
**Information technology — Relayed
multicast protocol: Specification for
simplex group applications**

*Technologies de l'information — Protocole de multidiffusion relayé:
Spécification relative aux applications de groupe simplex*

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Foreword

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The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular the different approval criteria needed for the different types of document should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see www.iso.org/directives).

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This third edition cancels and replaces the second edition (ISO/IEC 16512-2:2011), which has been technically revised.

ISO/IEC 16512-2 was prepared by Joint Technical Committee ISO/IEC JTC 1, *Information technology*, in collaboration with ITU-T. The identical text is published as ITU-T X.603.1 (03/2012).

ISO/IEC 16512 consists of the following parts, under the general title *Information technology — Relayed multicast protocol*:

- *Part 1: Framework*
- *Part 2: Specification for simplex group applications*

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Introduction

This Recommendation | International Standard specifies the relayed multicast protocol part 2 (RMCP-2), which is an application-layer relayed multicast protocol for simplex group applications. RMCP-2 can construct an optimized and robust one-to-many relayed multicast delivery path over IP-based networks. Along the relayed multicast delivery path, several types of data delivery channels can be constructed according to the requirements of the application services.

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**INTERNATIONAL STANDARD
ITU-T RECOMMENDATION**

**Information technology – Relayed multicast protocol:
Specification for simplex group applications**

1 Scope

This Recommendation | International Standard specifies the relayed multicast protocol part 2 (RMCP-2), an application-layer protocol that constructs a multicast tree for data delivery from one sender to multiple receivers over an IP-based network, where IP multicast is not fully deployed. RMCP-2 defines relayed multicast data transport capabilities over IP-based networks for simplex group applications.

This Recommendation | International Standard specifies the following:

- a) descriptions of the entities, control and data delivery models of RMCP-2;
- b) description of the functions and procedures of multicast agents (MAs) to construct a one-to-many relayed data path and to relay data for simplex communication;
- c) description of the security features of the basic RMCP-2; and
- d) definitions of messages and parameters of the basic RMCP-2 and secure RMCP-2.

Annex A defines a membership authentication procedure for use with the secure RMCP-2. Annex B provides a method for sharing information among session managers (SMs) when multiple SMs are used. Annexes C-G provide informative material related to RMCP-2. Annex H contains an informative bibliography.

2 References

The following Recommendations and International Standards contain provisions which, through reference in this text, constitute provisions of this Recommendation | International Standard. At the time of publication, the editions indicated were valid. All Recommendations and Standards are subject to revision, and parties to agreements based on this Recommendation | International Standard are encouraged to investigate the possibility of applying the most recent edition of the Recommendations and Standards listed below. Members of IEC and ISO maintain registers of currently valid International Standards. The Telecommunication Standardization Bureau of the ITU maintains a list of currently valid ITU-T Recommendations.

2.1 Identical Recommendations | International Standards

- Recommendation ITU-T X.603 (2012) | ISO/IEC 16512-1:2012, Information technology – Relayed multicast protocol: Framework.

2.2 Additional references

- ISO/IEC 9797-2:2011, *Information technology – Security techniques – Message Authentication Codes (MACs) – Part 2: Mechanisms using a dedicated hash-function.*
- ISO/IEC 9798-3:1998, *Information technology – Security techniques – Entity authentication – Part 3: Mechanisms using digital signature techniques.*
- ISO/IEC 18033-2:2006, *Information technology – Security techniques – Encryption algorithms – Part 2: Asymmetric ciphers.*
- ISO/IEC 18033-3:2010, *Information technology – Security techniques – Encryption algorithms – Part 3: Block ciphers.*
- ISO/IEC 18033-4:2011, *Information technology – Security techniques – Encryption algorithms – Part 4: Stream ciphers.*
- IETF RFC 768 (1980), *User Datagram Protocol.*
- IETF RFC 793 (1981), *Transmission Control Protocol.*
- IETF RFC 2003 (1996), *IP Encapsulation within IP.*
- IETF RFC 3830 (2004), *MIKEY: Multimedia Internet KEYing.*
- IETF RFC 4279 (2005), *Pre-Shared Key Ciphersuites for Transport Layer Security (TLS).*
- IETF RFC 4535 (2006), *GSAKMP: Group Secure Association Key Management Protocol.*

- IETF RFC 4960 (2007), *Stream Control Transmission Protocol*.
- IETF RFC 5246 (2008), *The Transport Layer Security (TLS) Protocol Version 1.2*.
- IETF RFC 6066 (2011), *Transport Layer Security (TLS) Extensions: Extension Definitions*.

