# INTERNATIONAL STANDARD

Fifth edition 2021-12

### Non-destructive testing — Qualification and certification of NDT personnel

Essais non destructifs — Qualification et certification du personnel END

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ISO 9712:2021

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### Foreword

ISO (the International Organization for Standardization) is a worldwide federation of national standards bodies (ISO member bodies). The work of preparing International Standards is normally carried out through ISO technical committees. Each member body interested in a subject for which a technical committee has been established has the right to be represented on that committee. International organizations, governmental and non-governmental, in liaison with ISO, also take part in the work. ISO collaborates closely with the International Electrotechnical Commission (IEC) on all matters of electrotechnical standardization.

The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular, the different approval criteria needed for the different types of ISO documents should be noted. This document was drafted in accordance with the editorial rules of the ISO/IEC Directives, Part 2 (see <a href="https://www.iso.org/directives">www.iso.org/directives</a>).

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO shall not be held responsible for identifying any or all such patent rights. Details of any patent rights identified during the development of the document will be in the Introduction and/or on the ISO list of patent declarations received (see <a href="https://www.iso.org/patents">www.iso.org/patents</a>).

Any trade name used in this document is information given for the convenience of users and does not constitute an endorsement.

For an explanation of the voluntary nature of standards, the meaning of ISO specific terms and expressions related to conformity assessment, as well as information about ISO's adherence to the World Trade Organization (WTO) principles in the Technical Barriers to Trade (TBT), see www.iso.org/iso/foreword.html.

This document was prepared by Technical Committee ISO/TC 135, *Non-destructive testing*, Subcommittee SC 7, *Personnel qualification*, in collaboration with the European Committee for Standardization (CEN) Technical Committee CEN/TC 138, *Non-destructive testing*, in accordance with the Agreement on technical cooperation between ISO and CEN (Vienna Agreement).

This fifth edition cancels and replaces the fourth edition (ISO 9712:2012), which has been technically revised.

The main changes compared to the previous edition are as follows:

- clarified responsibilities for the certification body, the authorized qualification body, the examination centre and the employer;
- added and revised definitions;
- defined responsibilities for examiners and referees;
- revised requirements for the duration of training and industrial experience;
- modified requirements for visual acuity testing;
- revised requirements for examinations;
- included an option for the use of a psychometric process at the discretion of the certification body;
- revised requirements for the certification documents;
- revised requirements for the conditions of certification;
- added requirements for candidates for the renewal of certificates;
- revised structured credit system for Level 3 recertification;
- included a new <u>Annex F</u> for techniques;

- included a new <u>Annex G</u> for psychometric principles;
- other minor technical and editorial changes.

Any feedback or questions on this document should be directed to the user's national standards body. A complete listing of these bodies can be found at <u>www.iso.org/members.html</u>.

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### Introduction

Since the effectiveness of any application of non-destructive testing (NDT) depends upon the capabilities of the persons who perform or are responsible for the test, a procedure has been developed to provide a means of evaluating and documenting the competence of personnel whose duties require the appropriate theoretical and practical knowledge of the non-destructive tests they perform, specify, supervise, monitor or evaluate. An added incentive stems from the worldwide comparability of a wide range of industrial applications requiring common non-destructive testing approaches.

When certification of NDT personnel is required in product standards, regulations, codes or specifications, it is important to certify the personnel in accordance with this document. When latitude is provided in the criteria within this document, the certification body has the final decision in determining specific requirements.

When there is no requirement in legislation, in standard or in the order for certification of NDT personnel, it is for employers of such personnel to decide how to assure themselves that they are competent to do the work assignments. Thus, they may employ people who are already certified or they may apply their own expertise so as to assure themselves that their employee has the necessary competence. In this last case, prudent employers would no doubt use this document as a reference document.

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# Non-destructive testing — Qualification and certification of NDT personnel

#### 1 Scope

This document specifies requirements for the qualification and certification of personnel who perform industrial non-destructive testing (NDT) in the following methods.

- a) acoustic emission testing;
- b) eddy current testing;
- c) leak testing (hydraulic pressure tests excluded);
- d) magnetic testing;
- e) penetrant testing;
- f) radiographic testing;
- g) strain gauge testing;
- h) thermographic testing;
- i) ultrasonic testing; https://standards.iteh.ai)
- j) visual testing (direct unaided visual tests and visual tests carried out during the application of another NDT method are excluded).

