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**Optics and photonics — Optical  
materials and components — Test  
method for homogeneity of infrared  
optical materials**

*Optique et photonique — Matériaux et composants optiques —  
Méthodes d'essai pour déterminer l'homogénéité des matériaux  
optiques infrarouges*

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## Foreword

ISO (the International Organization for Standardization) is a worldwide federation of national standards bodies (ISO member bodies). The work of preparing International Standards is normally carried out through ISO technical committees. Each member body interested in a subject for which a technical committee has been established has the right to be represented on that committee. International organizations, governmental and non-governmental, in liaison with ISO, also take part in the work. ISO collaborates closely with the International Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular the different approval criteria needed for the different types of ISO documents should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see [www.iso.org/directives](http://www.iso.org/directives)).

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO shall not be held responsible for identifying any or all such patent rights. Details of any patent rights identified during the development of the document will be in the Introduction and/or on the ISO list of patent declarations received (see [www.iso.org/patents](http://www.iso.org/patents)).

Any trade name used in this document is information given for the convenience of users and does not constitute an endorsement.

For an explanation on the voluntary nature of standards, the meaning of ISO specific terms and expressions related to conformity assessment, as well as information about ISO's adherence to the World Trade Organization (WTO) principles in the Technical Barriers to Trade (TBT) see the following URL: [www.iso.org/iso/foreword.html](http://www.iso.org/iso/foreword.html). (standards.iteh.ai)

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# Optics and photonics — Optical materials and components — Test method for homogeneity of infrared optical materials

## 1 Scope

This document specifies the principle, apparatus, condition, sample, procedure and data processing of measuring homogeneity of infrared optical materials.

It is applicable to the determination of homogeneity of infrared optical materials, such as infrared optical glass, infrared crystals and infrared ceramics, which are opaque to visible wavelengths and whose transmission optical spectra are beyond 0,78  $\mu\text{m}$ .

## 2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO 10110-7, *Optics and photonics — Preparation of drawings for optical elements and systems — Part 7: Surface imperfections*

ISO 10110-8, *Optics and photonics — Preparation of drawings for optical elements and systems — Part 8: Surface texture; roughness and waviness*

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## 3 Terms and definitions

For the purposes of this document, the following terms and definitions apply.

ISO and IEC maintain terminological databases for use in standardization at the following addresses:

- ISO Online browsing platform: available at <https://www.iso.org/obp>
- IEC Electropedia: available at <http://www.electropedia.org/>

### 3.1

#### peak-to-valley value of refractive index

##### PV value

$\Delta n_{PV}$

difference between the maximum and the minimum values of refractive index distribution of an infrared optical material in its cross-sectional area of the definition area

### 3.2

#### standard deviation value of refractive index

$\Delta n_{STD}$

value which is expressed with the square root of the sum of the squares of the differences of both the distribution values and the average value of refractive index of an infrared optical material divided by the sampling number of the distribution

### 3.3

#### homogeneity

gradual variation of the refractive index distribution within an optical element in the prescribed direction (mostly perpendicular to optical path) and within the prescribed cross-section

### 3.4 homogeneity value

level of inconsistency of the refractive index distribution of an infrared optical material in the prescribed direction (mostly perpendicular to optical path) and within the prescribed cross-sectional area and per unit thickness of infrared optical materials, which is expressed in the PV value and the *standard deviation value of refractive index* (3.2)

