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Foreword

This Technical Specification has been produced by the 3rd Generation Partnership Project (3GPP).

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1 Scope

The present document provides an overview and overall description of the NG-RAN and focuses on the radio interface protocol architecture of NR connected to 5GC (E-UTRA connected to 5GC is covered in the 36 series). Details of the radio interface protocols are specified in companion specifications of the 38 series.

2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

- [1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
- [2] 3GPP TS 36.300: "Evolved Universal Terrestrial Radio Access (E-UTRA) and Evolved Universal Terrestrial Radio Access Network (E-UTRAN); Overall description; Stage 2".
- [3] 3GPP TS 23.501: "System Architecture for the 5G System; Stage 2".
- [4] 3GPP TS 38.401: "NG-RAN; Architecture description".
- [5] 3GPP TS 33.501: "Security Architecture and Procedures for 5G System".
- [6] 3GPP TS 38.321: "NR; Medium Access Control (MAC) protocol specification".
- [7] 3GPP TS 38.322: "NR; Radio Link Control (RLC) protocol specification".
- [8] 3GPP TS 38.323: "NR; Packet Data Convergence Protocol (PDCP) specification".
- [9] 3GPP TS 37.324: "E-UTRA and NR; Service Data Protocol (SDAP) specification".
- [10] 3GPP TS 38.304: "NR; User Equipment (UE) procedures in Idle mode and RRC Inactive state".
- [11] 3GPP TS 38.306: "NR; User Equipment (UE) radio access capabilities".
- [12] 3GPP TS 38.331: "NR; Radio Resource Control (RRC); Protocol specification".
- [13] 3GPP TS 38.133: "NR; Requirements for support of radio resource management".
- [14] 3GPP TS 22.168: "Earthquake and Tsunami Warning System (ETWS) requirements; Stage 1".
- [15] 3GPP TS 22.268: "Public Warning System (PWS) Requirements".
- [16] 3GPP TS 38.410: "NG-RAN; NG general aspects and principles".
- [17] 3GPP TS 38.420: "NG-RAN; Xn general aspects and principles".
- [18] 3GPP TS 38.101-1: "NR; User Equipment (UE) radio transmission and reception; Part 1: Range 1 Standalone".
- [19] 3GPP TS 22.261: "Service requirements for next generation new services and markets".
- [20] 3GPP TS 38.202: "NR; Physical layer services provided by the physical layer".
- [21] 3GPP TS 37.340: "NR; Multi-connectivity; Overall description; Stage-2".

