
**Biotechnology — Requirements
for evaluating the performance of
quantification methods for nucleic acid
target sequences — qPCR and dPCR**

*Biotechnologie — Exigences relatives à l'évaluation de la
performance des méthodes de quantification des séquences d'acides
nucléiques cibles — qPCR et dPCR*

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Foreword

ISO (the International Organization for Standardization) is a worldwide federation of national standards bodies (ISO member bodies). The work of preparing International Standards is normally carried out through ISO technical committees. Each member body interested in a subject for which a technical committee has been established has the right to be represented on that committee. International organizations, governmental and non-governmental, in liaison with ISO, also take part in the work. ISO collaborates closely with the International Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular, the different approval criteria needed for the different types of ISO documents should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see www.iso.org/directives).

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO shall not be held responsible for identifying any or all such patent rights. Details of any patent rights identified during the development of the document will be in the Introduction and/or on the ISO list of patent declarations received (see www.iso.org/patents).

Any trade name used in this document is information given for the convenience of users and does not constitute an endorsement.

For an explanation of the voluntary nature of standards, the meaning of ISO specific terms and expressions related to conformity assessment, as well as information about ISO's adherence to the World Trade Organization (WTO) principles in the Technical Barriers to Trade (TBT), see www.iso.org/iso/foreword.html.

This document was prepared by Technical Committee ISO/TC 276, *Biotechnology*.

Any feedback or questions on this document should be directed to the user's national standards body. A complete listing of these bodies can be found at www.iso.org/members.html.

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Introduction

This document has been developed to specifically support the analytical requirements with respect to quantification of specific nucleic acid sequences (targets). It can also benefit the broader biomanufacturing, bioscience research and development, industrial biotechnology, engineering biology and advanced therapeutics industries which need to demonstrate product quality based on measurement and quantification of specific nucleic acid targets.

Quantification of nucleic acid target sequences is a cross-cutting fundamental measurement that broadly impacts many aspects of biotechnology. For example, quantification of nucleic acid biomarkers for monitoring bioprocess efficiency and conformity with quality by design parameters for biopharmaceutical manufacture and industrial biotechnology, characterization of purity and quality of cell-derived advanced therapy medicinal products (ATMPs); assessment of gene copy number for evaluating the potency and efficacy of gene-based therapies and process control assays for gene editing and engineering biology applications.

The underpinning technique of polymerase chain reaction (PCR) has transformed the field of nucleic acid analysis, due to its robustness and simplicity. Technological advances in instrumentation have resulted in a wide range of PCR-based nucleic acid quantification approaches/instruments with subsequent developments such as:

- quantitative real-time PCR (qPCR) which offers methods for quantification of DNA and RNA molecules relative to a calibration material or independent sample, and
- digital PCR (dPCR) which offers the ability to perform SI traceable quantification through the concept of molecular enumeration without the need for a calibration curve.

However, performing nucleic acid quantification assays to a high standard of analytical quality can be challenging. For example, it is well known that impure or degraded nucleic acid extracts can affect the accuracy of quantification. Similarly, a poorly designed qPCR or dPCR assay with poor amplification efficiency and primer specificity will have an impact on accuracy of quantification. In addition, aspects such as calibrators, standard curves, data normalization and processing can have a large influence on the accuracy of quantitative measurement of nucleic acid targets.

This document is expected to improve confidence in the data produced, support selection and optimization procedures and provide supporting performance parameters that may be utilized during performance qualification of a particular measurement procedure for quantification of nucleic acid target sequences. Biotechnology and bioscience industry data with higher measurement confidence will enable data interoperability, improved product quality, reduced risks and costs and facilitate international trade.

In this document, the following verbal forms are used:

- “shall” indicates a requirement;
- “should” indicates a recommendation;
- “may” indicates a permission;
- “can” indicates a possibility or a capability.

Further details can be found in the ISO/IEC Directives, Part 2.

Biotechnology — Requirements for evaluating the performance of quantification methods for nucleic acid target sequences — qPCR and dPCR

1 Scope

This document provides generic requirements for evaluating the performance and ensuring the quality of methods used for the quantification of specific nucleic acid sequences (targets).