## 4 Principle

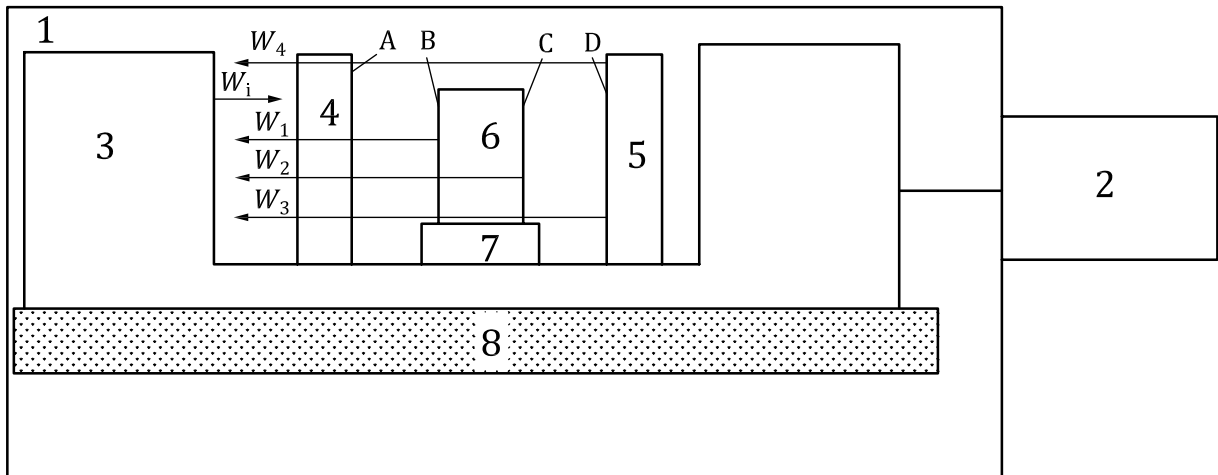
For a wedge-shaped sample, measure with a digitalized infrared interferometer the wavefront interferograms of the front surface and the rear surface of the sample. Then measure the interferogram of the wavefront going forth and back through the sample in a double pass configuration. Repeat the double pass measurement without the sample in the measurement. Calculate the PV value and the standard deviation value of infrared refractive index (simply called four-step method).

For a plane parallel plate sample, separately measure the wavefront interferograms in a double pass configuration with and without the sample in the test cavity. Calculate the PV value and the standard deviation value of infrared refractive index (simply called two-step method). The schematic diagram of the measurement of homogeneity is shown in [Figure 1](#).

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### Key

- $W_i$  wavefront of the incident light, in  $\mu\text{m}$
- $W_1$  wavefront error of interferogram resulting from interference between the wavefront reflected by the flat surface A and the wavefront reflected by the front surface B of the sample, in  $\mu\text{m}$
- $W_2$  wavefront error of interferogram resulting from interference between the wavefront reflected by the flat surface A and the wavefront reflected by the rear surface C of the sample, in  $\mu\text{m}$
- $W_3$  wavefront error of interferogram resulting from interference between the wavefront reflected by the flat surface A and the wavefront transmitted through the sample and reflected back from standard mirror D and then transmitted through the sample again, in  $\mu\text{m}$
- $W_4$  wavefront error of interferogram resulting from interference between the wavefront reflected by the flat surface A and the wavefront reflected back from standard mirror D in absence of the sample (so-called empty cavity measurement), in  $\mu\text{m}$
- 1 thermostatic chamber
- 2 interferogram analysis device
- 3 infrared interferometer (including an image sensor)
- 4 infrared reference flat
- 5 standard mirror
- 6 sample
- 7 precise adjustment stage
- 8 vibration isolation platform

**Figure 1 — Schematic diagram of the measurement of infrared material homogeneity**

## 5 Apparatus

### 5.1 Apparatus arrangement

The measurement apparatus, which consists of an infrared interferometer, an infrared reference flat, precision adjustment stage, a standard mirror and an interferogram analysis device to collect data, to process data and to display data are arranged according to [Figure 1](#). The apparatus shall be placed onto a vibration isolation platform. Examples of infrared interferometers are given in [Annex A](#). The infrared interferometers may include various kinds of phase-shifting methods which can guarantee measurement accuracy, such as piezoelectrical ceramic phase-shifting or Fourier transform phase-shifting.

## 5.2 Optical module of interferometer

The radiation spectrum of interferometer should be within the spectral range of transmission of optical materials. Generally, this should be a DL (Diode Laser) light source with an output wavelength of 1,55  $\mu\text{m}$ , a He-Ne laser light source with an output wavelength of 3,39  $\mu\text{m}$ , a CO<sub>2</sub> laser light source with an output wavelength of 10,6  $\mu\text{m}$  or QCLs (Quantum Cascade Lasers). The aperture of the output beam should be more than that of the sample. Alternatively use additional optics to change the diameter of the output optical beam or employ a sufficient aperture stitching algorithm for sub-aperture measurements.

## 5.3 Reference flat

The surface formation deviation should not be more than 0,05  $\lambda$  ( $\lambda = 0,633 \mu\text{m}$ ) and its aperture should generally be more than that of the sample.

