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## Standard Test Method for Consolidated Undrained Triaxial Compression Test for Cohesive Soils<sup>1</sup>

This standard is issued under the fixed designation D4767; the number immediately following the designation indicates the year of original adoption or, in the case of revision, the year of last revision. A number in parentheses indicates the year of last reapproval. A superscript epsilon ( $\epsilon$ ) indicates an editorial change since the last revision or reapproval.

### 1. Scope\*

1.1 This test method covers the determination of strength and stress-strain relationships of a cylindrical specimen of either an ~~undisturbed intact, reconstituted,~~ or remolded saturated cohesive soil. Specimens are isotropically consolidated and sheared in compression without drainage at a constant rate of axial deformation (strain controlled).

1.2 This test method provides for the calculation of total and effective stresses, and axial compression by measurement of axial load, axial deformation, and pore-water pressure.

1.3 This test method provides data useful in determining strength and deformation properties of cohesive soils such as Mohr strength envelopes and Young's modulus. Generally, three specimens are tested at different effective consolidation stresses to define a strength envelope.

1.4 The determination of strength envelopes and the development of relationships to aid in interpreting and evaluating test results are beyond the scope of this test method and must be performed by a qualified, experienced professional.

~~1.5 All~~ 1.5 All observed and calculated values shall conform to the guidelines for significant digits and rounding established in Practice D6026.

~~1.5.1 The method used to specify how data are collected, calculated, or recorded in this standard is not directly related to the accuracy to which the data can be applied in design or other uses, or both. How one applies the results obtained using this standard is beyond its scope.~~

~~1.6 The values stated in SI units shall be regarded as the standard. The values stated in inch-pound units are approximate.~~

1.5.1 The methods used to specify how data are collected, calculated, or recorded in this standard are regarded as the industry standard. In addition, they are representative of the significant digits that generally should be retained. The procedures used do not consider material variation, purpose for obtaining the data, special purpose studies or any consideration of end use. It is beyond the scope of this test method to consider significant digits used in analysis methods for engineering design.

1.6 Units—The values stated in SI units are to be regarded as standard. The inch-pound units given in parentheses are mathematical conversions which are provided for information purposes only and are not considered standard. Reporting of test results in units other than SI shall not be regarded as nonconformance with this test method.

1.6.1 The gravitational system of inch-pound units is used when dealing with inch-pound units. In this system, the pound (lbf) represents a unit of force (weight), while the unit for mass is slugs. The slug unit is not given, unless dynamic ( $F = ma$ ) calculations are involved.

1.6.2 It is common practice in the engineering/construction profession to concurrently use pounds to represent both a unit of mass (lbm) and of force (lbf). This implicitly combines two separate systems of units; that is, the absolute system and the gravitational system. It is scientifically undesirable to combine the use of two separate sets of inch-pound units within a single standard. As stated, this standard includes the gravitational system of inch-pound units and does not use/present the slug unit for mass. However, the use of balances or scales recording pounds of mass (lbm) or recording density in  $\text{lbm/ft}^3$  shall not be regarded as nonconformance with this standard.

1.6.3 The terms density and unit weight are often used interchangeably. Density is mass per unit volume whereas unit weight is force per unit volume. In this standard density is given only in SI units. After the density has been determined, the unit weight is calculated in SI or inch-pound units, or both.

*1.7 This standard does not purport to address all of the safety concerns, if any, associated with its use. It is the responsibility*

<sup>1</sup> This test method is under the jurisdiction of ASTM Committee D18 on Soil and Rock and is the direct responsibility of Subcommittee D18.05 on Strength and Compressibility of Soils.

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\*A Summary of Changes section appears at the end of this standard.

of the user of this standard to establish appropriate safety and health practices and determine the applicability of regulatory limitations prior to use.

