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**Information technology —  
Security techniques — Encryption  
algorithms —**

**Part 2:  
Asymmetric ciphers**

**AMENDMENT 1: FACE**  
**(standards.iteh.ai)**

*Technologies de l'information — Techniques de sécurité —  
Algorithmes de chiffrement —*

*Partie 2: Chiffres asymétriques*

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**AMENDMENT 1: FACE**



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The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular the different approval criteria needed for the different types of ISO documents should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see [www.iso.org/directives](http://www.iso.org/directives)).

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This document was prepared by ISO/IEC JTC 1, *Information technology*, Subcommittee SC 27, *IT Security techniques*.

A list of all parts in the ISO/IEC 18033 series can be found on the ISO website.

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# Information technology — Security techniques — Encryption algorithms —

## Part 2: Asymmetric ciphers

### AMENDMENT 1: FACE

#### Introduction

Replace the Introduction with the following:

#### Introduction

The International Organization for Standardization (ISO) and the International Electrotechnical Commission (IEC) draw attention to the fact that it is claimed that compliance with this document may involve the use of patents.

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- IBM Corporation. Address: North Castle Drive, Armonk, NY 10504 USA,  
<https://standards.itec.ai/catalog/standards/sist/3334d37e-a639-41e3-9653-49d43d6921f7/iec-18033-2-2006-amd-1-2017>
- NTT Corporation. Address: 9-11 Midori-Cho 3-chome, Musashino-shi, Tokyo 180-8585, Japan, and
- Hitachi, Ltd. Address: 6-1, Marunouchi 1-chome, Chiyoda-ku, Tokyo, 100-8220, Japan.

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#### Scope, NOTE

Replace:

- ECIES-HC; PSEC-HC; ACE-HC: generic hybrid ciphers based on ElGamal encryption;

with the following:

- ECIES-HC; PSEC-HC; ACE-HC; FACE-HC: generic hybrid ciphers based on ElGamal encryption;

#### 8.1.2

Replace:

- *ECIES-KEM* (described in Clause 10.2),

- *PSEC-KEM* (described in Clause 10.3),
- *ACE-KEM* (described in Clause 10.4), and
- *RSA-KEM* (described in Clause 11.5).

with the following:

- *ECIES-KEM* (described in 10.2),
- *PSEC-KEM* (described in 10.3),
- *ACE-KEM* (described in 10.4),
- *FACE-KEM* (described in 10.5), and
- *RSA-KEM* (described in 11.5).

### 8.1.2

Replace NOTE 1 with the following:

NOTE 1 As a matter of convention, the corresponding generic hybrid ciphers built from these key encapsulation mechanisms via the generic hybrid construction in 8.3 should be called (respectively) *ECIES-HC*, *PSEC-HC*, *ACE-HC*, *RSA-HC*, and *FACE-HC*.

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#### Clause 10

Add the following after “*ACE-KEM* is described in Clause 10.4”:

- *FACE-KEM* is described in 10.5.

#### Clause 10

Add the following after 10.4.4:

##### 10.5 *FACE-KEM*

The key encapsulation mechanism *FACE-KEM* is described in 10.5.

NOTE *FACE-KEM* is based on a series of research papers (see References [43] to [46]).

##### 10.5.1 System parameters

*FACE-KEM* is a family of key encapsulation mechanisms, parameterized by the following system parameters:

- $\Gamma$ : a concrete group

$$\Gamma = (\mathcal{H}, \mathcal{G}, \mathbf{g}, \mu, \nu, \mathcal{E}, \mathcal{D}, \mathcal{E}', \mathcal{D}');$$

- *KDF*: a key derivation function, as described in 6.2;
- *Hash*: a cryptographic hash function, as described in 6.1;
- *CofactorMode*: one of two values: 0 or 1.
- *KeyLen*: a positive integer.

— *TagLen*: a positive integer.

Any combination of allowable system parameters (in 6.1.1, 6.2.1, 10.1.1) is allowed, except for the following restrictions:

— *Hash.len* shall be less than  $\log_{256}\mu$ .

