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Contents

Intellectual Property Rights	2
Legal Notice	2
Modal verbs terminology.....	2
Foreword.....	6
1 Scope	7
2 References	7
3 Definitions, symbols and abbreviations	8
3.1 Definitions	8
3.2 Abbreviations	9
4 Multi-Radio Dual Connectivity.....	10
4.1 General	10
4.1.1 Common MR-DC principles.....	10
4.1.2 MR-DC with the EPC.....	10
4.1.3 MR-DC with the 5GC.....	11
4.1.3.1 E-UTRA-NR Dual Connectivity.....	11
4.1.3.2 NR-E-UTRA Dual Connectivity.....	11
4.1.3.3 NR-NR Dual Connectivity.....	11
4.2 Radio Protocol Architecture.....	11
4.2.1 Control Plane	11
4.2.2 User Plane.....	12
4.3 Network interfaces	14
4.3.1 Control Plane	14
4.3.1.1 Common MR-DC principles.....	14
4.3.1.2 MR-DC with EPC.....	15
4.3.1.3 MR-DC with 5GC.....	15
4.3.2 User Plane.....	15
4.3.2.1 Common MR-DC principles.....	15
4.3.2.2 MR-DC with EPC.....	16
4.3.2.3 MR-DC with 5GC.....	16
5 Layer 1 related aspects	16
6 Layer 2 related aspects	17
6.1 MAC Sublayer.....	17
6.2 RLC Sublayer.....	17
6.3 PDCP Sublayer.....	17
6.4 SDAP Sublayer	18
6.5 BAP Sublayer	18
7 RRC related aspects.....	18
7.1 System information handling	18
7.2 Measurements.....	18
7.3 UE capability coordination.....	20
7.4 Handling of combined MN/SN RRC messages.....	21
7.5 SRB3	21
7.6 Split SRB.....	22
7.7 SCG/MCG failure handling.....	22
7.8 UE identities.....	23
7.9 Inter-node Resource Coordination	23
7.10 UE assistance information.....	23
7.11 F1-C transfer over E-UTRA.....	24
7.14 RLM/BFD relaxation	25
8 Bearer handling aspects.....	25
8.1 QoS aspects	25

8.2	Bearer type selection	27
8.3	Bearer type change	28
8.4	User data forwarding	28
9	Security related aspects	29
10	Multi-Connectivity operation related aspects.....	30
10.1	General	30
10.2	Secondary Node Addition	30
10.2.1	EN-DC	30
10.2.2	MR-DC with 5GC.....	34
10.2.3	Conditional PSCell Addition	39
10.3	Secondary Node Modification (MN/SN initiated)	39
10.3.1	EN-DC	39
10.3.2	MR-DC with 5GC.....	46
10.4	Secondary Node Release (MN/SN initiated).....	54
10.4.1	EN-DC	54
10.4.2	MR-DC with 5GC.....	56
10.5	Secondary Node Change (MN/SN initiated)	59
10.5.1	EN-DC	59
10.5.2	MR-DC with 5GC.....	66
10.6	PSCell change	74
10.7	Inter-Master Node handover with/without Secondary Node change.....	75
10.7.1	EN-DC	75
10.7.2	MR-DC with 5GC.....	77
10.8	Master Node to eNB/gNB Change	79
10.8.1	EN-DC	79
10.8.2	MR-DC with 5GC.....	81
10.9	eNB/gNB to Master Node change	83
10.9.1	EN-DC	83
10.9.2	MR-DC with 5GC.....	84
10.10	RRC Transfer	85
10.10.1	EN-DC	85
10.10.2	MR-DC with 5GC.....	87
10.11	Secondary RAT data volume reporting	90
10.11.1	EN-DC	90
10.11.2	MR-DC with 5GC.....	91
10.12	Activity Notification.....	92
10.12.1	EN-DC	92
10.12.2	MR-DC with 5GC.....	95
10.13	Notification Control Indication	98
10.13.1	EN-DC	98
10.13.2	MR-DC with 5GC.....	98
10.14	PDU Session Split at UPF.....	98
10.14.1	PDU Session Split at UPF during PDU session resource setup	98
10.14.2	PDU Session Split at UPF during PDU session resource modify (5GC initiated).....	99
10.14.3	PDU Session Split at UPF (RAN initiated QoS flows offloading from MN to SN).....	99
10.15	F1-C Traffic Transfer	102
10.16	Support of inter-system handover involving EN-DC or MR-DC with 5GC	103
10.16.1	General.....	103
10.16.2	Inter-system handover from EPS to 5GS with the Secondary Node used as target	103
10.16.3	Inter-system handover from 5GS to EPS with the Source Node used as target Secondary Node	104
10.17	Inter-Master Node RRC Resume without Secondary Node change	105
10.17.1	MR-DC with 5GC.....	105
10.18	Self-optimisation for PSCell addition/change	107
10.18.1	General.....	107
10.18.2	PSCell change failure.....	107
10.18.3	Conditional PSCell addition or change failure.....	108
10.18.4	Successful PSCell Addition/Change Report	108
10.18.5	RA Report retrieval.....	109
10.19	Conditional Handover with Secondary Node	109
10.19.1	EN-DC	109

