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Contents

Foreword

This Technical Specification has been produced by the 3rd Generation Partnership Project (3GPP).

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Introduction

The present document has been produced by the 3GPP TSG SA to standardise Lawful Interception of telecommunications. The present document describes protocols and procedures for Lawful Interception based on 3GPP specifications. These protocols and procedures cover both internal 3GPP interfaces (those required to intercept communications and manage interception within a 3GPP network) and external handover interfaces (those used for delivery of intercepted communications to Law Enforcement, or handling of warrants).

Lawful Interception needs to be done in accordance with the applicable national or regional laws and technical regulations. Such national laws and regulations define the extent to which capabilities in the present document are applicable in specific jurisdictions.

1 Scope

The present document specifies the protocols and procedures required to perform Lawful Interception within a 3GPP network. The present document addresses both internal interfaces used internally with a 3GPP network and external handover interfaces used to handover intercepted communications to law enforcement.

The present document describes the detailed targeting of communications in each point of interception within a 3GPP network and the information that a point of interception needs to be able to capture. Furthermore, the detailed data formats for both the internal and external interfaces are also defined.

National regulations determine the applicable set of information that needs to be handed over or excluded from handover to law enforcement for a given 3GPP operator service.

2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

[1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".

[2] 3GPP TS 23.501: "System Architecture for the 5G System".

[3] 3GPP TS 33.126: "Lawful Interception Requirements".

[4] 3GPP TS 23.502: "Procedures for the 5G System; Stage 2".

[5] 3GPP TS 33.127: "Lawful Interception (LI) Architecture and Functions".

[6] ETSI TS 103 120: " Lawful Interception (LI); Interface for warrant information".

[7] ETSI TS 103 221-1: "Lawful Interception (LI); Internal Network Interfaces; Part 1: X1".

[8] ETSI TS 103 221-2: "Lawful Interception (LI); Internal Network Interfaces; Part 2: X2/X3".

[9] ETSI TS 102 232-1: "Lawful Interception (LI); Handover Interface and Service-Specific Details (SSD) for IP delivery; Part 1: Handover specification for IP delivery".

[10] ETSI TS 102 232-7: "Lawful Interception (LI); Handover Interface and Service-Specific Details (SSD) for IP delivery; Part 7: Service-specific details for Mobile Services".

[11] 3GPP TS 33.501: "Security Architecture and Procedures for the 5G System".

[12] 3GPP TS 33.108: "3G security; Handover interface for Lawful Interception (LI)".

[13] 3GPP TS 24.501: "Non-Access-Stratum (NAS) protocol for 5G System (5GS)".

[14] 3GPP TS 24.007: "Mobile radio interface signalling layer 3; General Aspects".

[15] 3GPP TS 29.244: "Interface between the Control Plane and the User Plane nodes".

[16] 3GPP TS 29.502: "5G System; Session Management Services; Stage 3".

[17] 3GPP TS 29.571: "5G System; Common Data Types for Service Based Interfaces; Stage 3".

[18] 3GPP TS 23.040: "Technical realization of the Short Message Service (SMS)".

[19] 3GPP TS 23.003: "Numbering, addressing and identification ".

[20] OMA-TS-MLP-V3_5-20181211-C: "Open Mobile Alliance; Mobile Location Protocol, Candidate Version 3.5", https://www.openmobilealliance.org/release/MLS/V1_4-20181211-C/OMA-TS-MLP-V3_5-20181211-C.pdf.

[21] 3GPP TS 29.540: "5G System; SMS Services; Stage 3".

[22] 3GPP TS 29.518: "5G System; Access and Mobility Management Services; Stage 3".

[23] 3GPP TS 38.413: "NG Application Protocol (NGAP)".

[24] 3GPP TS 29.572: "Location Management Services; Stage 3".

[25] 3GPP TS 29.503: "5G System; Unified Data Management Services".

[26] IETF RFC 815: "IP datagram reassembly algorithms".

[27] IETF RFC 2460: "Internet Protocol, Version 6 (IPv6) Specification".

[28] IETF RFC 793: "Transmission Control Protocol".

[29] IETF RFC 768: "User Datagram Protocol".

[30] IETF RFC 4340: "Datagram Congestion Control Protocol (DCCP)".

