

---

---

**Information technology — Digital  
publishing — EPUB 3.0.1 —**

**Part 4:  
Open container format**

*Technologies de l'information — Publications numériques — EPUB  
3.0.1 —*

*Partie 4: Format de conteneur ouvert*

*iteh Standards  
(<https://standards.iteh.ai>)  
Document Preview*

[ISO/IEC 23736-4:2020](https://standards.iteh.ai/catalog/standards/iso/4cdd33aa-28fa-462b-a6a9-eb5265d0dc90/iso-iec-23736-4-2020)

<https://standards.iteh.ai/catalog/standards/iso/4cdd33aa-28fa-462b-a6a9-eb5265d0dc90/iso-iec-23736-4-2020>



iTeh Standards  
(<https://standards.iteh.ai>)  
Document Preview

[ISO/IEC 23736-4:2020](https://standards.iteh.ai/catalog/standards/iso/4cdd33aa-28fa-462b-a6a9-eb5265d0dc90/iso-iec-23736-4-2020)

<https://standards.iteh.ai/catalog/standards/iso/4cdd33aa-28fa-462b-a6a9-eb5265d0dc90/iso-iec-23736-4-2020>



**COPYRIGHT PROTECTED DOCUMENT**

© ISO/IEC 2020

All rights reserved. Unless otherwise specified, or required in the context of its implementation, no part of this publication may be reproduced or utilized otherwise in any form or by any means, electronic or mechanical, including photocopying, or posting on the internet or an intranet, without prior written permission. Permission can be requested from either ISO at the address below or ISO's member body in the country of the requester.

ISO copyright office  
CP 401 • Ch. de Blandonnet 8  
CH-1214 Vernier, Geneva  
Phone: +41 22 749 01 11  
Fax: +41 22 749 09 47  
Email: [copyright@iso.org](mailto:copyright@iso.org)  
Website: [www.iso.org](http://www.iso.org)

Published in Switzerland

## Foreword

ISO (the International Organization for Standardization) and IEC (the International Electrotechnical Commission) form the specialized system for worldwide standardization. National bodies that are members of ISO or IEC participate in the development of International Standards through technical committees established by the respective organization to deal with particular fields of technical activity. ISO and IEC technical committees collaborate in fields of mutual interest. Other international organizations, governmental and non-governmental, in liaison with ISO and IEC, also take part in the work.

The procedures used to develop this document and those intended for its further maintenance are described in the ISO/IEC Directives, Part 1. In particular, the different approval criteria needed for the different types of document should be noted (see [www.iso.org/directives](http://www.iso.org/directives)).

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO and IEC shall not be held responsible for identifying any or all such patent rights. Details of any patent rights identified during the development of the document will be in the Introduction and/or on the ISO list of patent declarations received (see [www.iso.org/patents](http://www.iso.org/patents)) or the IEC list of patent declarations received (see <http://patents.iec.ch>).

Any trade name used in this document is information given for the convenience of users and does not constitute an endorsement.

For an explanation of the voluntary nature of standards, the meaning of ISO specific terms and expressions related to conformity assessment, as well as information about ISO's adherence to the World Trade Organization (WTO) principles in the Technical Barriers to Trade (TBT), see [www.iso.org/iso/foreword.html](http://www.iso.org/iso/foreword.html).

This document was prepared by the World Wide Web Consortium (W3C) [as EPUB Open Container Format (OCF) 3.0.1] and drafted in accordance with its editorial rules. It was adopted, under the JTC 1 PAS procedure, by Joint Technical Committee ISO/IEC JTC 1, *Information technology*.

A list of all parts in the ISO/IEC 23736 series can be found on the ISO website.

Any feedback or questions on this document should be directed to the user's national standards body. A complete listing of these bodies can be found at [www.iso.org/members.html](http://www.iso.org/members.html).



# EPUB Open Container Format (OCF) 3.0.1



## Recommended Specification 26 June 2014

### THIS VERSION

<http://www.idpf.org/epub/301/spec/epub-ocf-20140626.html>

### LATEST VERSION

<http://www.idpf.org/epub3/latest/ocf>

### PREVIOUS VERSION

<http://www.idpf.org/epub/301/spec/epub-ocf-20140228.html>

A [diff of changes](#) from the previous version is also available.

