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**Stationary source emissions —  
Determination of greenhouse gas  
emissions in energy-intensive  
industries —**

**Part 4:  
Aluminium industry**

*Emissions de sources fixes — Détermination des émissions de gaz à  
effet de serre dans les industries énérgo-intensives —*

*Partie 4: Industrie de l'aluminium*

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## Foreword

ISO (the International Organization for Standardization) is a worldwide federation of national standards bodies (ISO member bodies). The work of preparing International Standards is normally carried out through ISO technical committees. Each member body interested in a subject for which a technical committee has been established has the right to be represented on that committee. International organizations, governmental and non-governmental, in liaison with ISO, also take part in the work. ISO collaborates closely with the International Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular, the different approval criteria needed for the different types of ISO documents should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see [www.iso.org/directives](http://www.iso.org/directives)).

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO shall not be held responsible for identifying any or all such patent rights. Details of any patent rights identified during the development of the document will be in the Introduction and/or on the ISO list of patent declarations received (see [www.iso.org/patents](http://www.iso.org/patents)).

Any trade name used in this document is information given for the convenience of users and does not constitute an endorsement.

For an explanation of the voluntary nature of standards, the meaning of ISO specific terms and expressions related to conformity assessment, as well as information about ISO's adherence to the World Trade Organization (WTO) principles in the Technical Barriers to Trade (TBT), see [www.iso.org/iso/foreword.html](http://www.iso.org/iso/foreword.html).

This document was prepared by Technical Committee ISO/TC 146, *Air quality*, Subcommittee SC 1, *Stationary source emissions*.

A list of all parts in the ISO 19694 series can be found on the ISO website.

Any feedback or questions on this document should be directed to the user's national standards body. A complete listing of these bodies can be found at [www.iso.org/members.html](http://www.iso.org/members.html).

## Introduction

This document serves the following purposes:

- to measure, test and quantify GHG emissions from the aluminium industry;
- to assess the level of GHG emissions performance of production processes over time at production sites;
- to establish and provide reliable, accurate and quality information for reporting and verification purposes.

This document can be used to measure, report and compare the GHG emissions of an aluminium production facility. Data for individual facilities, sites or works can be combined to measure, report and compare GHG emissions for a company, corporation or group.

Direct fuel-based emissions are not included; for calculation of this part of the GHG emissions, see ISO 19694-1.

This document deals with sector-specific aspects for the determination of greenhouse gas (GHG) emissions from aluminium production and is based on documents mentioned under tier 3 of Section 4.4.2.4 of the 2006 IPCC guidelines<sup>[6]</sup>.

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# Stationary source emissions — Determination of greenhouse gas emissions in energy-intensive industries —

## Part 4: Aluminium industry

### 1 Scope

This document specifies a harmonized method for calculating the emissions of greenhouse gases from the electrolysis section of primary aluminium smelters and aluminium anode baking plants. This document also specifies key performance indicators for the purpose of benchmarking of aluminium and boundaries.

### 2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO 19694-1, *Stationary source emissions — Determination of greenhouse gas emissions in energy-intensive industries — Part 1: General aspects*

### 3 Terms and definitions

For the purposes of this document, the terms and definitions given in ISO 19694-1 and the following apply.

ISO and IEC maintain terminology databases for use in standardization at the following addresses:

- ISO Online browsing platform: available at <https://www.iso.org/obp>
- IEC Electropedia: available at <https://www.electropedia.org/>

#### 3.1

##### **aluminium electrolysis**

section of an aluminium primary smelter where aluminium is converted from aluminium oxide to aluminium metal in electrolysis cells

#### 3.2

##### **anode baking plant**

production of carbon anodes for use in aluminium prebake electrolysis cells

#### 3.3

##### **PFC gas**

gas emitted from *aluminium electrolysis* (3.1) consisting of CF<sub>4</sub> and C<sub>2</sub>F<sub>6</sub>

#### 3.4

##### **grid specific CO<sub>2</sub> factor**

CO<sub>2</sub> factor (t CO<sub>2</sub>/MWh) associated with the electricity delivered to a specific aluminium smelter from their supplier

Note 1 to entry: The unit for grid specific CO<sub>2</sub> factor is t CO<sub>2</sub>/MWh.

