

ETSI TS 138 523-2 V16.14.0 (2023-01)



**LTE;
5G;
5GS;**

**User Equipment (UE) conformance specification;
Part 2: Applicability of protocol test cases
(3GPP TS 38.523-2 version 16.14.0 Release 16)**



Reference

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Keywords

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Foreword

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- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

The present document is part 2 of a multi-part deliverable covering the 5G System (5GS) User Equipment (UE) protocol conformance specification, as identified below:

- 3GPP TS 38.523-1 [2]: "5GS; User Equipment (UE) conformance specification; Part 1: Protocol".
- **3GPP TS 38.523-2: "5GS; User Equipment (UE) conformance specification; Part 2: Applicability of protocol test cases" (the present document).**
- 3GPP TS 38.523-3 [3]: "5GS; User Equipment (UE) conformance specification; Part 3: Protocol Test Suites".

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1 Scope

The present document provides the applicability of protocol test cases proforma for 5G New Radio (NR) User Equipment (UE), in compliance with the relevant requirements.

The present document specifies the recommended applicability statement for the test cases included in 3GPP TS 38.523-1 [2] and 3GPP TS 38.523-3 [3]. These applicability statements are based on the features implemented in the UE.

Special conformance testing functions can be found in 3GPP TS 38.509 [5] and 3GPP TS 36.509 [7] and the common test environments are included in 3GPP TS 38.508-1 [4] and 3GPP TS 36.508 [6].

The present document is valid for UE implemented according to 3GPP Releases starting from Release 15 up to the Release indicated on the cover page of the present document.

2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

[1] to [11] (void)

[12] 3GPP TS 38.523-2 Release 17: "5GS; User Equipment (UE) conformance specification; Part 2: Applicability of protocol test cases"

3 Definitions, symbols and abbreviations

Void

4 Recommended Test Case Applicability

The requirements of the present document are provided in 3GPP TS 38.523-2 Release 17 [12].