10.19.2	MR-DC with 5GC.....	112
10.19.3	CHO with candidate SCG(s).....	116
10.20	Subsequent Conditional PSCell Addition or Change	116
11	Service related aspects.....	127
11.1	Roaming and Access Restrictions	127
11.2	Support of Network Sharing.....	128
11.3	ARPI/SPID Handling from MN	128
12	X2/Xn Interface related aspects	128
13	Other aspects	128
13.1	Interference avoidance for in-device coexistence.....	128
13.2	Sidelink	128
13.3	SCG UE history information	128
13.4	Application Layer Measurement Collection.....	129
13.4.1	Overview	129
13.4.2	SRB5.....	129
13.4.3	QoE Measurement Configuration.....	129
13.4.3.1	QoE Measurement Collection Activation and Reporting in NR-DC	129
13.4.3.2	RAN Overload Handling.....	130
13.4.3.3	Support for RAN visible QoE measurements and reporting in NR-DC.....	131
13.4.4	QoE Measurement Continuity for Mobility.....	131
Annex A (informative):	Layer 2 handling for bearer type change	132
Annex B (informative):	Supported MR-DC Handover Scenarios	134
Annex C (informative):	Change history	135
History		140

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1 Scope

The present document provides an overview of the multi-connectivity operation using E-UTRA and NR radio access technologies. Details of the network and radio interface protocols are specified in companion specifications of the 36 and 38 series.

2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific.
- For a specific reference, subsequent revisions do not apply.
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- [1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".
- [2] 3GPP TS 36.300: "Evolved Universal Terrestrial Radio Access (E-UTRA) and Evolved Universal Terrestrial Radio Access Network (E-UTRAN); Overall description; Stage 2".
- [3] 3GPP TS 38.300: "NR; NR and NG-RAN Overall description; Stage 2".
- [4] 3GPP TS 38.331: "NR; Radio Resource Control (RRC) protocol specification".
- [5] 3GPP TS 38.423: "NG-RAN; Xn application protocol (XnAP)".
- [6] 3GPP TS 38.425: "NG-RAN; NR user plane protocol".
- [7] 3GPP TS 38.401: "NG-RAN; Architecture description".
- [8] 3GPP TS 38.133: "NG-RAN; Requirements for support of radio resource management".
- [9] 3GPP TS 36.423: "Evolved Universal Terrestrial Radio Access Network (E-UTRAN); X2 Application Protocol (X2AP)".
- [10] 3GPP TS 36.331: "Evolved Universal Terrestrial Radio Access (E-UTRA); Radio Resource Control (RRC); Protocol specification".
- [11] 3GPP TS 23.501: "System Architecture for the 5G System; Stage 2".
- [12] 3GPP TS 38.101-1: "User Equipment (UE) radio transmission and reception; Part 1: Range 1 Standalone".
- [13] 3GPP TS 38.101-2: "User Equipment (UE) radio transmission and reception; Part 2: Range 2 Standalone".
- [14] 3GPP TS 38.101-3: "User Equipment (UE) radio transmission and reception; Part 3: Range 1 and Range 2 Interworking operation with other radios".
- [15] 3GPP TS 36.323: "Evolved Universal Terrestrial Radio Access (E-UTRA); Packet Data Convergence Protocol (PDCP) specification".
- [16] 3GPP TS 38.323: "NR; Packet Data Convergence Protocol (PDCP) specification".
- [17] 3GPP TS 38.340: "Backhaul Adaptation Protocol (BAP) specification".
- [18] 3GPP TS 23.287: "Architecture enhancements for 5G System (5GS) to support Vehicle-to-Everything (V2X) services".