3 Definitions

For the purposes of this Recommendation | International Standard, the following definitions apply.

3.1 Terms defined elsewhere

The following terms are defined in Rec. ITU-T X.603 | ISO/IEC 16512-1:

- 3.1.1 IP multicast:** Realizes a multicast scheme in an IP network with the help of multicast-enabled IP routers.
- 3.1.2 multicast:** A data delivery scheme where the same data unit is transmitted from a single source to multiple destinations in a single invocation of service.
- 3.1.3 multicast agent (MA):** An intermediate node which relays group application data.
- 3.1.4 relayed multicast protocol (RMCP):** A protocol to realize the relayed multicast scheme using end hosts.
- 3.1.5 simplex:** Wherein only one sender is send-only and all others are receive-only.
- 3.1.6 session manager (SM):** A relayed multicast protocol (RMCP) entity that is responsible for the overall RMCP operations.

3.2 Terms defined in this Recommendation

This Recommendation | International Standard defines the following terms:

- 3.2.1 basic RMCP-2:** The relayed multicast protocol for simplex group applications, defined in clause 7.
- 3.2.2 candidate HMA:** The MA that is able to assume the role of an HMA, when the original HMA leaves or is terminated. In the basic RMCP-2, the MA indicates the RMA while it indicates the DMA in a secure RMCP-2.
- 3.2.3 child multicast agent (CMA):** The next downstream MA in the RMCP-2 data delivery path.
- 3.2.4 closed group:** A member multicast (MM) group in which all the RMAs have been allocated a service user identifier from the content provider before subscribing to the secure RMCP-2 session.
- 3.2.5 dedicated multicast agent (DMA):** An intermediate MA pre-deployed as a trust server by the session manager (SM) in an RMCP-2 session.
- 3.2.6 group attribute (GP_ATTRIBUTE):** An attribute that defines whether or not the content provider controls the admission of RMAs to the secure RMCP-2 session.
- 3.2.7 head multicast agent (HMA):** A representative of the MA inside a local network where the multicast is enabled.
- 3.2.8 member multicast region (MM region):** A management zone defined by the use of one or more group keys Kg.
- 3.2.9 member multicast group (MM group):**
 - 1) (in unicast network) a group consisting of one DMA and multiple RMAs sharing the same group key Kg.
 - 2) (in multicast network) a group consisting of one HMA, multiple RMAs together with one or more candidate HMAs sharing the same group key Kg.
- 3.2.10 multicast agent ID (MAID):** A 64-bit value that identifies the MA. MAID consists of the local IP address, port number and serial number.
- 3.2.11 open group:** An MM group in which none of the RMAs require a service user identifier before subscribing to the secure RMCP-2 session.
- 3.2.12 parent multicast agent (PMA):** The next upstream MA in the RMCP-2 data delivery path.
- 3.2.13 pseudo-HB message:** An HB message that indicates a fault in the delivery path of the RMCP-2 tree. The originator of a pseudo-HB message is the MA that discovers this fault.

- 3.2.14 receiver multicast agent (RMA):** The MA attached to the receiving application in the same system or local network.
- 3.2.15 regular HB message:** An HB message that is relayed without interruption along the path of the RMCP-2 tree from the SMA to the receiver of the message. The originator of a regular HB message is the SMA.
- 3.2.16 relayed multicast:** A multicast data delivery scheme that can be used in unicast environments, which is based on the intermediate nodes to relay multicast data from the media server to media players over a logically configured network.
- 3.2.17 relayed multicast region (RM region):** A management zone defined by the use of the session key Ks.
- 3.2.18 RMCP-2:** A relayed multicast protocol for simplex group communication applications.
- 3.2.19 RMCP-2 session:** A session which provides a certain RMCP-2 service.
- 3.2.20 secure RMCP-2 protocol:** The relayed multicast protocol supporting the security features for simplex group applications defined in clause 8.
- 3.2.21 security policy:** The set of criteria for the provision of security services, together with the set of values for these criteria, resulting from agreement of the security mechanisms defined in clause 8.1.4.
- 3.2.22 sender multicast agent (SMA):** The MA attached to the sender in the same system or local network.
- 3.2.23 session ID (SID):** A 64-bit value that identifies the RMCP-2 session. SID is a combination of the local IP address of the session manager (SM) and the group address of the session.
- 3.2.24 TLS_CERT mode:** A mode of transport layer security (TLS) defined in IETF RFC 5246 for the authentication of MAs using a certificate.
- 3.2.25 TLS_PSK mode:** A mode of transport layer security (TLS) defined in IETF RFC 4279 for the authentication of MAs using a pre-shared key for the TLS key exchange.