## 2. Referenced Documents

### 2.1 ASTM Standards:<sup>2</sup>

- D422 Test Method for Particle-Size Analysis of Soils
- D653 Terminology Relating to Soil, Rock, and Contained Fluids
- D854 Test Methods for Specific Gravity of Soil Solids by Water Pycnometer
- D1587 Practice for Thin-Walled Tube Sampling of Soils for Geotechnical Purposes
- D2166 Test Method for Unconfined Compressive Strength of Cohesive Soil
- D2216 Test Methods for Laboratory Determination of Water (Moisture) Content of Soil and Rock by Mass
- D2435 Test Methods for One-Dimensional Consolidation Properties of Soils Using Incremental Loading
- D2850 Test Method for Unconsolidated-Undrained Triaxial Compression Test on Cohesive Soils
- D3740 Practice for Minimum Requirements for Agencies Engaged in Testing and/or Inspection of Soil and Rock as Used in Engineering Design and Construction
- D4220 Practices for Preserving and Transporting Soil Samples
- D4318 Test Methods for Liquid Limit, Plastic Limit, and Plasticity Index of Soils
- D4753 Guide for Evaluating, Selecting, and Specifying Balances and Standard Masses for Use in Soil, Rock, and Construction Materials Testing
- D6026 Practice for Using Significant Digits in Geotechnical Data

## 3. Terminology

3.1 *Definitions*—~~The definitions of terms used in this test method shall be in accordance with Terminology.~~ For standard definitions of common technical terms, refer to Terminology D653.

### 3.2 Definitions of Terms Specific to This Standard:

3.2.1 *back pressure*—a pressure applied to the specimen pore-water to cause air in the pore space to compress and to pass into solution in the pore-water thereby increasing the percent saturation of the specimen.

3.2.2 *effective consolidation stress*—the difference between the cell pressure and the pore-water pressure prior to shearing the specimen.

3.2.3 *failure*—~~the stress~~ a maximum-stress condition or stress at failure—a defined strain for a test specimen. Failure is often taken to correspond to the maximum principal stress difference (maximum deviator stress) attained or the principal stress difference (deviator stress) at 15 % axial strain, whichever is obtained first during the performance of a test. Depending on soil behavior and field application, other suitable failure criteria may be defined, such as maximum effective stress obliquity,  $\sigma'_1/\sigma'_3$ ,  $(\sigma'_1/\sigma'_3)_{max}$ , or the principal stress difference (deviator stress) at a selected axial strain other than 15 %.

## 4. Significance and Use

4.1 The shear strength of a saturated soil in triaxial compression depends on the stresses applied, time of consolidation, strain rate, and the stress history experienced by the soil.

4.2 In this test method, the shear characteristics are measured under undrained conditions and is applicable to field conditions where soils that have been fully consolidated under one set of stresses are subjected to a change in stress without time for further consolidation to take place (undrained condition), and the field stress conditions are similar to those in the test method.

NOTE 1—If the strength is required for the case where the soil is not consolidated during testing prior to shear, refer to Test Method D2850 or Test Method D2166.

4.3 Using the pore-water pressure measured during the test, the shear strength determined from this test method can be expressed in terms of effective stress. This shear strength may be applied to field conditions where full drainage can occur (drained conditions) or where pore pressures induced by loading can be estimated, and the field stress conditions are similar to those in the test method.

4.4 The shear strength determined from the test expressed in terms of total stresses (undrained conditions) or effective stresses (drained conditions) is commonly used in embankment stability analyses, earth pressure calculations, and foundation design.

NOTE 2—Notwithstanding the statements on precision and bias contained in this test method. The precision of this test method is dependent on the competence of the personnel performing it and the suitability of the equipment and facilities used. Agencies which meet the criteria of Practice D3740 are generally considered capable of competent testing. Users of this test method are cautioned that compliance with Practice D3740 does not ensure reliable testing. Reliable testing depends on several factors; Practice D3740 provides a means of evaluating some of those factors.

## 5. Apparatus

5.1 The requirements for equipment needed to perform satisfactory tests are given in the following sections. See Fig. 1 and Fig.

2

<sup>2</sup> For referenced ASTM standards, visit the ASTM website, [www.astm.org](http://www.astm.org), or contact ASTM Customer Service at [service@astm.org](mailto:service@astm.org). For *Annual Book of ASTM Standards* volume information, refer to the standard's Document Summary page on the ASTM website.