This document is applicable to the quantification of DNA (deoxyribonucleic acid) and RNA (ribonucleic acid) target sequences using either digital (dPCR) or quantitative real-time PCR (qPCR) amplification technologies. It applies to target sequences present in nucleic acid molecules including double-stranded DNA (dsDNA) such as genomic DNA (gDNA) and plasmid DNA, single stranded DNA (ssDNA), complementary DNA (cDNA), and single stranded RNA (ssRNA) including ribosomal RNA (rRNA), messenger RNA (mRNA), and long and short non-coding RNA [microRNAs (miRNAs) and short interfering RNAs (siRNAs)], as well as double-stranded RNA (dsRNA).

This document applies to nucleic acids derived from biological sources such as viruses, prokaryotic and eukaryotic cells, cell-free biological fluids (e.g. plasma or cell media) or in vitro sources [e.g. oligonucleotides, synthetic gene constructs and in vitro transcribed (IVT) RNA].

This document is not applicable to quantification of very short DNA oligonucleotides (<50 bases).

This document covers:

- analytical design including quantification strategies (nucleic acid copy number quantification using a calibration curve as in qPCR or through molecular counting as in dPCR, quantification relative to an independent sample and ratio measurements) and use of controls;
- quantification of total nucleic acid mass concentration and quality control of a nucleic acid sample including assessment of nucleic acid quality (purity and integrity);
- PCR assay design, optimization, in silico and in vitro specificity testing;
- data quality control and analysis including acceptance criteria, threshold setting and normalization;
- method validation (precision, linearity, limit of quantification, limit of detection, trueness and robustness) with specific requirements for qPCR and dPCR;
- approaches to establishing metrological traceability and estimating measurement uncertainty.

This document does not provide requirements or acceptance criteria for the sampling of biological materials or processing of biological samples (i.e. collection, preservation, transportation, storage, treatment and nucleic acid extraction). Nor does it provide requirements and acceptance criteria for specific applications (e.g. food or clinical applications where specific matrix issues can arise).

2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO/IEC Guide 98-3:2008, *Uncertainty of measurement — Part 3: Guide to the expression of uncertainty in measurement (GUM:1995)*

ISO/IEC Guide 99, *International vocabulary of metrology — Basic and general concepts and associated terms (VIM)*

3 Terms and definitions

For the purposes of this document, the terms and definitions given in ISO/IEC Guide 99 and the following apply.

ISO and IEC maintain terminological databases for use in standardization at the following addresses:

- ISO Online browsing platform: available at <https://www.iso.org/obp>
- IEC Electropedia: available at <http://www.electropedia.org/>

3.1 amplicon

specific DNA fragment produced by a DNA-amplification technology, such as the polymerase chain reaction (PCR)

[SOURCE: ISO 13495:2013, 3.3.1]

3.2 amplification plot

graph representing the generation of a reporter (usually fluorescent) signal during a qPCR or dPCR reaction

Note 1 to entry: For qPCR and some dPCR systems, the amplification plot shows the relationship between cycle number (x-axis) and fluorescence signal (y-axis).

Note 2 to entry: For end point dPCR, the fluorescent signal of each dPCR partition is displayed. For a single fluorophore, a one-dimensional amplification plot shows partition number (x-axis) against end point fluorescent signal (y-axis). A multi-dimensional amplification plot shows fluorescent signal for each detector channel on each axis.

3.3 calibration curve standard curve

expression of the relation between indication and corresponding measured quantity value

[SOURCE: ISO/IEC Guide 99:2007, 4.31, modified — The notes have been deleted.]

3.4 calibrator

measurement standard used in calibration

Note 1 to entry: The term “calibrator” is only used in certain fields.

EXAMPLE A qPCR interplate calibrator sample is often included on each qPCR plate in a study comprising multiple qPCR plates or experiments to compensate for variations across plates due to instrument measurement factors such as baseline and threshold setting. The interplate calibrator contains the target sequence(s) detected by the PCR assay and is measured with the same PCR assays as the studied samples.

[SOURCE: ISO/IEC Guide 99:2007, 5.12, modified — The example has been added.]

3.5 cDNA complementary DNA

single-stranded DNA, complementary to a given RNA and synthesised in the presence of reverse transcriptase to serve as a template for DNA amplification

3.6**copy number**

number of molecules (copies) containing a specific nucleic acid sequence

[SOURCE: ISO 16577:2016, 3.28, modified — "of a DNA sequence" replaced with "containing a specific nucleic acid sequence"]

3.7**copy number concentration**

number of molecules (copies) containing a specific nucleic acid sequence in a defined volume

3.8**quantification cycle**
 C_q

<qPCR> cycle at which the fluorescence from the reaction crosses a specified threshold level at which the signal can be distinguished from background levels

Note 1 to entry: Quantification cycle is a generic term which includes cycle threshold (C_p), crossing point (C_p), take off point and all other instrument specific terms referring to the fractional cycle used to quantify the concentration of target in the qPCR assay.