- [19] 3GPP TS 23.285: "Architecture enhancements for V2X services".
- [20] 3GPP TS 23.502: "Procedures for the 5G System; Stage 2".
- [21] 3GPP TS 38.213: "NR; Physical layer procedures".
- [22] 3GPP TS 24.301: "Non-Access-Stratum (NAS) protocol for Evolved Packet System (EPS); Stage 3".
- [23] 3GPP TS 38.473: "F1 application protocol (F1AP)".
- [24] 3GPP TS 23.304: "Proximity based Services (ProSe) in the 5G System (5GS)".
- [25] 3GPP TS 23.586: "Technical Specification Group Services and System Aspects; Architectural Enhancements to support Ranging based services and Sidelink Positioning".

3 Definitions, symbols and abbreviations

3.1 Definitions

For the purposes of the present document, the terms and definitions given in TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in TR 21.905 [1] and TS 36.300 [2].

Child node: IAB-DU's or IAB-donor-DU's next hop neighbour IAB-node.

Conditional PSCell Addition: a PSCell addition procedure that is executed only when PSCell addition execution condition is met.

Conditional PSCell Change: a PSCell change procedure that is executed only when PSCell change execution condition is met.

En-gNB: node providing NR user plane and control plane protocol terminations towards the UE, and acting as Secondary Node in EN-DC.

Fast MCG link recovery: in MR-DC, an RRC procedure where the UE sends an MCG Failure Information message to the MN via the SCG upon the detection of a radio link failure on the MCG.

IAB-donor: gNB that provides network access to UEs via a network of backhaul and access links.

IAB-MT: IAB-node function that terminates the Uu interface to the parent node using the procedures and behaviours specified for UEs unless stated otherwise.

IAB-node: RAN node that supports NR access links to UEs and NR backhaul links to parent nodes and child nodes. The IAB-node does not support backhauling via E-UTRA.

Master Cell Group: in MR-DC, a group of serving cells associated with the Master Node, comprising of the SpCell (PCell) and optionally one or more SCells.

Master node: in MR-DC, the radio access node that provides the control plane connection to the core network. It may be a Master eNB (in EN-DC), a Master ng-eNB (in NGEN-DC) or a Master gNB (in NR-DC and NE-DC).

MCG bearer: in MR-DC, a radio bearer with an RLC bearer (or two RLC bearers, in case of CA packet duplication in an E-UTRAN cell group, or up to four RLC bearers in case of CA packet duplication in a NR cell group) only in the MCG.

MN terminated bearer: in MR-DC, a radio bearer for which PDCP is located in the MN.

MCG SRB: in MR-DC, a direct SRB between the MN and the UE.

Multi-Radio Dual Connectivity: Dual Connectivity between E-UTRA and NR nodes, or between two NR nodes.

Ng-eNB: as defined in TS 38.300 [3].

NR sidelink communication: AS functionality enabling at least V2X Communication as defined in TS 23.287 [18] and ProSe Communication (including ProSe UE-to-Network Relay and non-Relay communication) as defined in TS 23.304 [24], between two or more nearby UEs, using NR technology but not traversing any network node.

NR sidelink discovery: AS functionality enabling ProSe non-Relay Discovery and ProSe UE-to-Network Relay discovery for Proximity based Services as defined in TS 23.304 [24] between two or more nearby UEs, using NR technology but not traversing any network node.