## 4 Symbols and abbreviated terms

### 4.1 Abbreviated terms

AE	Anode effect
CWPB	Centre-worked prebake
DAE	Direct anode emissions
DEE	Direct electrolysis emissions
GHG	Green house gas
HSS	Horizontal stud Søderberg
IPCC	Intergovernmental Panel on Climate Change
PFC	Perfluorocarbon
PFPB	Point feeder prebake
SWPB	Side-worked prebake
TIE	Electrolysis electricity consumption
VSS	Vertical stud Søderberg
WBCSD	World Business Council for Sustainable Development
WRI	World Resources Institute

### 4.2 Symbols and chemical formulae

#### 4.2.1 Symbols

$A_{EM}$	Anode effect minutes per cell-day (equals to frequency multiplied by average duration)
$A_{EO}$	Anode effect overvoltage
$A_{NC}$	Net anode consumption
$A_{sha}$	Ash content in baked anodes
$A_{shp}$	Ash content in pitch, % mass fraction
$A_{shpc}$	Ash content in packing coke, % mass fraction
$B_A$	Baked anode production
$B_{AW}$	Baked anode mass
$B_C$	typical binder content in paste, % mass fraction
$C_{BA}$	Carbon content of baked anodes
$C_{Butt}$	Carbon content of anode butts
$C_E$	Current efficiency for aluminium production



$C_D$	Carbon in skimmed dust from anode from Søderberg cells, tonnes carbon per tonne aluminium
$C_{SM}$	Emissions of cyclohexane soluble matter, kilograms per tonnes aluminium
$E_{CF_4}$	Emissions of tetrafluoromethane, kg $CF_4$ per year
$E_{C_2F_6}$	Emissions of hexafluoroethane, kg $C_2F_6$ per year
$E_{CO_2}$	$CO_2$ emissions, tonnes per year
$E_{FPC}$	Emission factor of packing coke, $tCO_2/t$ of packing coke
$\frac{F_{C_2F_6}}{CF_4}$	Mass fraction of $\frac{C_2F_6}{CF_4}$
$G_A$	Mass of loaded green anodes, $G_A = \left( \frac{G_{AW}}{B_{AW}} \right) B_A$
$G_{AW}$	Green anode mass
$G_{WP}$	Global warming potential; use latest $G_{WP}$ data from IPCC
$H_w$	Hydrogen content in green anode
$H_p$	Hydrogen content in pitch, % mass fraction
$M_{BA}$	Total mass of baked anodes
$M_{Butt}$	Total mass of anode butts
$M_p$	Total metal production, tonnes aluminium per year
$N_{AC}$	Net anode consumption, tonnes per tonnes aluminium
$O_{FPC}$	Oxidation factor of packing coke (typically 1 for this stream)
$O_{VC}$	Overvoltage coefficient for $CF_4$
$P_C$	Paste consumption, tonnes per tonnes aluminium
$P_{CC}$	Packing coke consumed per tonnes of baked anode
$P_{CW}$	Packing coke mass
$R_{CF_4}$	Emission rates of $CF_4$ , kg per tonne of aluminium produced
$R_{C_2F_6}$	Emission rates of $C_2F_6$ , kg per tonne of aluminium produced
$S_a$	Sulfur content in baked anodes
$S_c$	Sulfur content in calcined coke, % mass fraction
$S_p$	Sulfur content in pitch, % mass fraction
$S_{pc}$	Sulfur content in packing coke, % mass fraction
$S_{CF_4}$	Slope coefficient for $CF_4$ , kg $CF_4$ per tonne aluminium per anode effect minute per cell day
$W_T$	Waste tar collected

#### 4.2.2 Chemical formulae

Al	Aluminium
Al <sub>2</sub> O <sub>3</sub>	Aluminium oxide (alumina)
C	Carbon
CF <sub>4</sub>	Tetrafluoromethane
C <sub>2</sub> F <sub>6</sub>	Hexafluoroethane
CO	Carbon monoxide
CO <sub>2</sub>	Carbon dioxide
NaAlF <sub>6</sub>	Sodium aluminium hexafluoride (cryolite)
NaF	Sodium fluoride

