

SLOVENSKI STANDARD oSIST prEN IEC 60086-4:2024

01-maj-2024

Primarne baterije - 4. del: Varnostni standard za litijeve baterije

Primary batteries - Part 4: Safety of lithium batteries

Primärbatterien - Teil 4: Sicherheit von Lithium-Batterien

Piles électriques - Partie 4: Sécurité des piles au lithium

Ta slovenski standard je istoveten z: prEN IEC 60086-4:2024

<u>Acument Proview</u>

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oSIST prEN IEC 60086-4:2024 en

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COMMITTEE DRAFT FOR VOTE (CDV)

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IEC TC 35 : PRIMARY CELLS AND BATTERIES				
SECRETARIAT:		SECRETARY:		
Japan		Mr Takao Uyama		
OF INTEREST TO THE FOLLOWING CO	DMMITTEES:	PROPOSED HORIZONTAL STANDARD	:	
SC 3C,TC 21,SC 21A,TC 61,T	C 108			
		Other TC/SCs are requested to this CDV to the secretary.	indicate their interest, if any, in	
FUNCTIONS CONCERNED:				
□ EMC		QUALITY ASSURANCE	SAFETY	
SUBMITTED FOR CENELEC PARA	allel voting iTeh St		PARALLEL VOTING	
Attention IEC-CENELEC parallel	l voting			
The attention of IEC National Con is drawn to the fact that this Co submitted for parallel voting.	mmittees, members of CENELEC, ommittee Draft for Vote (CDV) is	uarus.llen.al) ht Preview		
The CENELEC members are invit online voting system.	ted to vote through the CENELEC			

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TITLE:

Primary batteries - Part 4: Safety of lithium batteries

PROPOSED STABILITY DATE: 2025

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2		INTERNATIONAL ELECTROTECHNICAL COMMISSION
3		
4		
5		PRIMARY BATTERIES –
6 7		Part 4: Safety of lithium hatteries
8		
9		FOREWORD
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44 45	In ce	ternational Standard IEC 60086-4 has been prepared by technical committee 35: Primary Ils and batteries.
46 47	Th co	is sixth edition cancels and replaces the fifth edition published in 2019. This edition nstitutes a technical revision.
48 49	Th ed	is edition includes the following significant technical changes with respect to the previous lition:
50		a) Added definitions for leakage and venting, in addition to the test criteria;
51		b) Revised overdischarge test;
52		c) Revised marking requirements;
53		d) Revised criteria for the child resistant packaging test;

- e) Changed the purpose of Annex F from "informative" to "normative"
- 55 f) Added a new annex with additional measures against misuse of batteries not intended 56 for consumer replacement;
- g) Integrated the contents of Interpretation Sheet 1 (IEC 60086-4:2019/ISH1:2020);
- h) In Clause 3, terms were reordered according their functions: basic terms,
 electrochemical systems, battery shapes, battery sizes, electrical characteristics,
 specifications, safety aspects, failure modes.
- i) In 6.4.4, the exemption for the shock acceleration for lithium primary batteries was reduced from 12 kg to 4,482 kg in order to reflect the fact that this is the threshold in IEC 62281, Test T-4, where the peak acceleration decreases below 150 g_{a} .
- 64 The text of this standard is based on the following documents:

FDIS	Report on voting
35/xxxx/FDIS	35/xxxx/RVD

65

Full information on the voting for the approval of this standard can be found in the report on voting indicated in the above table.

- ⁶⁸ This publication has been drafted in accordance with the ISO/IEC Directives, Part 2.
- 69 NOTE The following print types are used:
- 70 instructions/warnings for consumers: *in italic type*.
- A list of all parts in the IEC 60086 series, under the general title *Primary batteries*, can be found on the IEC website.
 - https://standards.iteh.a
- 73 The committee has decided that the contents of this publication will remain unchanged until
- the stability date indicated on the IEC web site under "http://webstore.iec.ch" in the data
- related to the specific publication. At this date, the publication will be
- reconfirmed,
 oSIST prEN IEC 60086-4/3

http77/sta•dawithdrawn/catalog/standards/sist/7066ce6e-9c97-4c5e-963b-1f39c09b0239/osist-pren-jec-60086-4-2024

- replaced by a revised edition, or
- 79 amended.