Parent node: IAB-MT's next hop neighbour node; the parent node can be IAB-node or IAB-donor-DU.

PCell: SpCell of a master cell group.

PSCell: SpCell of a secondary cell group.

Ranging/Sidelink Positioning: AS functionality enabling ranging-based services and sidelink positioning as defined in TS 23.586 [25].

RLC bearer: RLC and MAC logical channel configuration of a radio bearer in one cell group.

Secondary Cell Group: in MR-DC, a group of serving cells associated with the Secondary Node, comprising of the SpCell (PSCell) and optionally one or more SCells.

Secondary node: in MR-DC, the radio access node, with no control plane connection to the core network, providing additional resources to the UE. It may be an en-gNB (in EN-DC), a Secondary ng-eNB (in NE-DC) or a Secondary gNB (in NR-DC and NGEN-DC).

SCG bearer: in MR-DC, a radio bearer with an RLC bearer (or two RLC bearers, in case of CA packet duplication in an E-UTRAN cell group, or up to four RLC bearers in case of CA packet duplication in a NR cell group) only in the SCG.

SN terminated bearer: in MR-DC, a radio bearer for which PDCP is located in the SN.

SpCell: primary cell of a master or secondary cell group.

SRB3: in EN-DC, NGEN-DC and NR-DC, a direct SRB between the SN and the UE.

SRB5: in NR-DC, a direct SRB between the SN and the UE dedicated for sending application layer measurement report information.

Split bearer: in MR-DC, a radio bearer with RLC bearers both in MCG and SCG.

Split PDU Session (or PDU Session split): a PDU Session whose QoS Flows are served by more than one SDAP entities in the NG-RAN.

Split SRB: in MR-DC, a SRB between the MN and the UE with RLC bearers both in MCG and SCG.

Subsequent Conditional PSCell Addition or Change (subsequent CPAC): a conditional PSCell addition or change procedure that is executed after a PSCell addition, a PSCell change, a PCell change or an SCG release based on pre-configured subsequent CPAC configuration of candidate PSCell(s) without reconfiguration and re-initiation of CPC/CPA.

User plane resource configuration: in MR-DC with 5GC, encompasses radio network resources and radio access resources related to either one or more PDU sessions, one or more QoS flows, one or more DRBs, or any combination thereof.

V2X sidelink communication: AS functionality enabling V2X Communication as defined in TS 23.285 [19], between nearby UEs, using E-UTRA technology but not traversing any network node.

3.2 Abbreviations

For the purposes of the present document, the abbreviations given in TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in TR 21.905 [1], TS 36.300 [2] and TS 38.300 [3].

BFD Beam Failure Detection

CHO	Conditional Handover
CLI	Cross Link Interference
CPA	Conditional PSCell Addition
CPAC	Conditional PSCell Addition or Change
CPC	Conditional PSCell Change
DAPS	Dual Active Protocol Stack
DC	Intra-E-UTRA Dual Connectivity
DCP	DCI with CRC scrambled by PS-RNTI
EN-DC	E-UTRA-NR Dual Connectivity
IAB	Integrated Access and Backhaul
IDC	In-Device Coexistence
LTM	L1/L2 Triggered Mobility
MCG	Master Cell Group
MN	Master Node
MR-DC	Multi-Radio Dual Connectivity
MUSIM	Multi-Universal Subscriber Identity Module
NE-DC	NR-E-UTRA Dual Connectivity
NGEN-DC	NG-RAN E-UTRA-NR Dual Connectivity
NR-DC	NR-NR Dual Connectivity
QMC	QoE Measurement Collection
QoE	Quality of Experience
RLM	Radio Link Monitoring
SCG	Secondary Cell Group
SMTC	SS/PBCH block Measurement Timing Configuration
SN	Secondary Node
SPR	Successful PSCell Addition/Change Report
V2X	Vehicle-to-Everything

4 Multi-Radio Dual Connectivity

4.1 General

4.1.1 Common MR-DC principles

Multi-Radio Dual Connectivity (MR-DC) is a generalization of the Intra-E-UTRA Dual Connectivity (DC) described in TS 36.300 [2], where a multiple Rx/Tx capable UE may be configured to utilise resources provided by two different nodes connected via non-ideal backhaul, one providing NR access and the other one providing either E-UTRA or NR access. One node acts as the MN and the other as the SN. The MN and SN are connected via a network interface and at least the MN is connected to the core network.