Please refer to the [errata](#) for this document, which may include some normative corrections.

Copyright © 2010-2013 International Digital Publishing Forum™

All rights reserved. This work is protected under Title 17 of the United States Code. Reproduction and dissemination of this work with changes is prohibited except with the written permission of the [International Digital Publishing Forum \(IDPF\)](#).

EPUB is a registered trademark of the International Digital Publishing Forum.

### Editors

James Pritchett, Learning Ally (formerly Recording for the Blind & Dyslexic)

Markus Gylling, International Digital Publishing Forum (IDPF)

### TABLE OF CONTENTS

#### [1. Overview](#)

- [1.1. Purpose and Scope](#)
- [1.2. Terminology](#)
- [1.3. Typographic Conventions](#)
- [1.4. Conformance Statements](#)
- [1.5. Content Conformance](#)
- [1.6. Reading System Conformance](#)

#### [2. OCF Abstract Container](#)

- [2.1. Overview](#)
- [2.2. File and Directory Structure](#)
- [2.3. Relative IRIs for Referencing Other Components](#)
- [2.4. File Names](#)
- [2.5. META-INF](#)
  - [2.5.1. Container – META-INF/container.xml](#)
  - [2.5.2. Encryption – META-INF/encryption.xml](#)
  - [2.5.3. Manifest – META-INF/manifest.xml](#)
  - [2.5.4. Metadata – META-INF/metadata.xml](#)
  - [2.5.5. Rights Management – META-INF/rights.xml](#)
  - [2.5.6. Digital Signatures – META-INF/signatures.xml](#)

#### [3. OCF ZIP Container](#)

[3.1. Overview](#)

[3.2. ZIP File Requirements](#)

[3.3. OCF ZIP Container Media Type Identification](#)

[4. Resource Obfuscation](#)

[4.1. Introduction](#)

[4.2. Obfuscation Key](#)

[4.3. Obfuscation Algorithm](#)

[4.4. Specifying Obfuscated Resources](#)

[A. Schemas](#)

[A.1. Schema for `container.xml`](#)

[A.2. Schema for `encryption.xml`](#)

[A.3. Schema for `signatures.xml`](#)

[B. Example](#)

[C. The `application/epub+zip` Media Type](#)

[D. Acknowledgements and Contributors](#)

[References](#)

## › 1 Overview

---

### › 1.1 Purpose and Scope

#### **This section is informative**

This specification, EPUB Open Container Format (OCF) 3.0.1, defines a file format and processing model for encapsulating the set of related resources that comprise an EPUB® Publication into a single-file container.

This specification is one of a family of related specifications that compose EPUB 3, the third major revision of an interchange and delivery format for digital publications based on XML and Web Standards. It is meant to be read and understood in concert with the other specifications that make up EPUB 3:

- The EPUB 3 Overview [[EPUB3Overview](#)], which provides an informative overview of EPUB and a roadmap to the rest of the EPUB 3 documents. The Overview should be read first.
- EPUB Publications 3.0.1 [[Publications301](#)], which defines the semantics and overarching conformance requirements for each Rendition of an EPUB Publication.
- EPUB Content Documents 3.0.1 [[ContentDocs301](#)], which defines profiles of XHTML, SVG and CSS for use in the context of EPUB Publications.
- EPUB Media Overlays 3.0.1 [[MediaOverlays301](#)], which defines a format and a processing model for synchronization of text and audio.

OCF is the required container technology for EPUB Publications. OCF may play a role in the following workflows:

- During the preparation steps in producing an EPUB Publication, OCF may be used as the container format when exchanging an in-progress EPUB Publication between different individuals and/or different organizations.
- When providing an EPUB Publication from publisher or conversion house to the distribution or sales channel, OCF is the recommended container format to be used as the transport format.

- When delivering the final EPUB Publication to an EPUB Reading System or User, OCF is the required format for the container that holds all of the assets that make up the EPUB Publication.

