

SLOVENSKI STANDARD SIST EN IEC 62351-5:2023

01-april-2023

Upravljanje elektroenergetskega sistema in pripadajoča izmenjava informacij - Varnost podatkov in komunikacij - 5. del: Varnost za IEC 60870-5 in izpeljanke (IEC 62351-5:2023)

Power systems management and associated information exchange - Data and communications security - Part 5: Security for IEC 60870-5 and derivatives (IEC 62351-5:2023)

Energiemanagementsysteme und zugehöriger Datenaustausch – IT-Sicherheit für Daten und Kommunikation – Teil 5: Sicherheit für IEC 60870-5 und Derivate (IEC 62351-5:2023)

Gestion des systèmes de puissance et échanges d'informations associées - Sécurité des communications et des données - Partie 5: Aspects de sécurité pour l'IEC 60870-5 et ses dérivés (IEC 62351-5:2023)

Ta slovenski standard je istoveten z: EN IEC 62351-5:2023

ICS:

29.240.30 Krmilna oprema za Control equipment for electric

elektroenergetske sisteme power systems

35.240.50 Uporabniške rešitve IT v IT applications in industry

industriji

SIST EN IEC 62351-5:2023 en

SIST EN IEC 62351-5:2023

iTeh STANDARD PREVIEW (standards.iteh.ai)

SIST EN IEC 62351-5:2023

https://standards.iteh.ai/catalog/standards/sist/da3325a5-9802-482f-989ce7810aa64238/sist-en-iec-62351-5-2023 EUROPEAN STANDARD NORME EUROPÉENNE

FUROPÄISCHE NORM

EN IEC 62351-5

February 2023

ICS 33.200

English Version

Power systems management and associated information exchange - Data and communications security - Part 5: Security for IEC 60870-5 and derivatives

(IEC 62351-5:2023)

Gestion des systèmes de puissance et échanges d'informations associées - Sécurité des communications et des données - Partie 5: Aspects de sécurité pour l'IEC 60870-5 et ses dérivés (IEC 62351-5:2023) Energiemanagementsysteme und zugehöriger Datenaustausch - IT-Sicherheit für Daten und Kommunikation - Teil 5: Sicherheit für IEC 60870-5 und Derivate (IEC 62351-5:2023)

This European Standard was approved by CENELEC on 2023-02-17. CENELEC members are bound to comply with the CEN/CENELEC Internal Regulations which stipulate the conditions for giving this European Standard the status of a national standard without any alteration.

Up-to-date lists and bibliographical references concerning such national standards may be obtained on application to the CEN-CENELEC Management Centre or to any CENELEC member.

This European Standard exists in three official versions (English, French, German). A version in any other language made by translation under the responsibility of a CENELEC member into its own language and notified to the CEN-CENELEC Management Centre has the same status as the official versions.

CENELEC members are the national electrotechnical committees of Austria, Belgium, Bulgaria, Croatia, Cyprus, the Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, the Netherlands, Norway, Poland, Portugal, Republic of North Macedonia, Romania, Serbia, Slovakia, Slovenia, Spain, Sweden, Switzerland, Türkiye and the United Kingdom.



European Committee for Electrotechnical Standardization Comité Européen de Normalisation Electrotechnique Europäisches Komitee für Elektrotechnische Normung

CEN-CENELEC Management Centre: Rue de la Science 23, B-1040 Brussels

EN IEC 62351-5:2023 (E)

European foreword

The text of document 57/2516/FDIS, future edition 1 of IEC 62351-5, prepared by IEC/TC 57 "Power systems management and associated information exchange" was submitted to the IEC-CENELEC parallel vote and approved by CENELEC as EN IEC 62351-5:2023.

The following dates are fixed:

- latest date by which the document has to be implemented at national (dop) 2023-08-17 level by publication of an identical national standard or by endorsement
- latest date by which the national standards conflicting with the (dow) 2026-02-17 document have to be withdrawn

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. CENELEC shall not be held responsible for identifying any or all such patent rights.

Any feedback and questions on this document should be directed to the users' national committee. A complete listing of these bodies can be found on the CENELEC website.