The MN and/or the SN can be operated with shared spectrum channel access.

All functions specified for a UE may be used for an IAB-MT unless otherwise stated. Similar as specified for UE, the IAB-MT can access the network using either one network node or using two different nodes with EN-DC and NR-DC architectures. In EN-DC, the backhauling traffic over the E-UTRA radio interface is not supported.

NOTE 1: MR-DC is designed based on the assumption of non-ideal backhaul between the different nodes but can also be used in case of ideal backhaul.

NOTE 2: All MR-DC normative text and procedures in this version of the specification show the aggregated node case. The details about non-aggregated node for MR-DC operation are described in TS 38.401 [7].

4.1.2 MR-DC with the EPC

E-UTRAN supports MR-DC via E-UTRA-NR Dual Connectivity (EN-DC), in which a UE is connected to one eNB that acts as a MN and one en-gNB that acts as a SN. The eNB is connected to the EPC via the S1 interface and to the en-gNB via the X2 interface. The en-gNB might also be connected to the EPC via the S1-U interface and other en-gNBs via the X2-U interface.

The EN-DC architecture is illustrated in Figure 4.1.2-1 below.

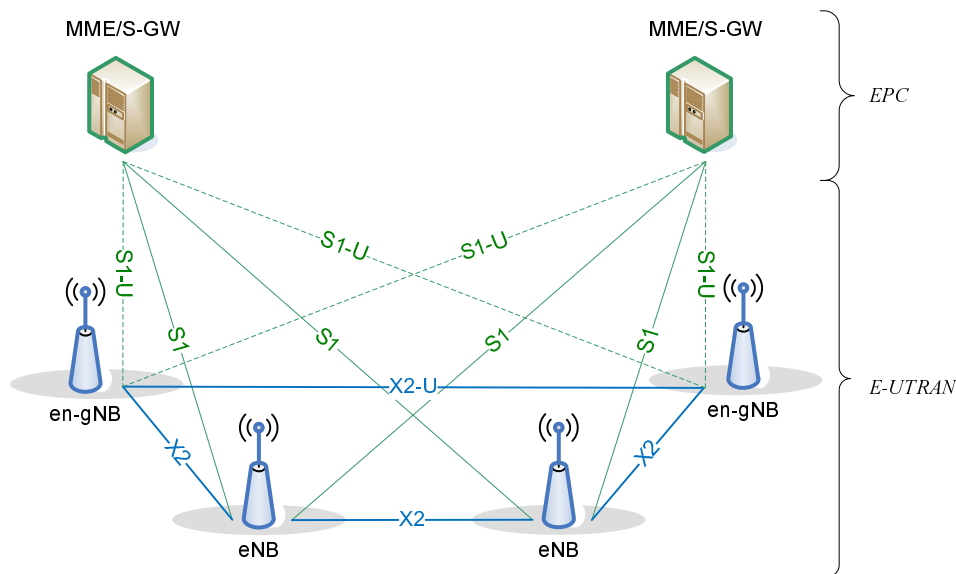


Figure 4.1.2-1: EN-DC Overall Architecture

4.1.3 MR-DC with the 5GC

4.1.3.1 E-UTRA-NR Dual Connectivity

NG-RAN supports NG-RAN E-UTRA-NR Dual Connectivity (NGEN-DC), in which a UE is connected to one ng-eNB that acts as a MN and one gNB that acts as a SN.

4.1.3.2 NR-E-UTRA Dual Connectivity

NG-RAN supports NR-E-UTRA Dual Connectivity (NE-DC), in which a UE is connected to one gNB that acts as a MN and one ng-eNB that acts as a SN.