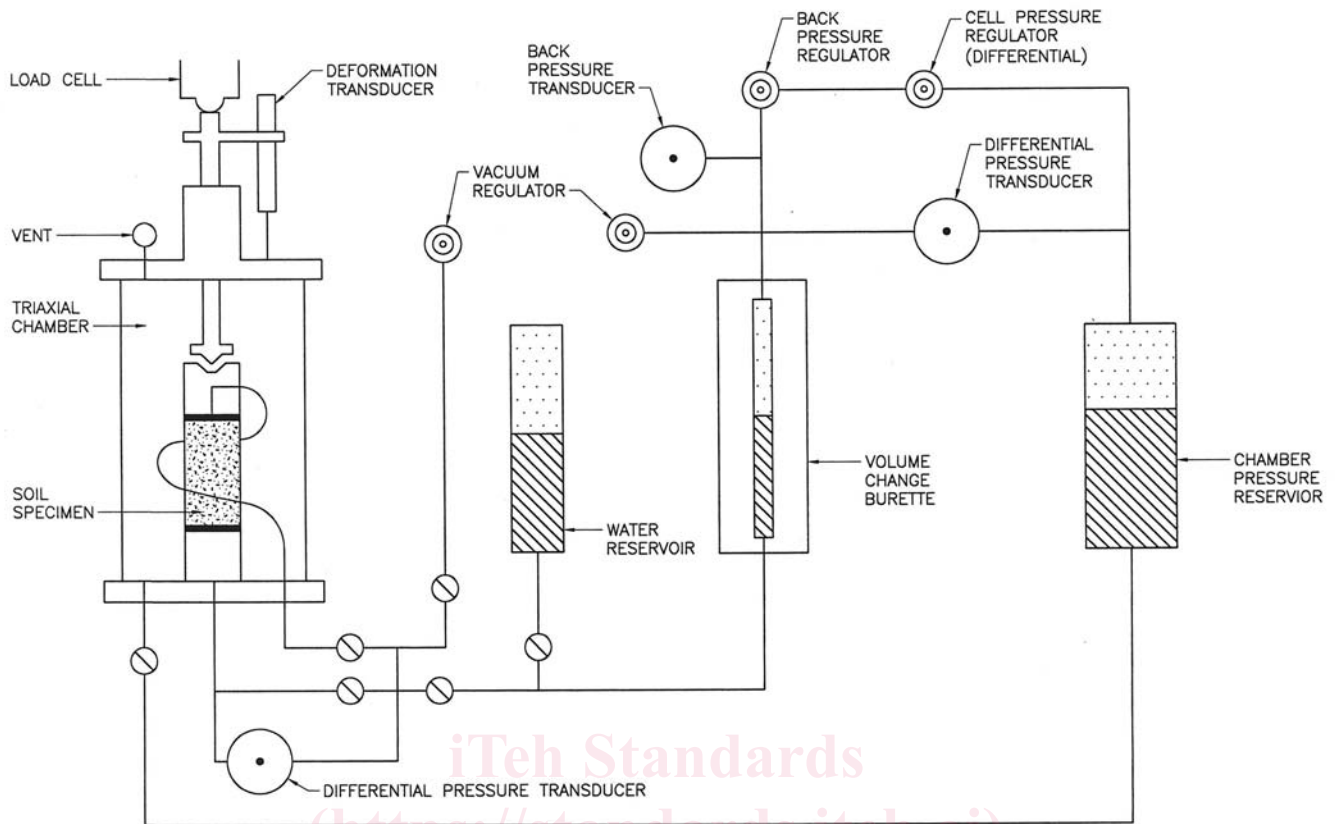


FIG. 1 Schematic Diagram of a Typical Consolidated Undrained Triaxial Apparatus

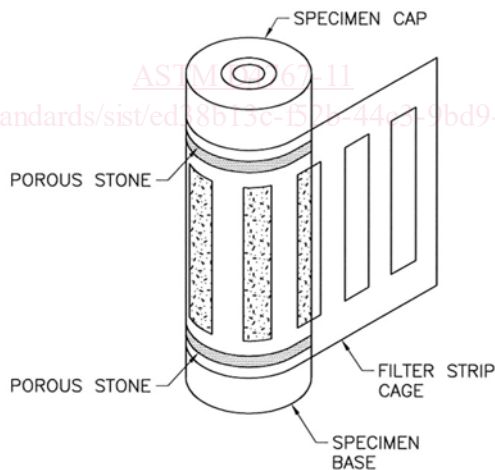


FIG. 2 Filter Strip Cage

5.2 *Axial Loading Device*—The axial loading device shall be a screw jack driven by an electric motor through a geared transmission, a hydraulic loading device, or any other compression device with sufficient capacity and control to provide the rate of axial strain (loading) prescribed in 8.4.2. The rate of advance of the loading device shall not deviate by more than  $\pm 1\%$  from the selected value. Vibration due to the operation of the loading device shall be sufficiently small to not cause dimensional changes in the specimen or to produce changes in pore-water pressure when the drainage valves are closed.