Annex A (informative): Change history

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Change history							
Date	Meeting	TDoc	CR	Rev	Cat	Subject/Comment	New version
2017-08	RAN5#76	R5-174402	-	-	-	Introduction of TS 38.523-2	0.0.1
2018-03	RAN5##2 -5G-NR Adhoc	R5-181762	-	-	-	Draft TS 38.523-2 v0.1.0	0.1.0
2018-04	RAN5##2 -5G-NR Adhoc	R5-181837	-	-	-	Draft TS 38.523-2 v0.2.0	0.2.0
2018-04	RAN5##2 -5G-NR Adhoc	R5-181838	-	-	-	Addition of applicability for new 5GS test cases	0.2.0
2018-04	RAN5##2 -5G-NR Adhoc	R5-181210	-	-	-	Add applicability for new NR testcases	0.2.0
2018-04	RAN5##2 -5G-NR Adhoc	R5-180922	-	-	-	Addition of applicability of new NR test cases 7.1.3.2 and 7.3.4.2	0.2.0
2018-04	RAN5##2 -5G-NR Adhoc	R5-180974	-	-	-	Addition of New Layer 2 NR Test Case Applicability	0.2.0
2018-05	RAN5#79	R5-182897	-	-	-	Update to NR test cases applicability	1.0.0
2018-05	RAN5#79	R5-183158	-	-	-	Update to NR Test case applicability	1.0.0
2018-05	RAN5#79	R5-183159	-	-	-	Addition of Layer 2 test case applicabilities and selection expressions	1.0.0
2018-05	RAN5#79	R5-183235	-	-	-	Correction to applicability of NR testcases	1.0.0
2018-05	RAN5#79	R5-183236	-	-	-	Updates to applicability for session management TCs	1.0.0
2018-06	RAN#80	RP-181211	-	-	-	put under revision control as v15.0.0 with small editorial changes	15.0.0
2018-09	RAN#81	R5-184682	0004	-	F	Update of test case title for TC 8.2.5.1.1	15.1.0
2018-09	RAN#81	R5-185157	0005	1	F	Update of NR test cases title and applicability	15.1.0
2018-09	RAN#81	R5-185162	0003	1	F	Addition of missing and new test cases applicabilities	15.1.0
2018-12	RAN#82	R5-186875	0021	-	F	Removal of applicability for RRC SCG failure tests	15.2.0
2018-12	RAN#82	R5-188196	0027	1	F	Addition of test applicabilities for 5GC testcases	15.2.0
2018-12	RAN#82	R5-187499	0029	-	F	Adding applicability of test cases 8.2.2.1.1 and 8.2.2.3.1	15.2.0
2018-12	RAN#82	R5-187799	0022	1	F	Adding applicability for 5G TC TA registration update	15.2.0
2018-12	RAN#82	R5-188103	0033	-	F	Update of applicability and selection expressions	15.2.0
2018-12	RAN#82	R5-188104	0030	1	F	Adding new test case applicability	15.2.0
2018-12	RAN#82	R5-188197	0031	3	F	Update of 5G-NR test cases applicability	15.2.0
2019-03	RAN#83	R5-192033	0043	-	F	Addition of applicability of new 5GC test case 9.1.2.2	15.3.0
2019-03	RAN#83	R5-192707	0044	1	F	Introduction of Non 3GPP Access over WLAN test case applicabilities	15.3.0
2019-03	RAN#83	R5-192809	0040	1	F	Addition of applicability for Inter-RAT measurement and handover	15.3.0
2019-03	RAN#83	R5-192856	0039	2	F	Addition of applicability for NR test case	15.3.0
2019-03	RAN#83	R5-192857	0042	3	F	Update of 5G-NR test cases applicability	15.3.0
2019-06	RAN#84	R5-194891	0054	1	F	Introduction of Non 3GPP Access over WLAN test case applicabilities	15.4.0
2019-06	RAN#84	R5-195371	0046	2	F	Addition of Applicability for test cases	15.4.0
2019-06	RAN#84	R5-195372	0051	2	F	Update of 5G-NR test cases applicability	15.4.0
2019-06	RAN#84	-	-	-	-	Administrative release upgrade to match the release of 3GPP TS 38.508-1 which was upgraded at RAN#84 to Rel-16 due to Rel-16 relevant CR(s)	16.0.0
2019-09	RAN#85	R5-197228	0057	1	F	Non 3GPP Access over WLAN test case applicabilities	16.1.0
2019-09	RAN#85	R5-197291	0062	1	F	Removal of applicability of Radio Link Failure test cases	16.1.0
2019-09	RAN#85	R5-197667	0055	2	F	Addition of applicability for RRC test cases	16.1.0
2019-09	RAN#85	R5-197668	0056	2	F	Update of 5G-NR test cases applicability	16.1.0
2019-12	RAN#86	R5-198496	0074	-	F	Non 3GPP Access over WLAN test cases applicability	16.2.0
2019-12	RAN#86	R5-199040	0070	1	F	Addition of applicability for test cases	16.2.0
2019-12	RAN#86	R5-199060	0072	1	F	Update of 5G-NR test cases applicability	16.2.0
2020-03	RAN#87	R5-200235	0077	-	F	Adding and modifying test applicability IMS Emergency Services	16.3.0
2020-03	RAN#87	R5-201147	0076	1	F	Correction to NR TC applicability-Split SRB	16.3.0
2020-03	RAN#87	R5-201233	0080	3	F	Update of 5G-NR test cases applicability	16.3.0
2020-06	RAN#88	R5-201381	0081	-	F	Addition of applicability for NR Idle TCs	16.4.0
2020-06	RAN#88	R5-202141	0086	-	F	Addition of new test applicability for DRX TC 7.1.1.5.5	16.4.0
2020-06	RAN#88	R5-202673	0082	1	F	Addition of applicability for NR RRC TCs	16.4.0
2020-06	RAN#88	R5-202674	0083	1	F	Addition of applicability for NR Multi Layer TCs	16.4.0
2020-06	RAN#88	R5-202675	0084	1	F	Update of 5G-NR test cases applicability	16.4.0
2020-06	RAN#88	R5-203120	0085	2	F	Introduction of applicability for new 5G IMS emergency test cases and corrections	16.4.0
2020-09	RAN#89	R5-203542	0092	-	F	Splitting and updates to applicability of NR RLC test case 7.1.2.3.5	16.5.0
2020-09	RAN#89	R5-204469	0088	1	F	Addition of applicability for NR TCs	16.5.0
2020-09	RAN#89	R5-204470	0089	1	F	Correction to applicability of NR TCs	16.5.0
2020-09	RAN#89	R5-204471	0090	1	F	Update of 5G-NR test cases applicability	16.5.0