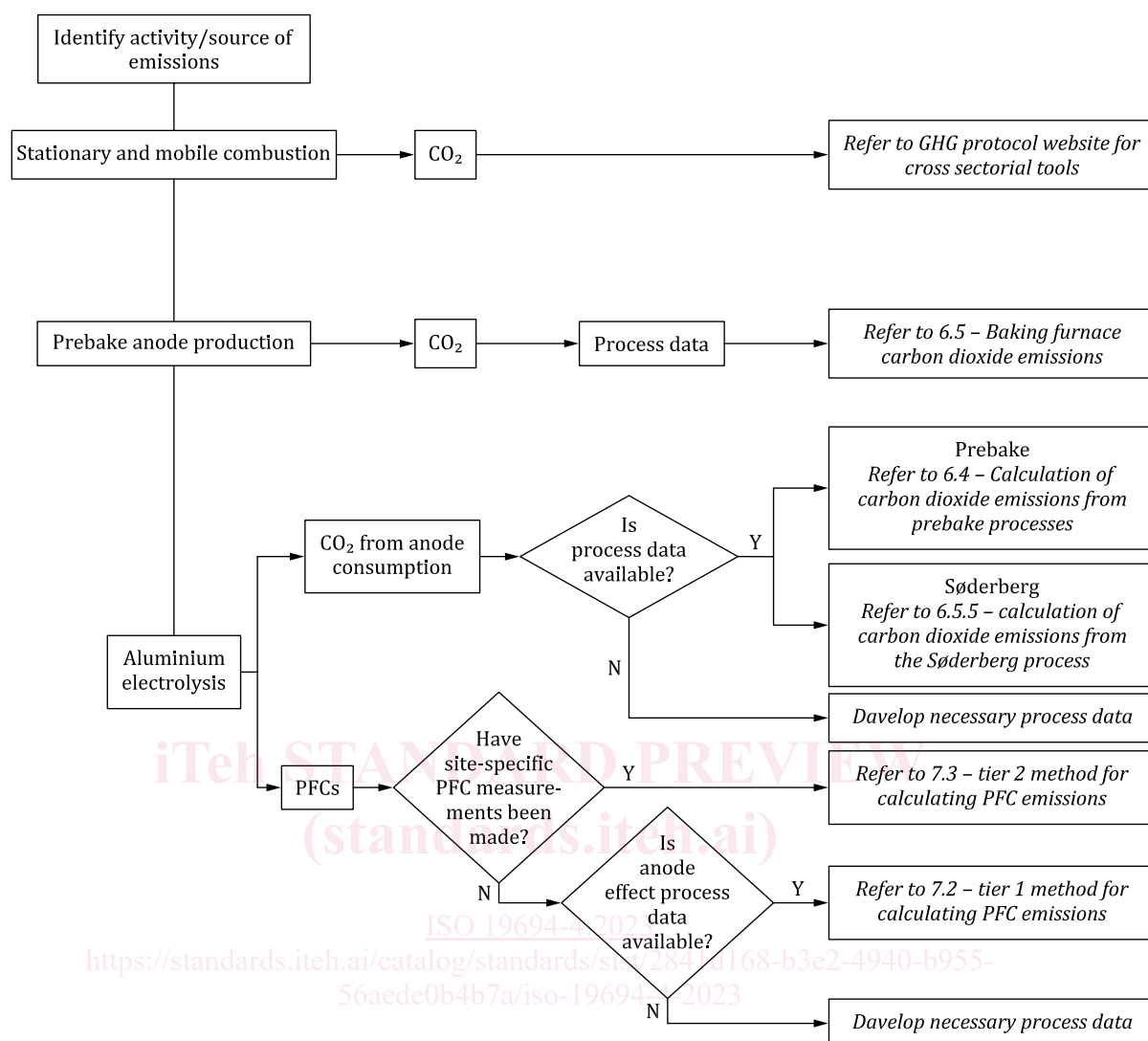
## 5 Calculation methods — General remarks

### 5.1 General

This document shall be used in conjunction with ISO 19694-1 which contains generic, overall requirements, definitions and rules applicable to the determination of GHG emissions for all energy-intensive sectors, provides common methodological issues and specifies the details for applying the rules. The application of this document to the sector-specific standards ensures accuracy, precision and reproducibility of the results.

### 5.2 Calculation methods for process GHG emissions from primary aluminium production

[Figure 1](#) gives sources of process emissions and references to where in the standard calculation methods are specified.



**Figure 1 — Decision tree for process carbon dioxide and perfluorocarbon emissions from primary aluminium production**

Process CO<sub>2</sub> emissions in state-of-the-art aluminium smelters comprise around 90 % of total direct CO<sub>2</sub> equivalent emissions, with the balance of emissions consisting of CO<sub>2</sub> from fossil fuel combustion and PFC emissions. Guidance on CO<sub>2</sub> emissions from fuel combustion is not included in this document. Methodology for calculating CO<sub>2</sub> emissions from the combustion of fuel in anode baking furnaces is described elsewhere<sup>[6],[7]</sup>, while methodology for calculating process CO<sub>2</sub> emissions is given in [Clause 7](#).

## 5.3 Sources of greenhouse gases

### 5.3.1 Electrolysis

Most of the CO<sub>2</sub> emissions result from the electrolytic reaction of the carbon anode with alumina as given in [Formula \(1\)](#):



Carbon dioxide is also emitted during the electrolysis reaction as the carbon anode reacts with other sources of oxygen, primarily from the air. Carbon dioxide is also formed as a result of the Boudouard reaction where CO<sub>2</sub> reacts with the carbon anode forming carbon monoxide, which is then oxidized to