Endorsement notice

The text of the International Standard IEC 62351-5:2023 was approved by CENELEC as a European Standard without any modification.

In the official version, for Bibliography, the following notes have to be added for the standard indicated:

IEC 60870-5-101:2003 NOTE Approved as EN 60870-5-101:2003 (not modified)

IEC 60870-5-102 NOTE Approved as EN 60870-5-102 IEC 60870-5-103 NOTE Approved as EN 60870-5-103

IEC 60870-5-104 NOTE Approved as EN 60870-5-104

Annex A (normative)

Normative references to international publications with their corresponding European publications

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

NOTE 1 Where an International Publication has been modified by common modifications, indicated by (mod), the relevant EN/HD applies.

NOTE 2 Up-to-date information on the latest versions of the European Standards listed in this annex is available here: www.cenelec.eu.

<u>Publication</u>	<u>Year</u>	<u>Title</u>	EN/HD	<u>Year</u>
IEC 60870-5	series	Telecontrol equipment and systems – Part 5: Transmission protocols	EN 60870-5	series
IEC/TS 62351-1	Teh	Power systems management and associated information exchange - Data and communications security - Part 1: Communication network and system security - Introduction to security issues	VIEW	-
IEC/TS 62351-2 https://	- standards	Power systems management and associated information exchange - Data and communications security - Part 2: Glossary of terms	-9802-482f-989c-	-
IEC 62351-3	-	Power systems management and associated information exchange - Data and communications security - Part 3: Communication network and system security - Profiles including TCP/IP	EN 62351-3	-
IEC 62351-7	-	Power systems management and associated information exchange - Data and communications security - Part 7: Network and System Management (NSM) data object models	EN 62351-7	-
IEC 62351-8	-	Power systems management and associated information exchange - Data and communications security - Part 8: Role-based access control for power system management	EN IEC 62351-8	-
IEC 62351-14 ¹	-	Power systems management and associated information exchange - Data and communications security - Part 14: Cyber security event logging	-	-

¹ Under preparation. Stage at the time of publication: IEC ACDV 62351-14:2021.

_

EN IEC 62351-5:2023 (E)

l	ETF RFC 2104	-	HMAC: Keyed-Hashing for Message Authentication		
ı	ETF RFC 3394	-	Advanced Encryption Standard (AES) Key Wrap Algorithm	-	
l	ETF RFC 5116	-	An Interface and Algorithms for Authenticated Encryption	-	
l	ETF RFC 5869	-	HMAC-based Extract-and-Expand Key Derivation Function	-	
l	ETF RFC 7693	-	The BLAKE2 Cryptographic Hash and Message Authentication Code (MAC)	-	
ı	ETF RFC 7748	-	Elliptic Curves for Security		
;	SEC2-V2	-	Standards for Efficient Cryptography SEC2: Recommended Elliptic Curve Domain parameters - Version 2.0		

iTeh STANDARD PREVIEW (standards.iteh.ai)

<u>SIST EN IEC 62351-5:2023</u> https://standards.iteh.ai/catalog/standards/sist/da3325a5-9802-482f-989c e7810aa64238/sist-en-iec-62351-5-2023



IEC 62351-5

Edition 1.0 2023-01

INTERNATIONAL STANDARD

NORME INTERNATIONALE



Power systems management and associated information exchange – Data and communications security –

Part 5: Security for IEC 60870-5 and derivatives

Gestion des systèmes de puissance et échanges d'informations associés – Sécurité des communications et des données – Sécurité des communications et des données – Partie 5: Aspects de sécurité pour l'IEC 60870-5 et ses dérivés

INTERNATIONAL ELECTROTECHNICAL COMMISSION

COMMISSION ELECTROTECHNIQUE INTERNATIONALE

ICS 33,200 ISBN 978-2-8322-6017-3

Warning! Make sure that you obtained this publication from an authorized distributor.

Attention! Veuillez vous assurer que vous avez obtenu cette publication via un distributeur agréé.

