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**Informacijska tehnologija - Naprave in infrastruktura podatkovnega centra - 99-1.
del: Priporočene prakse za upravljanje z energijo**

Information technology - Data centre facilities and infrastructures - Part 99-1:
Recommended practices for energy management

Informationstechnik - Einrichtungen und Infrastrukturen von Rechenzentren - Teil 99-1:
Empfohlene Praktiken für das Energiemanagement

Technologies de l'information - Installation et infrastructures des centres de traitement de
données - Partie 99-1: Pratiques recommandées relatives à la gestion énergétique

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35.110	Omreževanje	Networking

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RAPPORT TECHNIQUE
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CLC/TR 50600-99-1

August 2021

ICS 35.020; 35.110; 35.160

Supersedes CLC/TR 50600-99-1:2020

English Version

**Information technology - Data centre facilities and infrastructures
- Part 99-1: Recommended practices for energy management**

Technologies de l'information - Installation et
infrastructures des centres de traitement de données -
Partie 99-1: Pratiques recommandées relatives à la gestion
énergétique

Informationstechnik - Einrichtungen und Infrastrukturen von
Rechenzentren - Teil 99-1: Empfohlene Praktiken für das
Energiemanagement

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European Committee for Electrotechnical Standardization
Comité Européen de Normalisation Electrotechnique
Europäisches Komitee für Elektrotechnische Normung

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CLC/TR 50600-99-1:2021 (E)**European foreword**

This document (CLC/TR 50600-99-1:2021) has been prepared by CLC/TC 215 “Electrotechnical aspects of telecommunication equipment” in conjunction with the Directorate-General Joint Research Centre (DG JRC) of the European Commission (EC).

This document supersedes CLC/TR 50600-99-1:2020.

CLC/TR 50600-99-1:2021 includes the following significant technical changes with respect to CLC/TR 50600-99-1:2020:

- update to recently agreed energy management practices and align with the 2021 edition of the *EU Code of Conduct for data centres Best Practices* document;
- new practices added in 5.5, 6.5, 7.2.2, 7.2.3 and 7.2.4;
- re-arrangement of some practices and editorial improvements;
- the practices on “Smart Grid” and “Capture Ready infrastructure” have been re-located to CLC/TR 50600-99-2:2021.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. CENELEC shall not be held responsible for identifying any or all such patent rights.

This document has been prepared under a Standardization Request given to CENELEC by the European Commission and the European Free Trade Association.

This document aligns with the Best Practices document of the Code of Conduct for Data Centre Energy Efficiency (CoC) scheme operated by the DG JRC and continues to be prepared by data centre experts from operators, vendors, consultants, academics, professional and national bodies.

The publication of this document is intended to integrate recommended practices of energy management into the EN 50600 series developed by CLC/TC 215.

Regarding the structure of the EN 50600 series, see the Introduction.

Any feedback and questions on this document should be directed to the users' national committee. A complete listing of these bodies can be found on the CENELEC website.

Introduction

The unrestricted access to internet-based information demanded by the information society has led to an exponential growth of both internet traffic and the volume of stored/retrieved data. Data centres are housing and supporting the information technology and network telecommunications equipment for data processing, data storage and data transport. They are required both by network operators (delivering those services to customer premises) and by enterprises within those customer premises.

Data centres usually provide modular, scalable and flexible facilities and infrastructures to easily accommodate the rapidly changing requirements of the market. In addition, energy consumption of data centres has become critical both from an environmental point of view (reduction of environmental footprint) and with respect to economical considerations (cost of energy) for the data centre operator.

The implementation of data centres varies in terms of:

- a) purpose (enterprise, co-location, co-hosting, or network operator facilities);
- b) security level;
- c) physical size;
- d) accommodation (mobile, temporary and permanent constructions).

The needs of data centres also vary in terms of availability of service, the provision of security and the objectives for energy efficiency. These needs and objectives influence the design of data centres in terms of building construction, power distribution, environmental control, telecommunications cabling and physical security as well as the operation of the data centre. Effective management and operational information is important in order to monitor achievement of the defined needs and objectives.

Recognizing the substantial resource consumption, particularly of energy, of larger data centres, it is also important to provide tools for the assessment of that consumption both in terms of overall value and of source mix and to provide Key Performance Indicators (KPIs) to evaluate trends and drive performance improvements.