2020-09	RAN#89	R5-204472	0094	1	F	Addition of new RRC TC for checking extended / spare field handling in SI	16.5.0
2020-09	RAN#89	R5-204473	0095	1	F	Removal of void test case and correction of condition for Inter-band measurements test cases	16.5.0
2020-09	RAN#89	R5-204519	0091	1	F	Addition of test applicabilities of test cases for voice fallback indication	16.5.0
2020-09	RAN#89	R5-204520	0093	1	F	Update applicability of Inter-RAT handover from NR to EN-DC test case	16.5.0
2020-12	RAN#90	R5-205287	0099	-	F	Addition of test applicabilities of test cases for UE power saving in NR	16.6.0
2020-12	RAN#90	R5-205389	0101	-	F	Correction to NR TC applicability	16.6.0
2020-12	RAN#90	R5-206367	0098	1	F	Update of 5G-NR test cases applicability	16.6.0
2020-12	RAN#90	R5-206368	0103	1	F	Addition of applicability for NR TCs	16.6.0
2020-12	RAN#90	R5-206399	0104	1	F	Applicability statement for new test case for PDCP Duplication for Rel-16	16.6.0
2020-12	RAN#90	R5-206400	0108	1	F	Applicability for ethernet header compression and decompression for NR	16.6.0
2020-12	RAN#90	R5-206406	0106	1	F	Add applicability for NR MobEnc TCs	16.6.0
2020-12	RAN#90	R5-206413	0105	1	F	Add applicability for NR V2X TCs	16.6.0
2020-12	RAN#90	R5-206416	0107	1	F	Addition of applicability for eMIMO Test Cases	16.6.0
2020-12	RAN#90	R5-206432	0100	1	F	Update applicability of Inter-RAT handover from NR to EN-DC test case 8.1.4.2.1.2	16.6.0
2021-03	RAN#91	R5-210161	0111	-	F	Aligning content of 38.523-2 with 38.523-1	16.7.0
2021-03	RAN#91	R5-210513	0120	-	F	Addition of applicability for new NAS Test case 9.1.9.2	16.7.0
2021-03	RAN#91	R5-210801	0128	-	F	Adding applicability for new MDT test cases	16.7.0
2021-03	RAN#91	R5-210998	0129	-	F	Correction to applicability conditions of test cases 8.1.4.2.1.2 and 11.1.9	16.7.0
2021-03	RAN#91	R5-211327	0130	-	F	Remove applicability of 5GS Non-3GPP Access Test Case 9.2.5.2.1	16.7.0
2021-03	RAN#91	R5-211412	0109	1	F	Update release applicability of RRC TC 8.1.1.2.4	16.7.0
2021-03	RAN#91	R5-211413	0112	1	F	Adding missing applicability for TC 6.1.2.7 and 8.1.5.2.2	16.7.0
2021-03	RAN#91	R5-211414	0113	1	F	Adding applicability for new IMS emergency TC 11.4.11	16.7.0
2021-03	RAN#91	R5-211415	0115	1	F	Update of 5G-NR test cases applicability	16.7.0
2021-03	RAN#91	R5-211416	0123	1	F	Correction to NR TC applicability for 5GS	16.7.0
2021-03	RAN#91	R5-211455	0124	1	F	Correction to NR TC applicability for IIoT	16.7.0
2021-03	RAN#91	R5-211461	0127	1	F	Correction to applicability for NR MobEnc	16.7.0
2021-03	RAN#91	R5-211464	0117	1	F	Addition of test applicabilities for UE power saving in NR	16.7.0
2021-03	RAN#91	R5-211487	0110	1	F	Applicability statement for new test cases for NR Immediate MDT	16.7.0
2021-03	RAN#91	R5-211488	0116	1	F	Adding applicability for new logged MDT test cases	16.7.0