NOTE The attention of National Committees is drawn to the fact that equipment manufacturers and testing organizations may need a transitional period following publication of a new, amended or revised IEC document in which to make products in accordance with the new requirements and to equip themselves for conducting new or revised tests. It is the recommendation of the committee that the content of this document be adopted for implementation nationally not earlier than 2 years from the date of publication. The transitional period applies specifically to Table 10. In the meantime, the previous edition can still be ordered by contacting your local IEC member National Committee or the IEC Secretariat.

87

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90

INTRODUCTION

The concept of safety is closely related to safeguarding the integrity of people and property. This document specifies tests and requirements for lithium batteries and has been prepared in accordance with ISO/IEC guidelines, taking into account all relevant national and international standards which apply.

Lithium batteries are different from conventional primary batteries using aqueous electrolyte in that they contain flammable materials.

Consequently, it is important to carefully consider safety during design, production,
distribution, use, and disposal of lithium batteries. Based on such special characteristics,
lithium batteries for consumer applications were initially small in size and had low power
output. There were also lithium batteries with high power output which were used for special
industrial and military applications and were characterized as being "technician replaceable".
The first edition of this document was drafted to accommodate this situation.

However, from around the end of the 1980s, lithium batteries with high power output started to be widely used in the consumer replacement market, mainly as a power source in camera applications. Since the demand for such lithium batteries with high power output significantly increased, various manufacturers started to produce these types of lithium batteries. As a consequence of this situation, the safety aspects for lithium batteries with high power output were included in the second edition of this document.

Primary lithium batteries both for consumer and industrial applications are well-established safe and reliable products in the market, which is at least partly due to the existence of safety standards such as this document and, for transport, IEC 62281. The fourth edition of this document reflected minor changes which became necessary in order to keep it harmonized with IEC 62281 and to continuously improve the user information about safety related matters.

Guidelines addressing safety issues during the design of lithium batteries are provided in Annex A. Annex B provides guidelines addressing safety issues during the design of equipment where lithium batteries are installed. Both Annex A and B reflect experience with lithium batteries used in camera applications and are based on [22]¹.

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The ingestion hazard of coin cell batteries has become an issue and was addressed in the fifth and sixth editions of this document by several independent measures such as the development of a new safety sign "KEEP OUT OF REACH OF CHILDREN" as well as the introduction of child resistant packaging.

122 A new Annex H addresses measures against misuse of cells and batteries not intended for 123 consumer replacement.

Safety is freedom from unacceptable risk. There can be no absolute safety: some risk will remain. Therefore a product, process or service can only be relatively safe. Safety is achieved by reducing risk to a tolerable level determined by the search for an optimal balance between the ideal of absolute safety and the demands to be met by a product, process or service, and factors such as benefit to the user, suitability for purpose, cost effectiveness, and conventions of the society concerned.

As safety will pose different problems, it is impossible to provide a set of precise provisions and recommendations that will apply in every case. However, this document, when followed on a judicious "use when applicable" basis, will provide reasonably consistent standards for safety.

134

Numbers in square brackets refer to the bibliography.

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135	PRIMARY BATTERIES –
136	
137	Part 4: Safety of lithium batteries
138	
139	
140	

141 **1 Scope**

142 This part of IEC 60086 specifies tests and requirements for primary lithium batteries to ensure 143 their safe operation under intended use and reasonably foreseeable misuse.

