
Varnostna pravila za konstruiranje in vgradnjo dvigal (liftov) - Posebne izvedbe osebnih in tovorno-osebnih dvigal - 77. del: Dvigala (lifti) za potresne razmere

Safety rules for the construction and installations of lifts - Particular applications for passenger and goods passenger lifts - Part 77: Lifts subject to seismic conditions

Sicherheitsregeln für die Konstruktion und den Einbau von Aufzügen - Besondere Anwendungen für Personen- und Lastenaufzüge - Teil 77: Aufzüge unter Erdbebenbedingungen

Règles de sécurité pour la construction et l'installation des ascenseurs - Applications particulières pour les ascenseurs et les ascenseurs de charge - Partie 77 : Ascenseurs soumis à des conditions sismiques

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Safety rules for the construction and installations of lifts - Particular applications for passenger and goods passenger lifts - Part 77: Lifts subject to seismic conditions

Règles de sécurité pour la construction et l'installation
des ascenseurs - Applications particulières pour les
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Personen- und Lastenaufzüge - Teil 77: Aufzüge unter
Erdbebenbedingungen

This draft European Standard is submitted to CEN members for enquiry. It has been drawn up by the Technical Committee CEN/TC 10.

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EUROPEAN COMMITTEE FOR STANDARDIZATION
COMITÉ EUROPÉEN DE NORMALISATION
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European foreword

This document (prEN 81-77:2020) has been prepared by Technical Committee CEN/TC 10 “Lifts, escalators and moving walks”, the secretariat of which is held by AFNOR.

This document is currently submitted to the CEN Enquiry.

This document has been prepared under a mandate given to CEN by the European Commission and the European Free Trade Association, and supports essential requirements of EU Directive(s).

For relationship with EU Directive(s), see informative Annex ZA, which is an integral part of this document.

This document will supersede EN 81-77:2018.

In comparison with the previous edition, the following significant changes have been made:

- all externally referenced standards have now been dated;
- general editorial corrections since the last publication;
- visual indication of seismic mode;
- replace mass P with P_{ec} in proof of guide rails (Annex D);
- a new Annex ZA has been developed in order to be aligned with the requirements of the EU Commission Standardization Request “M/549 C(2016) 5884 final”.

No technical changes have been made during this revision.

The content of this document provides the enhanced design rules, calculations, examinations and tests for lifts where protection is needed for seismic events.

This document is intended to be used in conjunction with the EN 81-20:2020, which gives the requirements for passenger and goods passenger lifts.

This document is part of the EN 81 series of standards. The structure of the EN 81 series is described in CEN/TR 81-10:2008.

0 Introduction

0.1 General

This document is a Type C Standard as stated in EN ISO 12100:2010.

The machinery concerned and the extent to which hazards, hazardous situations and events are covered, are indicated in the scope of this standard.

When requirements of this type-C standard are different from those which are stated in type-A or type-B standards, the provisions of this type-C standard take precedence over the provisions of the other standards, for machines that have been designed and built according to the provisions of this type-C standard.

0.2 General remarks

0.2.1 The object of this standard is to define additional safety rules related to passenger and goods lifts with a view to safeguarding persons and objects against the risks described below associated with the use, maintenance, inspection and emergency operation of lifts subject to seismic conditions.

0.2.2 The aim of this document is to:

- avoid loss of life and reduce the extent of injuries;
- avoid people trapped in the lift;
- avoid damage;
- avoid environmental problems related to oil leakage;
- reduce the number of lifts out of service.

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0.3 Principles

Risk analysis, terminology and technical solutions have been considered taking into account the methods of EN ISO 12100:2010 and EN ISO 14798:2013.

0.4 Assumptions

It is assumed that information has been exchanged to determine the design acceleration (a_d) to be considered and the most effective position of the seismic detection system, if any, and of the primary wave detection system, if any.

1 Scope

This document specifies the special provisions and safety rules for passenger and goods passenger lifts where these lifts are installed in buildings and constructions intended to withstand seismic events in compliance with EN 1998-1:2004 (Eurocode 8).

