
**Conformity assessment —
Requirements for bodies providing
audit and certification of management
systems —**

Part 10:

**Competence requirements for
auditing and certification of
occupational health and safety
management systems**

*Évaluation de la conformité — Exigences pour les organismes
procédant à l'audit et à la certification des systèmes de
management —*

*Partie 10: Exigences de compétence pour l'audit et la certification des
systèmes de management de la santé et de la sécurité au travail*



iTeh Standards
(<https://standards.iteh.ai>)
Document Preview

[ISO/IEC TS 17021-10:2018](https://standards.iteh.ai/catalog/standards/iso/e731d961-4e9f-4273-8848-2c4b2d709177/iso-iec-ts-17021-10-2018)

<https://standards.iteh.ai/catalog/standards/iso/e731d961-4e9f-4273-8848-2c4b2d709177/iso-iec-ts-17021-10-2018>



COPYRIGHT PROTECTED DOCUMENT

© ISO/IEC 2018

All rights reserved. Unless otherwise specified, or required in the context of its implementation, no part of this publication may be reproduced or utilized otherwise in any form or by any means, electronic or mechanical, including photocopying, or posting on the internet or an intranet, without prior written permission. Permission can be requested from either ISO at the address below or ISO's member body in the country of the requester.

ISO copyright office
CP 401 • Ch. de Blandonnet 8
CH-1214 Vernier, Geneva
Phone: +41 22 749 01 11
Fax: +41 22 749 09 47
Email: copyright@iso.org
Website: www.iso.org

Published in Switzerland

Contents

Page

Foreword	iv
Introduction	v
1 Scope	1
2 Normative references	1
3 Terms and definitions	1
4 Competence requirements	2
5 Competence requirements for OH&S management system auditors	2
5.1 General.....	2
5.2 Terminology, principles, processes and concepts of OH&S.....	2
5.3 Context of the organization.....	2
5.4 Leadership, consultation and participation of workers.....	3
5.5 Legal requirements and other requirements.....	3
5.6 OH&S risks, OH&S opportunities and other risks and other opportunities.....	3
5.6.1 Risks and opportunities.....	3
5.6.2 Hazard identification.....	3
5.6.3 Assessment of OH&S risks.....	4
5.6.4 OH&S opportunities.....	4
5.7 Emergency preparedness and response.....	4
5.8 Performance evaluation.....	4
5.9 Eliminating hazards and reducing OH&S risks.....	5
5.10 Incident investigation.....	5
6 Competence requirements for personnel reviewing audit reports and making certification decisions	5
6.1 Terminology, principles, processes and concepts of OH&S.....	5
6.2 Context of the organization.....	5
6.3 Leadership, consultation and participation of workers.....	6
6.4 Legal requirements and other requirements.....	6
6.5 OH&S risks, OH&S opportunities and other risks and other opportunities.....	6
6.5.1 Hazard identification.....	6
6.5.2 Assessment of OH&S risks.....	6
6.5.3 OH&S opportunities.....	6
6.6 Performance evaluation.....	6
6.7 Eliminating hazards and reducing OH&S risks.....	6
6.8 Incident investigation.....	7
7 Competence requirements for other certification personnel	7
7.1 Terminology, principles, processes and concepts of OH&S.....	7
7.2 Context of the organization.....	7
Annex A (informative) Knowledge for OH&S management systems auditing and certification	8
Bibliography	9

Foreword

ISO (the International Organization for Standardization) and IEC (the International Electrotechnical Commission) form the specialized system for worldwide standardization. National bodies that are members of ISO or IEC participate in the development of International Standards through technical committees established by the respective organization to deal with particular fields of technical activity. ISO and IEC technical committees collaborate in fields of mutual interest. Other international organizations, governmental and non-governmental, in liaison with ISO and IEC, also take part in the work. In the field of conformity assessment, ISO and IEC develop joint ISO/IEC documents under the management of the ISO Committee on Conformity assessment (ISO/CASCO).

The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular the different approval criteria needed for the different types of ISO documents should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see www.iso.org/directives).

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO and IEC shall not be held responsible for identifying any or all such patent rights. Details of any patent rights identified during the development of the document will be in the Introduction and/or on the ISO list of patent declarations received (see www.iso.org/patents).

Any trade name used in this document is information given for the convenience of users and does not constitute an endorsement.

For an explanation on the voluntary nature of standards, the meaning of ISO specific terms and expressions related to conformity assessment, as well as information about ISO's adherence to the World Trade Organization (WTO) principles in the Technical Barriers to Trade (TBT) see the following URL: www.iso.org/iso/foreword.html.

This document prepared by Project Committee ISO/PC 283, *Occupational health and safety management systems*, and the ISO Committee on conformity assessment (CASCO). It was circulated for voting to the national bodies of both ISO and IEC, and was approved by both organizations.

A list of all parts in the ISO/IEC 17021 series can be found on the ISO website.

Introduction

ISO 45001 provides a framework to enable organizations to provide safe and healthy workplaces by preventing work-related injury and/or ill health to workers as well as by proactively improving its occupational health and safety (OH&S) performance. OH&S management system certification personnel need to have competencies described in ISO/IEC 17021-1:2015, as well as specific OH&S management system competencies described in this document.

This document complements the requirements in ISO/IEC 17021-1:2015, including the guiding principles in ISO/IEC 17021-1:2015, Clause 4. In particular, it clarifies the requirements for the competence of personnel involved in the certification process set out in ISO/IEC 17021-1:2015, Annex A.

Certification bodies have a responsibility to interested parties, including their clients and the customers of the organizations whose management systems are certified, to ensure that OH&S management system certification is credible by only using certification personnel that have demonstrated relevant competence.

In this document, the following verbal forms are used:

- “shall” indicates a requirement;
- “should” indicates a recommendation;
- “may” indicates a permission;
- “can” indicates a possibility or a capability.

Further details can be found in the ISO/IEC Directives, Part 2.

[ISO/IEC TS 17021-10:2018](https://standards.iteh.ai/catalog/standards/iso/e731d961-4e9f-4273-8848-2c4b2d709177/iso-iec-ts-17021-10-2018)

<https://standards.iteh.ai/catalog/standards/iso/e731d961-4e9f-4273-8848-2c4b2d709177/iso-iec-ts-17021-10-2018>