[31] IETF RFC 4960: "Stream Control Transmission Protocol".

[32] IANA (www.iana.org): Assigned Internet Protocol Numbers, "Protocol Numbers".

[33] IETF RFC 6437: "IPv6 Flow Label Specification".

[34] IETF RFC 791: "Internet Protocol".

[35] Open Geospatial Consortium OGC 05-010: "URNs of definitions in ogc namespace".

[36] 3GPP TS 33.107: "3G security; Lawful interception architecture and functions".

[37] 3GPP TS 37.340: "Evolved Universal Radio Access (E-UTRA) and NR-Multi-connectivity; Stage 2".

[38] 3GPP TS 36.413: "S1 Application Protocol (S1AP)".

[39] OMA-TS-MMS_ENC-V1_3-20110913-A: "Multimedia Messaging Service Encapsulation Protocol".

[40] 3GPP TS 23.140: "Multimedia Messaging Protocol. Functional Description. Stage 2".

[41] 3GPP TS 38.415: "NG-RAN; PDU Session User Plane Protocol".

[42] 3GPP TS 23.273: "5G System (5GS) Location Services (LCS); Stage 2".

[43] IETF RFC 4566: "SDP: Session Description Protocol".

[44] 3GPP TS 24.193: "Stage 3: Access Traffic Steering, Switching and Splitting (ATSSS)".

[45] 3GPP TS 29.509: "5G System; Authentication Server Services; Stage 3".

[46] 3GPP TS 24.011: "Point-to-Point (PP) Short Message Service (SMS) support on mobile radio interface".

[47] 3GPP TS 29.002: "Mobile Application Part (MAP) specification".

[48] 3GPP TS 29.504: "5G System; Unified Data Repository Services; Stage 3".

[49] 3GPP TS 29.505: "5G System; Usage of the Unified Data Repository services for Subscription Data; Stage 3".

[50] 3GPP TS 29.598: "5G System; Unstructured Data Storage Services; Stage3".

3 Definitions, symbols and abbreviations

3.1 Definitions

For the purposes of the present document, the terms and definitions given in 3GPP TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in 3GPP TR 21.905 [1].

3.2 Symbols

For the purposes of the present document, the following symbols apply:

<symbol> <Explanation>

3.3 Abbreviations

For the purposes of the present document, the abbreviations given in 3GPP TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in 3GPP TR 21.905 [1].

ADMF	LI Administration Function
CC	Content of Communication
CSP	Communication Service Provider
CUPS	Control and User Plane Separation
ICF	Identity Caching Function
IEF	Identity Event Function
IQF	Identity Query Function
IRI	Intercept Related Information
LALS	Lawful Access Location Services
LEA	Law Enforcement Agency
LEMF	Law Enforcement Monitoring Facility
LI	Lawful Interception
LICF	Lawful Interception Control Function
LI_HI1	LI_Handover Interface 1
LI_HI2	LI_Handover Interface 2
LI_HI3	LI_Handover Interface 3
LI_HI4	LI_Handover Interface 4
LI_HIQR	Lawful Interception Handover Interface Query Response
LIPF	Lawful Interception Provisioning Function
LIR	Location Immediate Request
LI_SI	Lawful Interception System Information Interface
LISSF	Lawful Interception State Storage Function
LI_ST	Lawful Interception State Transfer Interface
LI_X1	Lawful Interception Internal Interface 1
LI_X2	Lawful Interception Internal Interface 2
LI_X3	Lawful Interception Internal Interface 3
LI_XEM1	Lawful Interception Internal Interface Event Management Interface 1
LI_XER	Lawful Interception Internal Interface Event Record

LI_XQR	Lawful Interception Internal Interface Query Response
LTF	Location Triggering Function
MDF	Mediation and Delivery Function
MDF2	Mediation and Delivery Function 2
MDF3	Mediation and Delivery Function 3
MM	Multimedia Message
MMS	Multimedia Message Service
NPLI	Network Provided Location Information
O&M	Operations and Management
POI	Point Of Interception
SDP	Session Description Protocol
SIRF	System Information Retrieval Function
SOI	Start Of Interception
TF	Triggering Function
TNGF	Trusted Non-3GPP Gateway Function
TWIF	Trusted WLAN Interworking Function
xCC	LI_X3 Communications Content.
xIRI	LI_X2 Intercept Related Information

4 General

4.1 Introduction

The present document provides details of the internal and external interfaces required for a network operator, access provider and/or service provider to provide the necessary information to a Law Enforcement Agency (LEA) required to meet LI requirements. LI requirements for 3GPP networks and services are given in TS 33.126 [3].

