

ETSI TS 131 111 V17.6.0 (2023-01)



**Digital cellular telecommunications system (Phase 2+) (GSM);
Universal Mobile Telecommunications System (UMTS);
(standards.iteh.ai)
LTE; 5G;
Universal Subscriber Identity Module (USIM)
Application Toolkit (USAT)
(3GPP TS 31.111 version 17.6.0 Release 17)**



Reference

RTS/TSGC-0631111vh60

Keywords

5G,GSM,LTE,UMTS

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Association à but non lucratif enregistrée à la
Sous-Préfecture de Grasse (06) N° w061004871

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Foreword

This Technical Specification has been produced by the 3rd Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

where:

- x the first digit:
 - 1 presented to TSG for information;
 - 2 presented to TSG for approval;
 - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

In the present document, modal verbs have the following meanings:

shall indicates a mandatory requirement to do something

shall not indicates an interdiction (prohibition) to do something

The constructions "shall" and "shall not" are confined to the context of normative provisions, and do not appear in Technical Reports.

The constructions "must" and "must not" are not used as substitutes for "shall" and "shall not". Their use is avoided insofar as possible, and they are not used in a normative context except in a direct citation from an external, referenced, non-3GPP document, or so as to maintain continuity of style when extending or modifying the provisions of such a referenced document.

should indicates a recommendation to do something

should not indicates a recommendation not to do something

may indicates permission to do something

need not indicates permission not to do something

The construction "may not" is ambiguous and is not used in normative elements. The unambiguous constructions "might not" or "shall not" are used instead, depending upon the meaning intended.

can indicates that something is possible

cannot indicates that something is impossible

The constructions "can" and "cannot" are not substitutes for "may" and "need not".

will indicates that something is certain or expected to happen as a result of action taken by an agency the behaviour of which is outside the scope of the present document

will not indicates that something is certain or expected not to happen as a result of action taken by an agency the behaviour of which is outside the scope of the present document

might indicates a likelihood that something will happen as a result of action taken by some agency the behaviour of which is outside the scope of the present document

might not indicates a likelihood that something will not happen as a result of action taken by some agency the behaviour of which is outside the scope of the present document

In addition:

is (or any other verb in the indicative mood) indicates a statement of fact

is not (or any other negative verb in the indicative mood) indicates a statement of fact

The constructions "is" and "is not" do not indicate requirements.

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1 Scope

The present document defines the interface between the UICC and the Mobile Equipment (ME), and mandatory ME procedures, specifically for "USIM Application Toolkit".

The present document refers in its majority to the ETSI TS 102 223 [32], which describes the generic aspects of application toolkits within the UICC.

USAT is a set of commands and procedures for use during 3GPP network operation phase, in addition to those defined in TS 31.101 [13].

Specifying the interface is to ensure interoperability between a UICC and an ME independently of the respective manufacturers and operators.

The present document defines for:

- the commands;
- the application protocol;
- the mandatory requirements on the UICC and ME for each procedure.

The present document does not specify any aspects related to the administrative management phase. Any internal technical realization of either the UICC or the ME are only specified where these reflect over the interface. The present document does not specify any of the security algorithms which may be used.

For the avoidance of doubt, references to clauses of ETSI TS 102 223 [32] include all the clauses of that clause, unless specifically mentioned.

The target specification ETSI TS 102 223 [32] contains material that is outside of the scope of 3GPP requirements and the present document indicates which parts are in the scope and which are not.

A 3GPP ME may support functionality that is not required by 3GPP, but the requirements to do so are outside of the scope of 3GPP.

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2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document in the same Release as the present document.

- [1] 3GPP TS 22.002: "Circuit Bearer Services (BS) supported by a Public Land Mobile Network (PLMN)".
- [2] 3GPP TS 22.030: "Man-Machine Interface (MMI) of the User Equipment (UE)".
- [3] 3GPP TS 22.042: "Network Identity and Time Zone (NITZ); Service description; Stage 1".
- [4] 3GPP TS 23.038: "Alphabets and language-specific information".
- [5] 3GPP TS 23.040: "Technical realization of the Short Message Service (SMS)".
- [6] 3GPP TS 23.041: "Technical realization of Cell Broadcast Service (CBS)".
- [7] 3GPP TS 23.122: "Non-Access Stratum functions related to Mobile Station (MS) in idle mode".