2021-03	RAN#91	R5-211489	0125	1	F	Correction to NR TC applicability for MDT	16.7.0
2021-03	RAN#91	R5-211496	0121	1	F	Introduction of applicability for SRVCC from NG-RAN to 3GPP UTRAN	16.7.0
2021-03	RAN#91	R5-211504	0118	1	F	Update to applicabilities for the EPS fallback test cases	16.7.0
2021-06	RAN#92	R5-212040	0131	-	F	Applicability statement for new test cases for Connection Establishment Failure in NR MDT	16.8.0
2021-06	RAN#92	R5-212041	0132	-	F	Applicability statement for new test cases for Inter-System Immediate MDT	16.8.0
2021-06	RAN#92	R5-212380	0137	-	F	Correcting applicability condition for C36 used in TS 38.523 TC 6.1.1.5	16.8.0
2021-06	RAN#92	R5-212386	0138	-	F	Update to applicability of TC 11.4.10 and 11.4.11	16.8.0
2021-06	RAN#92	R5-212438	0139	-	F	Correction to applicability for Multi-Layer TCs	16.8.0
2021-06	RAN#92	R5-212539	0143	-	F	Remove cross slot scheduling test case applicability	16.8.0
2021-06	RAN#92	R5-212549	0144	-	F	Addition of applicability for new 5G SRVCC test case	16.8.0
2021-06	RAN#92	R5-212808	0147	-	F	Addition of applicability for NPN test cases	16.8.0
2021-06	RAN#92	R5-213375	0153	-	F	Adding applicability for new 2-Step RACH test cases	16.8.0
2021-06	RAN#92	R5-213385	0154	-	F	Correction of test applicability for TC 9.1.5.1.15	16.8.0
2021-06	RAN#92	R5-213513	0134	1	F	Update of 5G-NR test cases applicability	16.8.0
2021-06	RAN#92	R5-213514	0149	1	F	Update of test case titles of 5GC in applicability table	16.8.0
2021-06	RAN#92	R5-213515	0151	1	F	Addition of applicability for NR5G RRC TC 8.1.1.3.7	16.8.0
2021-06	RAN#92	R5-213556	0140	1	F	Correction to applicability for NR MobEnc	16.8.0
2021-06	RAN#92	R5-213572	0155	1	F	Applicability of NR V2X test cases 12.1.7.1 and 12.1.7.2	16.8.0
2021-06	RAN#92	R5-213586	0146	1	F	Addition of applicability for RACS test cases	16.8.0
2021-06	RAN#92	R5-213634	0133	1	F	Addition of applicability for new MDT TC 8.1.6.1.3.x	16.8.0
2021-06	RAN#92	R5-213635	0142	1	F	Applicability for NR MDT inter-system TCs	16.8.0
2021-06	RAN#92	R5-213636	0150	1	F	Correction to NR MDT Applicability-C126	16.8.0
2021-06	RAN#92	R5-213672	0152	1	F	Adding applicability for new NR URLLC test cases	16.8.0
2021-09	RAN#93	R5-214209	0156	-	F	Applicability statement for new test case for Multi configured uplink grants in NR IIoT	16.9.0
2021-09	RAN#93	R5-214214	0157	-	F	Applicability statement for new test cases for Inter-RAT MDT	16.9.0
2021-09	RAN#93	R5-214758	0165	-	F	Addition of applicability NR5G Power saving TC 8.1.5.10.1	16.9.0
2021-09	RAN#93	R5-214831	0168	-	F	Correction to NR MDT Applicability	16.9.0
2021-09	RAN#93	R5-214873	0169	-	F	Addition of applicability for new NR 2-step RACH test cases	16.9.0
2021-09	RAN#93	R5-214931	0170	-	F	Adding applicability for new NR URLLC test cases	16.9.0

