy decoding procedure

3.1.26**entropy decoding**

lossless procedure which recovers the sequence of symbols from the sequence of bits produced by the entropy encoder

3.1.27**entropy encoder**

embodiment of an entropy encoding procedure

3.1.28**entropy encoding**

lossless procedure which converts a sequence of input symbols into a sequence of bits such that the average number of bits per symbol approaches the entropy of the input symbols

3.1.29**grayscale image**

continuous-tone image that has only one component

3.1.30**high dynamic range**

image or image data comprised of samples using a floating point representation

3.1.31

Huffman decoder

embodiment of a Huffman decoding procedure

3.1.32

Huffman decoding

entropy decoding procedure which recovers the symbol from each variable length code produced by the Huffman encoder

3.1.33

Huffman encoder

embodiment of a Huffman encoding procedure

3.1.34

Huffman encoding

entropy encoding procedure which assigns a variable length code to each input symbol

3.1.35

joint photographic experts group

JPEG

informal name of the committee which created this International Standard

Note 1 to entry: The “joint” comes from the ITU-T and ISO/IEC collaboration.

3.1.36

legacy codestream

collection of markers and syntax elements defined by Rec. ITU-T T.81 | ISO/IEC 10918-1 bare any syntax elements defined by the family ISO/IEC 18477 standards, i.e. the legacy codestream consists of the collection of all markers except those APP₁₁ markers that describe JPEG XT boxes by the syntax defined in [Annex A](#)

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3.1.37

legacy decoder

embodiment of a decoding process conforming to Rec. ITU-T T.81 | ISO/IEC 10918-1, confined to the lossy DCT process and the baseline, sequential or progressive modes, decoding at most four components to eight bits per component

3.1.38

lossless

descriptive term for encoding and decoding processes and procedures in which the output of the decoding procedure(s) is identical to the input to the encoding procedure(s)

3.1.39

lossless coding

mode of operation which refers to any one of the coding processes defined in this part of ISO/IEC 18477 in which all of the procedures are lossless

Note 1 to entry: See ISO/IEC 18477-8.

3.1.40

lossy

descriptive term for encoding and decoding processes which are not lossless

3.1.41

low dynamic range

image or image data comprised of data with no more than eight bits per sample

3.1.42

marker

two-byte code in which the first byte is hexadecimal FF and the second byte is a value between 1 and hexadecimal FE

3.1.43**marker segment**

marker together with its associated set of parameters

3.1.44**pixel**

collection of sample values in the spatial image domain having all the same sample coordinates, e.g. a pixel may consist of three samples describing its red, green and blue value

3.1.45**precision**

number of bits allocated to a particular sample or DCT coefficient

3.1.46**procedure**

set of steps which accomplishes one of the tasks which comprise an encoding or decoding process

3.1.47**quantization value**

integer value used in the quantization procedure

3.1.48**quantize**

act of performing the quantization procedure for a DCT coefficient

3.1.49**residual decoding path**

collection of operations applied to the entropy coded data contained in the residual data box and residual refinement scan boxes up to the point where this data is merged with the base image to form the final output image

3.1.50**residual image**

sample values as reconstructed by inverse quantization and inverse DCT transformation applied to the entropy-decoded coefficients described by the residual scan and residual refinement scans

3.1.51**residual scan**

additional pass over the image data invisible to legacy decoders which provides additive and/or multiplicative correction data of the legacy scans to allow reproduction of high dynamic range or wide colour gamut data

3.1.52**refinement scan**

additional pass over the image data invisible to legacy decoders which provides additional least significant bits to extend the precision of the DCT transformed coefficients

3.1.53**sample**

one element in the two-dimensional image array which comprises a component

3.1.54**sample grid**

common coordinate system for all samples of an image

Note 1 to entry: The samples at the top left edge of the image have the coordinates (0,0), the first coordinate increases towards the right, the second towards the bottom.

