

SLOVENSKI STANDARD SIST EN 13001-3-5:2016+A1:2021

01-september-2021

Žerjavi - Konstrukcija, splošno - 3-5. del: Mejna stanja in dokaz varnosti kovanih in litih kavljev

Cranes - General design - Part 3-5: Limit states and proof of competence of forged and cast hooks

Krane - Konstruktion allgemein - Teil 3-5: Grenzzustände und Sicherheitsnachweise von geschmiedeten und gegossenen Haken DARD PREVIEW

Appareils de levage à charge suspendue - Conception générale - Partie 3-5 : États limites et vérification des crochets forgés et moulés

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Ta slovenski standard je istoveten z.ist-en EN 13001-3-5:2016+A1:2021

ICS:

53.020.20 Dvigala Cranes

53.020.30 Pribor za dvigalno opremo Accessories for lifting

equipment

SIST EN 13001-3-5:2016+A1:2021 en,fr,de

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EN 13001-3-5:2016+A1

NORME EUROPÉENNE

EUROPÄISCHE NORM

May 2021

ICS 53.020.20; 53.020.30

Supersedes EN 13001-3-5:2016

English Version

Cranes - General design - Part 3-5: Limit states and proof of competence of forged and cast hooks

Appareils de levage à charge suspendue - Conception générale - Partie 3-5 : États limites et vérification des crochets forgés et moulés Krane - Konstruktion allgemein - Teil 3-5: Grenzzustände und Sicherheitsnachweise von geschmiedeten und gegossenen Haken

This European Standard was approved by CEN on 19 May 2016 and includes Amendment 1 approved by CEN on 12 April 2021.

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This European Standard exists in three official versions (English, French, German). A version in any other language made by translation under the responsibility of a CEN member into its own language and notified to the CEN-CENELEC Management Centre has the same status as the official versions.

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CEN-CENELEC Management Centre: Rue de la Science 23, B-1040 Brussels

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European foreword

This document (EN 13001-3-5:2016+A1:2021) has been prepared by Technical Committee CEN/TC 147 "Crane — Safety", the secretariat of which is held by DIN.

This European Standard shall be given the status of a national standard, either by publication of an identical text or by endorsement, at the latest by November 2021, and conflicting national standards shall be withdrawn at the latest by November 2021.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. CEN shall not be held responsible for identifying any or all such patent rights.

This document supersedes (A) EN 13001-3-5:2016 (A).

This document includes Amendment 1 approved by CEN on 21 April 2021.

The start and finish of text introduced or altered by amendment is indicated in the text by tags $\boxed{\mathbb{A}}$ $\boxed{\mathbb{A}}$.

This document has been prepared under a mandate given to CEN by the European Commission and the European Free Trade Association, and supports essential requirements of EU Directive(s).

For relationship with EU Directive(s), see informative Annex ZA, which is an integral part of this document.

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The major changes in this document compared to EN 13001-3-5:2016 are in 4.1, 4.2, 6.5.4, 6.6.4 and 8.2 so as to extend the scope of the standard to "cast hooks. (4)

This European Standard is one part of the EN 13001 series. The other parts are as follows:

- Part 1: General principles and requirements
- Part 2: Load actions
- Part 3-1: Limit states and proof of competence of steel structures
- Part 3-2: Limit states and proof of competence of wire ropes in reeving systems
- Part 3-3: Limit states and proof of competence of wheel/rail contacts
- Part 3-4: Limit states and proof of competence of machinery Bearings¹
- Part 3-6: Limit states and proof of competence of machinery Hydraulic cylinders²

For the relationship with other European Standards for cranes, see Annex L.

¹ Currently at Enquiry stage.

² Currently at Enquiry stage.

According to the CEN-CENELEC Internal Regulations, the national standards organisations of the following countries are bound to implement this European Standard: Austria, Belgium, Bulgaria, Croatia, Cyprus, Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, Netherlands, Norway, Poland, Portugal, Republic of North Macedonia, Romania, Serbia, Slovakia, Slovenia, Spain, Sweden, Switzerland, Turkey and the United Kingdom.

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Introduction

This European Standard has been prepared to provide a means for the mechanical design and theoretical verification of cranes to conform to essential health and safety requirements. This European Standard also establishes interfaces between the user (purchaser) and the designer, as well as between the designer and the component manufacturer, in order to form a basis for selecting cranes and components.

This European Standard is a type C standard as stated in EN ISO 12100.