2021-09	RAN#93	R5-215160	0171	-	F	Correction to applicability for MDT Test cases	16.9.0
2021-09	RAN#93	R5-215242	0172	-	F	Addition of applicability for eNS test case 9.1.10.1 and 9.1.10.6	16.9.0
2021-09	RAN#93	R5-216204	0158	1	F	Update of 5G-NR test cases applicability	16.9.0
2021-09	RAN#93	R5-216205	0166	1	F	Addition of Applicability for SFTD TCs	16.9.0
2021-09	RAN#93	R5-216262	0167	1	F	Correction to applicability for NR MobEnh	16.9.0
2021-09	RAN#93	R5-216274	0164	1	F	Addition of applicability for NPN test cases	16.9.0
2021-09	RAN#93	R5-216315	0160	1	F	Update of applicability statement and conditions for the test cases in NR MDT	16.9.0
2021-09	RAN#93	R5-216333	0161	1	F	Add applicabilities for test cases 8.1.1.4.4, 8.1.1.4.5 and 8.1.1.4.6	16.9.0
2021-09	RAN#93	R5-216334	0162	1	F	Add applicabilities for test cases 8.1.1.4.7, 8.1.1.4.8 and 8.1.1.4.9	16.9.0
2021-12	RAN#94	R5-216614	0176	-	F	Applicability statement for new test case for RACH logging and reporting	16.10.0
2021-12	RAN#94	R5-216999	0182	-	F	Addition of applicability for NR-DC TCs	16.10.0
2021-12	RAN#94	R5-217018	0183	-	F	Correction to applicability for NR MobEnh	16.10.0
2021-12	RAN#94	R5-217082	0185	-	F	Update of title for TC 9.1.5.1.15	16.10.0
2021-12	RAN#94	R5-217083	0186	-	F	Update of applicability for TC 8.1.5.7.1.x, 8.2.6.1.1.x and 8.2.6.1.2.x	16.10.0
2021-12	RAN#94	R5-217459	0190	-	F	Addition of applicability for new Enhanced Network Slicing test cases	16.10.0
2021-12	RAN#94	R5-217774	0174	1	F	Add applicability for NR MobEnc Inter-frequency DAPS handover TC	16.10.0
2021-12	RAN#94	R5-217826	0175	1	F	Update of 5G-NR test cases applicability	16.10.0
2021-12	RAN#94	R5-217827	0178	1	F	Applicability statement for new test cases for NE-DC RRC	16.10.0
2021-12	RAN#94	R5-217828	0187	1	F	Addition of applicability for NR5G RRC TC 8.1.1.3.7b	16.10.0
2021-12	RAN#94	R5-217829	0189	1	F	Addition of applicability for new Data Off test cases	16.10.0
2021-12	RAN#94	R5-217895	0184	1	F	Addition of NR V2X TC applicability	16.10.0
2021-12	RAN#94	R5-217900	0188	1	F	Addition of Applicability for NPN TCs	16.10.0
2021-12	RAN#94	R5-217932	0177	1	F	Update of TC Title of NR SON/MDT for matching TC content in TC 8.1.6.2.4	16.10.0
2021-12	RAN#94	R5-217947	0192	1	F	Addition of applicability for NR EIEI test cases	16.10.0
2021-12	RAN#94	R5-217953	0193	1	F	Applicability clauses for the Idle/Inactive measurement testcases for RRC_IDLE state	16.10.0
2021-12	RAN#94	R5-218009	0191	1	F	Addition of test applicability for new eNS test cases	16.10.0
2022-03	RAN#95	R5-220057	0195	-	F	Addition of applicability for Rel-16 NR Mobility Enhancement test case	16.11.0
2022-03	RAN#95	R5-220242	0198	-	F	Updating applicability statements of Data Off test cases	16.11.0
2022-03	RAN#95	R5-220267	0200	-	F	Add applicability for test case 11.1.1a	16.11.0
2022-03	RAN#95	R5-220607	0204	-	F	Correction to applicability for NR MobEnh	16.11.0
2022-03	RAN#95	R5-221040	0207	-	F	Applicability updates for NR EIEI test cases	16.11.0
2022-03	RAN#95	R5-221045	0208	-	F	Updates to titles of Inter-System MDT sensor test cases	16.11.0