— If  $v = 1$ , then *CofactorMode* shall be 0.

— If  $v > 1$ , then *CofactorMode* may be 1 provided  $\gcd(\mu, v) = 1$ .

NOTE The value of *CofactorMode* is used only by the decryption algorithm.

### 10.5.2 Key generation

The key generation algorithm *FACE-KEM.KeyGen* takes no input, and runs as follows.

a) Generate numbers  $a_1, a_2$  uniformly at random from the range  $[0..μ)$ .

b) Compute the group elements

$$\mathbf{g}_1 = a_1 \cdot \mathbf{g}, \mathbf{g}_2 = a_2 \cdot \mathbf{g}.$$

c) Generate numbers  $x_1, x_2, y_1, y_2$  uniformly at random from the range  $[0..μ)$ .

d) Compute the group elements

$$\mathbf{c} = x_1 \cdot \mathbf{g}_1 + x_2 \cdot \mathbf{g}_2, \mathbf{d} = y_1 \cdot \mathbf{g}_1 + y_2 \cdot \mathbf{g}_2.$$

e) Output the public key  $\mathbf{g}_1, \mathbf{g}_2, \mathbf{c}, \mathbf{d}$ .

f) Output the private key  $x_1, x_2, y_1, y_2 \in [0..μ)$ .

### 10.5.3 Encryption

The encryption algorithm *FACE-KEM.Encrypt* takes as input a public key, consisting of

$$\mathbf{g}_1, \mathbf{g}_2, \mathbf{c}, \mathbf{d} \in \mathcal{G},$$

together with an encryption option *fmt* that specifies the format to be used for encoding group elements. It runs as follows.

a) Generate a number  $r$  uniformly at random from the range  $[0..μ)$ .

b) Compute group elements

$$\mathbf{u}_1 = r \cdot \mathbf{g}_1, \mathbf{u}_2 = r \cdot \mathbf{g}_2.$$

c) Compute the octet strings

$$EU_1 = \mathcal{E}(\mathbf{u}_1, \text{fmt}), EU_2 = \mathcal{E}(\mathbf{u}_2, \text{fmt}).$$

d) Compute the integer

$$\alpha = OS2IP(\text{Hash.eval}(EU_1 \| EU_2)).$$

e) Compute the integer

$$r' = \alpha r \bmod \mu.$$

- f) Compute the group element  

$$\mathbf{v} = r \cdot \mathbf{c} + r' \cdot \mathbf{d}.$$
- g) Set  $EV = \mathcal{E}(\mathbf{v}, \text{fmt})$ .
- h) Set  $Len = KeyLen + TagLen$ .
- i) Set  $W = KDF(EV, Len)$ .
- j) Parse  $W$  as  $W = \langle W_1, \dots, W_{Len} \rangle$  of  $Len$  octets.
- k) Set  $K = \langle W_1, \dots, W_{KeyLen} \rangle$  of  $KeyLen$  octets.
- l) Set  $T = \langle W_{KeyLen+1}, \dots, W_{Len} \rangle$  of  $TagLen$  octets.
- m) Set  $C_0 = EU_1 \| EU_2 \| T$ .
- n) Output the ciphertext  $C_0$  and the secret key  $K$ .

#### 10.5.4 Decryption

The decryption algorithm *FACE-KEM.Decrypt* takes as input a private key, consisting of

$$x_1, x_2, y_1, y_2 \in [0..μ),$$

and ciphertext  $C_0$ . It runs as follows.

- a) Parse  $C_0$  as  $C_0 = EU_1 \| EU_2 \| T$ , where  $EU_1, EU_2$  are octet strings such that for some (uniquely determined) group elements  $\mathbf{u}_1, \mathbf{u}_2 \in \mathcal{H}$ ,  $\mathbf{u}_1 = \mathcal{D}(EU_1)$ ,  $\mathbf{u}_2 = \mathcal{D}(EU_2)$ . This step **fails** if  $C_0$  cannot be so parsed. Check that  $\{EU_1, EU_2\}$  is a consistent set of valid encodings; if not, then **fail**.