NOTE 3—A loading device may be judged to produce sufficiently small vibrations if there are no visible ripples in a glass of water placed on the loading platform when the device is operating at the speed at which the test is performed.

5.3 *Axial Load-Measuring Device*—The axial load-measuring device shall be a load ring, an electronic load cell, hydraulic load cell, or any other load-measuring device capable of the accuracy prescribed in this paragraph and may be a part of the axial loading

device. The axial load-measuring device shall be capable of measuring the axial load to an accuracy of within 1 % of the axial load at failure. If the load-measuring device is located inside the triaxial compression chamber, it shall be insensitive to horizontal forces and to the magnitude of the chamber pressure.

**5.4 Triaxial Compression Chamber**—The triaxial chamber shall have a working chamber pressure equal to the sum of the effective consolidation stress and the back pressure. It shall consist of a top plate and a base plate separated by a cylinder. The cylinder may be constructed of any material capable of withstanding the applied pressures. It is desirable to use a transparent material or have a cylinder provided with viewing ports so the behavior of the specimen may be observed. The top plate shall have a vent valve such that air can be forced out of the chamber as it is filled. The baseplate shall have an inlet through which ~~the pressure liquid is supplied to fill~~ the chamber, and inlets leading to the specimen base and to the cap to allow saturation and drainage of the specimen when required. The chamber shall provide a connection to the cap.

**5.5 Axial Load Piston**—The piston passing through the top of the chamber and its seal must be designed so the variation in axial load due to friction does not exceed 0.1 % of the axial load at failure and so there is negligible lateral bending of the piston during loading.

NOTE 4—The use of two linear ball bushings to guide the piston is recommended to minimize friction and maintain alignment.

NOTE 5—A minimum piston diameter of 1/6 the specimen diameter has been used successfully in many laboratories to minimize lateral bending.

**5.6 Pressure and Vacuum-Control Devices**—The chamber pressure and back pressure control devices shall be capable of applying and controlling pressures to within  $\pm 2$  kPa (0.25 lb/in.<sup>2</sup>) for effective consolidation pressures less than 200 kPa (28 lbf/in.<sup>2</sup>) and to within  $\pm 1$  % for effective consolidation pressures greater than 200 kPa. The vacuum-control device shall be capable of applying and controlling partial vacuums to within  $\pm 2$  kPa. The devices shall consist of ~~pressure/volume controllers, self-compensating mercury pots, controllers~~ pneumatic pressure regulators, combination pneumatic pressure and vacuum regulators, or any other device capable of applying and controlling pressures or partial vacuums to the required tolerances. These tests can require a test duration of several day. Therefore, an air/water interface is not recommended for either the chamber pressure or back pressure systems, unless isolated from the specimen and chamber (e.g. by long tubing).

**5.7 Pressure- and Vacuum-Measurement Devices**—The chamber pressure-, back pressure-, and vacuum-measuring devices shall be capable of measuring pressures or partial vacuums to the tolerances given in 5.6. They may consist of ~~Bourdon gages, pressure manometers,~~ electronic pressure transducers, or any other device capable of measuring pressures, or partial vacuums to the stated tolerances. If separate devices are used to measure the chamber pressure and back pressure, the devices must be calibrated simultaneously and against the same pressure source. Since the chamber and back pressure are the pressures taken at the mid-height of the specimen, it may be necessary to adjust the calibration of the devices to reflect the hydraulic head of fluids in the chamber and back pressure control systems.