At the time of publication of this document, the EN 50600 series is designed as a framework of standards, technical specifications and technical reports covering the design, the operation and management, the key performance indicators for energy efficient operation of the data centre as well as a data centre maturity model.

The EN 50600-2 series defines the requirements for the data centre design.

The EN 50600-3 series defines the requirements for the operation and the management of the data centre.

The EN 50600-4 series defines the key performance indicators for the data centre.

The CLC/TS 50600-5 series defines the data centre maturity model requirements and recommendations.

The CLC/TR 50600-99-X Technical Reports cover recommended practices and guidance for specific topics around data centre operation and design.

This series of documents specifies requirements and recommendations to support the various parties involved in the design, planning, procurement, integration, installation, operation and maintenance of facilities and infrastructures within data centres. These parties include:

- 1) owners, operators, facility managers, ICT managers, project managers, main contractors;
- 2) consulting engineers, architects, building designers and builders, system and installation designers, auditors, test and commissioning agents;
- 3) facility and infrastructure integrators, suppliers of equipment;
- 4) installers, maintainers.

CLC/TR 50600-99-1:2021 (E)

At the time of publication of this document, the EN 50600 series will comprise the following standards and documents:

EN 50600-1, *Information technology — Data centre facilities and infrastructures — Part 1: General concepts*

EN 50600-2-1, *Information technology — Data centre facilities and infrastructures — Part 2-1: Building construction*

EN 50600-2-2, *Information technology — Data centre facilities and infrastructures — Part 2-2: Power supply and distribution*

EN 50600-2-3, *Information technology — Data centre facilities and infrastructures — Part 2-3: Environmental control*

EN 50600-2-4, *Information technology — Data centre facilities and infrastructures — Part 2-4: Telecommunications cabling infrastructure*

EN 50600-2-5, *Information technology — Data centre facilities and infrastructures — Part 2-5: Security systems*

EN 50600-3-1, *Information technology — Data centre facilities and infrastructures — Part 3-1: Management and operational information*

EN 50600-4-1, *Information technology — Data centre facilities and infrastructures — Part 4-1: Overview of and general requirements for key performance indicators*

EN 50600-4-2, *Information technology — Data centre facilities and infrastructures — Part 4-2: Power Usage Effectiveness*

EN 50600-4-3, *Information technology — Data centre facilities and infrastructures — Part 4-3: Renewable Energy Factor*

EN 50600-4-6, *Information technology — Data centre facilities and infrastructures — Part 4-6: Energy Reuse Factor*

EN 50600-4-7, *Information technology — Data centre facilities and infrastructures — Part 4-7: Cooling Efficiency Ratio*

CLC/TS 50600-2-10: *Information technology — Data centre facilities and infrastructures — Part 2-10: Earthquake risk and impact analysis*

CLC/TR 50600-99-1, *Information technology — Data centre facilities and infrastructures — Part 99-1: Recommended practices for energy management*

CLC/TR 50600-99-2, *Information technology — Data centre facilities and infrastructures — Part 99-2: Recommended practices for environmental sustainability*

CLC/TR 50600-99-3, *Information technology — Data centre facilities and infrastructures — Part 99-3: Guidance to the application of EN 50600 series.*

The inter-relationship of the documents within the EN 50600 series is shown in Figure 1.