3.1.55**scan**

single pass through the data for one or more of the components in an image

3.1.56

scan header

marker segment that contains a start-of-scan marker and associated scan parameters that are coded at the beginning of a scan

3.1.57

table specification data

coded representation from which the tables used in the encoder and decoder are generated and their destinations specified

3.1.58

(uniform) quantization

procedure by which DCT coefficients are linearly scaled in order to achieve compression

3.1.59

upsampling

procedure by which the spatial resolution of a component is increased

3.1.60

vertical sampling factor

relative number of vertical data units of a particular component with respect to the number of vertical data units in the other components in the frame

3.1.61

zero byte

0x00 byte

3.1.62

zig-zag sequence

specific sequential ordering of the DCT coefficients from (approximately) lowest spatial frequency to highest

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3.2 Symbols

X width of the sample grid in positions

Y height of the sample grid in positions

N_f number of components in an image

s_{i,x} subsampling factor of component i in horizontal direction

s_{i,y} subsampling factor of component i in vertical direction

H_i subsampling indicator of component i in the frame header

V_i subsampling indicator of component i in the frame header

v_{x,y} sample value at the sample grid position x,y

R_h additional number of dct coefficient bits represented by refinement scans in the legacy decoding path, 8 + R_h is the number of non-fractional bits (i.e. bits in front of the "binary dot") of the output of the inverse dct process in the legacy decoding path

R_r additional number of dct coefficient bits represented by refinement scans in the residual decoding path. p + R_r is the number of non-fractional bits of the output of the inverse dct process in the residual decoding path, where p is the frame-precision of the residual image as recorded in the frame header of the residual codestream

R_b additional bits in the hdr image. 8 + R_b is the sample precision of the reconstructed hdr image

3.3 Abbreviations

ASCII	American Standard Code for Information Interchange
LSB	Least Significant Bit
MSB	Most Significant Bit
HDR	High Dynamic Range
LDR	Low Dynamic Range
TMO	Tone Mapping Operator
DCT	Discrete Cosine Transformation

4 Conventions

4.1 Conformance language

This part of ISO/IEC 18477 consists of normative and informative text.

Normative text is that text which expresses mandatory requirements. The word “shall” is used to express mandatory requirements strictly to be followed in order to conform to this part of ISO/IEC 18477 and from which no deviation is permitted. A conforming implementation is one that fulfils all mandatory requirements.

Informative text is text that is potentially helpful to the user, but not indispensable and can be removed, changed or added editorially without affecting interoperability. All text in this part of ISO/IEC 18477 is normative, with the following exceptions: the Introduction, any parts of the text that are explicitly labelled as “informative”, and statements appearing with the preamble “NOTE” and behaviour described using the word “should”. The word “should” is used to describe behaviour that is encouraged but is not required for conformance to this part of ISO/IEC 18477.

The keywords “may” and “need not” indicate a course of action that is permissible in a conforming implementation.

The keyword “reserved” indicates a provision that is not specified at this time, shall not be used, and may be specified in the future. The keyword “forbidden” indicates “reserved” and in addition indicates that the provision will never be specified in the future.

4.2 Operators

NOTE Many of the operators used in this part of ISO/IEC 18477 are similar to those used in the C programming language.

4.2.1 Arithmetic operators

- + addition
- subtraction (as a binary operator) or negation (as a unary prefix operator)
- * multiplication
- / division without truncation or rounding

4.2.2 Logical operators

- || Logical OR
- && Logical AND
- ! Logical NOT
- ∈ $x \in \{A, B\}$ is defined as $(x == A || x == B)$
- ∉ $x \notin \{A, B\}$ is defined as $(x != A \&\& x != B)$

4.2.3 Relational operators

- > greater than
- >= greater than or equal to
- < less than
- <= less than or equal to
- == equal to
- != not equal to

4.2.4 Precedence order of operators

Operators are listed below in descending order of precedence. If several operators appear in the same line, they have equal precedence. When several operators of equal precedence appear at the same level in an expression, evaluation proceeds according to the associativity of the operator either from right to left or from left to right.