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4 Abbreviations and acronyms

For the purposes of this Recommendation | International Standard, the following abbreviations apply.

ACL	Access Control List
AUTH	Authentication
CEK	Content Encryption Key
CGSPRBG	Cryptographically Secure Pseudo-Random Bit Generator
CMA	Child Multicast Agent
CP	Content Provider
DMA	Dedicated Multicast Agent
FAILCHECK	Failure check request message
HANNOUNCE	HMA announce message
HB	Heartbeat message
HLEAVE	HMA Leave message
HMA	Head Multicast Agent
HRSANS	Head Required Security Answer
HRSREQ	Head Required Security Request
HSOLICIT	HMA Solicit message
IP-IP	IP in IP
KEYDELIVER	Key Delivery
LEAVANS	Leave Answer message
LEAVREQ	Leave Request message

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MA	Multicast Agent
MAID	Multicast Agent Identification
MM	Member Multicast
PMA	Parent Multicast Agent
PPROBANS	Parent Probe Answer message
PPROBREQ	Parent Probe Request message
RELANS	Relay Answer message
RELREQ	Relay Request message
RMA	Receiver Multicast Agent
RMCP	Relayed Multicast Protocol
RTT	Round Trip Time
SDP	Session Description Protocol
SDU	Service Data Unit
SECAGANS	Security Agreement Answer
SECAGREQ	Security Agreement Request
SECALGREQ	Security Algorithms Request
SECLIST	selected Security LIST
SID	(RMCP-2) Session Identification
SINFO	Session Information
SM	Session Manager
SMA	Sender Multicast Agent
SMNOTI	SM Notification
STANS	Status report Answer message
STCOLANS	Status report Collect Answer message
STCOLREQ	Status report Collect Request message
STREQ	Status report Request message
SUBSANS	Subscription Answer message
SUBSREQ	Subscription Request message
TCP	Transmission Control Protocol
TERMANS	Termination Answer message
TERMREQ	Termination Request message
TLS	Transport Layer Security
UDP	User Datagram Protocol

5 Conventions

Code values for message parameters in clause 9 (RMCP-2 messages) and clause 10 (Parameters) are expressed in hexadecimal notation, e.g., 0x14 for 20 in decimal notation.

6 Overview

The RMCP-2 is an application-level protocol for providing efficient simplex group communication services over IP-network environment which does not have full deployment of IP multicast. This clause gives an overview of the basic RMCP-2 service, entities, protocol and control/data modules, simplex data delivery model, and message types. In addition to the overview of basic RMCP-2, this clause provides the overview of secure RMCP-2 as well. The basic RMCP-2 refers to the relayed multicast protocol for simplex group applications. The secure RMCP-2 refers to the relayed multicast protocol supporting security features for basic RMCP-2.

6.1 Overview of basic RMCP-2

6.1.1 RMCP-2 service

The RMCP-2 is an application-layer multicast protocol that supports simplex group communication services in IP networks without full deployment of IP multicast. In the simplex group communication services, user data is delivered from a single sender to multiple recipients. To support the simplex group communication, the RMCP-2 uses the relayed multicast mechanism. The RMCP-2 entities configure the data delivery path for simplex group communication. The RMCP-2 entities relay multicast data to other RMCP-2 entities along the constructed data delivery path. The RMCP-2 can support various application services that require simplex group communication, such as multimedia streaming services, file distribution services, e-learning, etc.