4.1.3.3 NR-NR Dual Connectivity

NG-RAN supports NR-NR Dual Connectivity (NR-DC), in which a UE is connected to one gNB that acts as a MN and another gNB that acts as a SN. In addition, NR-DC can also be used when a UE is connected to a single gNB, acting both as a MN and as a SN, and configuring both MCG and SCG.

4.2 Radio Protocol Architecture

4.2.1 Control Plane

In MR-DC, the UE has a single RRC state, based on the MN RRC and a single C-plane connection towards the Core Network. Figure 4.2.1-1 illustrates the Control plane architecture for MR-DC. Each radio node has its own RRC entity (E-UTRA version if the node is an eNB or NR version if the node is a gNB) which can generate RRC PDUs to be sent to the UE.

RRC PDUs generated by the SN can be transported via the MN to the UE. The MN always sends the initial SN RRC configuration via MCG SRB (SRB1), but subsequent reconfigurations may be transported via MN or SN. When transporting RRC PDU from the SN, the MN does not modify the UE configuration provided by the SN.

In E-UTRA connected to EPC, at initial connection establishment SRB1 uses E-UTRA PDCP. If the UE supports EN-DC, regardless whether EN-DC is configured or not, after initial connection establishment, MCG SRBs (SRB1 and SRB2) can be configured by the network to use either E-UTRA PDCP or NR PDCP (either SRB1 and SRB2 are both configured with E-UTRA PDCP, or they are both configured with NR PDCP). Change from E-UTRA PDCP to NR

PDCP (or vice-versa) is supported via a handover procedure (reconfiguration with mobility) or, for the initial change of SRB1 from E-UTRA PDCP to NR PDCP, with a reconfiguration without mobility before the initial security activation.

If the SN is a gNB (i.e. for EN-DC, NGEN-DC and NR-DC), the UE can be configured to establish a SRB with the SN (SRB3) to enable RRC PDUs for the SN to be sent directly between the UE and the SN. RRC PDUs for the SN can only be transported directly to the UE for SN RRC reconfiguration not requiring any coordination with the MN.

Measurement reporting for mobility within the SN can be done directly from the UE to the SN if SRB3 is configured.

In NR-DC, the UE can be configured to establish a SRB with the SN (SRB5) to enable RRC messages which include application layer measurement report information to be sent directly between the UE and the SN. The application measurement report can be sent directly from the UE to the SN if SRB5 is configured and indicated by the network for the application measurement reporting.

Split SRB is supported for all MR-DC options, allowing duplication of RRC PDUs generated by the MN, via the direct path and via the SN. Split SRB uses NR PDCP. This version of the specification does not support the duplication of RRC PDUs generated by the SN via the MN and SN paths.

In EN-DC, the SCG configuration is kept in the UE during suspension. During connection resumption, if the UE supports resuming with EN-DC, the UE can be configured to release, restore, or reconfigure the SCG configuration. Otherwise, the UE releases the SCG configuration (but not the radio bearer configuration) during resumption initiation.

In MR-DC with 5GC, the UE stores the PDCP/SDAP configuration and the SCG configuration when moving to RRC Inactive. During connection resumption, if the UE supports resuming with MR-DC, the UE can be configured to release, restore, or reconfigure the SCG configuration. Otherwise, it releases the SCG configuration.

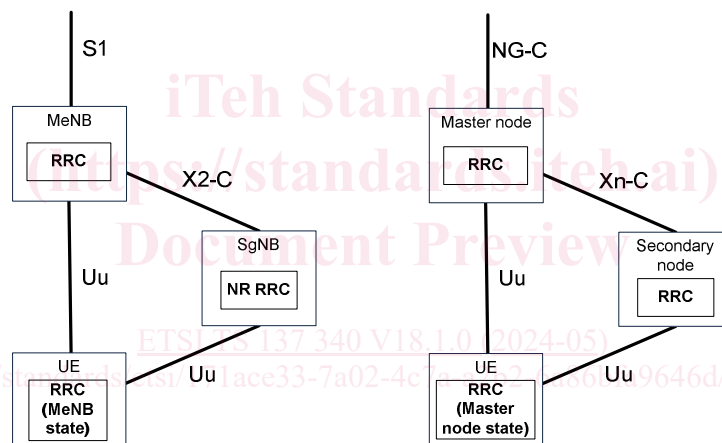


Figure 4.2.1-1: Control plane architecture for EN-DC (left) and MR-DC with 5GC (right).