This document does not introduce any specific provisions and safety rules for lifts when $a_d \leq 1 \text{ m/s}^2$ as defined in Annex A.

This document does not address other risks due to seismic events (e.g. fire, flood, explosion).

This document is not applicable to lifts installed before the date of its publication.

2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

EN 81-20:2020, *Safety rules for the construction and installation of lifts - Lifts for the transport of persons and goods - Part 20: Passenger and goods passenger lifts*

EN 81-50:2020, *Safety rules for the construction and installation of lifts - Examinations and tests - Part 50: Design rules, calculations, examinations and tests of lift components*

EN 81-72:2020, *Safety rules for the construction and installation of lifts - Part 72: Firefighters lifts for passenger and goods passenger lifts*

EN ISO 12100:2010, *Safety of machinery - General principles for design - Risk assessment and risk reduction (ISO 12100:2010)*

ISO 7465:2007, *Passenger lifts and service lifts — Guide rails for lift cars and counterweights — T-type*

3 Terms and definitions

For the purposes of this document, the terms and definitions given in EN ISO 12100:2010, EN 81-20:2020 and the following apply.

ISO and IEC maintain terminological databases for use in standardization at the following addresses:

- IEC Electropedia: available at <http://www.electropedia.org/>
- ISO Online browsing platform: available at <https://www.iso.org/obp>

3.1

snag point

point of interference between flexible moving elements and fixed elements

Note 1 to entry: Examples of flexible moving elements are ropes, chains, travelling cable.

Note 2 to entry: Examples of fixed elements are guide rail brackets, guide rail clip bolts, fishplates, vanes, and similar devices.

prEN 81-77:2020 (E)**3.2
design acceleration**

a_d
horizontal acceleration to be used for calculation of forces acting on lift systems and arising from seismic events

Note 1 to entry: See Annex B.

**3.3
seismic lift categories**

categories in which lifts have been divided, taking into account the design acceleration (a_d)

Note 1 to entry: Table A.1 shows the seismic lift categories.

**3.4
primary wave**

compressional wave that is longitudinal in nature

Note 1 to entry: Earthquake advance warning is possible by detecting the non-destructive primary waves that travel more quickly through the Earth's crust than do the destructive secondary waves (shear wave that is transverse in nature, its motion being perpendicular to the direction of wave propagation). The amount of advance warning depends on the delay between the arrival of the primary wave and other destructive waves, generally in the order of seconds for distant, large quakes.

Note 2 to entry: Secondary waves move through solids, unlike surface waves. They are destructive and arrive later than primary waves.

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**3.5
seismic trigger level**

seismic acceleration which activates a seismic detection system

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**3.6
seismic mode**

special mode in which the lift operates after detection of seismic trigger level

**3.7
seismic stand-by mode**

special mode in which the lift operates after detection of primary wave without the activation of the seismic detection system

**3.8
normal operation**

operation mode in which the lift operates when not in seismic mode or in seismic stand-by mode

**3.9
retaining device**

mechanical device securely fixed to a structural member of the lift car, counterweight or balancing weight frame, designed to retain the lift car and counterweight (balancing weight) within its guide rails during seismic activity

**3.10
expansion joint**

assembly designed to safely absorb the heat-induced expansion and contraction of various construction materials, to absorb vibration, or to allow movement due to ground settlement or earthquakes

4 List of significant hazards

This clause contains all the significant hazards, hazardous situations and events, as far as they are dealt with in this standard, identified by risk assessment as significant for this type of machinery and which require action to eliminate or reduce the risk (see Table 1).