Figure 1 shows a typical service model of the RMCP-2 for supporting simplex group communication services in both unicast and multicast network. In RMCP-2, the local network where IP multicast capability is deployed is called a multicast network. One such example of a multicast network is a campus network with the IP multicast capability deployed. For multicast networks, the RMCP-2 constructs a multicast transport connection. In RMCP-2, the network without an IP multicast capability is called a unicast network. For unicast networks, the RMCP-2 constructs a unicast transport connection between MAs. Thus, it is possible for RMCP-2 to deliver multicast data to applications in both a unicast network and multicast network.

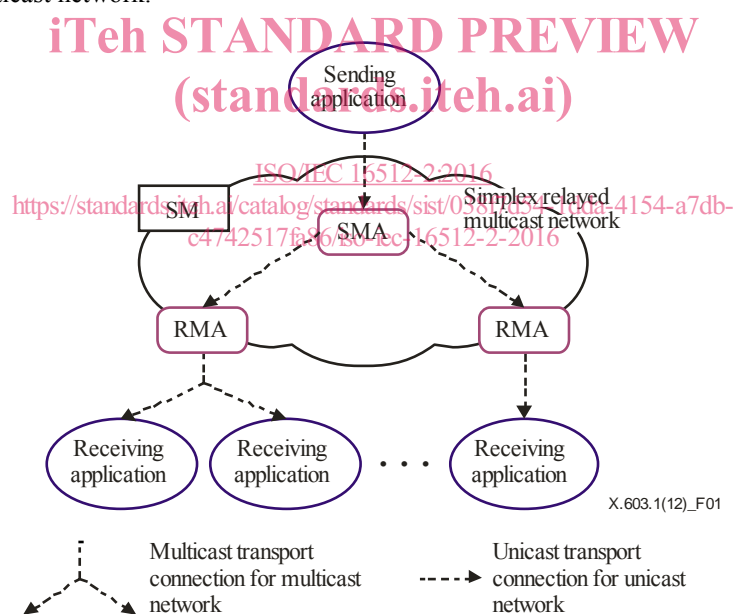


Figure 1 – RMCP-2 service model

The entities of the RMCP-2 are multicast agents (MAs) and a session manager (SM). The SM manages the group membership and RMCP-2 session by providing the configuration-related information to the MA to construct a simplex relayed multicast network, and by monitoring the RMCP-2 session. The MA is an intermediate node that delivers multicast data.

The following features of the RMCP-2 support the simplex group communication:

- The RMCP-2 constructs a logical control path for each RMCP-2 session by using one or more MAs.
- The control path is the basis of the data delivery path, which supports the delivery of multicast data in a reliable or real-time manner.
- The control path consists of logical links between MAs.

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- d) The RMCP-2 has the capability of selecting optimal peers to configure logical links. The selection of optimal peers may be based on various metrics. Examples of such metrics include hop count, delay, and/or bandwidth.
- e) The RMCP-2 supports group communication using IP multicasting.
- f) The RMCP-2 allows MAs to join or leave at any time during the RMCP-2 session.
- g) The RMCP-2 manages the MAs of RMCP-2 session by using membership monitoring and expulsion.
- h) The RMCP-2 provides an auto-configuration mechanism in constructing the data delivery path for the simplex group communication.
- i) The RMCP-2 supports network fault detection and service recovery.

6.1.2 RMCP-2 entities

This clause provides a description of RMCP-2 entities, these are SM and MA. The RMCP-2 entities follow the same definition as defined in Rec. ITU-T X.603 | ISO/IEC 16512-1. The SM manages group membership and RMCP-2 sessions. The MA constructs a multicast data delivery path between senders and receivers and relays the multicast data along the constructed path. The MA is required to support capabilities in both the sending and receiving of multicast data. The MA can be implemented as an agent running on an end-system, server or set-top box. The method of implementation of the MA are out of the scope of this Recommendation | International Standard.

RMCP-2 configures the data delivery path for simplex group communication using the following configuration:

- a) one SM;
- b) one sender multicast agent (SMA) per sender application;
- c) one or more receiver multicast agents (RMAs);
- d) one or more sending or receiving group applications.

SM supports the following functions:

- a) session initiation;
- b) session termination;
- c) membership management;
- d) session management.

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An MA, which refers to both SMA and RMA supports the following functions:

- a) session initiation (applied for SMA only);
- b) session subscription;
- c) session join;
- d) session leave;
- e) session maintenance;
- f) session status report;
- g) application data delivery.

6.1.3 Protocol modules of RMCP-2

The entities in the RMCP-2 use two different types of module, i.e., control module and data module. The control module is used to control the RMCP-2 session. The data module is used to deliver multicast data to the data module of other MAs or to the applications. The SM controls the RMCP-2 sessions and does not participate in data delivery. Therefore, the SM has only the control module.

The MA has the control module and data module to construct paths for control and data delivery. Figure 2 shows the three types of path and interfaces that are used in RMCP-2, listed below.

- The control path between the control modules of the SM and MA and between the control modules of MAs;
- a data path between the data modules of MAs;
- an internal interface between the control module and data module within the MA.

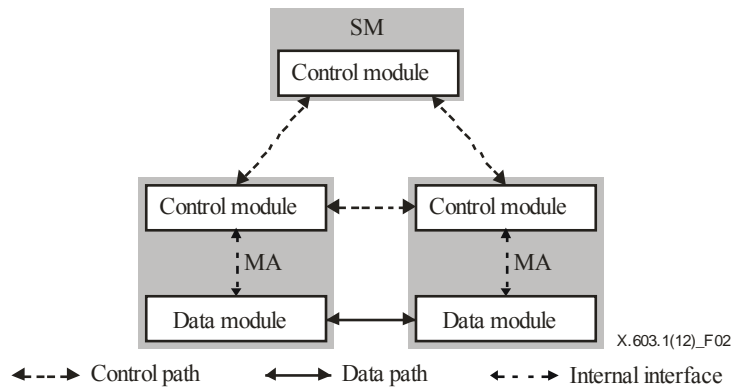


Figure 2 – Three types of interfaces in RMCP-2

The SM is responsible for controlling and managing the RMCP-2 session. The messages used by the SM should be delivered in a reliable manner to provide a stable RMCP-2 session. For reliable delivery, the SM uses the TCP defined in IETF RFC 793 for the transport protocol. Figure 3 shows a protocol stack of an SM.

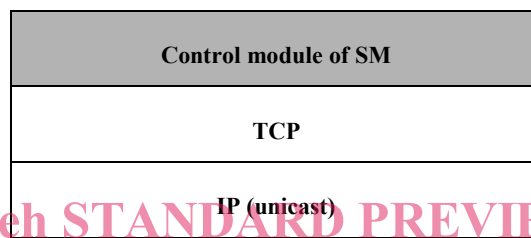


Figure 3 – Protocol stack of SM

An MA which refers to both the SMA and the RMA, constructs a relayed multicast delivery path from one sender to many receivers and forwards data along the constructed path. An MA consists of a control module and a data module.

The MA's control module configures the RMCP-2 control tree from the SMA to the leaf MAs. The control module is used for RMCP-2 tree control. The MA's control module uses the TCP in the unicast network and UDP defined in IETF RFC 768 in a multicast network. Figure 4 shows the protocol stack of an MA's control module.

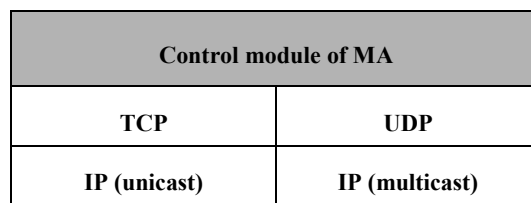


Figure 4 – Protocol stack of an MA's control module

The MA's data module relays application data along the constructed data delivery path. The characteristics of the data delivery channel may vary depending on the application. For example, a real-time data delivery channel is needed for real-time application services, and a reliable data delivery channel is needed for reliable application services. Thus, RMCP-2 is independent of the transport protocols for delivering user data to support various types of applications. The multicast application of an MA can send and receive multicast data from the data module. Figure 5 shows the protocol stack of the MA's data module.

To ensure that RMCP-2 can adopt any kind of data transport mechanism, two MAs (namely, the parent multicast agent (PMA) and the child multicast agent (CMA)) construct a data delivery path on the control tree by exchanging the data profiles.