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Standard Guide for Characterization of Type I Collagen as Starting Material for Surgical Implants and Substrates for Tissue Engineered Medical Products (TEMPS)¹

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INTRODUCTION

Collagen-based medical products are becoming more prevalent, especially in the area of soft tissue augmentation. The use of collagen in surgery dates back to the late 1800s, with the use of catgut sutures, human cadaveric skin, and fascia. More recently, collagen has been used in hemostatic sponges, dermal equivalents, injectables for soft tissue augmentation, as a matrix for cell-based products and as a vehicle for drug delivery. It is because of the versatility of collagen in medical applications that specific characterizations should be performed as a way to compare materials.

1. Scope

1.1 This guide for characterizing collagen-containing biomaterials is intended to provide characteristics, properties, and test methods for use by producers, manufacturers, and researchers to more clearly identify the specific collagen materials used. With greater than 20 types of collagen and the different properties of each, a single document would be cumbersome. This guide will focus on the characterization of Type I collagen, which is the most abundant collagen in mammals, especially in skin and bone. Collagen isolated from these sources may contain other types of collagen, for example, Type III and Type V. This guide does not provide specific parameters for any collagen product or mix of products or the acceptability of those products for the intended use. The collagen may be from any source, including, but not limited to, animal or cadaveric sources, human cell culture, or recombinant sources. The biological, immunological, or toxicological properties of the collagen may vary, depending on the source material. The properties of the collagen prepared from each of the above sources must be thoroughly investigated, as the changes in the collagen properties as a function of source materials is not thoroughly understood. This guide is intended to focus on purified Type I collagen as a starting material for surgical implants and substrates for tissue engineered medical products (TEMPS); some methods may not be applicable for gelatin or tissue implants. This guide may serve as a template for characterization of other types of collagen. standards.iteh.ai/catalog/standards/sist/21ed63d2-e41e-4858-af23-9135cc394f58/astm-f2212-11

1.2 The biological response to collagen in soft tissue has been well documented by a history of clinical use (1, 2)² and laboratory studies (3, 4, 5, 6). Biocompatibility and appropriateness of use for a specific application(s) is the responsibility of the product manufacturer.

1.3 The values stated in SI units are to be regarded as standard. No other units of measurement are included in this standard.

1.4 **Warning**—Mercury has been designated by EPA and many state agencies as a hazardous material that can cause central nervous system, kidney, and liver damage. Mercury, or its vapor, may be hazardous to health and corrosive to materials. Caution should be taken when handling mercury and mercury-containing products. See the applicable product Material Safety Data Sheet (MSDS) for details and EPA's website (<http://www.epa.gov/mercury/faq.htm>) for additional information. Users should be aware that selling mercury or mercury-containing products, or both, in your state may be prohibited by state law.

1.5 The following precautionary caveat pertains only to the test method portion, Section 5, of this guide. *This standard does not purport to address all of the safety concerns, if any, associated with its use. It is the responsibility of the user of this standard to establish appropriate safety and health practices and determine the applicability of regulatory requirements prior to use.*

¹ This guide is under the jurisdiction of ASTM Committee F04 on Medical and Surgical Materials and Devices and is the direct responsibility of Subcommittee F04.42 on Biomaterials and Biomolecules for TEMPS.

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² The boldface numbers in parentheses refer to the list of references at the end of this standard.