## 5.4 Standard mirror

The surface formation deviation should not be more than 0,05  $\lambda$  ( $\lambda = 0,633 \mu\text{m}$ ) and its aperture should be more than that of the sample.

## 5.5 Image sensor

The working wavelength band of an infrared image sensor should be within the transmission spectrum of the sample measurement. The corresponding object spatial resolution of the image sensor should be high enough to guarantee the measurement accuracy.

## 5.6 Computer data collecting, processing and displaying system

The computer data collecting, processing and displaying system should have a software that is able to collect the interferograms of the measurement wavefronts and calculate the PV value and the standard deviation value of refractive index.

## 5.7 Thickness measurement equipment

The thickness measurement equipment shall have an uncertainty not more than 0,01 mm.

# 6 Test conditions

## 6.1 Temperature

The environmental temperature of the measurement should be 22 °C  $\pm$  5 °C, with the temperature tolerance being not more than  $\pm 0,2$  °C. See [Annex B](#).

## 6.2 Relative humidity

The environmental relative humidity of the measurement should not be more than 70 %.

## 6.3 Vibration isolation

The vibration isolation device to be used shall be capable of eliminating the effect of the vibration from the outside to the interferometer and the sample. It should be provided for performing high accuracy measurements. It is recommended as the technical parameters of the vibration isolation device that the vibration isolation frequency of the device should be less than 1,2 Hz –2,0 Hz in the horizontal direction, 1,2 Hz –2,0 Hz in the vertical direction and its amplitude should be less than 1,2  $\mu\text{m}$ .



## 6.4 Airflow

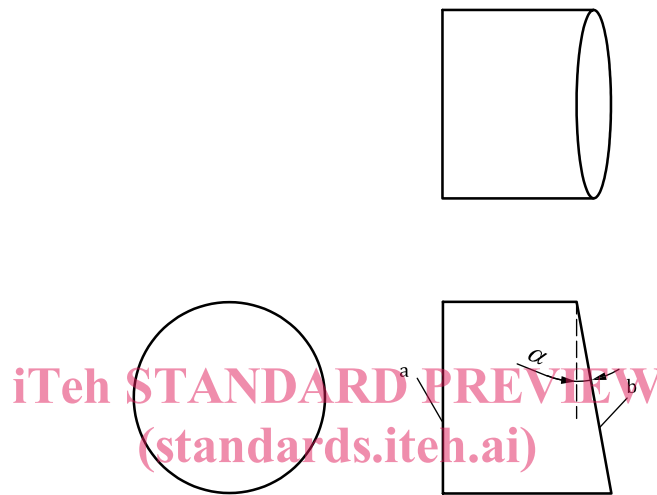
The airflow velocity of the test environment should not affect the measurement precision.

## 7 Sample

### 7.1 Outline

#### 7.1.1 Wedge shaped sample

The drawing of wedged sample can be seen in [Figure 2](#).



#### Key

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- a surface B (transmission plane of the sample).
  - b surface C (transmission slope of the sample).
  - $\alpha$  wedge angle of the sample

**Figure 2 — Drawing of the wedge shaped sample**

#### 7.1.2 Parallel plane sample

The parallelism of both surfaces of the parallel plane sample shall not be more than 30''.

## 7.2 Thickness

The ratio of diameter and thickness of sample should not be generally more than 5:1. For example, the diameter is 50 mm and the thickness should not be less than 10 mm.

The thickness value  $t_i$  shall be measured at the minimum 3 points in different regions evenly distributed on the edge of the sample with thickness measurement equipment. The mean value  $t_0$  of the thickness shall then be calculated. A thicker sample is better for the measurement precision. A thicker sample should amplify the homogeneity value of a sample.

## 7.3 Wedge angle

The wedge angle  $\alpha$  shown in Figure 2 shall be as small as possible, but at the same time it shall be large enough to ensure that the light rays reflected from the rear surface of the wedge shaped sample do not

enter the observation field of view of the interferometer. The minimum wedge angle  $\alpha_{\min}$  is calculated by [Formula \(1\)](#):

$$\alpha_{\min} = \frac{1}{2} \sin^{-1} \left( \frac{\sin \theta}{n_0} \right) \quad (1)$$

where

$\alpha_{\min}$  is the minimum wedge angle of the sample;

$\theta$  is half the fieldangle of view of interferometer;

$n_0$  is the nominal refractive index of wedge shaped sample.