**5.8 Pore-Water Pressure-Measurement Device**—The specimen pore-water pressure shall also be measured to the tolerances given in 5.6. During undrained shear, the pore-water pressure shall be measured in such a manner that as little water as possible is allowed to go into or out of the specimen. To achieve this requirement, a very stiff electronic pressure transducer or null-indicating device must be used. With an electronic pressure transducer the pore-water pressure is read directly. With a null-indicating device a pressure control is continuously adjusted to maintain a constant level of the water/mercury interface in the capillary bore of the device. The pressure required to prevent movement of the water is equal to the pore-water pressure. Both measuring devices shall have a compliance of all the assembled parts of the pore-water pressure-measurement system relative to the total volume of the specimen, satisfying the following requirement:

$$(\Delta V/V)/\Delta u < 3.2 \times 10^{-6} \text{ m}^2 / \text{kN} \quad (2.2 \times 10^{-5} \text{ in.}^2 / \text{lb}) \quad (1)$$

where:

$\Delta V$  = change in volume of the pore-water measurement system due to a pore pressure change, mm<sup>3</sup>(in.<sup>3</sup>),

$V$  = total volume of the specimen, mm<sup>3</sup>(in.<sup>3</sup>), and

$\Delta u$  = change in pore pressure, kPa (lbf/in.<sup>2</sup>).

~~NOTE 6—To meet the compliance requirement, tubing between the specimen and the measuring device should be short and thick-walled with small bores. Thermoplastic, copper, and stainless steel tubing have been used successfully.~~ 6—To meet the compliance requirement, tubing between the specimen and the measuring device should be short and thick-walled with small bores. Thermoplastic, copper, and stainless steel tubing have been used successfully. To measure this compliance, assemble the triaxial cell without a specimen. Then, open the appropriate valves, increase the pressure, and record the volume change.

**5.9 Volume Change Measurement Device**— The volume of water entering or leaving the specimen shall be measured with an accuracy of within  $\pm 0.05$  % of the total volume of the specimen. The volume measuring device is usually a burette connected to the back pressure but may be any other device meeting the accuracy requirement. The device must be able to withstand the maximum back pressure.

**5.10 Deformation Indicator**—The vertical deformation of the specimen is usually determined from the travel of the piston acting on the top of the specimen. The piston travel shall be measured with an accuracy of at least 0.25 % of the initial specimen height. The deformation indicator shall have a range of at least 15 % of the initial height of the specimen and may be a dial indicator, ~~linear variable differential transformer (LVDT), extensometer, indicator~~ or other measuring device meeting the requirements for accuracy and range.

5.11 *Specimen Cap and Base*—The specimen cap and base shall be designed to provide drainage from both ends of the specimen. They shall be constructed of a rigid, noncorrosive, impermeable material, and each shall, except for the drainage provision, have a circular plane surface of contact with the porous disks and a circular cross section. It is desirable for the mass of the specimen cap and top porous disk to be as minimal as possible. However, the mass may be as much as 10 % of the axial load at failure. If the mass is greater than 0.5 % of the applied axial load at failure and greater than 50 g (0.1 lb), the axial load must be corrected for the mass of the specimen cap and top porous disk. The diameter of the cap and base shall be equal to the initial diameter of the specimen. The specimen base shall be connected to the triaxial compression chamber to prevent lateral motion or tilting, and the specimen cap shall be designed such that eccentricity of the piston-to-cap contact relative to the vertical axis of the specimen does not exceed 1.3 mm (0.05 in.). The end of the piston and specimen cap contact area shall be designed so that tilting of the specimen cap during the test is minimal. The cylindrical surface of the specimen base and cap that contacts the membrane to form a seal shall be smooth and free of scratches.

5.12 *Porous Discs*—Two rigid porous disks shall be used to provide drainage at the ends of the specimen. The coefficient of permeability of the disks shall be approximately equal to that of fine sand ( $1 \times 10^{-4}$  cm/s ( $4 \times 10^{-5}$  in./s)). The disks shall be regularly cleaned by ultrasonic or boiling and brushing and checked to determine whether they have become clogged.

5.13 *Filter-Paper Strips and Disks*—Filter-paper strips are used by many laboratories to decrease the time required for testing. Filter-paper disks of a diameter equal to that of the specimen may be placed between the porous disks and specimen to avoid clogging of the porous disks. If filter strips or disks are used, they shall be of a type that does not dissolve in water. The coefficient of permeability of the filter paper shall not be less than  $1 \times 10^{-5}$  cm/s ( $4 \times 10^{-6}$  in./s) for a normal pressure of 550 kPa (80 lbf/in.<sup>2</sup>). To avoid hoop tension, filter strips should cover no more than 50 % of the specimen periphery. Filter-strip cages have been successfully used by many laboratories. An equation for correcting the principal stress difference (deviator stress) for the effect of the strength of vertical filter strips is given in 10.4.3.1.