2. Referenced Documents

2.1 ASTM Standards:³

- E1298 Guide for Determination of Purity, Impurities, and Contaminants in Biological Drug Products
- F619 Practice for Extraction of Medical Plastics
- F720 Practice for Testing Guinea Pigs for Contact Allergens: Guinea Pig Maximization Test
- F748 Practice for Selecting Generic Biological Test Methods for Materials and Devices
- F749 Practice for Evaluating Material Extracts by Intracutaneous Injection in the Rabbit
- F756 Practice for Assessment of Hemolytic Properties of Materials
- F763 Practice for Short-Term Screening of Implant Materials
- F813 Practice for Direct Contact Cell Culture Evaluation of Materials for Medical Devices
- F895 Test Method for Agar Diffusion Cell Culture Screening for Cytotoxicity
- F981 Practice for Assessment of Compatibility of Biomaterials for Surgical Implants with Respect to Effect of Materials on Muscle and Bone
- F1251 Terminology Relating to Polymeric Biomaterials in Medical and Surgical Devices
- F1439 Guide for Performance of Lifetime Bioassay for the Tumorigenic Potential of Implant Materials
- F1903 Practice for Testing For Biological Responses to Particles *In Vitro*
- F1904 Practice for Testing the Biological Responses to Particles *in vivo*
- F1905 Practice For Selecting Tests for Determining the Propensity of Materials to Cause Immunotoxicity
- F1906 Practice for Evaluation of Immune Responses In Biocompatibility Testing Using ELISA Tests, Lymphocyte Proliferation, and Cell Migration
- F1983 Practice for Assessment of Compatibility of Absorbable/Resorbable Biomaterials for Implant Applications
- F2148 Practice for Evaluation of Delayed Contact Hypersensitivity Using the Murine Local Lymph Node Assay (LLNA)

2.2 ISO Standards:⁴

- ISO 10993–1 Biological Evaluation of Medical Devices—Part 1: Evaluation and Testing
- ISO 10993–3 Tests for Genotoxicity, Carcinogenicity and Reproductive Toxicity
- ISO 10993–9 Framework for Identification and Quantification of Potential Degradation Products
- ISO 10993–10 Biological Evaluation of Medical Devices—Part 10: Tests for Irritation and Delayed-Type Hypersensitivity
- ISO 10993–17 Methods for Establishment of Allowable Limits for Leachable Substances Using Health-Based Risk Assessment
- ISO 13408–1 Aseptic Processing of Health Care Products—Part 1: General Requirements
- ISO 14971 Medical Devices—Application of Risk Management to Medical Devices
- ISO 22442–1 Animal Tissues and their Derivatives Utilized in the Manufacture of Medical Devices—Part 1: Analysis and Management of Risk
- ISO 22442–2 Animal Tissues and their Derivatives Utilized in the Manufacture of Medical Devices—Part 2: Controls on Sourcing, Collection, and Handling
- ISO 22442–3 Animal Tissues and their Derivatives Utilized in the Manufacture of Medical Devices—Part 3: Validation and the Elimination and/or Inactivation of Virus and Transmissible Agents

2.3 U. S. and European Pharmacopeia Documents:⁵

- United States Pharmacopeia (USP), Edition XXX (30)
- USP 30/NF 19 Viral Safety Evaluation of Biotechnology Products Derived from Cell Lines of Human or Animal Origin
- European Pharmacopeia 5.0

2.4 Code of Federal Regulations:⁶

- 21 CFR 312 Investigational New Drug Application
- 21 CFR Part 820 Quality System Regulation
- Federal Register Vol. 43, No. 141, Friday, July 21, 1978
- 21 CFR Parts 207, 807, and 1271 Human Cells, Tissues and Cellular and Tissue-Based Products, Establishment Registration and Listing
- Federal Register, Vol. 66, No. 13, Jan 19, 2001/Rules and Regulations, p. 5447
- Federal Register, Vol. 72, No. 8, Jan. 12, 2007, pp. 1581–1619, Proposed Rule: Use of Materials Derived from Cattle in Medical Products Intended for Use in Humans and Drugs Intended for Use in Ruminants
- 21 CFR Part 1271, Part C Suitability Determination for Donors of Human Cell and Tissue-based Products, Proposed Rule

³ For referenced ASTM standards, visit the ASTM website, www.astm.org, or contact ASTM Customer Service at service@astm.org. For *Annual Book of ASTM Standards* volume information, refer to the standard's Document Summary page on the ASTM website.

⁴ Available from International Organization for Standardization (ISO), 1 rue de Varembe, Case postale 56, CH-1211, Geneva 20, Switzerland, <http://www.iso.ch>.

⁵ Available from U.S. Pharmacopeia (USP), 12601 Twinbrook Pkwy., Rockville, MD 20852-1790, <http://www.usp.org>.