The system specified in this document is also applicable to other NDT methods or to NDT techniques within an established NDT method, provided a comprehensive scheme of certification exists and the NDT method or NDT technique is covered by international, regional or national standards or the

the NDT method or NDT technique is covered by international, regional or national standards or the NDT method or the NDT technique has been demonstrated to be effective to the satisfaction of the certification body.

NOTE 1 The term "industrial" implies the exclusion of applications in the field of medicine.

NOTE 2 CEN/TR 14748 provides guidance on the methodology for qualification of non-destructive tests.

NOTE 3 This document specifies requirements for what are, in effect, third party conformity assessment schemes. These requirements do not directly apply to conformity assessment by second or first parties, but relevant parts of this document can be referred to in such arrangements.

NOTE 4 The term "direct unaided visual testing" implies where there is an uninterrupted optical path from the observer's eye to the test area and the observer uses no tools or devices (e.g. mirror, endoscope, fibre optic).

NOTE 5 Calculations of strain based on other NDT methods are excluded.

#### 2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO/IEC 17024:2012, Conformity assessment — General requirements for bodies operating certification of persons

ISO 18490:2015, Non-destructive testing — Evaluation of vision acuity of NDT personnel

#### 3 Terms and definitions

For the purposes of this document, the following terms and definitions apply.

ISO and IEC maintain terminology databases for use in standardization at the following addresses:

- ISO Online browsing platform: available at <a href="https://www.iso.org/obp">https://www.iso.org/obp</a>
- IEC Electropedia: available at https://www.electropedia.org/

#### 3.1

#### applicant

person who has submitted an application to be admitted into the *certification process* (3.8)

#### 3.2

#### authorized qualification body

body, independent of the *employer* (3.11), authorized by the *certification body* (3.6) to prepare and administer *examinations* (3.12)

#### 3.3

#### basic examination element

written *examination* (3.12), at Level 3, which demonstrates the *candidate's* (3.4) knowledge of the materials science and process technology and types of discontinuities, the specific *qualification* (3.33) and certification system, and the basic principles of *NDT methods* (3.25) as required for Level 2

Note 1 to entry: For an explanation of the three levels of qualification, see <u>Clause 6</u>.

Note 2 to entry: The qualification and certification system is specified in this document.

#### **Document Preview**

#### 3.4

#### candidate

*applicant* (3.1) who has fulfilled specified prerequisites and has been admitted to the *certification process* (3.8) and ards iteh ai/catalog/standards/sist/6d9e3aab-faa3-4d2c-8a30-8e50bb748d3f/iso-9712-2021

#### 3.5

#### certificate

document in the form of a letter, card or other medium (e.g. digital certificate), issued by a *certification* body (3.6) under the provisions of this document, indicating that the named person has fulfilled the *certification requirements* (3.9)

#### 3.6

#### certification body

body that administers procedures for certification according to specified requirements

#### 3.7

#### certification cycle

maximum period of time permitted from the date of certification to the date of *recertification* (3.34) inclusive of the *renewal* (3.36) period

#### 3.8

#### certification process

activities by which a *certification body* ( $\underline{3.6}$ ) determines that a person fulfils *certification requirements* ( $\underline{3.9}$ ), including application, assessment, decision on certification, *renewal* ( $\underline{3.36}$ ), *recertification* ( $\underline{3.34}$ ) and use of *certificates* ( $\underline{3.5}$ ) and logos/marks

#### 3.9

#### certification requirements

set of specified requirements, including requirements of the scheme to be fulfilled in order to establish or maintain certification

competence

ability to apply knowledge and skills to achieve intended results

#### 3.11

#### employer

legal entity by whom the *candidate* (3.4) is employed

Note 1 to entry: A candidate may be self-employed.

#### 3.12

#### examination

mechanism that is part of the assessment which measures a *candidate's* (3.4) *competence* (3.10) by one or more means

#### 3.13

#### examination centre

centre approved by the *certification body* (3.6) where *examinations* (3.12) are carried out

#### 3.14

#### examination element

component of an *examination* (3.12)

#### 3.15

#### examiner

person competent to conduct and score an *examination* (3.12), where the examination requires professional judgement

#### 3.16

#### general examination element

written *examination* (3.12), at Level 1 or Level 2, concerned with the principles of an *NDT method* (3.25)

#### 3.17

#### higher education

formal learning that occurs after completion of secondary education in the field of engineering or science

#### 3.18

#### industrial experience

*work activities* (3.46) performed under *supervision* (3.45), in the *NDT method* (3.25) in the *sector* (3.37) concerned, needed to acquire the skill and knowledge to fulfil the provisions of *qualification* (3.33)