Note 2 to entry: The quantification cycle is based either on a threshold applied to all samples or on a regression analysis of the signal, for each sample.

[SOURCE: ISO 16577:2016, 3,32 modified according to MIQE Guidelines^[1] — Notes 1 and 2 to entry have been added.]

3.9**delta C_q**
 ΔC_q

difference between two C_q values, $\Delta C_q = C_{q(1)} - C_{q(2)}$

3.10**digital PCR****dPCR**

procedure in which nucleic acid templates are distributed across multiple partitions of nominally equivalent volume, such that some partitions contain template and others do not, followed by PCR amplification of target sequences and detection of specific PCR products, providing a count of the number of partitions with a positive and negative signal for the target template

Note 1 to entry: Nucleic acid target sequences are assumed to be randomly and independently distributed across the partitions during the partitioning process.

Note 2 to entry: The count of positive and negative partitions is normally based on end point detection of PCR products following thermal cycling, however real-time qPCR monitoring of PCR product accumulation is additionally possible for some dPCR platforms.

3.11**gene of interest****GOI**

gene target sequence under investigation

3.12**lambda value**
 λ

mean number of targets per dPCR partition based on the fraction of droplets where amplification has occurred

Note 1 to entry: Essential dPCR quantities for calculation of lambda are the number of positive partitions (N_p) and the total number of partitions (N_T).

3.13

limit of quantification

LOQ

lowest concentration or quantity of the nucleic acid target sequence per defined volume that can be measured with reasonable statistical certainty consistently under the experimental conditions specified in the method

Note 1 to entry: Generally expressed in terms of the signal or measurement (true) value that will produce estimates having a specified coefficient of variation (CV).

[SOURCE: ISO 16577:2016, 3.91, modified — replaced "content of the analyte of interest" with "quantity of the nucleic acid target sequence", "amount of matrix" with "volume" and "relative standard deviation (RSD)" with 'coefficient of variation (CV)'.]

3.14

limit of detection

LOD

measured quantity value, obtained by a given measurement procedure, for which the probability of falsely claiming the absence of a component in a material is β , given a probability α of falsely claiming its presence

[SOURCE: ISO/IEC Guide 99:2007, 4.18 definition for 'detection limit'; Notes to entry not included.]

3.15

linearity

ability of a method of analysis, within a certain range, to provide an instrumental response or results proportional to the quantity of nucleic acid target sequence to be determined in the laboratory sample

Note 1 to entry: In the case of qPCR, the cycle threshold is proportional to the logarithm to the base 10 of the quantity of nucleic acid target sequence.

Note 2 to entry: The term linearity is frequently linked with the linear range of the method and refers to the ability of a method to give a response or result that is directly proportional to the concentration of the nucleic acid target sequence.

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[SOURCE: ISO 16577:2016, 3.92 modified — Notes 1 and 2 to entry added; "quantity of analyte" replaced with "quantity of the nucleic acid target sequence".]

3.16

measurand

quantity intended to be measured

Note 1 to entry: The specification of a measurand requires knowledge of the kind of quantity, description of the state of the phenomenon, body, or substance carrying the quantity, including any relevant component, and the chemical entities involved.

Note 2 to entry: In the second edition of the VIM and in IEC 60050-300:2001, the measurand is defined as the "particular quantity subject to measurement".

Note 3 to entry: The measurement, including the measuring system and the conditions under which the measurement is carried out, might change the phenomenon, body, or substance such that the quantity being measured differs from the measurand as defined. In this case, adequate correction is necessary.

EXAMPLE 1 Quantity of a gene target measured by PCR is influenced by the amplicon size of the PCR assay and fragment size of the template ($\sim < \text{amplicon size}$).

EXAMPLE 2 Denaturation of DNA in a sample into ssDNA influences quantification by dPCR as the two strands are partitioned separately.

[SOURCE: ISO/IEC Guide 99:2007, 2.23, modified — Note 3 and examples have been modified, and Note 4 has been omitted.]