⁶ Available from U.S. Government Printing Office Superintendent of Documents, 732 N. Capitol St., NW, Mail Stop: SDE, Washington, DC 20401, <http://www.access.gpo.gov>.

Current Good Tissue Practice for Manufacturers of Human Cellular and Tissue-Based Products, Inspection and Enforcement. Proposed Rule. Federal Register/Vol. 66, No. 5/January 8, 2001/Proposed Rules, pp. 1552-1559

Guidance for Screening and Testing of Donors of Human Tissue Intended for Transplantation, Availability. Federal Register/Vol. 62, No. 145/July 29, 1997/NoticesDraft Guidance for Preclinical and Clinical Investigations of Urethral Bulking Agents used in the Treatment of Urinary Incontinence. November 29, 1995. (ODE/DRARD/ULDB), Document No. 850

Guidance for Industry and for FDA Reviewers, Medical Devices Containing Materials Derived from Animal Sources (Except for *In Vitro* Diagnostic Devices), November 6, 1998, U.S. Department of Health and Human Services, Food and Drug Administration, Center for Devices and Radiological Health

CFR 610.13(b) Rabbit Pyrogen Assay

2.5 ICH Documents:⁷

ICH M3 Guidance for Industry M3 Nonclinical Safety Studies for the Conduct of Human Clinical Trials for Pharmaceuticals 62 FR 62922 (1997)

ICH S2A Guideline for Industry S2A Specific Aspects of Regulatory Genotoxicity Tests for Pharmaceuticals. 61 FR 18199 (1996)

ICH S2B Guidance for Industry S2B Genotoxicity: A Standard Battery for Genotoxicity Testing of Pharmaceuticals 62 FR 62472 (1997)

ICH S5A Guideline for Industry S5A Detection of Toxicity to Reproduction for Medicinal Products. 59 FR 48746 (1994)

ICH S5B Guidance for Industry S5B Detection of Toxicity to Reproduction for Medicinal Products: Addendum on Toxicity to Male Fertility. 61 FR 15360 (1996)

ICH S1A Guideline for Industry S1A The Need for Long-term Rodent Carcinogenicity Studies of Pharmaceuticals. 61 FR 8153 (1996)

ICH S1B Guidance for Industry S1B Testing for Carcinogenicity of Pharmaceuticals. 63 FR 8983 (1998)

ICH S1C Guideline for Industry S1C Dose Selection for Carcinogenicity Studies of Pharmaceuticals. 60 FR 11278 (1995)

ICH S1C(R) Guidance for Industry Addendum to Dose Selection for Carcinogenicity Studies of Pharmaceuticals: Addition of a Limit Dose and Related Notes. 62 FR 64259 (1997)

ICH Q1A ICH Harmonized Tripartite Guidance for Stability Testing of New Drug Substances and Products (September 23, 1994)

U.S. Food and Drug Administration (FDA) and Committee for Proprietary Medicinal Products (CPMP), 1998 International Conference on Harmonization (ICH), Quality of Biotechnological Products: Viral Safety Evaluation of Biotechnology Products Derived from Cell Lines of Human or Animal Origin, Consensus Guideline ICH Viral Safety Document: Step 5

2.6 FDA Documents:⁸

FDA Guideline on Validation of the Limulus Amebocyte Test as an End-Product Endotoxin Test for Human and Animal Parenteral Drugs, Biological Products and Healthcare Products, DHHS, December 1987

U.S. Food and Drug Administration (FDA) Center for Biologics Evaluation and Research (CBER), 1993 Points to Consider in the Characterization of Cell Lines Used to Produce Biologicals

U.S. Food and Drug Administration (FDA) Center for Biologics Evaluation and Research (CBER), 1997 Points to Consider in the Manufacture and Testing of Monoclonal Antibody Products for Human Use, 94D-0259

FDA Interim Guidance for Human and Veterinary Drug Products and Biologicals, Kinetic LAL techniques, DHHS, July 15, 1991

2.7 AAMI Documents:⁹

ANSI/AAMI/ISO 11737-1: 2006 Sterilization of Medical Devices—Microbiological Methods—Part 1: Estimation of Bioburden on Product

