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**Elektronski podpisi in infrastruktura (ESI) - Digitalni podpisi PAdES - 1. del:
Gradniki in izhodiščni podpisi PAdES**

Electronic Signatures and Infrastructures (ESI) - PAdES digital signatures - Part 1:
Building blocks and PAdES baseline signatures

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**Electronic Signatures and Infrastructures (ESI);
PAdES digital signatures;
Part 1: Building blocks and PAdES baseline signatures**

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Contents

Intellectual Property Rights	4
Foreword.....	4
Modal verbs terminology.....	5
Introduction	5
1 Scope	6
2 References	6
2.1 Normative references	6
2.2 Informative references.....	7
3 Definition of terms, symbols and abbreviations.....	7
3.1 Terms.....	7
3.2 Symbols.....	8
3.3 Abbreviations	8
4 General syntax.....	8
4.1 General requirements for PAdES signatures based on PDF signatures.....	8
5 Attributes syntax and semantics	9
5.1 Introduction	9
5.2 CMS and CADES defined attributes.....	9
5.3 ISO 32000-1 defined attributes	10
5.4 Validation data and archive validation data attributes.....	10
5.4.1 Overview	10
5.4.2 Document Security Store	12
5.4.2.1 Catalog	12
5.4.2.2 DSS Dictionary	12
5.4.2.3 Signature VRI Dictionary	13
5.4.3 Document Time-stamp	14
5.5 Requirements on encryption.....	14
5.6 Extensions dictionary	15
6 PAdES baseline signatures.....	15
6.1 Signature levels	15
6.2 General requirements for PAdES baseline signatures	16
6.2.1 Algorithm requirements.....	16
6.2.2 Notation for requirements.....	16
6.3 PAdES baseline signatures	18
6.4 Legacy PAdES baseline signatures	22
Annex A (informative): Change history	23
History	24

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Foreword

This draft European Standard (EN) has been produced by ETSI Technical Committee Electronic Signatures and Infrastructures (ESI), and is now submitted for the combined Public Enquiry and Vote phase of the ETSI standards EN Approval Procedure.

The present document is part 1 of a multi-part deliverable covering the PDF digital signatures (PADES), as identified below:

ETSI EN 319 142-1: "**Building blocks and PADES baseline signatures**";

ETSI EN 319 142-2: "Additional PADES signatures profiles".

ETSI TS 119 142-3: "PADES Document Time-stamp digital signatures (PADES-DTS)".

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Modal verbs terminology

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Introduction

Electronic commerce has emerged as a frequent way of doing business between companies across local, wide area and global networks. Trust in this way of doing business is essential for the success and continued development of electronic commerce. It is therefore important that companies using this electronic means of doing business have suitable security controls and mechanisms in place to protect their transactions and to ensure trust and confidence with their business partners. In this respect digital signatures are an important security component that can be used to protect information and provide trust in electronic business.

The present document is intended to cover digital signatures supported by PKI and public key certificates, and aims to meet the general requirements of the international community to provide trust and confidence in electronic transactions, including, amongst other, applicable requirements from Regulation (EU) No 910/2014 [i.2].

The present document can be used for any transaction between an individual and a company, between two companies, between an individual and a governmental body, etc. The present document is independent of any environment. It can be applied to any environment e.g. smart cards, SIM cards, special programs for electronic signatures, etc.

The present document is part of a rationalized framework of standards (see ETSI TR 119 000 [i.3]).

ETSI TR 119 100 [i.4] provides guidance on how to use the present document within the aforementioned framework.

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1 Scope

The present document specifies PAdES digital signatures. PAdES signatures build on PDF signatures specified in ISO 32000-1 [1] with an alternative signature encoding to support digital signature formats equivalent to the signature format CAdES as specified in ETSI EN 319 122-1 [2], by incorporation of signed and unsigned attributes, which fulfil certain common requirements (such as the long term validity of digital signatures) in a number of use cases.

The present document specifies formats for PAdES baseline signatures, which provide the basic features necessary for a wide range of business and governmental use cases for electronic procedures and communications to be applicable to a wide range of communities when there is a clear need for interoperability of digital signatures used in electronic documents.

The present document defines four levels of PAdES baseline signatures addressing incremental requirements to maintain the validity of the signatures over the long term, in a way that a certain level always addresses all the requirements addressed at levels that are below it. Each level requires the presence of certain PAdES attributes, suitably profiled for reducing the optionality as much as possible.

Procedures for creation, augmentation, and validation of PAdES digital signatures are out of scope and specified in ETSI EN 319 102-1 [i.5]. Guidance on creation, augmentation and validation of PAdES digital signatures including the usage of the different attributes defined in the present document is provided in ETSI TR 119 100 [i.4]. The present document aims at supporting electronic signatures in different regulatory frameworks.

NOTE: Specifically but not exclusively, PAdES digital signatures specified in the present document aim at supporting electronic signatures, advanced electronic signatures, qualified electronic signatures, electronic seals, advanced electronic seals, and qualified electronic seals as per Regulation (EU) No 910/2014 [i.2].

2 References

2.1 Normative references

References are either specific (identified by date of publication and/or edition number or version number) or non-specific. For specific references, only the cited version applies. For non-specific references, the latest version of the referenced document (including any amendments) applies.

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The following referenced documents are necessary for the application of the present document.