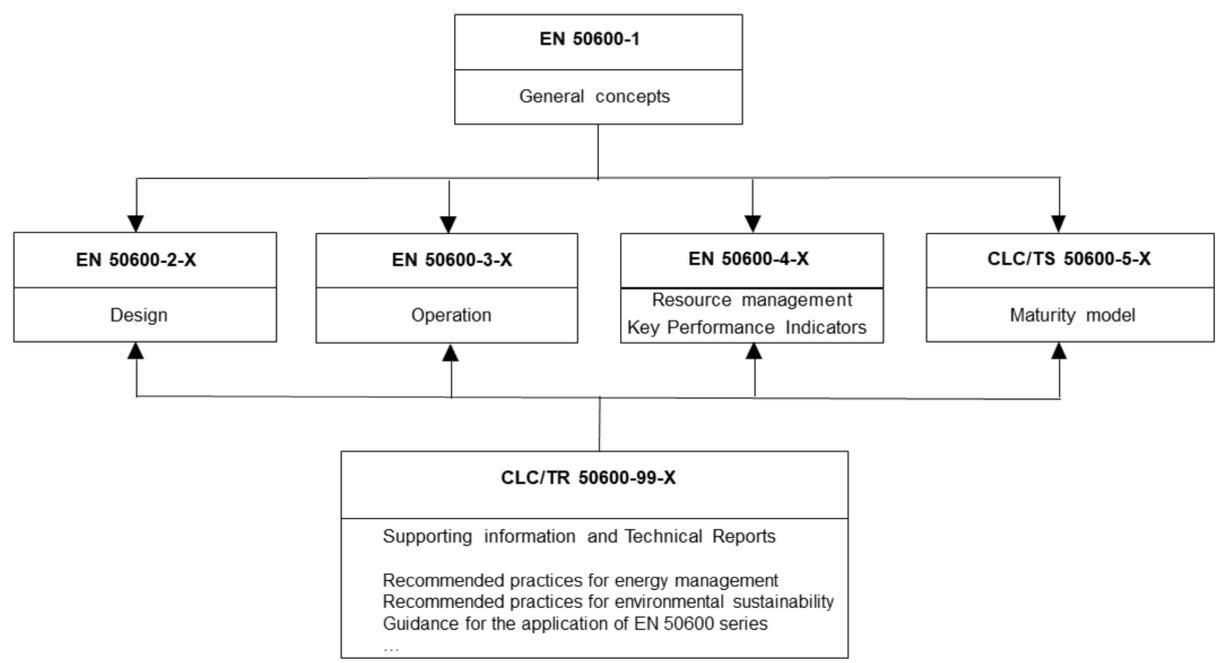


Figure 1 — Schematic relationship between the EN 50600 series of documents

EN 50600-2-X documents specify requirements and recommendations for particular facilities and infrastructures to support the relevant classification for “availability”, “physical security” and “energy efficiency enablement” selected from EN 50600-1.

EN 50600-3-X documents specify requirements and recommendations for data centre operations, processes and management.

EN 50600-4-X documents specify requirements and recommendations for key performance indicators (KPIs) used to assess and improve the resource usage efficiency and effectiveness, respectively, of a data centre.

The Directorate-General Joint Research Centre (DG JRC) of the European Commission operates a Code of Conduct for Data Centre Energy Efficiency (CoC) scheme. In support of the scheme, a “best practices” document has been established by DG JRC. To enhance the visibility, these Best Practices have been converted in this document to create recommended Practices for improving the energy management (i.e. reduction of energy consumption and/or increases in energy efficiency) of data centres.

The areas addressed are:

- physical building;
- mechanical and electrical equipment;
- computer room;
- cabinets/racks;
- ICT equipment;
- operating systems;
- virtualization;
- software;
- business practices.

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The Practices are separated into Expected Practices as referenced in the CoC (see Clause 5) and other Practices which can be employed as optional or alternative solutions in particular cases (see Clause 6). Practices under consideration for the next or future revision/amendment of this document are included in Clause 7. During the maintenance of this document, the Practices of Clauses 6 and 7 might be augmented and others might migrate into Clause 5.

The Practices listed in Clauses 5, 6 and 7 are referenced as x.yyy where x is the clause number and yyy is a sequential number starting within each (sub-)clause.

Customers or suppliers of information and communication technology (ICT) services might also find it useful to request or provide a list of the Practices of this document that are implemented in a data centre to assist in procurement of services that meet their environmental or sustainability standards.

This document also:

- acts as an education and reference document to assist data centre operators in identifying and implementing measures to improve the energy management of their data centres;
- provides a common terminology and frame of reference for describing an energy management practice, avoiding doubt or confusion over terminology.

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1 Scope

This document is a compilation of recommended Practices for improving the energy management (i.e. reduction of energy consumption and/or increases in energy efficiency) of data centres.

NOTE It is historically aligned with the EU Code of Conduct for Data Centre Energy Efficiency (CoC) scheme operated by the Directorate-General Joint Research Centre (DG JRC) of the European Commission (EC), however contains additional practices.