The high-level architecture that defines the necessary interfaces is specified in TS 33.127 [5]. The generic high-level architecture is as follows:

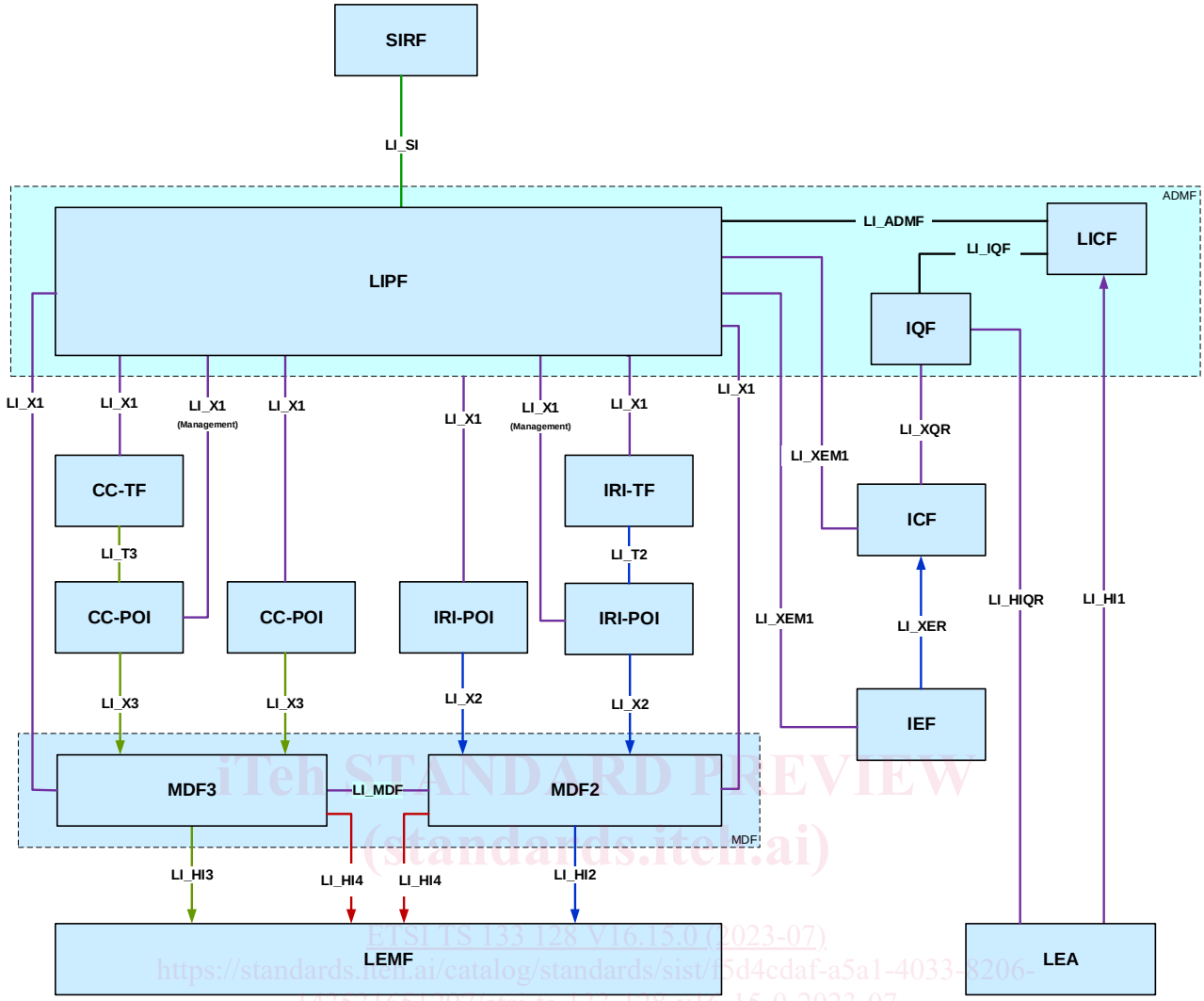

Figure 4.1-1: High-level architecture diagram with key point-to-point LI interfaces

The specification of the interfaces is split into two parts:

- Internal interfaces used between an operator's network functions are described in clause 4.2.
- External interfaces used in communicating with a LEA are described in clause 4.3.