- [22] 3GPP TS 23.502: "Procedures for the 5G System; Stage 2".
- [23] IETF RFC 4960 (2007-09): "Stream Control Transmission Protocol".
- [24] 3GPP TS 26.114: "Technical Specification Group Services and System Aspects; IP Multimedia Subsystem (IMS); Multimedia Telephony; Media handling and interaction".
- [25] Void.
- [26] 3GPP TS 38.413: "NG-RAN; NG Application Protocol (NGAP)".
- [27] IETF RFC 3168 (09/2001): "The Addition of Explicit Congestion Notification (ECN) to IP".
- [28] 3GPP TS 24.501: "NR; Non-Access-Stratum (NAS) protocol for 5G System (5GS)".
- [29] 3GPP TS 36.331: "Evolved Universal Terrestrial Radio Access (E-UTRA); Radio Resource Control (RRC); Protocol specification".
- [30] 3GPP TS 38.415: "NG-RAN; PDU Session User Plane Protocol".
- [31] 3GPP TS 38.340: "NR; Backhaul Adaptation Protocol (BAP) specification".
- [32] 3GPP TS 38.470: "NG-RAN; F1 application protocol (F1AP) ".
- [33] 3GPP TS 38.425: "NG-RAN; NR user plane protocol".
- [34] 3GPP TS 23.216: "Single Radio Voice Call Continuity (SRVCC); Stage 2".
- [35] 3GPP TS 38.101-2: "User Equipment (UE) radio transmission and reception; Part 2: Range 2 Standalone".
- [36] 3GPP TS 38.101-3: "User Equipment (UE) radio transmission and reception; Part 3: Range 1 and Range 2 Interworking operation with other radios".
- [37] 3GPP TS 37.213: "Physical layer procedures for shared spectrum channel access".
- [38] 3GPP TS 38.213: "NR; Physical layer procedures for control".
- [39] 3GPP TS 22.104 "Service requirements for cyber-physical control applications in vertical domains".
- [40] 3GPP TS 23.287: "Architecture enhancements for 5G System (5GS) to support Vehicle-to-Everything (V2X) services".
- [41] 3GPP TS 23.285: "Technical Specification Group Services and System Aspects; Architecture enhancements for V2X services".
- [42] 3GPP TS 38.305: "NG Radio Access Network (NG-RAN); Stage 2 functional specification of User Equipment (UE) positioning in NG-RAN".
- [43] 3GPP TS 37.355: "LTE Positioning Protocol (LPP)".
- [44] 3GPP TS 29.002: "Mobile Application Part (MAP) specification".
- [45] 3GPP TS 23.247: "Architectural enhancements for 5G multicast-broadcast services; Stage 2".
- [46] 3GPP TS 26.346 "Multimedia Broadcast/Multicast Service (MBMS); Protocols and codecs".
- [47] 3GPP TS 23.122: "Non-Access-Stratum (NAS) functions related to Mobile Station (MS) in idle mode".
- [48] 3GPP TS 23.304: "Proximity based Services (ProSe) in the 5G System (5GS)".
- [49] 3GPP TS 28.541: "5G Network Resource Model (NRM)".
- [50] 3GPP TS 38.423: "NG-RAN; Xn Application Protocol (XnAP)".

- [51] NIMA TR 8350.2, Third Edition, Amendment 1, 3 January 2000: "DEPARTMENT OF DEFENSE WORLD GEODETIC SYSTEM 1984".
- [52] 3GPP TS 38.211: "NR; Physical channels and modulation".
- [53] 3GPP TS 24.587: "Vehicle-to-Everything (V2X) services in 5G System (5GS)".
- [54] 3GPP TS 23.041: "Technical realization of Cell Broadcast Service (CBS)".

3 Abbreviations and Definitions

3.1 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1], in TS 36.300 [2] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1] and TS 36.300 [2].

5GC	5G Core Network
5GS	5G System
5QI	5G QoS Identifier
A-CSI	Aperiodic CSI
AGC	Automatic Gain Control
AKA	Authentication and Key Agreement
AMBR	Aggregate Maximum Bit Rate
AMC	Adaptive Modulation and Coding
AMF	Access and Mobility Management Function
ARP	Allocation and Retention Priority
BA	Bandwidth Adaptation
BCCH	Broadcast Control Channel
BCH	Broadcast Channel
BFD	Beam Failure Detection
BH	Backhaul
BL	Bandwidth reduced Low complexity
BPSK	Binary Phase Shift Keying
C-RNTI	Cell RNTI
CAG	Closed Access Group
CAPC	Channel Access Priority Class
CBRA	Contention Based Random Access
CCE	Control Channel Element
CD-SSB	Cell Defining SSB
CFR	Common Frequency Resource
CFRA	Contention Free Random Access
CG	Configured Grant
CHO	Conditional Handover
CIoT	Cellular Internet of Things
CLI	Cross Link interference
CMAS	Commercial Mobile Alert Service
CORESET	Control Resource Set
CP	Cyclic Prefix
CPA	Conditional PSCell Addition
CPC	Conditional PSCell Change
DAG	Directed Acyclic Graph
DAPS	Dual Active Protocol Stack
DFT	Discrete Fourier Transform
DCI	Downlink Control Information
DCP	DCI with CRC scrambled by PS-RNTI
DL-AoD	Downlink Angle-of-Departure
DL-SCH	Downlink Shared Channel
DL-TDOA	Downlink Time Difference Of Arrival
DMRS	Demodulation Reference Signal