NOTE Primary lithium batteries that are standardized in IEC 60086-2 are expected to meet all applicable requirements herein. It is understood that consideration of this part of IEC 60086 might also be given to measuring and/or ensuring the safety of non-standardized primary lithium batteries. In either case, no claim or warranty is made that compliance or non-compliance with this part of IEC 60086 will fulfil or not fulfil any of the user's particular purposes or needs.

149 **2** Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

- 154 IEC 60086-1, *Primary batteries* Part 1: General
- 155 IEC 60086-2, *Primary batteries Part 2: Physical and electrical specifications*
- 156 IEC 62281, Safety of primary and secondary lithium cells and batteries during transport

157 **3 Terms and definitions**

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https:/staFor the purposes of this document, the following terms and definitions apply. 9/osist-pren-iec-60086-4-2024

- ISO and IEC maintain terminological databases for use in standardization at the followingaddresses:
- IEC Electropedia: available at http://www.electropedia.org/
- ISO Online browsing platform: available at http://www.iso.org/obp
- 163 NOTE Certain definitions taken from IEC 60050-482, IEC 60086-1, and ISO/IEC Guide 51 are repeated below for 164 convenience.
- 165 **3.1**
- 166 **cell**
- basic functional unit, consisting of an assembly of electrodes, electrolyte, container, terminals
 and usually separators, that is a source of electric energy obtained by direct conversion of
 chemical energy

170 [SOURCE: IEC 60050-482:2004, 482-01-01]

171 **3.2**

- 172 battery
- one or more cells electrically connected and fitted in a case, with terminals, markings and
- 174 protective devices etc., as necessary for use

- [SOURCE: IEC 60050-482:2004, 482-01-04, modified ("fitted with devices necessary for use,
 for example case" replaced by "electrically connected and fitted in a case", addition of "etc.,
- 177 as necessary for use")]
- 178 **3.3**
- 179 component cell
- 180 cell contained in a battery
- 181 **3.4**
- 182 lithium cell
- cell containing a non-aqueous electrolyte and a negative electrode of lithium or containing lithium
- 185 [SOURCE: IEC 60050-482:2004 482-01-06, modified (removal of NOTE)]
- 186 **3.5**
- 187 coin cell
- 188 coin battery
- 189 lithium button cell
- 190 lithium button battery
- small round cell or battery where the overall height is less than the diameter, containing non-
- 192 aqueous electrolyte.
- 193 Note 1 to entry: The nominal voltage of lithium batteries is typically greater than 2 V.
- [SOURCE: IEC 60050-482:2004 482-02-40, modified (terms modified, NOTE "In practice
 terms, the term coin is used exclusively for non-aqueous lithium cells." replaced with a
 different note)]
- 197 **3.6**
- 198 cylindrical cell
- 199 cylindrical battery
- round cell or battery in which the overall height is equal to or greater than the diameter

[SOURCE: IEC 60050-482:2004, 482-02-39, modified ("cell with a cylindrical shape" replaced by "round cell or battery")]

- 203 **3.7**
- 204 prismatic cell
- 205 prismatic battery
- qualifies a cell or a battery having the shape of a parallelepiped whose faces are rectangular
- 207 [SOURCE: IEC 60050-482:2004, 482-02-38]
- 208 **3.8**
- 209 large cell
- cell with a gross mass of more than 500 g
- 211 3.9
- 212 large battery
- battery with a gross mass of more than 12 kg
- 214 **3.10**
- 215 nominal voltage
- suitable approximate value of the voltage used to designate or identify a cell, a battery or an
- 217 electrochemical system