3.17**melting curve**

analysis describing the dissociation characteristics of double-stranded DNA observed during heating

[SOURCE: ISO 16577:2016, 3.107, modified — Note 1 to entry has been deleted.]

3.18**melting temperature**

T_m

temperature at which 50 % of double-stranded DNA helices are dissociated since a DNA helix melts in a temperature range rather than at one very specific temperature

[SOURCE: ISO 16577:2016, 3.108]

3.19**mRNA****messenger RNA**

subtype of ribonucleic acid which serves as the template for protein synthesis

3.20**no template control****NTC**

control reaction containing all reagents except the extracted test sample template nucleic acid

Note 1 to entry: This control is used to demonstrate the absence of contaminating nucleic acids. Instead of the template DNA, for example, a corresponding volume of nucleic acid free water is added to the reaction. The term "PCR reagent control" is also sometimes used.

3.21**normalization**

modification of the measured quantity of a nucleic acid target sequence by subtraction of (C_q scale) or division by (linear scale) quantity or quantities of parameters which reflect non-specific technical factors

3.22**partitions**

droplets or chambers of nominally equivalent volume into which dPCR mix of reagents and template is randomly distributed and then amplified by PCR

3.23**PCR assay****assay**

qPCR (3.25) or *dPCR* (3.10) measurement method with specified oligonucleotide primers (and, in some cases, a probe or probes) that is used to identify and/or quantify a nucleic acid target

3.24**PCR efficiency**

E

fraction of molecules amplified in each PCR cycle

EXAMPLE If a test tube contains 100 target molecules and after one cycle of PCR contains 180 molecules $E = 0,8$. The calculated rate of amplification is reported as a percentage or a fraction of 1. A 100 % efficiency equates to amplicon doubling during every cycle.

3.25**quantitative real-time PCR****qPCR**

enzymatic procedure which combines the in vitro amplification of specific DNA segments with the detection and quantification of specific PCR products during the amplification process

Note 1 to entry: While the PCR is producing copies of the relevant DNA sequence, the fluorescent marker fluoresces in direct proportion to the amount of DNA present (which can theoretically be back-calculated to infer the original amount of that particular DNA present in a sample prior to initiation of PCR).

[SOURCE: ISO 16577:2016, 3.162, modified — The word “quantitative” has been added to the term as well as “quantification” to the definition.]

3.26

reference gene endogenous gene

gene target present in each sample at approximately constant concentration that is resistant to response fluctuations due to changes in biological or experimental conditions, or stable within a particular species or taxon

Note 1 to entry: Reference genes have, historically, been referred to as housekeeping genes. However, when measuring RNA, many targets can be used which cannot be considered as housekeeping genes; hence the term of preference is now reference gene^[1].

3.27

reverse transcription

RT
process of making cDNA from an RNA template, using the enzymatic activity of a reverse transcriptase associated with one or more oligonucleotide primers under a suitable set of conditions

[SOURCE: ISO 16577:2016, 3.180, modified — “DNA” has been replaced by “cDNA”.]

3.28

reverse transcription efficiency

RT efficiency

proportion of RNA molecules converted to cDNA expressed as a percentage

EXAMPLE An RT efficiency of 80 % refers to 80 % of RNA templates being converted to cDNA.

3.29

RT minus control

RT(-)

RT-PCR containing test sample template nucleic acid and all the amplification reagents except the reverse transcriptase enzyme

Note 1 to entry: RT minus control is used when quantifying RNA and measures background arising from residual genomic DNA in the sample.

3.30

reverse transcription dPCR

RT-dPCR

process by which an RNA strand is first reverse transcribed into its DNA complement (complementary DNA or cDNA) using reverse transcriptase and the resulting cDNA is amplified using dPCR

Note 1 to entry: This process can be one- or two-step.

Note 2 to entry: In one-step RT-dPCR, RT and dPCR amplification steps are performed sequentially, in the same tube with gene-specific primers.

Note 3 to entry: In two-step RT-dPCR, RT and dPCR stages are performed as two independent reactions. In this case, the RT step can use non-specific primers (i.e. a blend of oligo-dT primers and/or random oligonucleotides) to produce a global cDNA population from all transcripts in the RNA sample. The cDNA is then used for subsequent analysis by dPCR and interrogated for the sequences of interest using gene-specific PCR primers.