CONTENTS

FC	DREWORD.		6
1	Scope		8
2	Normativ	e references	9
3	Terms an	nd definitions	10
4		ted terms	
		description	
5		•	
		erview of clause	
	•	ecific threats addressed	
		Sign issues	
	5.3.1 5.3.2	Overview of subclause	
	5.3.2	Message-oriented	
	5.3.4	Poor sequence numbers or no sequence numbers	
	5.3.5	Limited processing power	
	5.3.6	Limited bandwidth	
	5.3.7	No access to authentication server	
	5.3.8	Limited frame length	
	5.3.9	Limited checksum	
	5.3.10	Radio systems	
	5.3.10	Dial-up systems	1/1
	5.3.11	Variety of protocols affected	1/1
	5.3.12	Differing data link layers	
	5.3.14	Long upgrade intervals EN IEC 62351-5:2023	
	5.3.15 ttp	Remote sites chalcalalog standards six da 3325a5-9802-4821-989c-	
	5.3.16	Unreliable media	
		neral principles	
	5.4.1	Overview of subclause	
	5.4.2	Application layer only	
	5.4.3	Generic definition mapped onto different protocols	
	5.4.4	Bi-directional	
	5.4.5	Management of cryptographic keys	
	5.4.6	Backwards tolerance	
	5.4.7	Upgradeable	
	5.4.8	Multiple connections	
6		f operation	
Ū	-	erview of clause	
		secure communication	
	6.2.1	Basic concepts	
	6.2.2	Association ID	
	6.2.3	Authenticating	
	6.2.4	Central Authority	
	6.2.5	Role Based Access Control (RBAC)	
	6.2.6	Cryptographic keys	
	6.2.7	Security statistics	
	6.2.8	Security statistics Security events	
7		al requirements	
•	. anotione	2	

	7.1	Overview of clause	22
	7.2	Procedures Overview	22
	7.3	State machine overview	23
	7.4	Timers and counters	25
	7.5	Security statistics and events	25
	7.5.1	General	25
	7.5.2	Special security thresholds	29
	7.5.3	Security statistics reporting	29
	7.5.4	Security events monitoring and logging	29
8	Form	al procedures	30
	8.1	Overview of subclause	30
	8.2	Distinction between messages and ASDUs	30
	8.2.1	General	30
	8.2.2	Messages datatypes and notations	30
	8.3	Station Association procedure	30
	8.3.1	General	30
	8.3.2	Public key certificates	31
	8.3.3	Configuration of authorized remote stations	33
	8.3.4	Pre-requisites to initiate the Station Association procedure	33
	8.3.5	Messages definition	33
	8.3.6	Controlling station state machine	42
	8.3.7	Controlled station state machine	52
	8.3.8	Verification of remote station's certificate	61
	8.3.9	Verification of certificates during normal operations	61
	8.3.1	0 Update Keys derivation	62
	8.3.1	1 to Controlling station directives for Station Association and Update Keys management	63
	8.3.1	2 Controlled station directives for Station Association and Update Keys management	63
	8.3.1	3 Initializing and updating Stations Association and Update Keys	65
	8.4	Session Key Change procedure	66
	8.4.1	General	66
	8.4.2	Messages definition	67
	8.4.3	Controlling station state machine	76
	8.4.4	Controlled station state machine	85
	8.4.5	Controlling station directives for Session Keys management	93
	8.4.6	Controlled station directives for Session Keys management	93
	8.4.7	,	
	8.5	Secure Data Exchange	95
	8.5.1	General	95
	8.5.2	Messages definition	96
	8.5.3	Controlling station state machine	100
	8.5.4	Controlled station state machine	105
	8.5.5	· · · · · · · · · · · · · · · · · · ·	
	8.5.6	9	
	8.5.7	, , , , , , , , , , , , , , , , , , , ,	
	8.5.8	, , ,	
9	Inter	pperability requirements	113
	9.1	Overview of clause	113