The OCF specification defines the rules for structuring the file collection in the abstract: the "abstract container". It also defines the rules for the representation of this abstract container within a ZIP archive: the "physical container". The rules for ZIP physical containers build upon the ZIP technologies used by [ODF]. OCF also defines a standard method for obfuscating embedded resources, such as fonts, for those EPUB Publications that require this functionality.

This specification supersedes Open Container Format (OCF) 3.0 [OCF30]. Refer to [EPUB3Changes] for information on differences between this specification and its predecessor.

## › 1.2 Terminology

### EPUB Publication

A collection of one or more Renditions conforming to this specification and its sibling specifications, packaged in an EPUB Container.

An EPUB Publication typically represents a single intellectual or artistic work, but this specification and its sibling specifications do not circumscribe the nature of the content.

### Rendition

A logical document entity consisting of a set of interrelated resources representing one rendering of an EPUB Publication.

### Default Rendition

The Rendition listed in the first rootfile element in the container.xml file.

### Publication Resource

A resource that contains content or instructions that contribute to the logic and rendering of at least one Rendition of an EPUB Publication. In the absence of this resource, the EPUB Publication might not render as intended by the Author. Examples of Publication Resources include a Rendition's Package Document, EPUB Content Document, EPUB Style Sheets, audio, video, images, embedded fonts and scripts.

With the exception of the Package Document itself, the Publication Resources required to render a Rendition are listed in that Rendition's manifest [Publications301] and bundled in the EPUB Container file (unless specified otherwise in Publication Resource Locations [Publications301]).

Examples of resources that are not Publication Resources include those identified by the Package Document link [Publications301] element and those identified in outbound hyperlinks that resolve outside the EPUB Container (e.g., referenced from an [HTML5] a element href attribute).

### EPUB Content Document

A Publication Resource that conforms to one of the EPUB Content Document definitions (XHTML or SVG).

An EPUB Content Document is a Core Media Type, and may therefore be included in the EPUB Publication without the provision of fallbacks [Publications301].

### XHTML Content Document

An EPUB Content Document conforming to the profile of [\[HTML5\]](#) defined in [XHTML Content Documents \[ContentDocs301\]](#) .

XHTML Content Documents use the [XHTML syntax](#) of [\[HTML5\]](#).

### SVG Content Document

An EPUB Content Document conforming to the constraints expressed in [SVG Content Documents \[ContentDocs301\]](#) .

### Core Media Type

A set of Publication Resource types for which no fallback is required. Refer to [Publication Resources \[Publications301\]](#) for more information.

### Package Document

A Publication Resource carrying bibliographical and structural metadata about a given Rendition of an EPUB Publication, as defined in [Package Documents \[Publications301\]](#) .

### Unique Identifier

The Unique Identifier is the primary identifier for an EPUB Publication, as identified by the [unique-identifier](#) attribute. The Unique Identifier may be shared by one or many Renditions of the same EPUB Publication that conform to the EPUB standard and embody the same content.

The Unique Identifier is less granular than the ISBN. However, significant revision, abridgement, etc. of the content requires a new Unique Identifier.

### EPUB Style Sheet (or Style Sheet)

A CSS Style Sheet conforming to the CSS profile defined in [EPUB Style Sheets \[ContentDocs301\]](#) .

### Viewport

The region of an EPUB Reading System in which the content of an EPUB Publication is rendered visually to a User.

### EPUB Container (or Container)

The ZIP-based packaging and distribution format for EPUB Publications defined in [OCF ZIP Container](#) .

### OCF Processor

A software application that processes EPUB Containers according to this specification.

### Root Directory

The root directory represents the base of the Abstract Container file system. This directory is virtual in nature: a Reading System might or might not generate a physical root directory for the contents of the Abstract Container if the contents are unzipped.

### Author

The person(s) or organization responsible for the creation of an EPUB Publication, which is not necessarily the creator of the content and resources it contains.

### User



An individual that consumes an [EPUB Publication](#) using an [EPUB Reading System](#).

### EPUB Reading System (or Reading System)

A system that processes [EPUB Publications](#) for presentation to a [User](#) in a manner conformant with this specification and its [sibling specifications](#).

## › 1.3 Typographic Conventions

The following typographic conventions are used in this specification:

### markup

All markup (elements, attributes, properties), code (JavaScript, pseudo-code), machine processable values (string, characters, media types) and file names are in red-orange monospace font.