The machinery concerned and the extent to which hazards, hazardous situations and events are covered are indicated in the scope of this standard.

When provisions of this type C standard are different from those which are stated in type A or B standards, the provisions of this type C standard take precedence over the provisions of the other standards, for machines.

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1 Scope

This European Standard is to be used together with EN 13001-1 and EN 13001-2 and, as such, they specify general conditions, requirements and methods to prevent by design and theoretical verification, mechanical hazards in crane hooks.

Annex L. (4)

This European Standard covers the following parts of hooks and types of hooks:

- A bodies of any type of hooks made of steel forgings or steel castings, including stainless steel;
- machined shanks of hooks with a thread/nut suspension.

Principles of this European Standard can be applied to machined shanks of hooks in general. However, stress concentration factors relevant to designs not given in this standard would have to be determined and applied.

A) The hazards covered by this document are identified by Annex M. (4)

NOTE 1 At Plate hooks, which are those, assembled of one or several parallel parts of rolled steel plates, are not covered in this document. (4)

The following is a list of significant hazardous situations and hazardous events that could result in risks to persons during normal use and foreseeable misuse. Clauses 4 to 8 of this document are necessary to reduce or eliminate the risks associated with the following hazards:

a) A exceeding the limits of yield strength, ultimate strength, fatigue strength, brittle fracture; 🔄

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b) exceeding temperature limits of material atalog/standards/sist/913c7ee2-5e85-4c16-ac2c-

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A) The requirements of this document are stated in the main body of the document and are applicable to hook designs in general. (A)

The commonly used hook body and shank designs listed in Annexes A, B and F are only examples and should not be referred to as requirements of this European Standard. Annex I gives guidance for the selection of a hook size, where a hook body is in accordance with Annex A or B. The selection of hook form is not limited to those shown in Annexes A and B.

This European Standard is applicable to cranes, which are manufactured after the date of approval of this European Standard by CEN, and serves as a reference base for product standards of particular crane types.

NOTE 2 This part of EN 13001 deals only with the limit state method in accordance with EN 13001-1.

2 Normative references

The following documents, in whole or in part, are normatively referenced in this document and are indispensable for its application. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

A) EN 1369:2012, Founding — Magnetic particle testing

EN 1370:2011, Founding — Examination of surface condition

EN 1371-1:2011, Founding — Liquid penetrant testing — Part 1: Sand, gravity die and low pressure die castings

EN 1559-1:2011, Founding — Technical conditions of delivery — Part 1: General

EN 10025-3:2019, Hot rolled products of structural steels — Part 3: Technical delivery conditions for normalized/normalized rolled weldable fine grain structural steels

EN 10204:2004, Metallic products — Types of inspection documents

EN 10213:2017, Steel castings for pressure purposes

EN 10222-4:2017, Steel forgings for pressure purposes — Part 4: Weldable fine grain steels with high proof strength

EN 10228-1:2016, Non-destructive testing of steel forgings — Part 1: Magnetic particle inspection

EN 10228-2:2016, Non-destructive testing of steel forgings — Part 2: Penetrant testing

EN 10228-3:2016, Non-destructive testing of steel forgings — Part 3: Ultrasonic testing of ferritic or martensitic steel forgings

EN 10250-2:1999, Open die steel forgings for general engineering purposes — Part 2: Non-alloy quality and special steels

EN 10250-3:1999, Open die steel forgings for general engineering purposes. Part 3: Alloy special steels

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EN 10340:2007, Steel castings for structural uses

EN 12680-1:2003, Founding — Ultrasonic examination — Part 1: Steel castings for general purposes

EN 13001-1:2015, Cranes — General design — Part 1: General principles and requirements

EN 13001-2:2014, Crane safety — General design — Part 2: Load actions

EN 13001-3-2:2014, Cranes — General design — Part 3-2: Limit states and proof of competence of wire ropes in reeving systems

EN ISO 148-1:2016, Metallic materials — Charpy pendulum impact test — Part 1: Test method (ISO 148-1:2016)

EN ISO 642:2016, Steel — Hardenability test by end quenching (Jominy test) (ISO 642:1999)

EN ISO 643:2012, Steels — Micrographic determination of the apparent grain size (ISO 643:2012)

EN ISO 683-2:2018, Heat-treatable steels, alloy steels and free-cutting steels — Part 2: Alloy steels for quenching and tempering (ISO 683-2:2016)