NOTE 7—Whatman's 7—Grade No. 54 Filter Paper has been found to meet the permeability and durability requirements.

5.14 *Rubber Membrane*—The rubber membrane used to encase the specimen shall provide reliable protection against leakage. Membranes shall be carefully inspected prior to use and if any flaws or pinholes are evident, the membrane shall be discarded. To offer minimum restraint to the specimen, the unstretched membrane diameter shall be between 90 and 95 % of that of the specimen. The membrane thickness shall not exceed 1 % of the diameter of the specimen. The membrane shall be sealed to the specimen cap and base with rubber O-rings for which the unstressed inside diameter is between 75 and 85 % of the diameter of the cap and base, or by other means that will provide a positive seal. An equation for correcting the principal stress difference (deviator stress) for the effect of the stiffness of the membrane is given in 10.4.3.2.

5.15 *Valves*—Changes in volume due to opening and closing valves may result in inaccurate volume change and pore-water pressure measurements. For this reason, valves in the specimen drainage system shall be of the type that produce minimum volume changes due to their operation. A valve may be assumed to produce minimum volume change if opening or closing the valve in a closed, saturated pore-water pressure system does not induce a pressure change of greater than 0.7 kPa ( $\pm 0.1$  lbf/in.<sup>2</sup>). All valves must be capable of withstanding applied pressures without leakage.

NOTE 8—Ball valves have been found to provide minimum volume-change characteristics; however, any other type of valve having suitable volume-change characteristics may be used.

5.16 *Specimen-Size Measurement Devices*—Devices used to determine the height and diameter of the specimen shall measure the respective dimensions to within  $\pm 0.1\%$  of the total dimension four significant digits and shall be constructed such that their use will not disturb/deform the specimen.

NOTE 9—Circumferential measuring tapes are recommended over calipers for measuring the diameter.

5.17 *Recorders*—Specimen behavior may be recorded manually or by electronic digital or analog recorders. If electronic recorders are used, it shall be necessary to calibrate the measuring devices through the recorder using known input standards.

5.18 *Sample Extruder*—The sample extruder shall be capable of extruding the soil core from the sampling tube at a uniform rate in the same direction of travel as the sample entered the tube and with minimum disturbance of the sample. If the soil core is not extruded vertically, care should be taken to avoid bending stresses on the core due to gravity. Conditions at the time of sample removal may dictate the direction of removal, but the principal concern is to minimize the degree of disturbance.

5.19

5.18 *Timer*—A timing device indicating the elapsed testing time to the nearest 1 s shall be used to obtain consolidation data (8.3.3).

5.20

5.19 *Balance*—A balance or scale conforming to the requirements of Specification D4753 readable (with no estimate) to 0.1% of the test mass or better.

5.21 readable to four significant digits.

5.20 *Water Deaeration Device*—The amount of dissolved gas (air) in the water used to saturate the specimen shall be decreased by boiling, by heating and spraying into a vacuum, or by any other method that will satisfy the requirement for saturating the specimen within the limits imposed by the available maximum back pressure and time to perform the test.

5.22

5.21 Testing Environment—The consolidation and shear portion of the test shall be performed in an environment where temperature fluctuations are less than  $\pm 4^{\circ}\text{C}$  ( $\pm 7.2^{\circ}\text{F}$ ) and there is no direct contact with sunlight.

5.23

5.22 Miscellaneous Apparatus—Specimen trimming and carving tools including a wire saw, steel straightedge, miter box, vertical trimming lathe, apparatus for preparing ~~compacted~~ reconstituted specimens, membrane and O-ring expander, water content cans, and data sheets shall be provided as required.

## 6. Test Specimen Preparation

6.1 Specimen Size—~~Specimens shall be cylindrical and have a minimum diameter of 33 mm (1.3 in.). The average height-to-average diameter ratio shall be between 2 and 2.5. An individual measurement of height or diameter shall not vary from average by more than 5%. The largest particle size shall be smaller than  $\frac{1}{6}$ .~~ Specimens shall be cylindrical and have a minimum diameter of 33 mm (1.3 in.). The average height-to-average diameter ratio shall be between 2 and 2.5. The largest particle size shall be smaller than  $\frac{1}{6}$  the specimen diameter. If, after completion of a test, it is found based on visual observation that oversize particles are present, indicate this information in the report of test data (11.2.23).