9.2	Minimum requirements	113		
9.2.1	Overview of subclause	113		
9.2.2	Authentication algorithms	113		
9.2.3	Key wrap / transport algorithms	113		
9.2.4	- 71 - 3 - 1	114		
9.2.5	71 0 1			
9.2.6	ŭ			
9.2.7	71 0 1			
9.3	Options			
9.3.1	Overview of subclause			
9.3.2	3			
9.3.3	, , , , ,			
9.3.4	71 0 1			
9.4	Use with TCP/IP			
9.5	Use with redundant channels			
10 Requ	irements for referencing this standard			
10.1	Overview of clause			
10.2	Selected options			
10.3	Message format mapping			
10.4	Reference to procedures			
10.5	Protocol information			
10.6	Controlled station response to unauthorized operations requests			
10.7	Transmission of security statistics			
10.8	Configurable values			
10.9	Protocol implementation conformance statement			
	informative) Security Event mapping to IEC 62351-14			
A.1	General e7810aa64238/sist-en-iec-62351-5-2023			
A.2	Mapping of IEC 62351-5 events specified in this document			
Bibliograp	phy	122		
Figure 1 -	- Overview of interaction between Central Authority and stations	21		
Figure 2 -	- Sequence of procedures	23		
Figure 3 -	- Station Association procedure	34		
·	Station Association – Controlling station state machine			
_	- Station Association – Controlled station state machine			
	- Example of Association ID, Update Keys and Session Keys initialization			
•	- Session Key Change procedure			
•	- Session Key Change – Controlling station state machine			
Figure 9 -	- Session Key Change – Controlled station state machine	86		
Figure 10	- Example of Session Key initialization and periodic update	95		
Figure 11	Figure 11 – Secure Data Exchange96			
Figure 12 – Secure Data Exchange – Controlling station state machine				
_	- Secure Data Exchange - Controlled station state machine			
	Figure 14 – Example of Secure Data Exchange during Station Association			
•	Example of Secure Data messages exchanged during Session Key			
	- Example of Secure Data messages exchanged during Session Rey	112		

Table 1 – Scope of application to standards	0
Table 2 – Summary of symmetric keys used	19
Table 3 – Summary of asymmetric keys used	19
Table 4 – States used in the controlling station state machine	24
Table 5 – States used in the controlled station state machine	24
Table 6 – Summary of timers and counters used	25
Table 7 – Security statistics and associated events	26
Table 8 – Elliptic curves	31
Table 9 – Association Request message	35
Table 10 – Association Response message	36
Table 11 – Update Key Change Request message	38
Table 12 – Data Included in MAC calculation (in order)	40
Table 13 – Update Key Change Response message	40
Table 14 – Data Included in MAC calculation (in order)	41
Table 15 – Controlling station state machine: Station Association	44
Table 16 – Controlled station state machine: Station Association	54
Table 17 – List of pre-defined role-to-permission assignment	64
Table 18 – Session Request message	68
Table 19 – Session Response message	70
Table 20 – Data Included in MAC calculation (in order)	71
Table 20 – Session Key Change Request message	72
Table 21 – Data Included in WKD (in order)	73
Table 22 – Example of Session Key order	74
Table 23 – Data Included in the MAC calculation (in order)	
Table 25 – Session Key Change Response message	75
Table 26 – Data Included in the MAC calculation (in order)	75
Table 27 – Controlling station state machine: Session Key Change	78
Table 28 – Controlled station state machine: Session Key Change	87
Table 29 – Secure Data message	97
Table 29 – Secure Data Payload using MAC algorithm	98
Table 31 – Data included in the MAC calculation in Secure Data Payload (in order)	99
Table 32 – AEAD algorithm parameters to generate the Secure Data Payload (in order)	99
Table 33 – Controlling station state machine: Secure Data Exchange	102
Table 34 – Controlled station state machine: Secure Data Exchange	107
Table 35 – Configuration of cryptographic information	116
Table 36 – Legend for configuration of cryptographic information	116
Table A.1 – Security event logs defined in IEC 62351-5 Ed.1 mapped to IEC 62351-14	120