- b) If  $CofactorMode = 0$  and  $v > 1$ : test if  $\mathbf{u}_1 \in \mathcal{G}$  and  $\mathbf{u}_2 \in \mathcal{G}$ ; if either  $\mathbf{u}_1 \notin \mathcal{G}$  or  $\mathbf{u}_2 \notin \mathcal{G}$ , then **fail**.

- c) If  $CofactorMode = 0$ , set

$$\hat{\mathbf{u}}_1 = \mathbf{u}_1, \hat{\mathbf{u}}_2 = \mathbf{u}_2;$$

$$\hat{x}_1 = x_1, \hat{x}_2 = x_2, \hat{y}_1 = y_1, \hat{y}_2 = y_2.$$

- d) If  $CofactorMode = 1$ , set:

$$\hat{\mathbf{u}}_1 = v \cdot \mathbf{u}_1, \hat{\mathbf{u}}_2 = v \cdot \mathbf{u}_2;$$

$$\hat{x}_1 = v^{-1}x_1 \bmod \mu, \hat{x}_2 = v^{-1}x_2 \bmod \mu, \hat{y}_1 = v^{-1}y_1 \bmod \mu, \hat{y}_2 = v^{-1}y_2 \bmod \mu.$$

- e) Compute the integer:

$$\alpha = OS2IP(\text{Hash.eval}(EU_1 \| EU_2)).$$

- f) Compute the integers:

$$t_1 = \hat{x}_1 + \alpha \hat{y}_1 \bmod \mu, t_2 = \hat{x}_2 + \alpha \hat{y}_2 \bmod \mu.$$



g) Compute the group element:

$$\mathbf{v} = t_1 \cdot \hat{\mathbf{u}}_1 + t_2 \cdot \hat{\mathbf{u}}_2.$$

h) Set  $EV = \mathcal{E}(\mathbf{v}, \text{fmt})$ .

i) Set  $Len = KeyLen + TagLen$ .

j) Set  $W = KDF(EV, Len)$ .

k) Parse  $W$  as  $L = \langle W_1, \dots, W_{Len} \rangle$  of  $Len$  octets.

l) Set  $K = \langle W_1, \dots, W_{KeyLen} \rangle$  of  $KeyLen$  octets.

m) Set  $T_{dec} = \langle W_{KeyLen+1}, \dots, W_{Len} \rangle$  of  $TagLen$  octets.

n) Test if  $T_{dec} = T$ ; if not then **fail**.

o) Output the secret key  $K$ .

#### Annex A

Replace the title:

**iTeh STANDARD PREVIEW**  
(ASN.1 syntax for object identifiers)

with the following:

[ISO/IEC 18033-2:2006/Amd 1:2017](https://standards.iteh.ai/catalog/standards/sist/6a639-4fc3-9653-49ad43de692b/iso-iec-18033-2-2006-amd-1-2017)  
<https://standards.iteh.ai/catalog/standards/sist/6a639-4fc3-9653-49ad43de692b/iso-iec-18033-2-2006-amd-1-2017> **Object identifiers**

#### Annex A

Replace the first paragraph:

This annex gives ASN.1 syntax for object identifiers, public keys, and parameter structures to be associated with the algorithms specified in this part of ISO/IEC 18033.

with the following:

Annex A gives object identifiers, public keys, and parameter structures to be associated with the algorithms specified in this document.

#### Annex A

Replace:

```
-- Key encapsulation mechanisms --
id-kem-ecies OID ::= { id-kem ecies(1) }
id-kem-psec  OID ::= { id-kem psec(2) }
id-kem-ace  OID ::= { id-kem ace(3) }
id-kem-rsa  OID ::= { id-kem rsa(4) }
```

with the following:

```
-- Key encapsulation mechanisms --
id-kem-ecies OID ::= { id-kem ecies(1) }
```