- [1] [ISO 32000-1](#): "Document management - Portable document format - Part 1: PDF 1.7".
- [2] [ETSI EN 319 122-1](#): "Electronic Signatures and Infrastructures (ESI); CAdES digital signatures; Part 1: Building blocks and CAdES baseline signatures".
- [3] [IETF RFC 5652 \(2009\)](#): "Cryptographic Message Syntax (CMS)".
- [4] [IETF RFC 5280 \(2008\)](#): "Internet X.509 Public Key Infrastructure Certificate and Certificate Revocation List (CRL) Profile".
- [5] [IETF RFC 6960 \(2013\)](#): "X.509 Internet Public Key Infrastructure Online Certificate Status Protocol - OCSP".
- [6] [IETF RFC 3161 \(2001\)](#): "Internet X.509 Public Key Infrastructure Time-Stamp Protocol (TSP)".
- [7] W3C® Recommendation (May 2008): "[Canonical XML Version 1.1](#)".
- [8] [IETF RFC 5816 \(2010\)](#): "ESSCertIDv2 Update for RFC 3161".

2.2 Informative references

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The following referenced documents are not necessary for the application of the present document but they assist the user with regard to a particular subject area.

- [i.1] ETSI TS 101 533-1: "Electronic Signatures and Infrastructures (ESI); Data Preservation Systems Security; Part 1: Requirements for Implementation and Management".
- [i.2] [Regulation \(EU\) No 910/2014](#) of the European Parliament and of the Council of 23 July 2014 on electronic identification and trust services for electronic transactions in the internal market and repealing Directive 1999/93/EC.
- [i.3] ETSI TR 119 000: "Electronic Signatures and Infrastructures (ESI); The framework for standardization of digital signatures and trust services; Overview".
- [i.4] ETSI TR 119 100: "Electronic Signatures and Infrastructures (ESI); Guidance on the use of standards for signature creation and validation".
- [i.5] ETSI EN 319 102-1: "Electronic Signatures and Infrastructures (ESI); Procedures for Creation and Validation of AdES Digital Signatures; Part 1: Creation and Validation".
- [i.6] ETSI TS 119 312: "Electronic Signatures and Infrastructures (ESI); Cryptographic Suites".
- [i.7] Adobe® XFA: "XML Forms Architecture (XFA) Specification" version 2.5, (June 2007), Adobe Systems Incorporated".
- [i.8] ETSI TS 103 172: "Electronic Signatures and Infrastructures (ESI); PAdES Baseline Profile".
- [i.9] IETF RFC 2315 (1998): "PKCS #7: Cryptographic Message Syntax Version 1.5".
- [i.10] ETSI TS 119 612: "Electronic Signatures and Infrastructures (ESI); Trusted Lists".
- [i.11] ETSI EN 319 142-2: "Electronic Signatures and Infrastructures (ESI); PAdES digital signatures; Part 2: Additional PAdES signatures profiles".
- [i.12] ETSI TR 119 001: "Electronic Signatures and Infrastructures (ESI); The framework for standardization of signatures; Definitions and abbreviations".

3 Definition of terms, symbols and abbreviations

3.1 Terms

For the purposes of the present document, the terms given in ISO 32000-1 [1], ETSI TR 119 001 [i.12] and the following apply:

digital signature: data appended to, or a cryptographic transformation of a data unit that allows a recipient of the data unit to prove the source and integrity of the data unit and protect against forgery, e.g. by the recipient

digital signature value: result of the cryptographic transformation of a data unit that allows a recipient of the data unit to prove the source and integrity of the data unit and protect against forgery, e.g. by the recipient

electronic time-stamp: data in electronic form which binds other electronic data to a particular time establishing evidence that these data existed at that time

generator: any party which creates, or augments a digital signature

NOTE: This can be the signer or any party that initially validates or further maintains the signature.

Legacy PAdES baseline signature: digital signature generated according to ETSI TS 103 172 [i.8]

PAdES signature: digital signature that satisfies the requirements specified within the present document or ETSI EN 319 142-2 [i.11]

proof of existence: evidence that proves that an object existed at a specific date/time

signature augmentation policy: set of rules, applicable to one or more digital signatures, that defines the technical and procedural requirements for their augmentation, in order to meet a particular business need, and under which the digital signature(s) can be determined to be conformant

signature creation policy: set of rules, applicable to one or more digital signatures, that defines the technical and procedural requirements for their creation, in order to meet a particular business need, and under which the digital signature(s) can be determined to be conformant

signature handler: software application, or part of a software application, that knows how to perform digital signature operations (e.g. signing and/or validating) in conformance with ISO 32000-1 [1] and the requirements of the appropriate profile

signature policy: signature creation policy, signature augmentation policy, signature validation policy or any combination thereof, applicable to the same signature or set of signatures

signature validation policy: set of rules, applicable to one or more digital signatures, that defines the technical and procedural requirements for their validation, in order to meet a particular business need, and under which the digital signature(s) can be determined to be valid

trust service provider: natural or legal person who provides one or more trust services

validation data: data that is used to validate a digital signature

verifier: entity that wants to validate or verify a digital signature

3.2 Symbols

Void.

3.3 Abbreviations

For the purposes of the present document, the abbreviations given in ETSI TR 119 001 [i.12] and the following apply:

DSS	Document Security Store
ESS	Enhanced Security Services
TSL	Trust Status List
VRI	Validation Related Information

4 General syntax

4.1 General requirements for PAdES signatures based on PDF signatures

PAdES signatures profiled in the present document build on PDF signatures specified in ISO 32000-1 [1] with an alternative signature encoding to support digital signature formats equivalent to the signature format CAdES [2], by incorporation of signed and unsigned attributes described in clause 5.