INTERNATIONAL ELECTROTECHNICAL COMMISSION

POWER SYSTEMS MANAGEMENT AND ASSOCIATED INFORMATION EXCHANGE – DATA AND COMMUNICATIONS SECURITY –

Part 5: Security for IEC 60870-5 and derivatives

FOREWORD

- 1) The International Electrotechnical Commission (IEC) is a worldwide organization for standardization comprising all national electrotechnical committees (IEC National Committees). The object of IEC is to promote international co-operation on all questions concerning standardization in the electrical and electronic fields. To this end and in addition to other activities, IEC publishes International Standards, Technical Specifications, Technical Reports, Publicly Available Specifications (PAS) and Guides (hereafter referred to as "IEC Publication(s)"). Their preparation is entrusted to technical committees; any IEC National Committee interested in the subject dealt with may participate in this preparatory work. International, governmental and non-governmental organizations liaising with the IEC also participate in this preparation. IEC collaborates closely with the International Organization for Standardization (ISO) in accordance with conditions determined by agreement between the two organizations.
- 2) The formal decisions or agreements of IEC on technical matters express, as nearly as possible, an international consensus of opinion on the relevant subjects since each technical committee has representation from all interested IEC National Committees.
- 3) IEC Publications have the form of recommendations for international use and are accepted by IEC National Committees in that sense. While all reasonable efforts are made to ensure that the technical content of IEC Publications is accurate, IEC cannot be held responsible for the way in which they are used or for any misinterpretation by any end user.
- 4) In order to promote international uniformity, IEC National Committees undertake to apply IEC Publications transparently to the maximum extent possible in their national and regional publications. Any divergence between any IEC Publication and the corresponding national or regional publication shall be clearly indicated in the latter.
- 5) IEC itself does not provide any attestation of conformity. Independent certification bodies provide conformity assessment services and, in some areas, access to IEC marks of conformity. IEC is not responsible for any services carried out by independent certification bodies.
- 6) All users should ensure that they have the latest edition of this publication.
- 7) No liability shall attach to IEC or its directors, employees, servants or agents including individual experts and members of its technical committees and IEC National Committees for any personal injury, property damage or other damage of any nature whatsoever, whether direct or indirect, or for costs (including legal fees) and expenses arising out of the publication, use of, or reliance upon, this IEC Publication or any other IEC Publications.
- 8) Attention is drawn to the Normative references cited in this publication. Use of the referenced publications is indispensable for the correct application of this publication.
- 9) Attention is drawn to the possibility that some of the elements of this IEC Publication may be the subject of patent rights. IEC shall not be held responsible for identifying any or all such patent rights.

IEC 62351-5 has been prepared by IEC technical committee 57: Power systems management and associated information exchange. It is an International Standard.

This International Standard cancels and replaces IEC TS 62351-5 published in 2013. It constitutes a technical revision. The primary changes in this International Standard are:

- a) The secure communication mechanism is performed on per controlling station/controlled station association.
- b) User management to add, change or delete a User, was removed.
- c) Symmetric method to change the Update Key was removed.
- d) Asymmetric method to the change Update Key was reviewed.
- e) Challenge/Reply procedure and concepts were removed.
- f) Aggressive Mode concept was replaced with the Secure Data message exchange mechanism.
- g) Authenticated encryption of application data was added.

IEC 62351-5:2023 © IEC 2023

-7-

- h) The list of permitted security algorithms has been updated.
- i) The rules for calculating messages sequence numbers have been updated
- j) Events monitoring and logging was added.

NOTE The following print types are used:

CAPITALIZATION has been used in the text of this document to formally identify the most important components of the described security mechanism. These components include: 1) data items e.g. Update Keys, Session Keys; 2) procedure names, e.g. Station Association, Session Key Change; message names, e.g. Association Request, Session Request; 3) state names, e.g. Session Established, Wait for Session Response; 5) statistics e.g. Authentication Errors, Unexpected Messages and 5) event names e.g. Reply Timeout, Rx Invalid Session Key Change.

The text of this International Standard is based on the following documents:

Draft	Report on voting
57/2516/FDIS	57/2555/RVD

Full information on the voting for its approval can be found in the report on voting indicated in the above table.

The language used for the development of this International Standard is English.

This document was drafted in accordance with ISO/IEC Directives, Part 2, and developed in accordance with ISO/IEC Directives, Part 1 and ISO/IEC Directives, IEC Supplement, available at www.iec.ch/members_experts/refdocs. The main document types developed by IEC are described in greater detail at www.iec.ch/standardsdev/publications.

