



Designation: D4583 – 95(Reapproved 2009)

Standard Practice for Carbon Black—Calculation of Process Indexes From an Analysis of Process Control Data¹

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1. Scope

1.1 This practice covers (1) a statistical procedure for analyzing the test data generated in the statistical process control of a carbon black manufacturing process; (2) a format for reporting process capability determined from the analysis of control chart data of an individual production run, and (3) a format for reporting process performance determined from the analysis of control chart data of an individual production run.

1.2 This practice specifically applies to the analysis of pelleted carbon black samples taken during the manufacturing process prior to storage. This practice does not apply to shipment samples taken from hopper cars or other containers or packages.

1.3 This practice is specifically designed to be used for those test methods given in Classification D1765 which specify target values. However, these techniques are applicable to other test methods on carbon black.

1.4 This practice describes the calculation for two methods of determining capability factors from an analysis of process control data.

1.4.1 Process capability (C_p) is a measurement of variation calculated from the process control chart data with the use of an estimated standard deviation ($\hat{\sigma}$) from the mean value of the moving range (R) chart. The calculation of the process capability (C_p and C_{pk}) indexes can be used as historical information or to predict future performance of the process, but are only valid when the process is in a state of statistical control.

1.4.2 Process performance (P_p) is a measurement of variation calculated from the process control chart data using sample standard deviation(s). The calculation of the process performance (P_p and P_{pk}) indexes are used for a historical reference of a process' performance and does not require a state of statistical control.

¹ This practice is under the jurisdiction of ASTM Committee D24 on Carbon Black and is the direct responsibility of Subcommittee D24.61 on Carbon Black Sampling and Statistical Analysis.

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2. Referenced Documents

2.1 ASTM Standards:²

D1765 Classification System for Carbon Blacks Used in Rubber Products

3. Terminology

3.1 Definitions of Terms Specific to This Standard:⁴

3.1.1 *average moving range* (\bar{R})—the arithmetic mean of n moving ranges, $\bar{R} = \sum R/n$.

3.1.2 *Cpk index*—an index that indicates how well the common cause process variability is actually contained within the specifications. (See 6.4.)

3.1.3 *moving range* (R)—the absolute difference between consecutive, individual test values.

3.1.4 *Ppk index*—indicates how well the common and special cause process variability is actually contained within the specifications. (See 6.6.)

3.1.5 *process capability index* (C_p)—an index that compares the magnitude of common cause process variability to the range of upper and lower specification limits (USL and LSL) without regard to where the process is centered; C_p index = $(USL - LSL)/(6\hat{\sigma})$. (See 6.3.)

3.1.6 *process performance index* (P_p)—an index that compares the magnitude of common and special cause process variability to the range of the upper and lower specification limits (USL and LSL) without regard to where the process is centered; P_p index = $(USL - LSL)/(6s)$. (See 6.5.)

4. Significance and Use

4.1 This practice will provide the following: (1) a statistical summary of individual production run data plotted on a control

² For referenced ASTM standards, visit the ASTM website, www.astm.org, or contact ASTM Customer Service at service@astm.org. For *Annual Book of ASTM Standards* volume information, refer to the standard's Document Summary page on the ASTM website.

³ *Manual on Presentation of Data and Control Chart Analysis, STP 15D*, ASTM International, 1976.

⁴ *Ford Motor Company Manual on "Process Capability and Continuing Process Control,"* Publication No. 80-01-251. Available in packs of five from Ford Motor Company, Statistical Methods Publications, P.O. Box 1000, Plymouth, MI 48170.

recommended for estimating a process capability standard deviation. In that case, the number (n) of moving ranges averaged will be 29.

5.2.2 Calculate C_p and C_{pk} as shown in 6.3 and 6.4. The C_p index and the C_{pk} index must be greater than 1.00 in order to indicate the capability of the process to meet the established specifications. The C_{pk} index is inherently less than or equal to the C_p index (for one-sided specifications, only the C_{pk} index is applicable).

5.2.3 The C_p index indicates whether or not the process is capable of meeting a specification. Maximum performance is achieved when the process is perfectly centered and the C_{pk} is equal to the C_p .

5.2.4 In comparing one manufacturing unit to another, the larger Cpk index demonstrates a greater capability to conform to the specification range of the specified property.

5.2.5 A *process capability summary* can be used to evaluate a production unit over a period of time such as weekly, monthly, or quarterly. (See Fig. 1.) After each production run, or after 30 or so tests, the values of n , \bar{x} , \pm difference from target, MR , $3\hat{\sigma}$, C_p , and C_{pk} are recorded on the summary form. At the conclusion of the appropriate time interval, the grand average

$$(\bar{\bar{x}}),$$

average difference from target, average C_p , and average C_{pk} can be displayed at the foot of the appropriate column. These

PROCESS CAPABILITY SUMMARY

MATERIAL SPEC: _____

RANGE: _____

TARGET: _____

Production Period	\bar{x}	\bar{y}	Difference \pm	\overline{MP}	3σ	C_p	C_{pk}
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