3.31

reverse transcription qPCR

RT-qPCR

process by which an RNA strand is first reverse transcribed into its DNA complement (complementary DNA or cDNA) using reverse transcriptase and the resulting cDNA is amplified using qPCR

Note 1 to entry: This process can be one- or two-step as is the case for RT-dPCR.

[SOURCE: ISO 16577:2016, 3.181 modified — Note 1 to entry has been added.]

3.32

sample

small portion or quantity, taken from a population or lot that is ideally a representative selection of the whole

[SOURCE: ISO 16577:2016, 3.185]

3.33

specificity

analytical specificity

ability of a measurement procedure to determine solely the quantity it purports to measure

EXAMPLE PCR assay specificity corresponds to its ability to detect only the intended target and that quantification of the target is not affected by cross-reactivity from related or potentially interfering nucleic acids or specimen-related conditions.

[SOURCE: ISO 15193:2009, 3.9, modified — Example has been added.]

3.34

single nucleotide polymorphism

SNP

single nucleotide variation in a genetic sequence that occurs at appreciable frequency in the population

[SOURCE: ISO 25720: 2009, 4.23]

3.35

single nucleotide variant

SNV

DNA sequence variation that occurs when a single nucleotide, A, T, C, or G, in the genome (or other target sequence) differs between templates

3.36

target sequence

nucleic acid target sequence

specific DNA sequence targeted for detection, e.g. by PCR

[SOURCE: ISO 16577:2016, 3.203]

3.37

template

strand of DNA or RNA that specifies the base sequence of a newly synthesized strand of DNA or RNA, the two strands being complementary

[SOURCE: ISO 16577:2016, 3.206]

3.38

test sample

sample prepared for testing or analysis, the whole quantity or part of it being used for testing or analysis at one time

[SOURCE: ISO 16577:2016, 3.210]

3.39

total nucleic acid

total quantity of nucleic acid in a sample following nucleic acid extraction expressed as mass concentration

Note 1 to entry: Total nucleic acid refers to the expected majority species in a particular extract (i.e. DNA or RNA).

4 Design of measurement procedure

4.1 General

Design of a nucleic acid quantification experiment shall include the selection of appropriate type and number of samples, the process stages for replication, the controls that should be incorporated, the need for randomization of samples and standard arrangement within the experiment. Specific factors to be considered are outlined below. Evaluation of the precision of the assay for the nucleic acid target sequence at the intended test sample range during method validation (8.2) should guide the number of replicate reactions performed during a routine analysis.

4.2 Quantification method

4.2.1 General

The choice of quantification strategy will be dependent upon the application. The major methodological approaches which may be used, together with the requirements for application, are described in 4.2.2 to 4.2.5.

4.2.2 qPCR determination of nucleic acid concentrations using a calibration curve

The calibration curve shall be constructed using independent measurement standards with specified absolute or relative concentrations [e.g. copy number concentration (copies/ μ l); mass ratio standards (g/kg); International system of units (SI)] that have the same or similar matrix as the test samples.

The imprecision of the calibration curve shall reflect the uncertainty of the measurements and propagate when estimating the measurement uncertainty of test sample concentrations.

If RNA is analysed, the calibration standards shall contain RNA and be pre-treated in the same way as the test samples, i.e. including a reverse transcription step. If circular DNA is analysed, it shall be linearized to remove any supercoiling. If the test sample is single stranded DNA (ssDNA) (e.g. cDNA), the measurement standards should either be single stranded DNA or the calibration curve formula should be amended to reflect the C_q difference of 1 unit as the ssDNA template is not amplified in the first PCR cycle^[2].

Calibration solutions shall be evenly spread across the concentration range, and preferably extend beyond it^[3]. A minimum of 5 different concentrations should be used, each in at least duplicate.

Concentrations of test samples are estimated from the calibration curve. The concentration (c_i) is estimated using [Formula \(1\)](#):

$$\log_{10} c_i = \frac{C_q - a}{b} \quad (1)$$

where

C_q is the C_q of the test sample;

a is the intercept of the calibration curve;

b is the slope.

NOTE "Absolute quantification" is frequently used by instrument manufacturers referring to the estimation of concentrations of unknown samples by means of a calibration curve. This usage of the term "absolute quantification" is incorrect, as the calibration curve is constructed using calibration solutions of known concentrations.