The committee has decided that the contents of this document will remain unchanged until the stability date indicated on the IEC website under webstore.iec.ch in the data related to the specific document. At this date, the document will be

- reconfirmed,
- withdrawn,
- · replaced by a revised edition, or
- amended.

IMPORTANT – The "colour inside" logo on the cover page of this document indicates that it contains colours which are considered to be useful for the correct understanding of its contents. Users should therefore print this document using a colour printer.

POWER SYSTEMS MANAGEMENT AND ASSOCIATED INFORMATION EXCHANGE – DATA AND COMMUNICATIONS SECURITY –

Part 5: Security for IEC 60870-5 and derivatives

1 Scope

This part of IEC 62351 defines the application profile (A-profile) secure communication mechanism specifying messages, procedures and algorithms for securing the operation of all protocols based on or derived from IEC 60870-5, *Telecontrol Equipment and Systems – Transmission Protocols*. This document applies to at least those protocols listed in Table 1.

Table 1 – Scope of application to standards

Number	Name
IEC 60870-5-101	Companion standard for basic telecontrol tasks
IEC 60870-5-102	Companion standard for the transmission of integrated totals in electric power systems
IEC 60870-5-103	Companion standard for the informative interface of protection equipment
IEC 60870-5-104	Network access for IEC 60870-5-101 using standard transport profiles
DNP3	Distributed Network Protocol (defined in IEEE Std 1815, based on IEC 60870-1 through IEC 60870-5 and maintained jointly by the DNP Users Group and the IEEE)

The initial audience for this document is intended to be the members of the working groups developing the protocols listed in Table 1.

For the measures described in this document to take effect, they must be accepted and referenced by the specifications for the protocols themselves. This document is written to enable that process. The working groups in charge of taking this document to the specific protocols listed in Table 1 may choose not to do so.

The subsequent audience for this document is intended to be the developers of products that implement these protocols.

Portions of this document may also be of use to managers and executives in order to understand the purpose and requirements of the work.

This document is organized working from the general to the specific, as follows:

- Clauses 2 through 4 provide background terms, definitions, and references.
- Clause 5 describes the problems this specification is intended to address.
- Clause 6 describes the mechanism generically without reference to a specific protocol.
- Clauses 7 and 8 describe the mechanism more precisely and are the primary normative part of this specification.
- Clause 9 define the interoperability requirements for this secure communication mechanism, including the relationship of this standard to IEC 62351-3 for transport layer security..
- Clause 10 describes the requirements for other standards referencing this document.

_ 9 _

The actions of an organization in response to events and error conditions described in this document are expected to be defined by the organization's security policy and they are beyond the scope of this document.

2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

IEC 60870-5 (all parts), Telecontrol equipment and systems – Part 5: Transmission protocols

IEC TS 62351-1, Power systems management and associated information exchange – Data and communications security – Part 1: Communication network and system security – Introduction to security issues

IEC TS 62351-2, Power systems management and associated information exchange – Data and communications security – Part 2: Glossary of terms

IEC 62351-3, Power systems management and associated information exchange – Data and communications security – Part 3: Communication network and system security – Profiles including TCP/IP

IEC 62351-7, Power systems management and associated information exchange – Data and communications security – Part 7: Network and System Management (NSM) data object models

IEC 62351-8, Power systems management and associated information exchange – Data and communications security – Part 8: Role-based access control for power system management

IEC 62351-14, Power systems management and associated information exchange – Data and communications security – Part 14: Cyber security event logging¹

IETF RFC 2104, HMAC: Keyed-Hashing for Message Authentication

IETF RFC 3394, Advanced Encryption Standard (AES) Key Wrap Algorithm

IETF RFC 5116, An Interface and Algorithms for Authenticated Encryption

IETF RFC 5869, HMAC-based Extract-and-Expand Key Derivation Function (HKDF)

IETF RFC 7693, The BLAKE2 Cryptographic Hash and Message Authentication Code (MAC)

IETF RFC 7748, Elliptic Curve for Security

SEC2-V2, Standards for Efficient Cryptography SEC2: Recommended Elliptic Curve Domain Parameters – Version 2.0

¹ Under preparation. Stage at the time of publication: IEC ACDV 62351-14:2021.