#### 3.19

#### invigilator

#### proctor

#### test administrator

person authorized by the *certification body* ( $\underline{3.6}$ ) who supervises an *examination* ( $\underline{3.12}$ ), but does not evaluate the *competence* ( $\underline{3.10}$ ) of the *candidate* ( $\underline{3.4}$ )

#### 3.20

#### job-specific training

training, provided by the *employer* (3.11) (or their agent) to the *certificate* (3.5) holder in aspects of non-destructive testing specific to the employer's products, NDT equipment, *NDT procedures* (3.27), and applicable codes, standards, *specifications* (3.40) and procedures, leading to the award of *operating authorizations* (3.30)

#### 3.21

#### main method examination element

written *examination* (3.12), at Level 3, which demonstrates the *candidate's* (3.4) general and specific knowledge, and the ability to write *NDT procedures* (3.27) for the *NDT method* (3.25) as applied in the industrial or product *sector(s)* (3.37) for which certification is sought

#### multiple choice examination question

wording of a question giving rise to potential replies, only one of which is correct, the remaining being incorrect or incomplete

#### 3.23

#### **NDT instruction**

written description of the precise steps to be followed in testing to an established standard, code, *specification* (3.40) or *NDT procedure* (3.27)

#### 3.24

#### NDT media

testing products used to create visible indications caused by imperfections or flaws

EXAMPLE Magnetic powder, contrast aid paints, colour contrast penetrant, developer.

#### 3.25

#### NDT method

discipline applying a physical principle in non-destructive testing

EXAMPLE Ultrasonic testing.

#### 3.26

3.27

#### **NDT personnel**

personnel who perform non-destructive testing

# iTeh Standards

#### NDT procedure

written description of all essential parameters and precautions to be applied when non-destructively testing products in accordance with standard(s), code(s) or *specification(s)* (3.40)

#### 3.28

**NDT technique** 

specific way of utilizing an *NDT method* (3.25) ISO 9712:202

### 3.29 https://standards.iteh.ai/catalog/standards/sist/6d9e3aab-faa3-4d2c-8a30-8e50bb748d3f/iso-9712-2021

#### NDT training

process of instruction in theory and practice in the *NDT method* (3.25) in which certification is sought, which takes the form of training courses to a syllabus approved by the *certification body* (3.6)

#### 3.30

#### operating authorization

written statement issued by the *employer* (3.11), based upon the scope of certification, authorizing the individual to carry out specified tasks

Note 1 to entry: Such authorization can be dependent on the provision of *job-specific training* (3.20).

#### 3.31

#### practical examination element

assessment of practical skills, in which the *candidate* (3.4) demonstrates familiarity with, and the ability to perform, the test

#### 3.32

#### psychometric process

statistical process to verify *examinations* (3.12) are fair, reliable and discriminate between a competent and non-competent individual

#### 3.33

#### qualification

demonstrated education, training, and work experience

#### recertification

process for revalidation of a *certificate* (3.5) by *examination* (3.12) or by otherwise satisfying the *certification body* (3.6) that the published criteria for recertification have been met

#### 3.35

#### referee

individual that attests the validity of the candidate's (3.4) industrial experience (3.18)

#### 3.36

#### renewal

process for revalidation of a certification at any time up to five years after success in an initial, supplementary or *recertification* (3.34) *examination* (3.12)

#### 3.37

#### sector

section of industry or technology where specialized NDT practices are used, requiring specific productrelated knowledge, skill, equipment or training

Note 1 to entry: A sector can be interpreted to mean a product (welded products, castings) or an industry (aerospace, in-service testing). See <u>Annex A</u>.

#### 3.38

#### significant interruption

absence or change of *work activity* (3.46) which prevents the certified individual from practising the duties corresponding to the level in the method and the *sector(s)* (3.37) within the certified scope, for either a continuous period in excess of one year, or two or more periods for a total time exceeding two years

Note 1 to entry: Legal holidays or periods of sickness or training courses of less than 30 days are not taken into account when calculating the interruption.

#### 3.39

#### specific examination element

written *examination* (3.12), at Level 1 or Level 2, concerned with testing techniques applied in a particular *sector(s)* (3.37), including knowledge of the product(s) tested and of codes, standards, *specifications* (3.40), procedures and acceptance criteria

#### 3.40

#### specification

document stating requirements

#### 3.41

#### specimen

sample used in practical *examinations* (3.12), possibly including radiographs and data sets, which is representative of products typically tested in the applicable *sector* (3.37)

Note 1 to entry: A specimen can include more than one area or volume to be tested.