ANSI/AAMI/ISO 11737-2: 1998 Sterilization of Medical Devices—Microbiological Methods—Part 2: Tests of Sterility Performed in the Validation of a Sterilization Process

AAMI TIR No. 19-1998 Guidance for ANSI/AAMI/ISO 10993-7: 1995, Biological Evaluation of Medical Devices—Part 7: Ethylene Oxide Sterilization Residuals

AAMI/ISO 14160-1998 Sterilization of Single-Use Medical Devices Incorporating Materials of Animal Origin—Validation and Routine Control of Sterilization by Liquid Chemical Sterilants

AAMI ST67/CDV-2: 1999 Sterilization of Medical Devices—Requirements for Products Labeled “Sterile”

2.8 Other References:

Draft Guidance for Preclinical and Clinical Investigations of Urethral Bulking Agents Used in the Treatment of Urinary Incontinence, November 29, 1995. (ODE/DRARD/ULDB), Document No. 850¹⁰

⁷ Available from International Conference on Harmonisation of Technical Requirements for Registration of Pharmaceuticals for Human Use (ICH), ICH Secretariat, c/o IFPMA, 15 ch. Louis-Dunant, P.O. Box 195, 1211 Geneva 20, Switzerland, <http://www.ich.org>.

⁸ Available from Food and Drug Administration (FDA), 5600 Fishers Ln., Rockville, MD 20857, <http://www.fda.gov>.

⁹ Association for the Advancement of Medical Instrumentation, 1110 N. Glebe Rd., Suite 220, Arlington, VA 22201-4795.

¹⁰ Available from the FDA, 5600 Fishers Ln., Rockville, MD 20857. <http://www.fda.gov/cdrh/ode/oderp850.html>.

Council Directive 93/42/EEC, with Respect to Medical Devices Using Tissues of Animal Origin¹¹

Commission Directive 2003/32/EC, with Respect to Medical Devices Manufactured Using Tissues of Animal Origin¹¹

EMEA/410/01-rev.2, Committee for Proprietary Medical Products, Note for Guidance on Minimizing the Risk of Transmitting Animal Spongiform Encephalopathy Agents via Human and Veterinary Medical Products¹²

The European Agency for the Evaluation of Medicinal Products, (EMEA), Committee for Proprietary Medicinal Products (CPMP) Guidance Document for Decision Trees for the Selection of Sterilisation Methods (CPMP/QWP/054/98 corr 2000) and Annex to Note for Guidance on Development Pharmaceuticals (CPMP/QWP/155/96)¹³

3. Terminology

3.1 Definitions:

3.1.1 *adventitious agents, n*—an unintentionally introduced microbiological or other infectious contaminant. In the production of TEMPs, these agents may be unintentionally introduced into the process stream or the final product, or both.

3.1.2 *biocompatibility, n*—a material may be considered biocompatible if the material performs with an appropriate host response in a specific application (7).

3.1.3 *collagen, n*—Collagens form a family of secreted proteins with predominantly structural function. More than twenty genetically different family members have been identified so far. Several groups of collagen molecules have been classified based upon protein domain structures, macromolecular assemblies, and exon structures of the corresponding genes. All collagens have a unique triple helical structure configuration of three polypeptide units known as alpha-chains. Proper alignment of the alpha chains of the collagen molecule requires a highly complex enzymatic and chemical interaction *in vivo*. As such, preparation of the collagen by alternate methods may result in improperly aligned alpha chains and, putatively, increase the immunogenicity of the collagen. Collagen is high in glycine, L-alanine, L-proline, and 4-hydroxyproline, low in sulfur, and contains no L-tryptophan. When heated (for example, above 60°C), the helical structure of collagen is denatured irreversibly to single α chains with some β and γ bands (gelatin). At each end of the chains are short non-helical domains called telopeptides, which are removed in some collagen preparations. Through non-covalent interactions with sites on adjacent helices, fibrillogenesis is achieved. Subsequently, non-reducible cross-links are formed. This guide will focus on the characterization of Type I collagen, which is the most abundant collagen in mammals. Type I collagen is part of the fibrillar group of collagens. It derives from the COL1A1 and COL1A2 genes, which express the alpha chains of the collagen. Type I collagen can be associated with Type III and Type V collagen and also with the other non-collagenous proteins like elastin and other structural molecules like glycosaminoglycans and complex lipoproteins and glycoproteins.