Table 1 — List of significant hazards

No	Hazards as listed in EN ISO 12100:2010, Annex B	Relevant clauses
1	Acceleration, deceleration	5.4.1, 5.5, 5.8.2, 5.3, 5.9
	Angular parts	5.2
	Approach of a moving element to a fixed part	5.4.2, 5.5
	Moving elements	5.4.3, 5.6.2
	Rotating element	5.6.1, 5.6.2
2	Failure of the power supply	5.10.2, 5.10.3.5
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9	Pollution (standards.iteh.ai)	5.7, 5.9
	Failure of the control circuit	5.10.3.4, 5.10.3.5

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5 Safety requirements and/or protective measures

5.1 General

Passenger and goods passenger lifts shall comply with the safety requirements and/or protective measures of the following clauses. In addition, lifts shall be designed according to the principles of EN ISO 12100:2010 for relevant but not significant hazards that are not dealt with by this document.

If not differently specified, the following requirements apply to Seismic lift category 1, 2 and 3.

5.2 Lift well

In order to prevent that suspension ropes, overspeed governor ropes, travelling cables, compensation ropes and chains, swaying in the well get entangled with fixed equipment, snag points created by brackets, sills, devices and other equipment mounted in the well shall be protected according to Table 2.

Table 2 — Protection of snag points

Height of the well	Horizontal distance between snag points and lift parts	Lift parts	Protective measures	Remarks
≤ 20 m			Not necessary	
> 20 m ≤ 60 m	< 900 mm	Travelling cables	a protection wire in the corner of the rail bracket or other snag points near the travelling cables	Required if any portion of the loop is smaller than 900 mm from a snag point
	< 750 mm	Compensating Chain(s) Compensating rope(s) Counterweight overspeed governor rope	a protection wire in the corner of the rail bracket or other snag points	Full travel
	< 500 mm	Car overspeed governor rope	a protection wire in the corner of the rail bracket or other snag points	Full travel
	< 300 mm	Suspension ropes	a protection wire in the corner of the rail bracket or other snag points	Full travel
> 60 m	Protect all snag points independently from horizontal distance	Travelling cables Compensating Chain(s) Compensating rope(s) Counterweight overspeed governor rope Car overspeed governor rope Suspension ropes	a protection wire in the corner of the rail bracket or other snag points	Full travel

5.3 Machinery and pulley spaces

Where buildings are designed with expansion joints subdividing the structure into dynamically independent units, all the lift machinery including the landing entrances and the well of the lift shall be located on the same side of an expansion joint.

5.4 Car

5.4.1 Mass of the car for lift design calculations

For lift design calculations, the forces generated by the design acceleration (a_d) shall be calculated taking into account:

- for passenger lifts, the mass of the car plus 40 % of the rated load evenly distributed;
- for goods passenger lifts, the mass of the car plus 80 % of the rated load evenly distributed.

5.4.2 Car retaining devices

For lifts in lift categories 2 and 3, the car frame shall be provided with upper and lower retaining devices able to hold the car frame on its guide rails.