#### 3.42

#### specimen master report

model answer, indicating the optimum result for a practical *examination* (3.12) given a specified set of conditions (equipment type, settings, technique, *specimen* (3.41), etc.) against which the *candidate's* (3.4) test report is graded

#### 3.43

#### structured credit system

point system based on the NDT activities of the *candidate* (3.4) used as an alternative to *examination* (3.12) for *renewal* (3.36) or *recertification* (3.34)

#### structured experience program

#### SEP

program approved by the *certification body* (3.6) to reduce *industrial experience* (3.18)

#### 3.45

#### supervision

act of directing the application of NDT performed by other NDT personnel (3.26), which includes the control of actions involved in the preparation of the test, performance of the test and reporting of the results

#### 3.46

work activity

performance of NDT-related functions and tasks

Note 1 to entry: See <u>Clause 6</u>.

#### Abbreviated terms 4

For the purposes of this document, the abbreviated terms listed in Table 1 are used to identify NDT methods.

NDT method	Abbreviated terms	
Acoustic emission testing	AT	• \
Eddy current testing	ardsetten.	ai)
Leak testing	LT .	
Magnetic testing	<b>F</b> re <sub>MT</sub> lew	
Penetrant testing	РТ	
Radiographic testing ISO 9712	2:2021 RT	
Strain gauge testing ist/6d9e3aa	b-faa3-4 <b>cST</b> c-8a30-8e	50bb748d3f/iso-9712-202
Thermographic testing	ТТ	
Ultrasonic testing	UT	
Visual testing	VT	

#### Table 1 — Methods and abbreviated terms

#### **Responsibilities** 5

#### 5.1 General

The certification system, which shall be controlled and administered by a certification body, includes all procedures necessary to demonstrate the qualification and the competence of an individual to carry out tasks in a specific NDT method and product or industrial sector, leading to certification.

#### 5.2 Certification body

- 5.2.1 The certification body shall fulfil the requirements of ISO/IEC 17024.
- 5.2.2 The certification body:
- shall initiate, promote, maintain and administer the certification scheme according to ISO/IEC 17024 a) and this document;
- shall be independent of any single interest; b)

- c) shall be responsible for the definition of sectors (see <u>Annex A</u>);
- d) shall publish information regarding the scope of the certification scheme and a general description of the certification process;
- e) shall provide information for training courses that include the syllabi which embody the content of recognized documents; ISO/TS 25107 or equivalent can be used as guidance;
- f) shall conduct an initial audit and subsequent periodic surveillance audits of the authorized qualification body(ies) to ensure their conformity to the specifications;
- g) shall monitor, in accordance with a documented procedure, all delegated functions;
- h) shall approve properly staffed and equipped examination centres, which it shall monitor on a periodic basis;
- i) shall administer examinations through approved examination centres;
- j) shall bear full responsibilities for examinations conducted on temporary basis at external premises;
- k) shall be responsible for ensuring the security of all examination materials (examination specimens, specimen master reports, question banks, examination papers, etc.) and shall ensure that these materials are not in use for training purposes;
- l) shall be responsible for granting, extension, suspension, withdrawal or revalidation of certification;
- m) shall establish an appropriate system for the maintenance of records, which shall be retained for at least one certification cycle;
- n) shall require all candidates and certificate holders to give a signed or stamped undertaking to abide by a code of ethics which it shall develop for the purpose and publish;
- o) may approve training bodies; ISO/TS 25108 can be used as guidance;
- p) may delegate, under its direct responsibility, the detailed administration of qualification to authorized qualification bodies, to which it shall issue specifications and/or procedures covering facilities, personnel, verification and control of NDT equipment, examination materials, specimens.
- q) shall establish a process to authorize examiners;
- r) shall establish the conditions for the supervision of work activities, which candidates may claim experience under 7.3;
- s) shall establish a process for the recognition of higher education;

conduct of examinations, examination grading, records, etc.:

- t) shall establish a process for the approval of non-certified individuals as a referee;
- u) shall establish a process for the approval of a structured credit system, where used;
- v) may specify a minimum age requirement for candidates under 7.1;
- w) shall maintain and update the question bank and the examination specimens along with their specimen master report;
- x) shall conduct the examination only in the presence of, and under the control of, an authorized invigilator of the certification body, to ensure that impartiality is maintained;
- y) shall establish a process for the approval of a structured experience program, where used.