2022-03	RAN#95	R5-221241	0214	-	F	Addition of applicability for new test case 11.6.3	16.11.0
2022-03	RAN#95	R5-221462	0199	1	F	Update of 5G-NR test cases applicability	16.11.0
2022-03	RAN#95	R5-221463	0202	1	F	Addition of applicability for emergency call establishment over EPS with disabling N1 mode	16.11.0
2022-03	RAN#95	R5-221464	0205	1	F	Correction the condition of 38.523-1 TC11.3.2 and TC11.3.8 and Test case Selection Expression of C61	16.11.0
2022-03	RAN#95	R5-221465	0206	1	F	Correct of conditions for Uplink Data Transfer and Unified Access Control	16.11.0
2022-03	RAN#95	R5-221466	0215	1	F	Updates to emergency applicabilities and conditions	16.11.0
2022-03	RAN#95	R5-221527	0203	1	F	Addition of NR V2X TC applicability	16.11.0
2022-03	RAN#95	R5-221528	0212	1	F	Addition of applicability for new V2X test cases	16.11.0
2022-03	RAN#95	R5-221535	0211	1	F	Addition of applicability for new SNPN test cases	16.11.0
2022-03	RAN#95	R5-221541	0213	1	F	Applicability updates for NR RACS test cases	16.11.0
2022-03	RAN#95	R5-221590	0209	1	F	Addition of new NR URLLC MAC Test Case applicabilities	16.11.0
2022-03	RAN#95	R5-222002	0216	1	F	Applicability clauses for Idle Inactive measurement test cases	16.11.0
2022-03	RAN#95	R5-222034	0194	1	F	Applicability statement for new test cases for PDCP Duplication 3 RLC entities in NR IIoT	16.11.0
2022-03	RAN#95	R5-222038	0196	1	F	Applicability statement for new test cases for NE-DC RRC	16.11.0
2022-06	RAN#96	R5-222859	0221	-	F	Add applicability for test case 11.1.3a	16.12.0
2022-06	RAN#96	R5-223255	0227	-	F	Applicability updates to NR EIEI test cases	16.12.0
2022-06	RAN#96	R5-223348	0219	1	F	Update of applicability statement for test cases for NE-DC RRC	16.12.0
2022-06	RAN#96	R5-223377	0223	1	F	Addition of applicability of new NR V2X test cases	16.12.0
2022-06	RAN#96	R5-223383	0224	1	F	Addition of Applicability of new SNPN test case	16.12.0
2022-06	RAN#96	R5-223409	0228	1	F	Modification of idle/inactive testcase applicabilities	16.12.0
2022-06	RAN#96	R5-223442	0217	1	F	Update of 5G-NR test cases applicability	16.12.0
2022-09	RAN#97	R5-223998	0230	-	F	Addition of Release other RAT for Inter-RAT MDT test cases	16.13.0
2022-09	RAN#97	R5-224000	0232	-	F	Update of applicability for EN-DC UL CA cases 8.2.6.1.1.x	16.13.0
2022-09	RAN#97	R5-224002	0234	-	F	Update of applicability for CA test case 8.1.5.7.1.2	16.13.0
2022-09	RAN#97	R5-224032	0235	-	F	Editorial update to 5GC and UAC test case titles in 38.523-2	16.13.0
2022-09	RAN#97	R5-224097	0238	-	F	Applicability of new NR-DC and NE-DC test cases	16.13.0
2022-09	RAN#97	R5-224341	0241	-	F	Editorial Correction - Add VOID to CAG TC 6.5.2.5	16.13.0
2022-09	RAN#97	R5-224356	0242	-	F	Corrections to Applicability of NR TC 8.1.4.4.4	16.13.0
2022-09	RAN#97	R5-224439	0246	-	F	Applicability updates to NR EIEI test cases	16.13.0
2022-09	RAN#97	R5-224479	0248	-	F	Addition of Applicability for NPN test cases	16.13.0
2022-09	RAN#97	R5-225261	0262	-	F	Addition of applicability for new testcase 11.3.10	16.13.0