EN ISO 898-2:2012, Mechanical properties of fasteners made of carbon steel and alloy steel — Part 2: Nuts with specified property classes — Coarse thread and fine pitch thread (ISO 898-2:2012)

EN ISO 4287:1998, Geometrical product specifications (GPS) — Surface texture: Profile method — Terms, definitions and surface texture parameters (ISO 4287:1997)

EN ISO 6892-1:2016, *Metallic materials* — *Tensile testing* — *Part 1: Method of test at room temperature* (ISO 6892-1:2016)

EN ISO 12100:2010, Safety of machinery — General principles for design — Risk assessment and risk reduction (ISO 12100:2010)

CEN ISO/TR 15608:2017, Welding — Guidelines for a metallic materials grouping system (ISO/TR 15608:2017)

ISO 965-1:2013, ISO general purpose metric screw threads — Tolerances — Part 1: Principles and basic data

ISO 4306-1:2007, Cranes — Vocabulary — Part 1: General

EN ISO 6506-1:2014, Metallic materials — Brinell hardness test — Part 1: Test method (ISO 6506-1:2014)

EN ISO 15614-1:2017, Specification and qualification of welding procedures for metallic materials—Welding procedure test—Part 1: Arc and gas welding of steels and arc welding of nickel and nickel alloys (ISO 15614-1:2017, Corrected version 2017-10-01)

3 Terms and definitions, symbols and abbreviations 21

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3.1 Terms and definitions

For the purposes of this document, the terms and definitions given in EN ISO 12100:2010 and ISO 4306-1:2007 and the following apply.

3.1.1

hook shank

A) upper part of the hook, from which the hook is suspended to the hoist medium of the crane (A)

3.1.2

hook body

lower, curved part of the hook below the shank

3.1.3

hook seat

bottom part of the hook body, where the load lifting attachment is resting

3.1.4

hook articulation

feature of the hook suspension, allowing the hook to tilt along the inclined load line

3.1.5

stand alone hook

hook which is designed, manufactured and released to the market as a component or as part of a hook block, without connection to a specific crane or application

3.1.6

total deformation ratio

ratio of the area of the cast cross section to the forged cross section

NOTE The following terms might also be used in technical literature for the same: reduction rate, reduction ratio, forging reduction.

3.2 Symbols and abbreviations

Table 1 — Symbols and abbreviations

| Symbols, abbreviations | Description |
|-------------------------------|--|
| $A_1 A_{d1}$ | Cross section area of the unmachined shank (A1 |
| $A_{ m d4}$ | Cross section area of the critical section of hook shank |
| $A_{ m v}$ | Minimum impact toughness of material |
| а | Acceleration |
| a_1 | Seat circle diameter |
| a_2 | Throat opening |
| a_3 | Height of the hook point ARD PREVIEW |
| $b_{ m max}$ | Maximum width in the critical hook body section |
| $b_{ m ref}$ | Reference width |
| С | Total number of working cycles during the design life of crane |
| $C_{\rm t}$ | Relative tilting resistance of the hook suspension |
| Ce | Coefficient for load eccentricity |
| D | Cumulative damage in fatigue (Palmgren-Miner hypothesis) |
| $A_1 d_1$ | Diameter of the unmachined shank 🔠 |
| d_3 | Principal diameter of thread |
| d_4 | Diameter of the undercut section of the shank |
| d_5 | Thread core diameter |
| e_{R} | Distance of the vertical load line from the centre line of the shank |
| F | Vertical force |
| $F_{ m H}$ | Vertical force on hook due to occasional or exceptional loads |
| A1) $F_{ m Rd,s,0}$ | Basic limit design forces, static (A1 |
| $F_{ m Rd,s}$, $F_{ m Rd,f}$ | Limit design forces, static/fatigue |
| $F_{ m Sd,s}$ | Vertical design force for the proof of static strength |
| $F_{ m Sd,f}$ | Vertical design force for the proof of fatigue strength |
| $\land f_{M}, f_{MB}, f_{MS}$ | Factors of material type influence (forged/cast) 🔄 |
| f_1, f_2, f_3 | Factors of further influences |