4.2.2 User Plane

In MR-DC, from a UE perspective, three bearer types exist: MCG bearer, SCG bearer and split bearer. These three bearer types are depicted in Figure 4.2.2-1 for MR-DC with EPC (EN-DC) and in Figure 4.2.2-2 for MR-DC with 5GC (NGEN-DC, NE-DC and NR-DC).

In E-UTRA connected to EPC, if the UE supports EN-DC, regardless whether EN-DC is configured or not, the network can configure either E-UTRA PDCP or NR PDCP for MN terminated MCG bearers while NR PDCP is always used for all other bearers. Change from E-UTRA to NR PDCP or vice-versa can be performed via a reconfiguration procedure (with or without handover), either using release and add of the DRBs or using the full configuration option.

In MR-DC with 5GC, NR PDCP is always used for all bearer types. In NGEN-DC, E-UTRA RLC/MAC is used in the MN while NR RLC/MAC is used in the SN. In NE-DC, NR RLC/MAC is used in the MN while E-UTRA RLC/MAC is used in the SN. In NR-DC, NR RLC/MAC is used in both MN and SN.

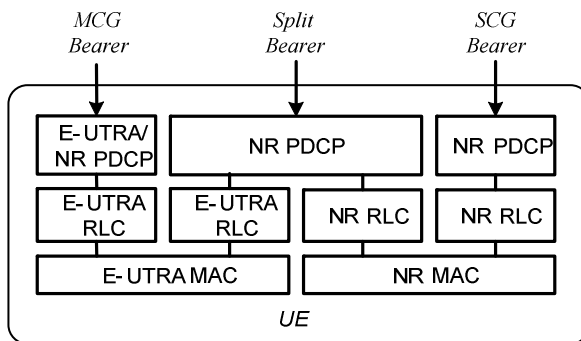


Figure 4.2.2-1: Radio Protocol Architecture for MCG, SCG and split bearers from a UE perspective in MR-DC with EPC (EN-DC)

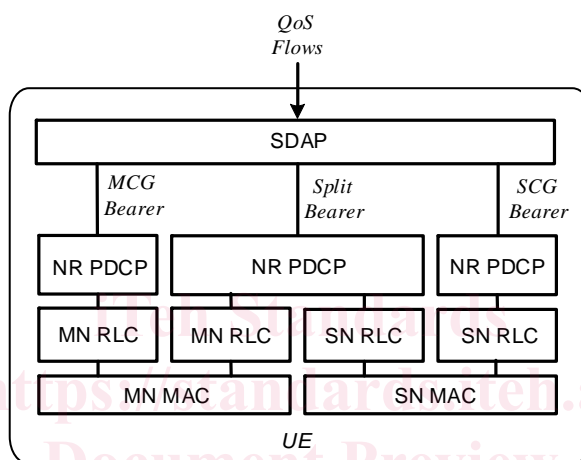


Figure 4.2.2-2: Radio Protocol Architecture for MCG, SCG and split bearers from a UE perspective in MR-DC with 5GC (NGEN-DC, NE-DC and NR-DC).

From a network perspective, each bearer (MCG, SCG and split bearer) can be terminated either in MN or in SN. Network side protocol termination options are shown in Figure 4.2.2-3 for MR-DC with EPC (EN-DC) and in Figure 4.2.2-4 for MR-DC with 5GC (NGEN-DC, NE-DC and NR-DC).

- NOTE 1: Even if only SCG bearers are configured for a UE, for SRB1 and SRB2 the logical channels are always configured at least in the MCG, i.e. this is still an MR-DC configuration and a PCell always exists.
- NOTE 2: If only MCG bearers are configured for a UE, i.e. there is no SCG, this is still considered an MR-DC configuration, as long as at least one of the bearers is terminated in the SN.