It is recognized that the Practices included might not be universally applicable to all scales and business models of data centres or be undertaken by all parties involved in data centre operation, ownership or use.

2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

EN 14511 series, *Air conditioners, liquid chilling packages and heat pumps for space heating and cooling and process chillers, with electrically driven compressors*

EN 50600-1:2019, *Information technology - Data centre facilities and infrastructures - Part 1: General concepts*

EN 50600 series, *Information technology — Data centre facilities and infrastructures*

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3 Terms, definitions and abbreviations

3.1 Terms and definitions

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For the purposes of this document, the terms and definitions in the EN 50600 series and the following apply.

ISO and IEC maintain terminological databases for use in standardization at the following addresses:

- IEC Electropedia: available at <http://www.electropedia.org/>
- ISO Online browsing platform: available at <https://www.iso.org/obp>

3.1.1

airflow pathway

route taken by air to reach a specific point

3.1.2

albedo

diffuse reflectivity or reflecting power of a surface

3.1.3

availability

ability of an item to be in a state to perform a required function under given conditions at a given instant of time or over a given time interval, assuming that the required external resources are provided

[SOURCE: EN 50600-1:2019, 3.1.1]

CLC/TR 50600-99-1:2021 (E)**3.1.4****cable management system**

system used for the support and/or containment, retention, protection of all types of cables, information and communication lines, electrical power distribution conductors and their associated accessories (includes ducts and tubes housing, or intended to house, blown information technology cables and/or cable elements)

[SOURCE: EN 50174-1:2018, 3.1.9]

3.1.5**co-location data centre**

data centre in which multiple customers locate their own network(s), servers and storage equipment

Note 1 to entry: The support infrastructure of the building (such as power distribution and environmental control) is provided as a service by the data centre operator.

[SOURCE: EN 50600-1:2019, 3.1.6]

3.1.6**computer room space**

area within the data centre that accommodates the data processing, data storage and telecommunication equipment that provides the primary function of the data centre

[SOURCE: EN 50600-1:2019, 3.1.7]

3.1.7**computer room air conditioning/computer room air handling CRAC/CRAH**

equipment that provides cooling airflow volumes into a computer room as a means of environmental control

Note 1 to entry: Other abbreviations such as CCU, DFU, RACU, UFU are sometimes used to refer to such equipment.

3.1.8**cooling economizer**

system to enable the use of cool external condition to provide cooling to internal data centre spaces without the use of mechanical cooling or refrigeration

Note 1 to entry: Also referenced as "free cooling".

Note 2 to entry: Free cooling / economised cooling designs take advantage of cool ambient conditions to meet part or all of the facilities' cooling requirements so that the dependency on any form of mechanical cooling including compressors is reduced or even removed entirely, which can result in significant energy reduction.

Note 3 to entry: The opportunities for the utilization of free cooling are increased in cooler and dryer climates and where increased temperature set points are used. Where refrigeration plant can be reduced in size (or eliminated), operating and capital cost are reduced, including that of the required supporting electrical infrastructure.

Note 4 to entry: Free cooling can be retrofitted to some facilities.

3.1.9**data centre**

structure, or group of structures, dedicated to the centralized accommodation, interconnection and operation of information technology and network telecommunications equipment providing data storage, processing and transport services together with all the facilities and infrastructures for power distribution and environmental control together with the necessary levels of resilience and security required to provide the desired service availability

Note 1 to entry: A structure can consist of multiple buildings and/or spaces with specific functions to support the primary function.

Note 2 to entry: The boundaries of the structure or space considered the data centre which includes the information and communication technology equipment and supporting environmental controls can be defined within a larger structure or building.

[SOURCE: EN 50600-1:2019, 3.1.9]

3.1.10

direct liquid-cooled ICT equipment

ICT equipment that is cooled by a direct flow of liquid into an equipment cabinet or directly to the ICT equipment chassis to provide cooling rather than the use of moving air

3.1.11

energy efficiency

measure of the work done (as a result of design and/or operational procedures) for a given amount of energy consumed

3.1.12

energy management

combination of reduced energy consumption and increased energy efficiency, re-use of energy and use of renewable energy

Note 1 to entry: See also EN 50600-3-1 for another definition of energy management.