| Symbols, abbreviations | Description |
|---|---|
| $f_{ m Rd}$ | Limit design stress |
| $f_{ m y}$ | Yield stress |
| $f_{ m u}$ | Ultimate strength |
| g | Acceleration due to gravity, $g = 9.81 \text{ m/s}^2$ |
| $H_{ m Sd,s}$ | Horizontal design force of hook |
| $H_{ m Sd,f}$ | Horizontal design force for the proof of fatigue strength |
| h_1, h_2 | Section heights of the hook body |
| h | Vertical distance from the seat bottom of the hook body to the centre of the articulation |
| h_{s} | Vertical distance from the seat bottom of the hook body to critical section of hook shank |
| i | Index for a lifting cycle or a stress cycle |
| I | Reference moment of inertia for curved beam |
| A ₁ > I _{d1} | Moment of inertia of the unmachined shank (41 |
| $I_{ m d4}$ | Moment of inertia of the critical section of hook shank |
| $k_{\mathbb{C}}$ | Conversion factor for stress spectrum and classified duty |
| $k_{\rm h}, k_{\rm s}$ | Stress spectrum factor <u>SIST EN 13001-3-5:2016+A1:2021</u> |
| kQ | Load spectrum factor in accordance with EN313001=15-4c16-ac2c- |
| k_5^* | Specific spectrum ratio factor with $m = 5$ |
| lg | Log to the base of 10 |
| M_1, M_2, M_3, M_4 | Bending moments of hook shank |
| $M_{1,f,i}$, $M_{2,f,i}$, $M_{3,f,i}$ | Bending moments of hook shank for the proof of fatigue strength, lifting cycle <i>i</i> |
| $M_{ m Sd,s}$ | Static design bending moment |
| m | Slope parameter of the characteristic fatigue design curve |
| $m_{ m RC}$ | Mass of rated hoist load |
| $m_{ m i}$ | Mass of the hook load in a lifting cycle <i>i</i> |
| N | Total number of stress cycles/lifting cycles |
| N_{D} | Reference number of stress cycles, $N_D = 2 \times 10^6$ |
| p | Pitch of thread |
| p_{a} | Average number of accelerations related to one lifting cycle |
| R | Radius of hook body curvature |
| Ra | Average depth of surface profile in accordance with EN ISO 4287:1998 |
| $R_{\rm z}$ | Maximum depth of surface profile in accordance with EN ISO 4287:1998 |

| Symbols, abbreviations | Description |
|---|--|
| r_9 | Relief radius of the undercut |
| $r_{ m th}$ | Thread bottom radius |
| S | Length of undercut |
| $S_{\rm h}$, $S_{\rm S}$ | Stress history parameters |
| S_{Q} | Load history parameter |
| t | Depth of thread |
| T | Operation temperature |
| $u_{\rm S}$, $u_{\rm T}$ | Depths of notches |
| α | Angle |
| $\alpha_{\rm S}$, $\alpha_{\rm T}$ | Stress concentration factors |
| β | Angle or direction of hook inclination |
| $oldsymbol{eta}_{ m n}$, $oldsymbol{eta}_{ m nS}$, $oldsymbol{eta}_{ m nT}$ | Notch effect factors |
| ϕ_2 | Dynamic factor for hoisting an unrestrained grounded load |
| ϕ_5 | Dynamic factor for changes of acceleration of a movement |
| γn | Risk coefficients tandards.iteh.ai) |
| $\gamma_{ m p}$ | Partial safety factor |
| γ _m | General resistance coefficient rds/sist/913c7ee2-5e85-4c16-ac2c- |
| $\gamma_{ m sm}$ | Specific resistance coefficient Specific resistance coefficient |
| $\gamma_{ m Hf}$, $\gamma_{ m Sf}$ | Fatigue strength specific resistance factors |
| η_1 | Edge distance of a hook body section |
| ν | Factor for load component |
| ν_h, ν_s | Relative numbers of stress cycles |
| μ | Factor for mean stress influence |
| σ_{a} | Shank stress due to axial force |
| $\sigma_{ m b}$ | Shank stress due to bending moment |
| $\sigma_{ m m}$ | Mean stress in a stress cycle |
| $\sigma_{ m A}$ | Stress amplitude in a stress cycle |
| $\sigma_{ m Sd}$ | Design stress |
| $\sigma_{ m M}$ | Basic fatigue strength amplitude, un-notched piece |
| $\sigma_{ m p}$ | Total stress range in a pulsating stress cycle |
| $\sigma_{ m W}$ | Fatigue strength amplitude, notched piece |
| σ_{Tmax} , σ_{T1} , σ_{T2} | Transformed stress amplitudes |
| $arDelta\sigma_{ m c}$ | Characteristic fatigue strength |