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	- 10 -	IEC CDV 60086-4 © IEC 2024
[SOURCE: IEC 60050-482:2004, 482-0	03-31]	
3.11 open circuit voltage OCV, U_{OC} , off-load voltage voltage across the terminals of a cell o	or battery when no	external current is flowing
[SOURCE: IEC 60050-482:2004, 482- voltage" added, "across the terminals' with "when no external current is flowin	03-32, modified (" added, "when th ng")]	alternative terms "OCV, $U_{\rm OC}$, off-load ne discharge current is zero" replaced
3.12 rated capacity capacity value of a cell or battery dete manufacturer	ermined under sp	ecified conditions and declared by the
[SOURCE: IEC 60050-482:2004, 482-0	03-15, modified ("	cell or" added)]
3.13 depth of discharge DOD percentage of rated capacity discharge	ed from a cell or b	pattery
3.14 undischarged state of a primary cell or battery at 0 %	6 depth of dischar	gends
3.15 fully discharged state of a cell or battery at 100 % dept	h of discharge	
3.16 harm injury or damage to the health of peop	le, or damage to p	property or the environment
[SOURCE: ISO/IEC Guide 51:2014, 3.	1]	
3.17 hazard potential source of harm		
[SOURCE: ISO/IEC Guide 51:2014, 3.	2]	
3.18 risk combination of the probability of occur	rence of harm and	d the severity of that harm
[SOURCE: ISO/IEC Guide 51:2014, 3.	9, modified (remo	val of NOTE)]
	[SOURCE: IEC 60050-482:2004, 482-4 3.11 open circuit voltage OCV, U _{OC} , off-load voltage voltage across the terminals of a cell of [SOURCE: IEC 60050-482:2004, 482-4 voltage" added, "across the terminals with "when no external current is flowin 3.12 rated capacity capacity value of a cell or battery detor manufacturer [SOURCE: IEC 60050-482:2004, 482-4 3.13 depth of discharge DOD percentage of rated capacity discharge 3.14 undischarged state of a primary cell or battery at 0 % 3.15 fully discharged state of a cell or battery at 100 % depth 3.16 harm injury or damage to the health of peop underds.itch alcohalogistandards.ist/10 [SOURCE: ISO/IEC Guide 51:2014, 3. 3.17 hazard potential source of harm [SOURCE: ISO/IEC Guide 51:2014, 3. 3.18 risk combination of the probability of occur [SOURCE: ISO/IEC Guide 51:2014, 3.	[SOURCE: IEC 60050-482:2004, 482-03-31] 3.11 open circuit voltage OCV, U _{OC} , off-load voltage voltage across the terminals of a cell or battery when no [SOURCE: IEC 60050-482:2004, 482-03-32, modified (voltage" added, "across the terminals" added, "when the with "when no external current is flowing")] 3.12 rated capacity capacity value of a cell or battery determined under spi- manufacturer [SOURCE: IEC 60050-482:2004, 482-03-15, modified (" 3.13 depth of discharge DOD percentage of rated capacity discharged from a cell or be 3.14 undischarged state of a primary cell or battery at 0 % depth of discharge 3.15 fully discharged state of a cell or battery at 100 % depth of discharge 3.16 harm injury or damage to the health of people, or damage to percential source of harm [SOURCE: ISO/IEC Guide 51:2014, 3.1] 3.17 hazard potential source of harm [SOURCE: ISO/IEC Guide 51:2014, 3.2] 3.18 risk combination of the probability of occurrence of harm and [SOURCE: ISO/IEC Guide 51:2014, 3.9, modified (remo

- 3.19 253
- 254
- **safety** freedom from risk which is not tolerable 255
- [SOURCE: ISO/IEC Guide 51:2014, 3.14] 256

- 11 -

3.20 257

intended use 258

use in accordance with information provided with a product or system, or, in the absence of 259 such information, by generally understood patterns of usage 260

[SOURCE: ISO/IEC Guide 51:2014, 3.6] 261

262 3.21

reasonably foreseeable misuse 263

use of a product, process or system in a way not intended by the supplier, but which may 264 265 result from readily predictable human behaviour

266 [SOURCE: ISO/IEC Guide 51:2014, 3.7, modified (removal of NOTES)]

3.22 267

protective devices 268

devices such as fuses, diodes or other electric or electronic current limiters designed to 269 interrupt the current flow, block the current flow in one direction or limit the current flow in an

- 270
- electrical circuit 271
- 3.23 272

leakage 273

- unplanned escape of electrolyte, gas or other material from a cell or battery 274
- 275 Note 1 to entry: Leakage in this sense should not be confused with the test evaluation criteria for leakage 276 specified in Clause 6.
- 3.24 277
- venting 278
- release of excessive internal pressure from a cell or battery in a manner intended by design 279

Note 1 to entry: Venting in this sense should not be confused with the test evaluation criteria for venting specified 280 281 in Clause 6.