### markup

Links to markup and code definitions are underlined and in red-orange monospace font. Only the first instance in each section is linked.

<http://www.idpf.org/>

URIs are in navy blue monospace font.

### hyperlink

Hyperlinks are underlined and in blue.

### [reference]

Normative and informative references are enclosed in square brackets.

<https://standards.iteh.ai/catalog/standards/iso/4cdd33aa-28fa-462b-a6a9-eb5265d0dc90/iso-iec-23736-4-2020>  
Term

Terms defined in the [Terminology](#) are in capital case.

### Term

Links to term definitions have a dotted blue underline. Only the first instance in each section is linked.

Normative element, attribute and property definitions are in blue boxes.

Informative markup examples are in white boxes.

### NOTE

Informative notes are in yellow boxes with a "Note" header.

## CAUTION

Informative cautionary notes are in red boxes with a "Caution" header.

## › 1.4 Conformance Statements

The keywords **MUST**, **MUST NOT**, **REQUIRED**, **SHALL**, **SHALL NOT**, **SHOULD**, **SHOULD NOT**, **RECOMMENDED**, **MAY**, and **OPTIONAL** in this document are to be interpreted as described in [RFC2119].

All sections of this specification are normative except where identified by the informative status label "This section is informative". The application of informative status to sections and appendices applies to all child content and subsections they may contain.

All examples in this specification are informative.

## › 1.5 Content Conformance

- › An OCF Abstract Container **MUST** meet the conformance constraints defined in [OCF Abstract Container](#).
- › An OCF ZIP Container (also referred to as an [EPUB Container](#)) **MUST** meet the conformance constraints defined in [OCF ZIP Container](#).

## › 1.6 Reading System Conformance

An [EPUB Reading System](#) **MUST** meet all of the following criteria:

- › It **MUST** process the OCF ZIP Container in conformance with all Reading System conformance constraints expressed in [OCF ZIP Container](#).
- › If it has a [Viewport](#), it **MUST** support deobfuscation of resources as defined in [Resource Obfuscation](#).

## › 2 OCF Abstract Container

---

### › 2.1 Overview

#### **This section is informative**

An OCF Abstract Container defines a file system model for the contents of the container. The file system model uses a single common [Root Directory](#) for all of the contents of the container. All (non-remote) resources for embedded [Renditions](#) are located within the directory tree headed by the container's root directory, although no specific file system structure is mandated for this. The file

system model also includes a mandatory directory named **META-INF** that is a direct child of the container's root directory and is used to store the following special files:

**container.xml** [required]

Identifies the file that is the point of entry for each embedded Rendition of the EPUB Publication.

**signatures.xml** [optional]

Contains digital signatures for various assets.

**encryption.xml** [optional]

Contains information about the encryption of Publication Resources. (This file is required when obfuscation is used.)

**metadata.xml** [optional]

Used to store metadata about the EPUB Container.

**rights.xml** [optional]

Used to store information about digital rights.

**manifest.xml** [allowed]

A manifest of container contents as allowed by Open Document Format [ODF].

Complete conformance requirements for the various files in **META-INF** are found in META-INF.

## › 2.2 File and Directory Structure

The virtual file system for the OCF Abstract Container **MUST** have a single common Root Directory for all of the contents of the container.

The OCF Abstract Container **MUST** include a directory named **META-INF** that is a direct child of the container's root directory. Requirements for the contents of this directory are described in META-INF.

The file name **mimetype** in the root directory is reserved for use by OCF ZIP Containers, as explained in OCF ZIP Container.

All other files within the OCF Abstract Container **MAY** be in any location descendant from the container's root directory except within the **META-INF** directory.

It is **RECOMMENDED** that the contents of the EPUB Publication be stored within its own dedicated directory under the container's root.

## › 2.3 Relative IRIs for Referencing Other Components

Files within the OCF Abstract Container **MUST** reference each other via Relative IRI References ([RFC3987] and [RFC3986]). For example, if a file named **chapter1.html** references an image file named **image1.jpg** that is located in the same directory, then **chapter1.html** might contain the following as part of its content: