

ETSI TS 138 307 V16.16.0 (2024-05)



**5G;
NR;**
**Requirements on User Equipments (UEs)
supporting a release-independent frequency band
(3GPP TS 38.307 version 16.16.0 Release 16)**

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Foreword

This Technical Specification has been produced by the 3rd Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

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where:

- x the first digit:
 - 1 presented to TSG for information;
 - 2 presented to TSG for approval;
 - 3 or greater indicates TSG approved document under change control.
- y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.
- z the third digit is incremented when editorial only changes have been incorporated in the document.

In the present document, modal verbs have the following meanings:

- shall** indicates a mandatory requirement to do something
- shall not** indicates an interdiction (prohibition) to do something

The constructions "shall" and "shall not" are confined to the context of normative provisions, and do not appear in Technical Reports.

The constructions "must" and "must not" are not used as substitutes for "shall" and "shall not". Their use is avoided insofar as possible, and they are not used in a normative context except in a direct citation from an external, referenced, non-3GPP document, or so as to maintain continuity of style when extending or modifying the provisions of such a referenced document.

- should** indicates a recommendation to do something
- should not** indicates a recommendation not to do something
- may** indicates permission to do something
- need not** indicates permission not to do something

The construction "may not" is ambiguous and is not used in normative elements. The unambiguous constructions "might not" or "shall not" are used instead, depending upon the meaning intended.

- can** indicates that something is possible
- cannot** indicates that something is impossible

The constructions "can" and "cannot" are not substitutes for "may" and "need not".

- will** indicates that something is certain or expected to happen as a result of action taken by an agency the behaviour of which is outside the scope of the present document
- will not** indicates that something is certain or expected not to happen as a result of action taken by an agency the behaviour of which is outside the scope of the present document
- might** indicates a likelihood that something will happen as a result of action taken by some agency the behaviour of which is outside the scope of the present document

might not indicates a likelihood that something will not happen as a result of action taken by some agency the behaviour of which is outside the scope of the present document

In addition:

is (or any other verb in the indicative mood) indicates a statement of fact

is not (or any other negative verb in the indicative mood) indicates a statement of fact

The constructions "is" and "is not" do not indicate requirements.

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1 Scope

The present document specifies requirements for Rel-16 UEs supporting release independent features like:

- additional NR operating bands and power classes on top of Rel-16 of TS 38.101 [2-5] and TS 38.133 [6];

2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

- [1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
- [2] 3GPP TS 38.101-1: "NR; User Equipment (UE) radio transmission and reception; Part 1: Range 1 Standalone".
- [3] 3GPP TS 38.101-2: "NR; User Equipment (UE) radio transmission and reception; Part 2: Range 2 Standalone".
- [4] 3GPP TS 38.101-3: "NR; User Equipment (UE) radio transmission and reception; Part 3: Range 1 and Range 2 Interworking operation with other radios".
- [5] 3GPP TS 38.101-4: "NR; User Equipment (UE) radio transmission and reception; Part 4: UE performance requirements".
- [6] 3GPP TS 38.133: "NR; Requirements for support of radio resource management".
- [7] 3GPP TS 38.306: "NR; User Equipment (UE) radio access capabilities".

3 Definitions, symbols and abbreviations

3.1 Definitions

For the purposes of the present document, the terms and definitions given in TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905 [1].

release independent: applicable to some frozen releases, starting from a certain release Rel-M

NOTE 1: Normally, a feature is introduced only in the latest open release Rel-N and future releases are based on the previous one so that future releases inherit the requirements of this feature. Introducing a feature "in a release independent way from Rel-M onwards" ($M < N$) means it was decided by TSG RAN that this feature would be also beneficial in previous, already frozen releases starting with Rel-M until Rel-(N-1). In order to avoid touching TS 38.101 [2-5] or TS 38.133 [6] of these frozen releases, the corresponding requirements are captured in TS 38.307 via pointers to [2-5] or [6] of the release in which the feature was introduced.

NOTE 2: Release independent does not mean applicable to all releases.

3.2 Symbols

For the purposes of the present document, the following symbols apply:

N	Release in which a feature is introduced into TS 38.101 [2-5] or TS 38.133 [6]
M	Release from which onwards (including release M) a feature is release independent

3.3 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1].

BW	Bandwidth
CA	Carrier Aggregation
CC	Component carrier
DL	Downlink
EN-DC	Dual connectivity between E-UTRA and NR
FDD	Frequency Division Duplex
FR1	Frequency range 1
FR2	Frequency range 2
NR	New radio
PMI	Precoding Matrix Indicator
REL	Release
SDL	Supplementary downlink
SUL	Supplementary uplink
TDD	Time Division Duplex
UE	User Equipment
UL	Uplink

4 General

TSG-RAN has agreed for certain features (see the following clauses) to introduce them in a "release independent way".

This means for each feature:

- it is "introduced" in a release N, i.e. TS 38.101 [2-5] and TS 38.133 [6] of release N define certain UE requirements for this feature; the feature is indicated in the tables of the following clauses;
- it is "release independent" starting from a release M ($M < N$); M for the given feature is provided in the tables of the following clauses;
- UEs supporting this feature have to fulfil additional requirements in release M or higher which are specified in one or more Annexes of TS 38.307 of release N; the applicable Annexes for a given feature are provided in the tables of the following clauses.

The applicable UE Categories are specified in TS 38.306 [7] according to the release to which the UE conforms.

In the table of release independent features in subsequent clauses, "FDD, TDD" refers to CA or EN-DC configuration composed by only FDD bands or only TDD bands, respectively. "FDD and TDD" refers to CA or EN-DC configuration including both FDD and TDD bands. "SDL and FDD, SDL and TDD" refers to CA configuration including both SDL and FDD bands or both SDL and TDD bands, respectively. "TDD and SUL" refers to SUL configuration including both TDD and SUL bands. "FDD and TDD and SUL" refers to EN-DC configuration including both FDD, TDD and SUL bands. Unless stated otherwise, the release independent for the band combinations are from Rel-15.

When a new feature is introduced only the latest release of release independent spec needs to be updated. The latest release of release independent spec refers to the release which the new feature is introduced in. If an RF feature introduced in the same release as the release which the feature is independent from, (i.e. $M=N$), the common UE RF requirements table in annex B.4 is specified from release N+1, otherwise the common UE RF requirements table is specified from release N.

5 Release independent features for NR frequency range 1

5.1 Additional NR operating bands and UE power classes for NR frequency range 1

Requirements for a Rel-16 UE for additional NR operating bands and power classes compared to TS 38.101-1 of Rel-16 [2] are introduced via this clause.

Table 5.1-1: NR operating bands

Feature	Duplex-mode	Release independent from	Requirements to be fulfilled (see TS 38.307 of the release in which the band was introduced)
Operating bands	FDD, TDD, SDL, SUL	Rel-15	Table B.4.1-1, Table B.4.3-1
Shared spectrum access operating bands	TDD	Rel-16	

Table 5.1-2: NR UE power class

Feature	Duplex-mode	Release independent from	Requirements to be fulfilled (see TS 38.307 of the release in which the power class was introduced)
Power Class 1	FDD	Rel-15	Table B.4.1-1
Power Class 1.5	TDD	Rel-15	Table B.4.1-1, Table B.4.3-1
Power Class 2	TDD	Rel-15	Table B.4.1-1, Table B.4.3-1
Power Class 3	FDD, TDD, SUL	Rel-15	Table B.4.1-1, Table B.4.3-1

5.2 Additional NR CA configurations for NR frequency range 1

5.2.1 Intra-band CA

Requirements for a Rel-16 UE for additional NR intraband CA configurations within FR1 compared to TS 38.101-1 of Rel-16 [2] are introduced via this clause.

Table 5.2.1-1: NR intra-band contiguous CA within FR1

Feature	DL/UL	CA BW Class	Duplex-mode	Release independent from	requirements to be fulfilled (see 38.307 of the REL in which the CA configuration was introduced)
Intra-band contiguous CA configurations within FR1	DL	B, C, D, M, N, O	FDD,TDD	Rel-15	Table B.3.1-1, Table B.4.2-1
	UL	A,B,C	FDD,TDD	Rel-15	

Table 5.2.1-2: NR intra-band non-contiguous CA within FR1

Feature	DL/UL	number of sub-blocks	maximum number of CCs within a sub-block	Duplex-mode	Release independent from	requirements to be fulfilled (see 38.307 of the REL in which the CA configuration was introduced)
Intra-band non-contiguous CA configurations within FR1	DL	2	1	FDD, TDD	Rel-15	Table B.3.1-1, Table B.4.2-1
		3	1	TDD	Rel-15	
		4	1	TDD	Rel-15	
	UL	1	1	FDD, TDD	Rel-15	
		2	1	TDD	Rel-15	

5.2.2 Inter-band CA

Requirements for a Rel-16 UE for additional NR inter-band CA configurations within FR1 compared to TS 38.101-1 of Rel-16 [2] are introduced via this clause.

Table 5.2.2-1: NR inter-band CA within FR1

Feature	DL/UL	Maximum number of bands	Maximum number of CCs	CA BW Classes	Duplex-mode	Release independent from	requirements to be fulfilled (see 38.307 of the REL in which the CA configuration was introduced)
Inter-band CA configurations within NR FR1	DL	4	5	A, B, C	TDD, FDD, SDL and FDD, SDL and TDD, FDD and TDD	Rel-15	Table B.3.1-1, Table B.4.2-1
	UL	2	2	A	TDD, FDD, FDD and TDD	Rel-15	

5.3 Additional NR SUL configurations for NR frequency range 1

Requirements for a Rel-16 UE for additional NR SUL configurations within FR1 compared to TS 38.101-1 of Rel-16 [2] are introduced via this clause.