4.2 Basic principles for internal interfaces

This clause lists the internal interfaces shown in clause 4.1, indicates the protocol used to realise each interface, and gives a reference to the relevant clauses of the present document that specify how the protocol is to be used for the given interface.

Table 4.2-1: Internal interfaces and related protocols

Interface	Description	Protocol used to realise interface	Usage
LI_SI	Used to provide system information to the LIPF from the SIRF.	Out of scope of the present document.	
LI_X1	Used to configure and audit Directly-provisioned POIs, TFs and MDFs.	ETSI TS 103 221-1 [7].	See clause 5.2.2
LI_X1 (Management)	Used to audit Triggered POIs.	ETSI TS 103 221-1 [7].	See clause 5.2.3
LI_X2	Used to pass xIRI from IRI-POIs to the MDF2.	ETSI TS 103 221-2 [8].	See clause 5.3.2
LI_X3	Used to pass xCC from CC-POIs to the MDF3.	ETSI TS 103 221-2 [8].	See clause 5.3.3
LI_T2	Used to pass triggering information from the IRI-TF to a Triggered IRI-POI.	ETSI TS 103 221-1 [7].	See clause 5.2.4
LI_T3	Used to pass triggering information from a CC-TF to a Triggered CC-POI.	ETSI TS 103 221-1 [7].	See clause 5.2.4
LI_XQR	Used to pass queries from IQF to ICF and responses from ICF to IQF.	ETSI TS 103 221-1 [7].	See clause 5.8
LI_XER	Used to pass identifier association event records from IEFs to ICF.	See Clause 5.9.	See clause 5.9
LI_XEM1	Used by the LICF/LIPF to manage IEFs and ICF.	ETSI TS 103 221-1 [7].	See clause 5.2.7
LI_ADMF	Used to pass intercept provisioning information form the LICF to the LIPF.	Out of scope of the present document.	
LI_MDF	Used by MDF2 and MDF3 in interactions necessary to correctly generate CC and IRI from xCC and xIRI.	Out of scope of the present document.	
LI_IQF	Used to pass information related to IEFs and ICF to IQF.	Out of scope of the present document.	
LI_ST	Used to transfer LI state information to and from the LISSF.	3GPP TS 29.598 [50].	See clauses 5.10 and 6.2.3.10

4.3 Basic principles for external handover interfaces

This clause lists the external handover interfaces shown in clause 4.1, indicates the protocol used to realise each interface, and gives a reference to the relevant clauses of the present document that specify how the protocol is to be used for the given interface.

Table 4.3-1: External handover interfaces and related protocols

Interface	Description	Protocol used to realise interface	Usage
LI_HI1	Used to send warrant and other interception request information from LEA to operator.	ETSI TS 103 120 [6] shall be supported. Other methods (e.g. manual exchange) may be used depending on national regulatory requirements.	See clause 5.4
LI_HI2	Used to send IRI from the MDF2 to the LEMF.	ETSI TS 102 232-1 [9] and ETSI TS 102 232-7 [10] shall be supported.	See clause 5.5
LI_HI3	Used to send CC from the MDF3 to the LEMF.	ETSI TS 102 232-1 [9] and ETSI TS 102 232-7 [10] shall be supported.	See clause 5.5
LI_HI4	Used to send LI notification information from MDF2/3 to LEMF.	ETSI TS 102 232-1 [9] and ETSI TS 102 232-7 [10] shall be supported.	See clause 5.6
LI_HIQR	Used to send warrant and other identifier association query information from LEA to CSP and used by the CSP to send query responses to the LEA.	ETSI TS 103 120 [6] shall be supported.	See clause 5.7