Requirements for safety **OSIST prEN IEC 60086-4:2024** 282 4

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283 4.1 Design

Lithium batteries are categorized by their chemical composition (anode, cathode, electrolyte), 284 internal construction (bobbin, spiral) and are available in cylindrical, coin and prismatic 285 configurations. It is necessary to consider all relevant safety aspects at the battery design 286 287 stage, recognizing the fact that they can differ considerably, depending on the specific lithium system, power capability and battery configuration. 288

- The following design concepts for safety are common to all lithium batteries: 289
- a) Abnormal temperature rise above the critical value defined by the manufacturer shall be 290 prevented by design. 291
- 292 b) Temperature increases in the battery shall be controlled by a design which limits current flow. 293
- c) Lithium cells and batteries shall be designed to relieve excessive internal pressure or to 294 preclude a violent rupture under conditions of transport, intended use and reasonably 295 foreseeable misuse. 296
- If, in particular cases, this design concept cannot be implemented, the organisational 297 requirements described in Annex G shall apply. 298
- See Annex A for guidelines for the achievement of safety of lithium batteries. 299

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300 4.2 Quality plan

The manufacturer shall prepare and implement a quality plan defining the procedures for the inspection of materials, components, cells and batteries during the course of manufacture, to be applied to the total process of producing a specific type of battery. Manufacturers should understand their process capabilities and should institute the necessary process controls as they relate to product safety.

5 Type testing and sampling

307 5.1 Validity of Testing

Lithium cells or batteries shall be subjected to the tests, as required in this document. Testing remains valid until a design change or requirement revision has been made. Retesting is required when:

- a) a battery specification changes by more than 0,1 g or 20 % mass, whichever is greater,
 for the cathode, anode or electrolyte;
- b) a battery specification change would lead to a failure of any of the tests;
- c) there is an addition of new tests or requirements; or
- d) there is a requirement change that would lead to a failure of any of the tests.

5.2 Test samples

Samples should be drawn from production lots in accordance with accepted statistical methods. The number of test samples is given in Table 1. The same test cells and batteries are used for tests A to E in sequence. New test cells and batteries are required for each of tests F to M.

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Table 1 – Number of test samples

Tests	Discharge state		Cells or single cell batteries ^a	Multi-cell batteries	
	Undischarged	Undischarged prENIE		4	
lests A to E	Fully discharged	ce6e-	c97-4c5q063b-1f39	c09b02394/osist-pren	-iec-60086-4-2
Tast Fam O	Undischarged		5	5 component cells	
Fully discharged		5	5 component cells		
Test H	Fully discharged		10	10 component cells	
Tests I to K	Undischarged		5	5	
Test L	Undischarged		20 ^b	n/a	
	50 % predischarged	CR	10 ^c	n/a	
TestM	Fully discharged	CR	10 ^d	n/a	
lest M	50 % predischarged	FR	20 ^e	n/a	
	Fully discharged	FR	20 ^f	n/a	
^a Single cell ba change could					
^b Four batteries connected in series with one of the four batteries reversed (5 sets).					
^c Two CR-type batteries connected in series, one of which is 50 % predischarged (5 sets). Undischarged cells shall be sampled from the same production lot.					
^d Two CR-type batteries connected in series, one of which is fully discharged (5 sets). Undis- charged cells shall be sampled from the same production lot.					
^e Four FR-type Undischarged					