NOTE 10—If oversize particles are found in the specimen after testing, a particle-size analysis may be performed on the tested specimen in accordance with Test Method D422 to confirm the visual observation and the results provided with the test report (11.2.4).

6.2 Undisturbed Intact Specimens—Prepare ~~undisturbed intact~~ specimens from large ~~undisturbed intact~~ samples or from samples secured in accordance with Practice D1587 or other acceptable ~~undisturbed intact~~ tube sampling procedures. Samples shall be preserved and transported in accordance with the practices for Group C samples in Practices D4220. Specimens obtained by tube sampling may be tested without trimming except for cutting the end surfaces plane and perpendicular to the longitudinal axis of the specimen, provided soil characteristics are such that no significant disturbance results from sampling. Handle specimens carefully to minimize disturbance, changes in cross section, or change in water content. If compression or any type of noticeable disturbance would be caused by the extrusion device, split the sample tube lengthwise or cut the tube in suitable sections to facilitate removal of the specimen with minimum disturbance. Prepare trimmed specimens, in an environment such as a controlled high-humidity room where soil water content change is minimized. Where removal of pebbles or crumbling resulting from trimming causes voids on the surface of the specimen, carefully fill the voids with remolded soil obtained from the trimmings. If the sample can be trimmed with minimal disturbance, a vertical trimming lathe may be used to reduce the specimen to the required diameter. After obtaining the required diameter, place the specimen in a miter box, and cut the specimen to the final height with a wire saw or other suitable device. Trim the surfaces with the steel straightedge. Perform one or more water content determinations on material trimmed from the specimen in accordance with Test Method D2216. ~~Determine the mass and dimensions of the specimen using the devices described in 5.16 and 5.20. A minimum of three height measurements ( $120^{\circ}$  apart) and at least three diameter measurements at the quarter points of the height shall be made to determine the average height and diameter of the specimen.~~

6.3 Compacted Specimens—Soil required for compacted specimens shall be thoroughly mixed with sufficient water to produce the desired water content. If water is added to the soil, store the material in a covered container for at least 16 h prior to compaction. Compacted specimens may be prepared by compacting material in at least six layers using a split mold of circular cross section having dimensions meeting the requirements enumerated in 6.1.

6.3 Reconstituted Specimens—Soil required for reconstituted specimens shall be thoroughly mixed with sufficient water to produce the desired water content. If water is added to the soil, store the material in a covered container for at least 16 h prior to compaction. Reconstituted specimens may be prepared by compacting material in at least six layers using a split mold of circular cross section having dimensions meeting the requirements enumerated in 6.1. Specimens may be ~~compacted~~ reconstituted to the desired density by either: (1) kneading or tamping each layer until the accumulative mass of the soil placed in the mold is compacted/reconstituted to a known volume; or (2) by adjusting the number of layers, the number of tamps per layer, and the force per tamp. The top of each layer shall be scarified prior to the addition of material for the next layer. The tamper used to compact the material shall have a diameter equal to or less than  $\frac{1}{2}$  the diameter of the mold. After a specimen is formed, with the ends perpendicular to the longitudinal axis, remove the mold and determine the mass and dimensions of the specimen using the devices described in 5.16 and ~~5.20~~ 5.19. Perform one or more water content determinations on excess material used to prepare the specimen in accordance with Test Method D2216.

6.4 Determine the mass and dimensions of the specimen using the devices described in 5.16 and 5.19. A minimum of three height measurements ( $120^{\circ}$  apart) and at least three diameter measurements at the quarter points of the height shall be made to determine the average height and diameter of the specimen. An individual measurement of height or diameter shall not vary from average by more than 5 %.

NOTE 11—It is common for the density or unit weight of the specimen after removal from the mold to be less than the value based on the volume of the mold. This occurs as a result of the specimen swelling after removal of the lateral confinement due to the mold.

## 7. Mounting Specimen

7.1 Preparations—Before mounting the specimen in the triaxial chamber, make the following preparations:

7.1.1 Inspect the rubber membrane for flaws, pinholes, and leaks.