Operators	Type of operation	Associativity
() , [] , .	Expression	Left to Right
-	Unary negation	
*, /	Multiplication	Left to Right
+, -	Addition and Subtraction	Left to Right
< , > , <= , >=	Relational	Left to Right

4.2.5 Mathematical functions

- $\lceil x \rceil$ Ceil of x. Returns the smallest integer that is greater than or equal to x.
- $\lfloor x \rfloor$ Floor of x. Returns the largest integer that is lesser than or equal to x.
- $|x|$ Absolute value, is $-x$ for $x < 0$; otherwise, x
- $\text{sign}(x)$ Sign of x, 0 if x is zero, +1 if x is positive, -1 if x is negative.
- $\text{clamp}(x, \text{min}, \text{max})$ Clamps x to the range [min, max]: returns min if $x < \text{min}$, max if $x > \text{max}$ or otherwise x.

x^a	Raises the value of x to the power of a . x is a non-negative real number, a is a real number. x^a is equal to $\exp(a \times \log(x))$ where \exp is the exponential function and $\log()$ the natural logarithm. If x is 0 and a is positive, x^a is defined to be 0.
$\log(x)$	Natural logarithm to the base of e . $\log(x)$ is undefined for non-positive values of x .
$\exp(x)$	The exponential function of x . $\exp(x)$ equals e^x where e is the Euler number. Furthermore, $\exp(\log(x)) = x$ for all positive numbers x .

5 General

The purpose of this Clause is to give an informative overview of the elements specified in this part of ISO/IEC 18477. Another purpose is to introduce many of the terms which are defined in [Clause 3](#). These terms are printed in *italics* upon first usage in this Clause.

The following are three elements specified in this part of ISO/IEC 18477.

- An *encoder* is an embodiment of an *encoding process*. An encoder takes as input *digital source image data* and *encoder specifications* and by means of a specified set of *procedures*, generates as output *codestream*.
- A *decoder* is an embodiment of a *decoding process*. A decoder takes as input a *codestream* and by means of a specified set of *procedures*, generates as output *digital reconstructed image data*.
- The *codestream* is a compressed image data representation which includes all necessary data to allow a (full or approximate) reconstruction of the sample values of a digital image. Additional data might be required that define the interpretation of the sample data, such as colour space or the spatial dimensions of the samples.

5.1 High level overview on JPEG XT ISO 18477-7 (informative)

This part of ISO/IEC 18477 allows lossy coding of high dynamic range of photographic images in a way that is backwards compatible to Rec. ITU-T T.81 | ISO/IEC 10918-1. Decoders compliant to the latter standard will be able to parse codestreams conforming to this part of ISO/IEC 18477 correctly, albeit only in 8-bit sample precision with a limited dynamic range and potentially a limited colour gamut.

This part of ISO/IEC 18477 includes multiple tools to reach the above functionality, defined in [Annex B](#) and on. A short overview on these coding tools will be given in this subclause; an in-depth specification of the algorithm is given in [Annex A](#).

The syntax of an ISO/IEC 18477-7 compliant codestream is specified in ISO/IEC 18477-3, that is, this part of ISO/IEC 18477 uses a syntax element denoted as “box” to annotate its syntactical elements. The definition of the box syntax element is not repeated here, and readers are referred to ISO/IEC 18477-3 for further details. Additional boxes besides those already specified in ISO/IEC 18477-3 are defined in ISO/IEC 18477-6:2016, Annex B and in [Annex B](#). In addition, ISO/IEC 18477-6 defines coding tools such as **Refinement Coding**, specified in ISO/IEC 18477-6:2016, Annex D and the **residual codestream** carried by the Residual Data box.

The **Refinement Scan**, specified in ISO/IEC 18477-6:2016, Annex D, increases the bit precision of the DCT coefficients, i.e. it operates in the DCT domain. The mechanism used here is very similar to that of Subsequent Approximation Scans specified in Rec. ITU-T T.81 | ISO/IEC 10918-1:1994, Annex G. A legacy baseline, extended or progressive Huffman scan as defined in Annex G of the legacy standard defines the 12 most significant bits of the DCT coefficients. These initial scans are represented in the legacy codestream and are visible for any ISO/IEC 18477-1 compliant decoder. Refinement Scans decode now into up to four additional least significant bits in the same way subsequent approximation within Rec. ITU-T T.81 | ISO/IEC 10918-1 decode least significant bits of a progressive scan pattern. The difference between Refinement Scans and Subsequent Approximation Scans is only that in the latter case, the number of least significant bits is annotated in the scan header of the legacy codestream whereas Refinement Scans are hidden from legacy applications and do not alter the scan header of the