3.1.4 *degradation, n*—change in chemical, physical, or molecular structure or appearance (that is, gross morphology) of material.

3.1.5 *endotoxin, n*—~~a high molar mass lipopolysaccharide (LPS) complex associated with the cell wall of gram-negative bacteria that is pyrogenic in humans. Though endotoxins are pyrogens, not all pyrogens are endotoxins.~~ pyrogenic high molar mass lipopolysaccharide (LPS) complex associated with the cell wall of gram-negative bacteria.

3.1.5.1 *Discussion*—~~Though endotoxins are pyrogens, not all pyrogens are endotoxins. Endotoxins are specifically detected through a Limulus Amebocyte Lysate (LAL) test.~~

3.1.6 *medical product, n*—any diagnostic or therapeutic treatment that may be regulated as a device, biologic, drug or combination product.

3.1.7 *microorganism, n*—bacteria, fungi, yeast, mold, viruses, and other infectious agents. However, it should be noted that not all microorganisms are infectious or pathogenic.

3.1.8 *solubility, n*—a measure of the extent to which the material can be dissolved. Any colloidal system without obvious phase separation can be considered soluble. In the context of collagen, solubility refers to the dissociation of the fibrillar aggregates of collagen molecules into a solution. Native Type I collagen, which is soluble in dilute acids, but not soluble at physiological conditions, is termed “insoluble” or “acid soluble,” while simple aggregates of non-fibrillar collagen soluble in neutral salt solutions are termed “neutral salt soluble.” Post translational surface charge modifications may alter the solubility of collagen in neutral pH condition.

3.1.9 *sterilization, n*—the destruction or removal of all microorganisms in or about an object (for example, by chemical agents, electron beam, gamma irradiation, or filtration). If the medical product collagen permits, terminal sterilization is preferential to aseptic processing.

3.1.10 *suspension, n*—the dispersion of a solid through a liquid with a particle size large enough to be detected by purely optical means.

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¹² Available from European Medicines Agency (EMA), 7 Westferry Circus, Canary Wharf, London E14 4HB, U.K., <http://www.eudora.org/emea.html>, and <http://www.emea.europa.eu/pdfs/human/bwp/TSE%20NFG%20410-rev2.pdf>.

¹³ Available from European Medicines Agency (EMA), 7 Westferry Circus, Canary Wharf, London E14 4HB, U.K., <http://www.eudora.org/emea.html>, and <http://www.emea.europa.eu/pdfs/human/qwp/005498en.pdf>.

4. Significance and Use

4.1 The objective of this guide is to provide guidance in the characterization of Type I collagen as a starting material for surgical implants and substrates for tissue engineered medical products (TEMPs). This guide contains a listing of physical and chemical parameters that are directly related to the function of collagen. This guide can be used as an aid in the selection and characterization of the appropriate collagen starting material for the specific use. Not all tests or parameters are applicable to all uses of collagen.

4.2 The collagen covered by this guide may be used in a broad range of applications, forms, or medical products, for example (but not limited to) medical devices, tissue engineered medical products (TEMPs) or cell, drug, or DNA delivery devices for implantation. The use of collagen in a practical application should be based, among other factors, on biocompatibility and physical test data. Recommendations in this guide should not be interpreted as a guarantee of clinical success in any tissue engineered medical product or drug delivery application.

4.3 The following general areas should be considered when determining if the collagen supplied satisfies requirements for use in TEMPs. These are source of collagen, chemical and physical characterization and testing, and impurities profile.