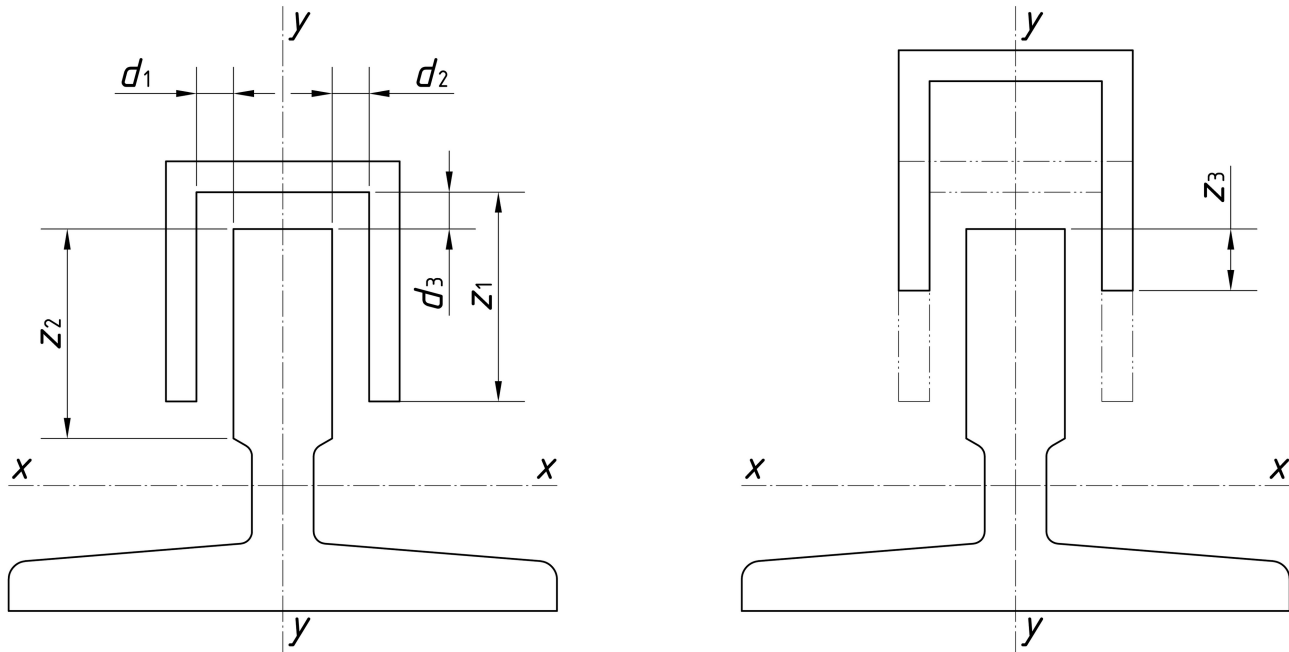
The retaining devices shall be placed in such a way to distribute loads in a similar way as the guide shoes. The retaining devices shall either be integrated or mounted close to the fixing of the guide shoes.

When the car is centre located between the guide rails, the clearances d_1 , d_2 and d_3 (Figure 1 a)) between the retaining device and the guide rail shall not exceed 5 mm and the dimensions chosen shall not cause accidental tripping of the safety gear during an earthquake.

The depth of the retaining device (z_1) shall be limited to avoid collision with guide rail attachments or other fixed devices, but long enough to guarantee a minimum overlapping length between retaining devices and the guide rail blade during an earthquake. The required depth of the retaining devices is also correlated with the type of guide rail through the allowable deflection of the guide rail (see 5.8.2).

During an earthquake, the minimum overlapping length (z_3) between retaining devices and the guide rail blade shall be at least 5 mm (Figure 1 b)).

The car structure and retaining devices shall withstand the loads and forces imposed on them including forces generated by the design acceleration (a_d), without permanent deformation.



a) Nominal position and clearance of retaining device b) Minimum required overlapping length of retaining device during earthquake

Key

- d_1 clearances between the retaining device and the guide rail
- d_2 clearances between the retaining device and the guide rail
- d_3 clearances between the retaining device and the guide rail
- x guide rail x-axis
- y guide rail y-axis
- z_1 depth of the retaining device
- z_2 blade height
- z_3 overlapping length of retaining device during earthquake (≥ 5 mm)

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Figure 1 — Retaining device

5.4.3 Car door locking devices

To prevent the opening of car doors, for lifts in Seismic lift category 2 and 3, the car doors shall be provided with a car door locking device which shall be designed and operate as described in EN 81-20:2020, 5.3.9.2.

5.5 Counterweight or balancing weight

The counterweight or balancing weight shall be provided with upper and lower retaining devices able to hold the frame in between its guide rails.

The retaining devices shall be placed in such a way to distribute loads in a similar way as the guide shoes. The retaining devices shall either be integrated or mounted close to the fixing of the guide shoes.

The clearances d_1 , d_2 and d_3 (Figure 1 a)) between the retaining devices and the guide rails shall not exceed 5 mm. When a safety gear is present, the dimensions chosen for the clearances d_1 , d_2 and d_3 shall not cause accidental tripping of the safety gear.