3.1.13

enterprise data centre

data centre that is operated by an enterprise which has the sole purpose of the delivery and management of services to its employees and customers

[SOURCE: EN 50600-1:2019, 3.1.14]

3.1.14

grid

<technology> interconnection of ICT resources in multiple locations to achieve a common objective

3.1.15

hot aisle/cold aisle

<system> construction of cabinets and containment intended to prevent the mixing of ICT equipment intake and exhaust air within computer room space(s)

3.1.16

information and communication technology equipment

ICT equipment

information technology (IT) and network telecommunications (NT) equipment providing data storage, processing and transport services

Note 1 to entry: Representing the "critical load" of the data centre.

3.1.17

insolation

total amount of solar radiation energy received on a given surface area during a given time

3.1.18

make-up air

air introduced into a data centre space to replace air that is exhausted through ventilation or combustion processes

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CLC/TR 50600-99-1:2021 (E)**3.1.19****managed service**

data centre operated to provide a defined set of services to its clients either proactively or as the managed service provider (not the client) determines that services are needed

3.1.20**rack**

open construction, typically self-supporting and floor-mounted, for housing closures and other information technology equipment

[SOURCE: EN 50174-1:2018, 3.1.34]

3.1.21**resilience**

ability to withstand and reduce the magnitude and/or duration of disruptive events, which includes the capability to anticipate, absorb, adapt to, and/or rapidly recover from such an event

[SOURCE: IEEE Technical Report PES-TR65]

3.1.22**set-point**

desired or target value (maximum or minimum) for either temperature or humidity

3.1.23**virtualization**

creation of a virtual version of physical ICT equipment or resource to offer a more efficient use of ICT hardware

3.2 Abbreviations

For the purposes of this document, the following abbreviations apply in addition to those of the EN 50600 series.

AC	Alternating current
ASHRAE	Formerly “American Society of Heating, Refrigeration and Air conditioning Engineers”
BIOS	Basic input/output system
BREEAM	Building Research Establishment Environmental Assessment Methodology
CoC	EC DG JRC Code of Conduct for Data Centre Energy Efficiency
CRAC/CRAH	Computer room air conditioning/computer room air handling
DC	Direct current
DCiE	Data centre infrastructure efficiency
DCIM	Data centre infrastructure management
DG JRC	Directorate-General Joint Research Council of the European Commission
DX	Direct expansion
EC	European Commission
ICT	Information and communications technology
IP	Internet protocol
IT	Information technology

ITIL	Information Technology Infrastructure Library
LCA	Life cycle assessment
LEED	Leadership in Energy and Environmental Design
MERV	Minimum Efficiency Reporting Value
NT	Network telecommunications
PDU	Power distribution unit
PSU	Power supply unit
PUE	Power usage effectiveness
REF	Renewable Energy Factor
SLA	Service level agreement
SMASH	Systems Management Architecture for Server Hardware
SNMP	Simple network management protocol
SPEC	Standard Performance Evaluation Corporation
UPS	Uninterruptible power supply

4 Principles

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4.1 General

Clauses 5 to 7 contain the full list of energy management Practices of this document.

Clause 5 contains those Practices that are considered “Expected Practices” of the CoC and which are listed under the following situations (see Table 1 to Table 4):

- a) existing data centres (example);
- b) ICT equipment (new or replacement);
- c) software install or upgrade;
- d) new build or refurbishment of data centres.

Clause 6 contains those Practices that are considered as optional or alternative within the CoC scheme (see Table 5 to Table 8).

Under each heading the recommended Practices of Clauses 5 and 6 are based upon the categories described in 4.2 to 4.8.

Each practice has been assigned a qualitative value (1 to 5) to indicate the level of benefit to be expected from its implementation and, therefore, the relative priorities that should be applied to it. A value of 5 indicates the greatest benefit/priority.

These values are not intended to be totalled or aggregated to provide an overall ‘operator score’ and should not be mistaken as being quantitative. This would require large scale data on the effects of each practice or technology which is not yet available as well as a complex system of scoring representing the combinational increase or reduction of individual practice values within that specific facility.

Practices under consideration for inclusion in either Clauses 5 or 6 for the next or future revision/amendment of this document are included in Clause 7 (see Table 9 to Table 11). These Practices do not have a qualitative value applied to them.