4.4 The following documents or other appropriate guidances from appropriate regulatory bodies relating to the production, regulation and regulatory approval of TEMPs products should be considered when determining if the collagen supplied satisfies requirements for use in TEMPs:

iTeh Standards
(<https://standards.iteh.ai>)
Document Preview

[ASTM F2212-11](#)

<https://standards.iteh.ai/catalog/standards/sist/21ed63d2-e41e-4858-af23-9135cc394f58/astm-f2212-11>

FDA CFR:

- 21 CFR 3: Product Jurisdiction:
<http://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfcfr/CFRSearch.cfm?CFRPart=3>
- 21 CFR 58: Good Laboratory Practice for Nonclinical Laboratory Studies:
<http://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfcfr/CFRSearch.cfm?CFRPart=58>

FDA/CDRH CFR and Guidances:

- 21 CFR Part 803: Medical Device Reporting:
<http://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfcfr/CFRSearch.cfm?CFRPart=803>
- 21 CFR 812: Investigational Device Exemptions:
<http://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfcfr/CFRSearch.cfm?CFRPart=812>
- 21 CFR 814: Premarket Approval of Medical Devices :
<http://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfcfr/CFRSearch.cfm?CFRPart=814>
- 21 CFR 820: Quality System Regulation:
<http://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfcfr/CFRSearch.cfm?CFRPart=820>
- Design Control Guidance for Medical Device Manufacturers:
<http://www.fda.gov/cdrh/comp/designgd.pdf>
- Preproduction Quality Assurance Planning Recommendations for Medical Device Manufacturers (FDA 90-4236):
<http://www.fda.gov/cdrh/manual/appende.html>
- The Review and Inspection of Premarket Approval Applications under the Bioresearch Monitoring Program—Draft Guidance for Industry and FDA Staff:
<http://www.fda.gov/cdrh/comp/guidance/1602.pdf>

FDA/CDRH Search Engines:

- CDRH Guidance Search Engine:
<http://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfggp/search.cfm>
- CDRH Premarket Approval (PMA) Search Engine:
<http://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfPMA/pma.cfm>
- CDRH 510(k) Search Engine:
<http://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfPMN/pmn.cfm>
- CDRH Recognized STANDARDS Search Engine :
<http://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfStandards/search.cfm>

FDA/CBER CFR and Guidances:

- 21 CFR 312: Investigational New Drug Application :
<http://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfcfr/CFRSearch.cfm?CFRPart=312>
- 21 CFR 314: Applications for FDA Approval to Market a New Drug:
<http://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfcfr/CFRSearch.cfm?CFRPart=314>
- 21 CFR 610: General Biological Products Standards:
<http://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfcfr/CFRSearch.cfm?CFRPart=610>
- 21 CFR 1271: Human Cells, Tissues and Cellular and Tissue-Based Products:
<http://www.accessdata.fda.gov/scripts/cdrh/cfdocs/cfcfr/CFRSearch.cfm?CFRPart=1271>
- Cellular & Gene Therapy Guidances and Other Publications:
<http://www.fda.gov/cber/genetherapy/gtpubs.htm>
- Human Tissue Guidances and Other Publications:
<http://www.fda.gov/cber/tissue/docs.htm>
- CBER Product Approval Information:
<http://www.fda.gov/cber/efoi/approve.htm>
- 21 CFR 600, 601 BLA Regulations:
http://www.access.gpo.gov/nara/cfr/waisidx_07/21cfr7_07.html
- 21 CFR 210, 211 GMP Regulations:
http://www.access.gpo.gov/nara/cfr/waisidx_07/21cfr210_07.html

5. Chemical and Physical Characterizations

5.1 *General Comments on Chemical and Physical Characterization of Collagen*—These methods are suggested assays; however, other validated assay methods may be used. Selection of assay systems will vary, depending on the configuration of the collagen (that is, soluble or insoluble). The user should ensure that the method selected is reliable and commonly accepted in protein chemistry. A review of collagen materials may be found in Li, 2000 (8), while a review of the collagen family of proteins may be found in Refs (9-14). When selecting an appropriate test method, the user should note that impurities in highly purified collagen are low or lower than 1 to 2 %, so sensitive test methods need to be utilized. For soluble collagen, the following represents a non-inclusive list of assay systems available: Sodium Dodecyl Sulfate Polyacrylamide Gel Electrophoresis (SDS-PAGE); peptide