NOTE In the case of the four-step interferometry, the wedge angle of the sample in general can be 10' or so. The nominal refractive index  $n_0$  of wedge shaped sample is available by product manual.

## 7.4 Polished surfaces

### 7.4.1 Wedge shaped sample

To prevent the measurement from being affected by the surface defects and deformation of the sample, both surface B (transmission plane) and surface C (transmission slope) of the sample shall be polished to the flatness specified in Twice (with surface error mutual offsetting) in [Table C.2](#) of [Annex C](#) and their surface roughness  $R_q$  specified in ISO 10110-8 less than 0,005  $\mu\text{m}$ . The surface imperfection shall be better than  $5/5 \times 0,40 \text{ L5} \times 0,006$  (ISO 10110-7).

### 7.4.2 Parallel plane sample

To prevent the measurement from being affected by the surface defects and deformation of the sample, both surface B (transmission plane) and surface C (transmission slope) of the sample shall be polished to the flatness specified for Once in [Table C.2](#) of [Annex C](#) and their surface roughness  $R_q$  specified in ISO 10110-8 less than 0,005  $\mu\text{m}$ . The surface imperfection shall be better than  $5/5 \times 0,40 \text{ L5} \times 0,006$  (ISO 10110-7).

## 8 Procedure

### 8.1 Four-step method

**8.1.1** Clean the surface of the sample.

**8.1.2** Soak the sample thermally within the measurement environment for a period that allows it to achieve a thermal stability of  $\pm 0,2$  °C. See [Annex B](#).

**8.1.3** Turn on the power of the digitalized interferometer and preheat until interferometer is thermally stabilized.

**8.1.4** Adjust the standard mirror so that the reflected wavefront of surface A of the reference flat coincide with the reflected wavefront of the standard mirror to form an equal optical path interference. Then collect the wavefront error of interferogram  $W_4$ .

**8.1.5** Place the sample on the precision adjustment stage and adjust the standard mirror so that the ray transmitted through the sample returns along its original incident light path after being reflected by the standard mirror. Then collect the wavefront error of interferogram  $W_3$ .

**8.1.6** Cover the surface D of the standard mirror with a plate opaque to infrared radiation. Adjust the sample so that surface B is parallel with surface A of the reference flat. Collect the wavefront error of interferogram  $W_1$ .

**8.1.7** Keep on covering surface D of the standard mirror and adjust the sample so that the sample's rear surface C is perpendicular to the refracted light of surface B. Collect the wavefront error of interferogram  $W_2$ .

**8.1.8** Choose not less than three points in different places at the edges of the sample and measure with a thickness measurement equipment, record the data of thickness  $t_i$  and calculate the mean value  $t_0$  of sample thickness.

## 8.2 Two-step method

**8.2.1** Clean the surface of the sample.

**8.2.2** Soak the sample thermally within the measurement environment for a period that allows it to achieve a thermal stability of  $\pm 0,2$  °C. See [Annex B](#).

**8.2.3** Turn on the power of the digitalized interferometer and preheat until interferometer is thermally stabilized.

**8.2.4** Adjust the standard mirror so that the reflected wavefront of surface A of the reference flat coincide with the reflected wavefront of the standard mirror to form an equal optical path interference. Then collect the wavefront error of interferogram  $W_4$ .

**8.2.5** Place the sample on the precision adjustment stage and adjust the precision adjustment stage so that the reference flat is parallel with the transmission surface of the sample. Then collect the wavefront error of interferogram  $W_3$ .

**8.2.6** Choose not less than three points in different places at the edges of the sample and measure with a thickness measurement equipment, record the data of thickness  $t_i$  and calculate the mean value  $t_0$  of sample thickness.

## 9 Data processing

### 9.1 Calculation of refractive index error distribution with the four-step method

Calculate  $\Delta n(x, y)$  of the refractive index distribution of the sample according to [Formula \(2\)](#) with the computer software.

$$\Delta n(x, y) = \frac{1}{2 \times 10^3 t_0} [n_0 (W_3 - W_4) - (n_0 - 1)(W_2 - W_1)] \quad (2)$$

where

$\Delta n(x, y)$  is the deviation of refractive index distribution;

$t_0$  is the arithmetic mean of the thickness of the sample, in mm;

$n_0$  is the nominal value of the refractive index of the sample at the working wavelength.